

Bilaga 14 Del B
(Christer Deckmark)
till förundersökningsprotokoll

EB-4689-16

Grovt bedrägeri mm i

Optimus Fonder AB (556673-5758)

Falcon Funds SICAV PLC (SV295)

mfl.

Misstänkta:

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Mark Bishop, 560512-0000
Afram Gergeo, 770205-0316
mfl.

Förundersökningsledare:

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Kammaråklagare Ingela Ohlén
Extra åklagare Gunnar Stetler

Innehållsförteckning Christer Deckmark Del B

Ulf Deckmark

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Zeptunus

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Zeptunus

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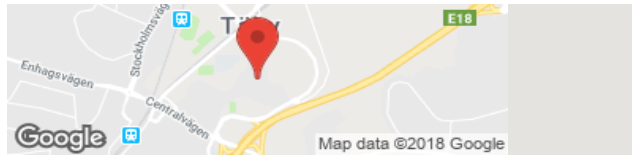
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Ansvarig för informationen nedan är Skatteverket (Kartor från Google)

Personnummer: 610330-0171
Namn: Deckmark, ULF Roland
Kön och ålder: Man, 57 år



AB

Aktuella uppdrag

OBS! I förteckningen förekommer aktiva uppdrag och uppdrag i den sista styrelsegenerationen om företaget är avslutat.

Totalt antal uppdrag i företag: 7

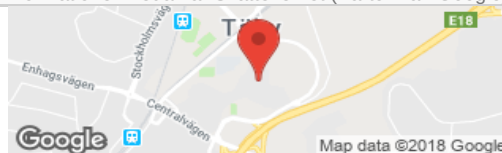
Org-/personnr	Typ	Namn	Funktion	Status
556536-2950	AB	Norectus Aktiebolag	LE	Konkurs
556776-2918	AB	AB Rörbjörnen	LE OF	
559006-6873	AB	Braron Holding AB	LE VD OF	
559095-5810	AB	QuantLeap AB	LE	
559119-4609	AB	Brick by Brick AB	LE VD	
969654-1813	HB	Norectus Handelsbolag	BO	Avregistrerad
610330-0171	EF	ARGONA MANAGEMENT IN		

Ansvarig för informationen nedan är Skatteverket (Kartor från Google)

Personnummer: 610330-0171

Namn: Deckmark, ULF Roland

Kön och ålder: Man, 57 år



Ansvarig för informationen nedan är Bisnode Sverige AB

Historiska uppdrag

Bilden visar styrelseengagemang som är anmälda till Bolagsverket. Avregistrerade namnskyddade enskilda firmor visas inte under Bolagsengagemang. Enskilda firmor som endast är registrerade hos SCB visas inte.

Äldre uppgifter än 5 år tillbaka har gallrats i redovisningen nedan.

Det är Bolagsverkets registreringsdatum som anges som inträdesdatum.

Totalt antal uppdrag i företag: 3

Org-/personnr	Namn	Funktion	Status	Inträde	Utträde
556673-5758	Optimus Fonder AB EVD			2014-08-27	2014-09-08
556673-5758	Optimus Fonder AB LE			2014-03-29	2014-08-27
556673-5758	Optimus Fonder AB EVD			Före 2013-10-02	2014-03-29

FÖRETAGSSKATTEKONTOR 3
KONTOR 0300
106 61 STOCKHOLM



Skatteverket
0771-567 567

Deklarera
senast den 2 maj
gärna på
Internet, sms
eller
telefon

Deckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

I "Dags att deklarerar" får du svar
på de flesta av dina frågor.

Person-/organisationsnummer

610330-0171

Kontrollera beloppen som Skatteverket fyllt i. Om ett belopp är fel, stryk det och fyll i rätt belopp i den vita rutan. Fyll i totalbeloppet, dvs. summan av det ifyllda beloppet och din ändring.

① Inkomster - Tjänst

Belopp i kronor

Lön, förmåner, sjukpenning m.m.	03	824 178
Kostnadsersättningar	05	
Allmän pension och tjänstepension m.m.	14	
Privat pension och livränta	15	
Andra inkomster som inte är pensionsgrundande	16	
Inkomster, t.ex. hobby, som du själv ska be- tala egenavgifter för	19	
Inkomst enligt bilaga K10, K10A och K13	22	

② Avdrag - Tjänst

Resor till och från arbetet <i>Du får avdrag endast för den del som överskrider 10 000 kr. Fyll i totalbeloppet.</i>	01	
Tjänsteresor	08	
Tillfälligt arbete, dubbel bosättning och hemresor	09	
Övriga utgifter <i>Du får avdrag endast för den del som överskrider 5 000 kr. Fyll i totalbeloppet.</i>	06	

③ Allmänna avdrag

Pensionssparande m.m.	43	
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④ Skattereduktioner

Rot-/rutarbete enligt meddelande eller som förmån	
Underlag för skatte- reduktion för gåva	

⑤ Underlag för fastighetsavgift

Småhus hel avgift	0,75 %	910 000
Småhus halv avgift	0,375 %	

⑥ Underlag för fastighetsskatt

Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
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NRV	HB	FAM
		X

⑦ Inkomster - Kapital

Ränteinkomster, utdelningar m.m.		Belopp i kronor
Vinst enligt bilaga K4 avsnitt C m.m.	50	21 166
Överskott vid uthyrning av privatbostad	51	
Vinst fondandelar m.m. Vinst enligt bilaga K4 avsnitt A och B, K10, K10A, K12 avsnitt B och K13.	54	11 649
Vinst ej marknadsnoterade fondandelar m.m. Vinst enligt bilaga K4 avsnitt D, K12 avsnitt E och K15A/B m.m.	64	
Vinst enligt bilaga K5 och K6. Återfört uppskov från bilaga K2.	65	
Vinst enligt bilaga K7 och K8	75	

⑧ Avdrag - Kapital

Ränteutgifter m.m. Förlust enligt bilaga K4 avsnitt C m.m.	53	38 473
Förvaltningsutgifter <i>Du får avdrag endast för den del som överskrider 1 000 kr. Fyll i totalbeloppet.</i>	61	
Förlust fondandelar m.m. Förlust enligt bilaga K4 avsnitt A, K10, K12 avsnitt B och K13.	81	2 544
Förlust ej marknadsnoterade fondandelar m.m. Förlust enligt bilaga K4 avsnitt D, K10A, K12 avsnitt E och K15A/B.	83	
Förlust enligt bilaga K5 och K6	85	
Förlust enligt bilaga K7 och K8	88	

⑨ Utländsk försäkring - Avkastningsskatt

Skatteunderlag för kapitalförsäkring	62	
Skatteunderlag för pensionsförsäkring	63	

Spara den ena som kopia!

Allmän självdeklaration enligt lagen SFS 2001:1227



Det gröna fältet gäller enbart näringsverksamhet**10 Näringsverksamhet**

Överskott av aktiv näringsverksamhet	100 Enskild verksamhet	117 Handelsbolag
Underskott av aktiv näringsverksamhet	125 Enskild verksamhet	129 Handelsbolag
Överskott av passiv näringsverksamhet	102 Enskild verksamhet	119 Handelsbolag
Underskott av passiv näringsverksamhet	126 Enskild verksamhet	130 Handelsbolag
Inkomster för vilka uppdragsgivare ska betala socialavgifter	127 Bruttoinkomst	131 Kostnader
Underlag för särskild löneskatt på pensionskostnader	104 Anställdas	120 Eget
Underlag för expensionsfondsskatt	128 Ökning	132 Minskning
Underlag för avkastningsskatt på pensionskostnader		121

11 Räntefördelning

Positiv räntefördelning Belopp från NE (ruta R30) eller N3A (p.15).	52
Negativ räntefördelning Belopp från NE (ruta R31) eller N3A (p.16).	55

12 Allmänna avdrag (näringsverksamhet)

Underskott av aktiv näringsverksamhet som du får kvitta mot förvärsinkomst Underskott som du redovisar här ska inte redovisas i rutorna 125 eller 129.	45
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13 Nedsättning av egenavgifter

Fyll i ditt nedsättningsbelopp om du inte har rätt till maximal nedsättning.	143
Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde.	141

14 Moms (Ska inte fyllas i av den som redovisat moms i momsdeklaration.)

Utgående moms enligt momsbilagan	109
Avdragsgill ingående moms	110

15 Underlag för fastighetsavgift

Hyreshus: bostäder	0,4 %
	0,2 %

16 Underlag för fastighetsskatt

Hyreshus: tomtmark, bostäder under uppförande	0,4 %
Hyreshus: lokaler	1,0 %
Industri och elproduk- tionsenhet, värme- kraftverk	0,5 %
Elproduktions- enhet: vatten- kraftverk	2,8 %
Elproduktions- enhet: vind- kraftverk	0,2 %

☐ Kryssa här om din näringsverksamhet har upphört under beskattningsåret

17 Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättnings storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (t.ex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK.

Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt. Läs mer i Dags att deklarerar s. 18.

Övrigt

18 Underskrift

030P01-00-137179-274358
610330-0171-1-2-110000

Namn/teckning	Telefonnummer
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INK1-2-2013K

Du som har sålt aktier, obligationer och andra värdepapper, valuta, råvaror eller övriga tillgångar (kapitalplaceringar) ska lämna den här blanketten. Lämna den tillsammans med Inkomstdeklaration 1. Information om hur du fyller i blanketten finns i broschyren "Försäljning av värdepapper", SKV 332. Ange belopp i hela kronor.

Inkomstår

Datum då blanketten fylls i

2012

2013-04-26

Numrering vid flera K4

Den skattskyldiges namn

Personnummer

Ulf Deckmalk

610330-0171

A. Marknadsnoterade aktier, aktieindexobligationer, aktieoptioner m.m.

	Antal	Beteckning	Försäljningspris	Omkostnadsbelopp ¹	Vinst	Förlust
1	24.800	XACT BEAL	491.837	504.814		12.977
2	2.400	XACT BULL	380.160	400.440		20.280
3	262	XACT BULL	42.781	40.076	2.705	
4	3.900	XACT BULL	587.591	597.823		10.232
5	2.875	XACT BULL	465.761	399.985	65.776	
6						
7						
8						
9						
Summera beloppen på rad 1-9 i följande kolumner på varje inlämnad blankett ⇒ =					68.481	43.489

Summa vinst. För över summan till ruta 54 på Inkomstdeklaration 1.
OBS! Om du har en förfylld vinst fondandelar i det gula fältet vid ruta 54 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i ruta 54.

Summa förlust. För över summan till ruta 81 på Inkomstdeklaration 1.
OBS! Om du har en förfylld förlust fondandelar i det gula fältet vid ruta 81 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i ruta 81.

B. Återföring av uppskovsbelopp

Beteckning på uppskovsaktie	Uppskovsbelopp som återförs

För över uppskovsbeloppet till ruta 54 på Inkomstdeklaration 1.
OBS! Om du har ett belopp i Summa vinst i avsnitt A ovan och/eller förfylld vinst fondandelar i det gula fältet vid ruta 54 ska du lägga ihop uppskovsbeloppet med dessa belopp och fylla i totalbeloppet i ruta 54.

¹Det finns information om vad som menas med omkostnadsbelopp i broschyren "Försäljning av värdepapper", SKV 332. Omkostnadsbeloppet beräknas med genomsnittsmetoden eller schablonmetoden.

- Du kan använda hjälpblankett SKV 2198 om du beräknar omkostnadsbeloppet med genomsnittsmetoden.

- Schablonmetoden får användas för marknadsnoterade aktier m.m. och innebär att du beräknar omkostnadsbeloppet till 20 % av försäljningspriset (efter avdrag för courtage). Schablonmetoden får inte användas för terminer, optioner, teckningsrätter, fondaktierätter, inlösenrätter, säljrätter och liknande.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.



5

C. Marknadsnoterade obligationer, valuta m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1						
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett ➡ =						

Summa vinst. För över summan till ruta 50 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteinkomster, utdelningar m.m. i det gula fältet vid ruta 50 ska du lägga ihop dessa med summa vinst och fylla i totalbeloppet i ruta 50.

Summa förlust. För över summan till ruta 53 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteutgifter m.m. i det gula fältet vid ruta 53 ska du lägga ihop dessa med summa förlust och fylla i totalbeloppet i ruta 53.

D. Övriga värdepapper, råvaror, övriga tillgångar (kapitalplaceringar) m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1						
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett ➡ =						

Summa vinst. För över summan till ruta 64 på Inkomstdeklaration 1.
OBS! Om du har en förfylld vinst ej marknadsnoterade fondandelar i det gula fältet vid ruta 64 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i ruta 64.

Summa förlust. För över summan till ruta 83 på Inkomstdeklaration 1.
OBS! Om du har en förfylld förlust ej marknadsnoterade fondandelar i det gula fältet vid ruta 83 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i ruta 83.



**Det gröna fältet gäller enbart näringsverksamhet**

Person-/organisationsnummer

610330-0171

2015

Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt. Läs mer i Dags att deklarerar s. 18.

Övrigt

Framskjutna beaktningar i sthln Financial med Hodings skall tas bort då tas deklarerade för avyttring av dessa aktier 2013.

⑧ Underskrift

030x01-00-142876-285752
610330-0171-1-2-110000

Namnteckning

Telefonnummer



INK1K-2-24-2014P4



Handläggare

Joakim Eriksson
010-5729642
joakim.g.eriksson@skatteverket.seDeckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

Skattebetalare: Deckmark, Ulf

Person-/Organisationsnr: 610330-0171

Taxeringsår: 2013

Inkomstår: 2012

Skatteverket kommer eventuellt att ompröva din taxering för det taxeringsår som står angivet ovan. Vi önskar därför svar på följande:

Du har kommit in med en skrivelse som Skatteverket uppfattar som en begäran om omprövning. Innan Skatteverket vidtar några åtgärder på grund av skrivelsen behöver vi dock ett förtydligande av dig.

Enligt skrivelsen vill du att framskjuten beskattning i Stockholm Financial MGM Holding ska tas bort eftersom du deklarerade att dessa aktier avyttrades 2013.

Skatteverket behöver få veta vad det avser för aktier och vad du vill med din skrivelse. Enligt taxeringsår 2013 års inkomstdeklaration har du inte redovisat dessa aktier.

Ange referensnummer och handläggarens namn i svaret. Vi måste ha svaret senast den **19 oktober 2015**.

Svara gärna via e-post eller telefon, om uppgifter inte behöver bifogas på papper.



10000019759111

Handläggare i detta ärende
Joakim G Eriksson

E-postadress
joakim.g.eriksson@skatteverket.se

Ärendenummer 82-38231217
Ärendetyp OMPR
Beskattningsperiod 2012
Skattebetalare Deckmark, Ulf
Person-/org.nummer 19610330-0171
Uppgiftslämnare Ulf Deckmark
Telefonnummer
Orsak Telefonsamtal

ÄRENDE

Ulf Deckmark begär ingen omprövning av taxeringsår 2013. Den skrivelse som han har skickat in avser inkomstår 2014.

Därför meddelad Skatteverket honom att ärendet avslutas.



Skatteverket

FÖRETAGSSKATTEKONTOR 3
KONTOR 0300
106 61 STOCKHOLM



Skatteverket
0771-567 567

Deklarera
senast den 5 maj
gärna på
internet, sms
eller
telefon

Inkomstdeklaration 1

Inkomståret 2013

2014

Deckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

I "Dags att deklarerar" får du svar
på de flesta av dina frågor.

18310 Lokal

Person-/organisationsnummer

610330-0171

Kontrollera de ifyllda beloppen. Gör ändringar/tillägg i den vita rutan. Vid tillägg, fyll i summan av
ditt tillägg och eventuellt förifyllt belopp. Ange belopp i hela kronor (utan ören).

① Inkomster - Tjänst

Ange belopp i hela kronor

1.1 Lön, förmåner, sjukpenning m.m.	1 087 920	
1.2 Kostnads- ersättningar		
1.3 Allmän pension och tjänstepension m.m.		
1.4 Privat pension och livränta		
1.5 Andra inkomster som inte är pen- sionsgrundande		
1.6 Inkomster, t.ex. hobby, som du själv ska betala egenavgifter för		
1.7 Inkomst från bilaga K10, K10A och K13		

⑦ Inkomster - Kapital

Ange belopp i hela kronor

7.1 Schablonintäkter	13 636	
7.2 Ränteinkomster, utdelningar, vinst från bilaga K4 avsnitt C m.m.	3 768	
7.3 Överskott vid uthyrning av privatbostad		
7.4 Vinst fondandelar m.m. Vinst från bilaga K4 av- snitt A och B, K10, K10A, K12 avsnitt B och K13.	15 054	214.755
7.5 Vinst ej marknadsnoterade fondandelar m.m. Vinst från bilaga K4 avsnitt D, K12 avsnitt E och K15A/B m.m.		
7.6 Vinst från bilaga K5 och K6. Återfört uppskov från bilaga K2.		
7.7 Vinst från bilaga K7 och K8		

② Avdrag - Tjänst

Ange belopp i hela kronor

2.1 Resor till och från arbetet Du får avdrag endast för den del som överskrider 10 000 kr. Fyll i totalbeloppet.		
2.2 Tjänsteresor		
2.3 Tillfälligt arbete, dubbel bosättning och hemresor		
2.4 Övriga utgifter Du får avdrag endast för den del som överskrider 5 000 kr. Fyll i totalbeloppet.		

③ Allmänna avdrag

3.1 Pensionssparande m.m.		
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④ Skattereduktioner

Rot-/rutarbete enligt meddelande eller som förmån	
Underlag för skatte- reduktion för gåva	

⑤ Underlag för fastighetsavgift

Småhus hel avgift 0,75 %	943 200
Småhus halv avgift 0,375 %	

⑥ Underlag för fastighetsskatt

Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
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⑧ Avdrag - Kapital

Ange belopp i hela kronor

8.1 Ränteutgifter m.m. Förlust från bilaga K4 avsnitt C m.m.	32 008	
8.2 Förvaltningsutgifter Du får avdrag endast för den del som överskrider 1 000 kr. Fyll i totalbeloppet.		
8.3 Förlust fondandelar m.m. Förlust från bilaga K4 avsnitt A, K10, K12 avsnitt B och K13.		26.534
8.4 Förlust ej marknadsnoterade fondandelar. Förlust från bilaga K4 avsnitt D, K10A, K12 avsnitt E och K15A/B.		110.000
8.5 Förlust från bilaga K5 och K6		
8.6 Förlust från bilaga K7 och K8		

⑨ Utländsk försäkring - Avkastningsskatt

9.1 Skatteunderlag för kapitalförsäkring	
9.2 Skatteunderlag för pensionsförsäkring	

Spara den ena som kopia!

Inkomstdeklaration enligt lagen SFS 2011:1244

SKV 2000 23 01 K 13-08

NRV	HB	FÄM
		X





Det gröna fältet gäller enbart näringsverksamhet

10 Näringsverksamhet

10.1 Överskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.2 Underskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.3 Överskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.4 Underskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.5 Inkomster för vilka uppdragsgivare ska betala socialavgifter	Bruttoinkomst	Kostnader
10.6 Underlag för särskild löneskatt på pensionskostnader	Eget	Anställdas
10.7 Underlag för avkastningsskatt på pensionskostnader		

13 Nedsättning av egenavgifter

13.1 Fyll i ditt nedsättningsbelopp om du inte har rätt till maximal nedsättning	
13.2 Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde	

14 Allmänna avdrag (näringsverksamhet)

14.1 Underskott av aktiv näringsverksamhet som du får kvitta mot förvärvsinkomst Underskott som du redovisar här ska inte redovisas vid punkt 10.2	
---	--

15 Underlag för fastighetsavgift

Hyreshus: bostäder	0,3 %
	0,15 %

16 Underlag för fastighetsskatt

Hyreshus: tomtmark, bostäder under uppförande	0,4 %
Hyreshus: lokaler	1,0 %
Industri och elproduktionsenhet, värmekraftverk	0,5 %
Elproduktionsenhet: vattenkraftverk	2,8 %
Elproduktionsenhet: vindkraftverk	0,2 %

11 Räntefördelning

11.1 Positiv räntefördelning Belopp från NE (ruta R30) eller N3A (p.15).	
11.2 Negativ räntefördelning Belopp från NE (ruta R31) eller N3A (p.16).	

12 Underlag för expansionsfondsskatt

12.1 Ökning av expansionsfond Belopp från NE (ruta R36) eller N3A (p.21).	
12.2 Minskning av expansionsfond Belopp från NE (ruta R37) eller N3A (p.22).	

☐ Kryssa här om din näringsverksamhet har upphört under beskattningsåret

17 Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättningens storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (t.ex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK.

Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt. Läs mer i Dags att deklarera s. 18.

Övrigt

18 Underskrift

Namnteckning	Telefonnummer

030x01-00-109393-218786
610330-0171-1-2-110000





Skatteverket

Okvalificerade andelar Onoterade företag

K12

Du som äger okvalificerade andelar i ett onoterat företag ska lämna den här blanketten. Det kan även röra sig om andelar i en s.k. oäkta bostadsrättsförening. I det fallet ska du i stället fylla i sidan 2. Ange belopp i hela kronor.

Inkomstår

2013

Datum då blanketten fylls i

2014-04-29

Numrering vid flera K12

Samråd enligt SFS 1992:668 har skett med Näringslivets Regelnämnd.

Delägarens namn

Ulf Deckmark

Personnummer

610330-0171

Förelagets namn

Stockholm Financial Management Holding AB

Organisationsnummer

A. Beräkning av skattepliktig utdelning

1.1 Utdelning

=

1.2 Utdelning som ska tas upp i kapital; beloppet i p. 1.1 x 5/6

=

➔ För över beloppet till
Inkomstdeklaration 1 p. 7.2

B. Beräkning av vinst/förlust

Antal sålda andelar

56.112

Försäljningsdatum

2013-11-11

1.3 Ersättning minus utgifter för avyttring

+

521.841:60

1.4 Omkostnadsbelopp

-

500.000:-

1.5 Vinst

(+) =

21.841:60

➔ För över beloppet till p. 1.8
nedan

1.6 Förlust

(-) =

1.7 Förlust i p. 1.6 x 5/6

=

➔ För över beloppet till
Inkomstdeklaration 1 p. 8.3¹

Vinst som ska tas upp

1.8 Vinst i p. 1.5

=

21.841:60

1.9 Vinst som ska tas upp i inkomstslaget kapital;
beloppet i p. 1.8 x 5/6

=

18.201:33

➔ För över beloppet till
Inkomstdeklaration 1 p. 7.4²

¹ Om förlusten uppstått vid försäljning av andelar i en ekonomisk förening för du i stället över den till Inkomstdeklaration 1 p. 8.4.

² Om vinsten uppstått vid försäljning av andelar i en ekonomisk förening för du i stället över den till Inkomstdeklaration 1 p. 7.5.

Upplysningar

Blankett K12 ska lämnas av fysisk person eller dödsbon som erhållit utdelning eller sålt aktier eller andelar i onoterade företag som inte är kvalificerade. Är andelen kvalificerad lämnas istället blankett K10. Information om hur du fyller i sidan 1 av denna blankett finns i Informationsblad till K12 (SKV 252).

Oäkta bostadsrättsföreningar

Avser din andel del i en s.k. oäkta bostadsrättsförening fyller du i sidan 2 av denna blankett istället för sidan 1. För delägare i oäkta bostadsrättsföreningar finns under en övergångsperiod de s.k. lättnadsreglerna som tidigare gällde kvar.

Ytterligare information om hur du fyller i sidan 2 finns i broschyren Skatteregler för bostadsrättsföreningar och deras medlemmar (SKV 378).

SKV 2112 17 SV 00 03



Utdelning/vinst i s.k. oäkta bostadsrättsförening

Delägarens (bostadsrättsinnehavarens) namn

Personnummer

Bostadsrättsföreningens namn

Organisationsnummer

C. Beräkning av utdelning som är skattefri

2.1 Omräknat omkostnadsbelopp på andelar	+	
2.2 Löneunderlag. Belopp vid p. 2.13 nedan	+	
2.3 Kvarstående sparat låtnadsutrymme från tidigare år	+	
2.4 Underlag för beräkning av skattefri utdelning	=	
2.5 Utdelning på andelar under inkomståret	+	
2.6 Låtnadsbelopp (1,04 % av beloppet vid p. 2.4)	-	
2.7 Kvarstående sparat låtnadsutrymme från tidigare år	-	
2.8 Skattepliktig utdelning (+) förs till Inkomst av kapital, Inkomstdeklaration 1 p. 7.2	=	
2.9 Sparat låtnadsutrymme (-) till påföljande år	=	

D. Beräkning av löneunderlag

2.10 Summan av ersättningar ¹ i företaget och dess dotterföretag under 2012 reducerat med statliga bidrag för lönekostnader under 2012. Med ersättningar avses löner, förmåner och ersättningar som ingår i underlag för beräkning av socialavgifter och särskild löneskatt på vissa förvärvsinkomster under 2012 m.m.	+	
2.11 Till prisbasbelopp	-	440 000
2.12 Totalt löneunderlag	=	
2.13 Skattskyldigs löneunderlag. Belopp vid p. 2.12.		
_____ x _____ = _____ Antal ägda andelar Totala antalet andelar i företaget	=	

E. Beräkning vinst/förlust

2.14 Ersättning minus utgifter för avyttring	+	
2.15 Summa verkligt omkostnadsbelopp ²	-	
2.16 Återföring av uppskovsbelopp	+	
2.17 Vinst (för beloppet till p. 2.19)	(+)	=
2.18 Förlust. För beloppet till Inkomstdeklaration 1 p. 8.4. ³	(-)	=
2.19 Vinst i p. 2.17	=	
2.20 Kvarstående sparat låtnadsutrymme till den del det hör till de sålda andelarna	-	
2.21 Vinst. För beloppet till Inkomstdeklaration 1 p. 7.5. ⁴	=	

¹ Ersättningar till anställda som innehar kvalificerade andelar i företaget eller annat företag inom samma koncern ska inte tas med.

² Du får inte göra avdrag för den del av förbättringsutgiften som motsvaras av skattereduktion för ROT-arbete i vissa fall. Läs mer på www.skatteverket.se.

³ Om förlusten uppstått vid försäljning av aktier i ett bostadsaktiebolag för du istället över den till Inkomstdeklaration 1 p. 8.3.

⁴ Om vinsten uppstått vid försäljning av aktier i ett bostadsaktiebolag för du istället över den till Inkomstdeklaration 1 p. 7.4.

www.skatteverket.se



K12M-2-17-2013P4



K13

Du som har avyttrat kvalificerade aktier/andelar genom andelsbyte m.m. ska lämna den här blanketten. Blanketten ska i vissa fall även lämnas av den som mottagit andelar vid partiell fission.

Information om hur du fyller i blanketten finns i broschyren Skatteregler för delägare i fåmansföretag SKV 292. Ange belopp i hela kronor.

Andelsbyte

Kvalificerade andelar m.m.

Inkomstår

Datum då blanketten fylls i

2013

2014-04-28

Numrering vid flera K13

Den skattskyldiges namn

Person-/organisationsnummer

Ulf Deckmark

610330-0171

A. Framskjuten beskattning (Andelsbyten fr.o.m. 1 januari 2003)

1. Beräkning av tjänstebelopp för kapitalvinst och utdelning

Antal	Beteckning avyttrade andelar

1a. Ersättning i pengar som ska tas upp i tjänst och tjänstebelopp för kapitalvinst

1.1 Ersättning i pengar	+	
1.2 Vinst som beskattas i inkomstslaget kapital, K10 p. 3.11	-	
1.3 Ersättning i pengar som ska tas upp i inkomstslaget tjänst, Inkomstdeklaration 1 p. 1.7 (beloppet kan inte bli lägre än 0 kr och inte högre än 100 inkomstbasbelopp).	=	
1.4 Vinst som beskattas i inkomstslaget tjänst, K10 p. 3.8	+	
1.5 Positivt belopp enligt p. 1.3 ovan	-	
1.6 Framskjuten beskattning, tjänstebelopp för kapitalvinst	=	

1b. Ersättning i pengar som ska tas upp i kapital

1.7 Ersättning i pengar minus ersättning som tas upp i inkomstslaget tjänst enligt p. 1.3	+	
1.8 Sparat utdelningsutrymme (dock högst belopp i p. 1.7) ¹	-	
1.9 Kapitalvinst som inte ska kvoterats	=	
1.10 Beloppet i p. 1.8, x 2/3	+	
1.11 Beloppet i p. 1.9	+	
1.12 Ersättning i pengar som ska tas upp i inkomstslaget kapital, Inkomstdeklaration 1 p. 7.4	=	

1c. Uppgift om tjänstebelopp för utdelning

Tjänstebelopp för utdelning	
-----------------------------	--

2. Mottagna andelar

Antal	Beteckning mottagna andelar	Organisationsnummer

¹ Det sparade utdelningsutrymmet finns i blankett K10 vid p. 3.7a eller 3.7b. Om beloppet är större än vinsten i p. 3.11 tas istället vinsten i p. 3.11 upp.



Person-/organisationsnummer

610330-0171

3. Efterföljande byte och tidigare uppskov

Uppgift vid efterföljande byte av mottagna kvalificerade andelar till vilka det är ett uppskov knutet. Uppskovet ska fördelas på ovan angivna mottagna andelar.

Antal	Beteckning avyttrade andelar	Uppskov kapital	Uppskov tjänst

B. Partiella fissioner**1. Överlåtande företag**

Namn	Organisationsnummer

2. Mottagna andelar

Antal	Beteckning mottagna andelar	Organisationsnummer	Tjänstebelopp för kapitalvinst	Tjänstebelopp för utdelning

C. Återföring av uppskov

(Uppskov från Inkomståret 2002 eller tidigare)

1. Annan avyttring än byte av mottagna andelar

Antal	Beteckning avyttrade andelar	Kapital	Tjänst
	Ersättning minus utgifter för avyttringen ²	+	
	Anskaffningsutgift (omkostnadsbelopp) ²	-	
	Vinst/förlust ²	=	=
	Tidigare uppskov	+	+
	Vinst/förlust Kapital. Förs till Inkomstdeklaration 1, vinst till p. 7.4 och förlust till p. 8.3.	=	
	Inkomst av Tjänst. Förs till Inkomstdeklaration 1 p. 1.7.		=

² Beräknas vinsten enligt blankett K12 eller K10 förs den in direkt i respektive kolumn.**2. Uppskovsbelopp som ska tas upp till beskattning utan samband med avyttring, t.ex. vid frivillig återföring eller på grund av utflyttning m.m.**

Antal	Beteckning	Uppskovsbelopp per andel Kapital	Tjänst	Totalt uppskovsbelopp Kapital	Tjänst
318.500	stockholm Asset Management	181.500		181.500	
				Vinst Kapital. Förs till Inkomstdeklaration 1 p. 7.4 =	181.500
				Inkomst av Tjänst. Förs till Inkomstdeklaration 1 p. 1.7 =	

SKV 2113 07 sv 00 02





Försäljning Värdepapper m.m.

K4

Du som har sålt aktier, obligationer och andra värdepapper, valuta, råvaror eller övriga tillgångar (kapitalplaceringar) ska lämna den här blanketten. Lämna den tillsammans med Inkomstdeklaration 1.

Information om hur du fyller i blanketten finns i broschyren "Försäljning av värdepapper", SKV 332. Ange belopp i hela kronor.

Inkomstår

Datum då blanketten fylls i

2013

Numrering vid flera K4

Den skattskyldiges namn

Personnummer

Ulf Deckmark

610330-0171

A. Marknadsnoterade aktier, aktieindexobligationer, aktieoptioner m.m.

	Antal	Beteckning	Försäljningspris	Omkostnadsbelopp ¹	Vinst	Förlust
1	2.500	XACT BULL	459.836	479.927		20.091
2	12.000	XACT BEAR	184.034	187.969		3.935
3	1.400	XACT BULL	303.107	305.615		2.508
4					KONTROLLERA	
5						
6						
7						
8						
9						
Summera beloppen på rad 1-9 i följande kolumner på varje inlämnad blankett ➡ =						26.584

Summa vinst. För över summan till den vita rutan vid p. 7.4 på Inkomstdeklaration 1. **OBS!** Om du har en förfylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.3 på Inkomstdeklaration 1. **OBS!** Om du har en förfylld förlust från fondandelar m.m. i det gula fältet vid p. 8.3 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i den vita rutan.

B. Återföring av uppskovsbelopp

Beteckning på uppskovsaktie	Uppskovsbelopp som återförs

För över uppskovsbeloppet till den vita rutan vid p. 7.4 på Inkomstdeklaration 1. **OBS!** Om du har ett belopp i Summa vinst i avsnitt A ovan och/eller förfylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop uppskovsbeloppet med dessa belopp och fylla i totalbeloppet i den vita rutan.

¹ Det finns information om vad som menas med omkostnadsbelopp i broschyren "Försäljning av värdepapper", SKV 332. Omkostnadsbeloppet beräknas med genomsnittsmetoden eller schablonmetoden.

- Du kan använda hjälpblankett SKV 2198 om du beräknar omkostnadsbeloppet med genomsnittsmetoden.

- Schablonmetoden får användas för marknadsnoterade aktier m.m. och innebär att du beräknar omkostnadsbeloppet till 20 % av försäljningspriset (efter avdrag för courtage). Schablonmetoden får inte användas för terminer, optioner, teckningsrätter, fondaktierätter, inlösenrätter, säljrätter och liknande.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.



C. Marknadsnoterade obligationer, valuta m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1						
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett → =						

Summa vinst. För över summan till den vita rutan vid p. 7.2 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteinkomster, utdelningar m.m. i det gula fältet vid p. 7.2 ska du lägga ihop dessa med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.1 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteutgifter m.m. i det gula fältet vid p. 8.1 ska du lägga ihop dessa med summa förlust och fylla i totalbeloppet i den vita rutan.

D. Övriga värdepapper, råvaror, övriga tillgångar (kapitalplaceringar) m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1	220.000	SEK	110.000	220.000		110.000
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett → =						110.000

Summa vinst. För över summan till den vita rutan vid p. 7.5 på Inkomstdeklaration 1.
OBS! Om du har en förfylld vinst från ej marknadsnoterade fondandelar i det gula fältet vid p. 7.5 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.4 på Inkomstdeklaration 1.
OBS! Om du har en förfylld förlust från ej marknadsnoterade fondandelar i det gula fältet vid p. 8.4 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i den vita rutan.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.



31/7.2015

HED^V

Bifogar en kopia på tidigare
insänd deklARATION, datum för
inlämnande via post var den
30:e april 2014.

För ordningen skall skriva jag även
under kopian.

Bestriider naturligtvis påförandet av
förseningsavgift.

Mvh



Ulf Deekmark

**Skatteverket**Företagsskattekontor 3 Stockholm
Kontor 03311
10661 STOCKHOLM**Meddelande**

Datum

2015-08-11

Referensnummer

610330-0171

82-38032720

1 (1)

Handläggare

Gunilla Svensson

010-5749245

gunilla.a.svensson@skatteverket.se

Deckmark, Ulf

KOMETVÄGEN 17 LGH 1802

183 48 TÄBY

Skattebetalare:

Deckmark, Ulf

Person-/Organisationsnr:

610330-0171

Inkomstår:

2013

Skatteverket har skickat dig föreläggande 2015-07-02 samt 2015-07-16 att inkomma med din inkomstdeklaration för inkomstår/beskattningsår 2014.

Du har inkommit med inkomstblanketter och bilagor för inkomstår 2013/ beskattningsår 2013. Lämnade uppgifter är identiska med din inkomstdeklaration för inkomstår 2013 som du lämnade in 2014-05-02. Dessa uppgifter låg till grund för Skatteverket skattebeslut för beskattningsår ~~2014~~. 2013.

Du ombeds att inkomma med inkomstdeklarationen för inkomstår 2014. Det är viktigt att du använder blanketter för rätt inkomstår. På inkomstdeklarationen ska det stå 2015.



10000214258081

SKV2860 iLA utgåva 7 09-02

www.skatteverket.se

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10661 STOCKHOLM

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08-6407246

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FÖRETAGSSKATTEKONTOR 3

106 61 Stockholm

 Skatteupplysningen
 0771-567 567

 Deklarera
 senast den 4 maj
 gärna på
 internet, sms
 eller
 telefon

Inkomstdeklaration 1 2015
Inkomståret 2014

 Deckmark, Ulf
 KOMETVÄGEN 17 LGH 1802
 183 48 TÄBY

 I "Dags att deklarera" får du svar
 på de flesta av dina frågor.

18310 Lokal

Person-/organisationsnummer

610330-0171

 Kontrollera de ifyllda beloppen. Gör ändringar/tillägg i den vita rutan. Vid tillägg, fyll i summan av
 ditt tillägg och eventuellt förifyllt belopp. Ange belopp i hela kronor (utan ören).
① Inkomster - Tjänst

Ange belopp i hela kronor

1.1 Lön, förmåner, sjukpenning m.m.	1 777 787	
1.2 Kostnadsersättningar		
1.3 Allmän pension och tjänstepension m.m.		
1.4 Privat pension och livränta		
1.5 Andra inkomster som inte är pensionsgrundande		
1.6 Inkomster, t.ex. hobby, som du själv ska betala egenavgifter för		
1.7 Inkomst från bilaga K10, K10A och K13		

② Avdrag - Tjänst

Ange belopp i hela kronor

2.1 Resor till och från arbetet <i>Du får avdrag endast för den del som överstiger 10 000 kr. Fyll i totalbeloppet.</i>		
2.2 Tjänsteresor		
2.3 Tillfälligt arbete, dubbel bostättning och hemresor		
2.4 Övriga utgifter <i>Du får avdrag endast för den del som överstiger 5 000 kr. Fyll i totalbeloppet.</i>		

③ Allmänna avdrag

Ange belopp i hela kronor

3.1 Pensionssparande m.m.		
---------------------------	--	--

④ Skattereduktioner

4.1 Rot-/rutarbete enligt meddelande eller som förmån	
4.2 Underlag för skattereduktion för gåva	

⑤ Underlag för fastighetsavgift

Småhus hel avgift 0,75 %	948 267
Småhus halv avgift 0,375 %	

⑥ Underlag för fastighetsskatt

Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
---	--

⑦ Inkomster - Kapital

Ange belopp i hela kronor

7.1 Schablonintäkter	13 477	
7.2 Räntelinkomster, utdelningar, vinst från bilaga K4 avsnitt C m.m.	2 905	
7.3 Överskott vid uthyrning av privatbostad		
7.4 Vinst fondandelar m.m. Vinst från bilaga K4 avsnitt A och B, K10, K10A, K11, K12 avsnitt B och K13.	111 328	
7.5 Vinst ej marknadsnoterade fondandelar m.m. Vinst från bilaga K4 avsnitt D, K12 avsnitt E och K15A/B m.m.		
7.6 Vinst från bilaga K5 och K6. Återfört uppskov från bilaga K2.		
7.7 Vinst från bilaga K7 och K8		

⑧ Avdrag - Kapital

Ange belopp i hela kronor

8.1 Ränteutgifter m.m. Förlust från bilaga K4 avsnitt C m.m.	25 102	
8.2 Förvaltningsutgifter <i>Du får avdrag endast för den del som överstiger 1 000 kr. Fyll i totalbeloppet.</i>		
8.3 Förlust fondandelar m.m. Förlust från bilaga K4 avsnitt A, K10, K12 avsnitt B och K13.	38 922	33 041
8.4 Förlust ej marknadsnoterade fondandelar. Förlust från bilaga K4 avsnitt D, K10A, K12 avsnitt E och K15A/B.		
8.5 Förlust från bilaga K5 och K6.		
8.6 Förlust från bilaga K7 och K8.		
8.7 Investeraravdrag från bilaga K11		

⑨ Utländsk försäkring - Avkastningsskatt

9.1 Skatteunderlag för kapitalförsäkring	
9.2 Skatteunderlag för pensionsförsäkring	



INK1K-1-24-2014P4

Det gröna fältet gäller enbart näringsverksamhet

10 Näringsverksamhet

10.1 Överskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.2 Underskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.3 Överskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.4 Underskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.5 Inkomster för vilka uppdragsgivare ska betala socialavgifter	Bruttoinkomst	Kostnader
10.6 Underlag för särskild löneskatt på pensionskostnader	Eget	Anställdas
10.7 Underlag för avkastningskatt på pensionskostnader		

11 Räntefördelning

11.1 Positiv räntefördelning Belopp från NE (ruta R30) eller N3A (p.15).	
11.2 Negativ räntefördelning Belopp från NE (ruta R31) eller N3A (p.16).	

12 Underlag för expansionsfondsskatt

12.1 Ökning av expansionsfond Belopp från NE (ruta R36) eller N3A (p.21).	
12.2 Minskning av expansionsfond Belopp från NE (ruta R37) eller N3A (p.22).	

13 Nedsättning av egenavgifter

13.1 Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde	
--	--

14 Allmänna avdrag (näringsverksamhet)

14.1 Underskott av aktiv näringsverksamhet som du får kvitta mot förvärvsinkomst Underskott som du redovisar här ska inte redovisas vid punkt 10.2	
---	--

15 Underlag för fastighetsavgift

Hyreshus: bostäder	0,3 %
	0,15 %

16 Underlag för fastighetsskatt

Hyreshus: tomtmark, bostäder under uppförande	0,4 %
Hyreshus: lokaler	1,0 %
Industri och elproduk- tionsenhet, värme- kraftverk	0,5 %
Elproduktions- enhet: vatten- kraftverk	2,8 %
Elproduktions- enhet: vind- kraftverk	0,2 %

☐ Kryssa här om din näringsverksamhet har upphört under beskattningsåret

17 Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättningsens storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (Lex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK. Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, Lex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt. Läs mer i Dags att deklarerar s. 18.

Övrigt
Framskjutna beaktningar i sthlm Financial M&M Holding skall tas bort då jag deklarerade för avgift av dessa aktier 2013.

18 Underskrift

Namnsteckning	Telefonnummer
---------------	---------------

030x01-00-142876-285752
610330-0171-1-2-110000

[Signature]



INK1K-2-24-2014P4



Försäljning Värdepapper m.m.

K4

Du som har sålt aktier, obligationer och andra värdepapper, valuta, råvaror eller övriga tillgångar (kapitalplaceringar) ska lämna den här blanketten. Lämna den tillsammans med Inkomstdeklaration 1.

Information om hur du fyller i blanketten finns i broschyren "Försäljning av värdepapper", SKV 332. Ange belopp i hela kronor.

Inkomstår

Datum då blanketten fylls i

2014

Numrering vid flera K4

Den skattekyldiges namn

Personnummer

Ulf Deckmard

610320-0171

A. Marknadsnoterade aktier, aktieindexobligationer, aktieoptioner m.m.

	Antal	Bezeichnung	Försäljningspris	Omkostnadsbelopp ¹	Vinst	Förlust
1	566	Haldex	47.900	50.019		2.119,-
2						
3						
4						
5						
6						
7						
8						
9						
Summa beloppen på rad 1-9 i följande kolumner på varje inlämnad blankett ➔ =						2.119

Summa vinst. För över summan till den vita rutan vid p. 7.4 på Inkomstdeklaration 1. OBS! Om du har en förfylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.3 på Inkomstdeklaration 1. OBS! Om du har en förfylld förlust från fondandelar i det gula fältet vid p. 8.3 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i den vita rutan.

B. Återföring av uppskovsbelopp

Bezeichnung på uppskofsaktie	Uppskovsbelopp som återförs

För över uppskovsbeloppet till den vita rutan vid p. 7.4 på Inkomstdeklaration 1. OBS! Om du har ett belopp i Summa vinst i avsnitt A ovan och/eller förfylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop uppskovsbeloppet med dessa belopp och fylla i totalbeloppet i den vita rutan.

¹ Det finns information om vad som menas med omkostnadsbelopp i broschyren "Försäljning av värdepapper", SKV 332. Omkostnadsbeloppet beräknas med genomsnittsmetoden eller schablonmetoden.
- Du kan använda hjälpblankett SKV 2198 om du beräknar omkostnadsbeloppet med genomsnittsmetoden.

- Schablonmetoden får användas för marknadsnoterade aktier m.m. och innebär att du beräknar omkostnadsbeloppet till 20 % av försäljningspriset (efter avdrag för courtage). Schablonmetoden får inte användas för terminer, optioner, teckningsrätter, fondaktierätter, intänsrätter, säljrätter och liknande.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.



Lämna gärna bilagan via e-tjänsten Inkomstdeklaration 1 www.skatteverket.se

K4M-1-24-2014P4


Skatteverket

Kontor 0300

10661 STOCKHOLM

Föreläggande

Datum

2015-07-16

Person-/organisationsnummer

610330-0171

Kontaktuppgifter

0771-567567

Deckmark, Ulf

Beskattningsår

2014-01-01 - 2014-12-31

KOMETVÄGEN 17 LGH 1802

183 48 TÄBY

Föreläggande att lämna inkomstdeklaration (självdeklaration)

Skatteverket kan inte se att du har deklarerat och förelägger dig därför att lämna en Inkomstdeklaration med stöd av 37 kap. 2 och 4 §§ skatteförfarandelagen (2011:1244), SFL. Det innebär att du nu måste lämna in en inkomstdeklaration.

Om du har lämnat din deklaration någon av de senaste dagarna har vi inte kunnat se det innan vi skickade detta föreläggande. Då behöver du inte bry dig om föreläggandet och du behöver inte deklarerar igen.

Inkomstdeklarationen ska ha kommit in till Skatteverket senast 14 dagar efter att du tog emot detta föreläggande. Även om du deklarerar inom denna tid kan du få en förseningsavgift. Det beror i så fall på att deklarationen skulle ha lämnats senast den 4 maj eller ett senare datum om du har fått anstånd.

Innan du får en eventuell förseningsavgift kommer du få ett så kallat övervägande om förseningsavgift. Du kan inte lämna några invändningar mot förseningsavgiften nu utan måste vänta på övervägandet.

Lämna din deklaration

- Du kan deklarerar elektroniskt med kod eller e-legitimation fram till och med den 10 december. Läs mer på www.skatteverket.se.
- Lämna du din deklaration på papper använder du den förifyllda inkomstdeklarationen som du fått tidigare. Har du inte kvar den kan du beställa en ny via www.skatteverket.se eller med hjälp av vår servicetelefon, 020-567 000 direktval 6101. Du kan också besöka ditt närmaste servicekontor och be dem skriva ut deklarationen. Ta med din legitimation vid besöket. Deklarationen skickar du eller lämnar till den adress som står längst upp på detta föreläggande.

Förseningsavgift

Om du inte lämnar din inkomstdeklaration i rätt tid kan du få betala en förseningsavgift.

Förseningsavgift tas ut med

- 1 000 kr om du lämnar deklarationen efter den 4 maj men senast den 3 augusti,
- 2 000 kr om du lämnar deklarationen mellan den 4 augusti och den 2 oktober,
- 3 000 kr om du lämnar deklarationen senare än den 2 oktober.

Om du inte deklarerar

Om du inte lämnar någon inkomstdeklaration riskerar du att

- bli skönsbeskattad, vilket betyder att Skatteverket uppskattar din inkomst.
- få betala skattetillägg, det vill säga betala en extra avgift, om du blir skönsbeskattad.
- Om du har ett godkännande för F-skatt kan det komma att återkallas.

Inkomstdeklaration ska lämnas inom 14 dagar

Hur man begär omprövning

Ett föreläggande att lämna Inkomstdeklaration är ett jämställa med ett beslut. Du kan begära omprövning av detta beslut enligt 66 kap. 2, 6 och 7 §§ SFL. En begäran om omprövning prövas av Skatteverket. Omprövningen ska vara skriftlig. En begäran om omprövning sänds till Skatteverket och ska ha kommit in till Skatteverket inom två månader från den dag du fick del av beslutet.

I en begäran om omprövning ska du ange

- vilket föreläggande det gäller (ange beskattningsåret)
- ditt namn, personnummer, postadress och telefonnummer
- hur du vill att beslutet ska ändras
- de omständigheter och bevis du hänvisar till.

www.skatteverket.se

Postadress

Kontor 0300

10661 STOCKHOLM

Telefon

0771-567567

Telefax

08-6407246

E-postadress

stockholm@skatteverket.se



1000060034611

 10
00
AS
20
1927
SKV

2015-08-13

Hej,

Råkade kopiera fel deklaration, så nu gör vi ett nytt försök.
Bifogar tidigare insänd deklaration och datum för
inlämnandet var den 30:e april 2014.
För ordningen skull skriver jag även under kopian.

● Bestrider naturligtvis påförandet av en förseningsavgift.

● Mvh



Ulf Deckmark
610330-0171



Handläggare

Marina Arrestam
010-5741438

marina.arrestam@skatteverket.se

Deckmark, Ulf
KOMETVÅGEN 17 LGH 1802
183 48 TÄBY

Skattebetalare:

Deckmark, Ulf

Person-/Organisationsnr:

610330-0171

Inkomstår:

2014

Skatteverket överväger att besluta på det sätt som vi beskriver nedan. Du har möjlighet att lämna synpunkter innan vi beslutar. Kontakta oss gärna om du undrar över något i detta övervägande.

Vi måste ha dina eventuella synpunkter senast den **12 november 2015**.

Ange referensnummer och handläggarens namn när du kontaktar oss.

ÖVERVÄGANDE

Skatteverket överväger att:

- ta ut förseningsavgift med 2 000 kr.

MOTIVERING

Din inkomstdeklaration skulle ha lämnats senast den 4 maj, men den kom in först den 14 augusti 2015.

En förseningsavgift ska tas ut om den som ska lämna inkomstdeklaration inte har gjort det i rätt tid. Avgiften är 1 000 kr. Har inkomstdeklarationen inte kommit in inom tre månader från den ordinarie deklarationstidpunkten ska en andra avgift tas ut. Bestämmelserna om detta finns i 48 kap. 1, 6 och 7 §§ skatteförfarandelagen.

Skatteverket ska besluta om hel eller delvis befrielse från en särskild avgift om det är oskäligt att ta ut avgiften med fullt belopp. Vid bedömningen ska det särskilt beaktas om den felaktighet eller passivitet som har lett till avgiften kan antas ha

a) berott på ålder, hälsa eller liknande förhållande,

b) berott på en felbedömning av en regel eller betydelsen av de faktiska förhållandena.



10000433999880

**Övervägande
Beslut om slutlig skatt**Datum
2015-10-29Referensnummer
610330-0171
82-29025069

2 (2)

c) föranletts av vilseledande eller missvisande kontrolluppgifter.

Bestämmelserna om detta finns i 51 kap. 1 § skatteförfarandelagen.

Befrielse på grund av hälsa (ohälsa) kan också komma i fråga vid sjukdom eller annan bristande hälsa hos en deklarationsmedhjälpare som man varit beroende av för att fullgöra deklarationsskyldigheten.

Du har uppgett att du har lämnat in din inkomstdeklaration den 30 april 2014. Av telefonsamtal med dig den 11 augusti 2015 framkom att du kommit in med inkomstdeklaration för inkomstår 2013. Eftersom lämnade uppgifter stämmer överens med tidigare lämnad inkomstdeklaration för inkomstår 2013 synes du ha skickat in inkomstdeklaration för fel år. Du har även fått en uppmaning om att skicka en inkomstdeklaration för rätt inkomstår, dvs. för 2014.

Skatteverket bedömer att det som du har fört fram inte kan ses som sådan omständighet som medför att det framstår som oskäligt att ta ut full förseningsavgift. Det har inte kommit fram något annat som skulle kunna medföra hel eller delvis befrielse från avgiften.

Eftersom din deklaration kom in till Skatteverket mer än tre månader för sent ska förseningsavgift tas ut med 2 000 kr. Det har inte kommit fram några omständigheter som skulle kunna medföra hel eller delvis befrielse från avgiften.

Bestämmelser om särskilda avgifter - se bifogad bilaga.

Skatteberäkning - se bifogad bilaga.



Handläggare

Marina Arrestam
010-5741438

marina.arrestam@skatteverket.se

Deckmark, Ulf
KOMETVÅGEN 17 LGH 1802
183 48 TÄBY

Skattebetalare:

Deckmark, Ulf

Person-/Organisationsnr:

610330-0171

Inkomstår:

2014

BESLUT

Skatteverket beslutar att:

dina beskattningsunderlag samt skatter och avgifter är de som framgår av
Sammanställd skatteberäkning.

- ta ut förseningsavgift med 2 000 kr.

MOTIVERING

Din inkomstdeklaration skulle ha lämnats senast den 4 maj, men den kom in
först den 14 augusti 2015.

En förseningsavgift ska tas ut om den som ska lämna inkomstdeklaration inte
har gjort det i rätt tid. Avgiften är 1 000 kr. Har inkomstdeklarationen inte
kommit in inom tre månader från den ordinarie deklarationstidpunkten ska en
andra avgift tas ut. Bestämmelserna om detta finns i 48 kap. 1, 6 och 7 §§
skatteförfarandelagen.

Skatteverket ska besluta om hel eller delvis befrielse från en särskild avgift om
det är oskäligt att ta ut avgiften med fullt belopp. Vid bedömningen ska det
särskilt beaktas om den felaktighet eller passivitet som har lett till avgiften kan
antas ha

- a) berott på ålder, hälsa eller liknande förhållande,
- b) berott på en felbedömning av en regel eller betydelsen av de faktiska
förhållandena.
- c) föranletts av vilseledande eller missvisande kontrolluppgifter.

Bestämmelserna om detta finns i 51 kap. 1 § skatteförfarandelagen.



10000675245630

**Beslut
Slutlig skatt**Datum
2015-11-16Referensnummer
610330-0171
82-29025069

2 (2)

Befrielse på grund av hälsa (ohälsa) kan också komma i fråga vid sjukdom eller annan bristande hälsa hos en deklarationsmedhjälpare som man varit beroende av för att fullgöra deklarationsskyldigheten.

Du har uppgett att du har lämnat in din inkomstdeklaration den 30 april 2014. Av telefonsamtal med dig den 11 augusti 2015 framkom att du kommit in med inkomstdeklaration för inkomstår 2013. Eftersom lämnade uppgifter stämmer överens med tidigare lämnad inkomstdeklaration för inkomstår 2013 synes du ha skickat in inkomstdeklaration för fel år. Du har även fått en uppmaning om att skicka en inkomstdeklaration för rätt inkomstår, dvs. för 2014.

Skatteverket bedömer att det som du har fört fram inte kan ses som sådan omständighet som medför att det framstår som oskäligt att ta ut full förseningsavgift. Det har inte kommit fram något annat som skulle kunna medföra hel eller delvis befrielse från avgiften.

Eftersom din deklaration kom in till Skatteverket mer än tre månader för sent ska förseningsavgift tas ut med 2 000 kr. Det har inte kommit fram några omständigheter som skulle kunna medföra hel eller delvis befrielse från avgiften.

Hur man begär omprövning av eller överklagar beslutet - se bifogad bilaga.

Bestämmelser om särskilda avgifter - se bifogad bilaga.

Beslutet har fattats av Marina Arrestam.



Skatteverket

Inläsningscentralen
Inkomstdeklaration 1
FE 8000
107 75 Stockholm

Skatteupplysningen
0771-567 567



Deklarera
senast den 2 maj,
gärna genom att
ringa, sms:a,
använda vår app
eller e-tjänst.

Inkomstdeklaration 1 2016 Inkomståret 2015

Deckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

På skatteverket.se får du svar
på de flesta av dina frågor.

18310 Lokal

Person-/organisationsnummer

610330-0171

Kontrollera de ifyllda beloppen. Gör ändringar/tillägg i den vita rutan. Vid tillägg, fyll i summan av ditt tillägg och eventuellt förfyllt belopp. Ange belopp i hela kronor (utan ören).

① Inkomster - Tjänst

Ange belopp i hela kronor

1.1 Lön, förmåner, sjukpenning m.m.	1 820 995	
1.2 Kostnadsersättningar		
1.3 Allmän pension och tjänstepension m.m.		
1.4 Privat pension och livränta		
1.5 Andra inkomster som inte är pensionsgrundande		
1.6 Inkomster, t.ex. hobby, som du själv ska betala egenavgifter för		
1.7 Inkomst från bilaga K10, K10A och K13		

② Avdrag - Tjänst

Ange belopp i hela kronor

2.1 Resor till och från arbetet <i>Du får avdrag endast för den del som överstiger 10 000 kr. Fyll i totalbeloppet.</i>		
2.2 Tjänsteresor		
2.3 Tillfälligt arbete, dubbel bosättning och hemresor		
2.4 Övriga utgifter <i>Du får avdrag endast för den del som överstiger 5 000 kr. Fyll i totalbeloppet.</i>		

③ Allmänna avdrag

Ange belopp i hela kronor

3.1 Pensionssparande m.m.		
---------------------------	--	--

④ Skattereduktioner

4.1 Rot-/rutarbete enligt meddelande eller som förmån	50 000
4.2 Underlag för skattereduktion för gåva	
4.3 Underlag för skattereduktion för förnybar el	

⑤ Underlag för fastighetsavgift

Småhus/ägarlägenhet hel avgift 0,75 %	968 267
Småhus/ägarlägenhet halv avgift 0,375 %	

⑥ Underlag för fastighetsskatt

Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
---	--

⑦ Inkomster - Kapital

Ange belopp i hela kronor

7.1 Schablonintäkter	15 487	
7.2 Ränteinkomster, utdelningar, vinst från bilaga K4 avsnitt C m.m.	5 231	
7.3 Överskott vid uthyrning av privatbostad		
7.4 Vinst fondandelar m.m. Vinst från bilaga K4 avsnitt A och B, K10, K10A, K11, K12 avsnitt B och K13.		84 593
7.5 Vinst ej marknadsnoterade fondandelar m.m. Vinst från bilaga K4 avsnitt D, K12 avsnitt E och K15A/B m.m.		
7.6 Vinst från bilaga K5 och K6. Återfört uppskov från bilaga K2.		
7.7 Vinst från bilaga K7 och K8		

⑧ Avdrag - Kapital

Ange belopp i hela kronor

8.1 Ränteutgifter m.m. Förlust från bilaga K4 avsnitt C m.m.	29 100	
8.2 Förvaltningsutgifter <i>Du får avdrag endast för den del som överstiger 1 000 kr. Fyll i totalbeloppet.</i>		
8.3 Förlust fondandelar m.m. Förlust från bilaga K4 avsnitt A, K10, K12 avsnitt B och K13.	11 284	28 348
8.4 Förlust ej marknadsnoterade fondandelar. Förlust från bilaga K4 avsnitt D, K10A, K12 avsnitt E och K15A/B.		
8.5 Förlust från bilaga K5 och K6		
8.6 Förlust från bilaga K7 och K8		
8.7 Investeraravdrag från bilaga K11		

⑨ Utländsk försäkring - Avkastningsskatt

9.1 Skatteunderlag för kapitalförsäkring	
9.2 Skatteunderlag för pensionsförsäkring	

INK1-1-25-2015P4



Spara den ena som kopia!

Inkomstdeklaration enligt lagen SFS 2011:1244

SKV 2000 25 sv 06



Det gröna fältet gäller enbart näringsverksamhet

10 Näringsverksamhet

10.1 Överskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.2 Underskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.3 Överskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.4 Underskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.5 Inkomster för vilka uppdragsgivare ska betala socialavgifter	Bruttoinkomst	Kostnader
10.6 Underlag för särskild löneskatt på pensionskostnader	Eget	Anställdas
10.7 Underlag för avkastningsskatt på pensionskostnader		

13 Nedsättning av egenavgifter

13.1 Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde

14 Allmänna avdrag (näringsverksamhet)

14.1 Underskott av aktiv näringsverksamhet som du får kvitta mot förvärvsinkomst
Underskott som du redovisar här ska inte redovisas vid punkt 10.2

15 Underlag för fastighetsavgift

Hyreshus: 0,3 %
bostäder
0,15 %

16 Underlag för fastighetsskatt

Hyreshus: tomtmark, bostäder under uppförande 0,4 %

Hyreshus: lokaler 1,0 %

Industri och elproduktionsenhet, värme-kraftverk 0,5 %

Elproduktionsenhet: vatten-kraftverk 2,8 %

Elproduktionsenhet: vind-kraftverk 0,2 %

☐ Kryssa här om din näringsverksamhet har upphört under 2015

11 Räntefördelning

11.1 Positiv räntefördelning
Belopp från NE (ruta R30) eller N3A (p.15).

11.2 Negativ räntefördelning
Belopp från NE (ruta R31) eller N3A (p.16).

12 Underlag för expansionsfondsskatt

12.1 Ökning av expansionsfond
Belopp från NE (ruta R36) eller N3A (p.21).

12.2 Minskning av expansionsfond
Belopp från NE (ruta R37) eller N3A (p.22).

17 Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättningens storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (t.ex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK.

Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt.

Övrigt Framskute, bevakning i sthlm Financial Men Holding skall tas bort då jag deklarerade för avyttring av dessa aktier 2013. Har bett bolaget uppdatera och sända in ägarförändringar i bolaget.

18 Underskrift

Namnledda

Telefonnummer

030x01-00-128466-256932
610330-0171-1-2-110000

INK1-2-25-2015P4



**Försäljning
Värdepapper m.m.**
K4

Du som har sålt aktier, obligationer och andra värdepapper, valuta, råvaror eller övriga tillgångar (kapitalplaceringar) ska lämna den här blanketten. Lämna den tillsammans med Inkomstdeklaration 1.

Information om hur du fyller i blanketten finns i broschyren "Försäljning av värdepapper", SKV 332. Ange belopp i hela kronor.

Inkomstår

Datum när blanketten fylls i

2015

2016-04-24

Numrering vid flera K4

Namn

Personnummer

Ulf Deckmark

610330-0171

A. Marknadsnoterade aktier, aktieindexobligationer, aktieoptioner m.m.

	Antal	Beteckning	Försäljningspris	Omkostnadsbelopp ¹	Vinst	Förlust
1	980	AORNODE	48,931	50.169		1.238
2	326	BOBTOOLS	44864	49947		5083
3	1376	CTT SYSTEM	52937	38597	14340	
4	2242	DEDICARE	82879	50066	32813	
5	709	LINDAB	49596	50054		450
6	1500	MQ HOLD	51768	49419	2349	
	580	PROACT	66631	49659	16972	
7	555	RAILCARE	61676	50019	11657	
	6300	RUB RETAIL	91268	101561		10293
8	44.000	SENSYS	55811	49349	6462	
9		XACT BEAR				11284
Summa beloppen på rad 1-9 i följande kolumner på varje inlämnad blankett ➔ =					84.593	28348

Summa vinst. För över summan till den vita rutan vid p. 7.4 på Inkomstdeklaration 1.
OBS! Om du har en förifylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.3 på Inkomstdeklaration 1.
OBS! Om du har en förifylld förlust från fondandelar i det gula fältet vid p. 8.3 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i den vita rutan.

B. Återföring av uppskovsbelopp

Beteckning på uppskovsaktie	Uppskovsbelopp som återförs

För över uppskovsbeloppet till den vita rutan vid p. 7.4 på Inkomstdeklaration 1.
OBS! Om du har ett belopp i **Summa vinst** i avsnitt A ovan och/eller förifylld vinst från fondandelar m.m. i det gula fältet vid p. 7.4 ska du lägga ihop uppskovsbeloppet med dessa belopp och fylla i totalbeloppet i den vita rutan.

¹ Det finns information om vad som menas med omkostnadsbelopp i broschyren "Försäljning av värdepapper", SKV 332. Omkostnadsbeloppet beräknas med genomsnittsmetoden eller schablonmetoden.

- Du kan använda hjälpblankett SKV 2198 om du beräknar omkostnadsbeloppet med genomsnittsmetoden.

- Schablonmetoden får användas för marknadsnoterade aktier m.m. och innebär att du beräknar omkostnadsbeloppet till 20 % av försäljningspriset (efter avdrag för courtage). Schablonmetoden får inte användas för terminer, optioner, teckningsrätter, fondaktierätter, inlösenrätter, säljrätter och liknande.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.

K4M-1-25-2015P4



Personnummer

C. Marknadsnoterade obligationer, valuta m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1						
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett → =						

Summa vinst. För över summan till den vita rutan vid p. 7.2 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteinkomster, utdelningar m.m. i det gula fältet vid p. 7.2 ska du lägga ihop dessa med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.1 på Inkomstdeklaration 1.
OBS! Om du har förfyllda ränteutgifter m.m. i det gula fältet vid p. 8.1 ska du lägga ihop dessa med summa förlust och fylla i totalbeloppet i den vita rutan.

D. Övriga värdepapper, råvaror, övriga tillgångar (kapitalplaceringar) m.m.

	Antal/Belopp i utländsk valuta	Beteckning/Valutakod	Försäljningspris/Återbetalat belopp omräknat till svenska kronor	Omkostnadsbelopp/Utlånat belopp omräknat till svenska kronor	Vinst	Förlust
1						
2						
3						
4						
5						
6						
7						
Summera beloppen på rad 1-7 i följande kolumner på varje inlämnad blankett → =						

Summa vinst. För över summan till den vita rutan vid p. 7.5 på Inkomstdeklaration 1.
OBS! Om du har en förfylld vinst från ej marknadsnoterade fondandelar i det gula fältet vid p. 7.5 ska du lägga ihop den med summa vinst och fylla i totalbeloppet i den vita rutan.

Summa förlust. För över summan till den vita rutan vid p. 8.4 på Inkomstdeklaration 1.
OBS! Om du har en förfylld förlust från ej marknadsnoterade fondandelar i det gula fältet vid p. 8.4 ska du lägga ihop den med summa förlust och fylla i totalbeloppet i den vita rutan.

Behöver du mera utrymme? Använd ytterligare K4-blanketter.

K4M-2-25-2015P4





Inläsningscentralen
Inkomstdeklaration 1
FE 8000
107 75 Stockholm

Skatteupplysningen
0771-567 567

Deklarera
senast den 2 maj,
gärna genom att
sms:a, ringa,
använda vår app
eller e-tjänst.

Inkomstdeklaration 1 2017

Inkomståret 2016

Deckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

På skatteverket.se får du svar
på de flesta av dina frågor.

CM183 Lokal

Person-/organisationsnummer

610330~0171

Kontrollera de ifyllda beloppen. Gör ändringar/tillägg i den vita rutan. Vid tillägg, fyll i summan av ditt tillägg och eventuellt förifyllt belopp. Ange belopp i hela kronor (utan ören).

① Inkomster - Tjänst

Ange belopp i hela kronor

1.1 Lön, förmåner, sjukpenning m.m.	918 795	
1.2 Kostnadsersättningar		
1.3 Allmän pension och tjänstepension m.m.		
1.4 Privat pension och livränta		
1.5 Andra inkomster som inte är pensionsgrundande		
1.6 Inkomster, lex. hobby, som du själv ska betala egenavgifter för		
1.7 Inkomst från bilaga K10, K10A och K13		

② Avdrag - Tjänst

Ange belopp i hela kronor

2.1 Resor till och från arbetet <i>Du får avdrag endast för den del som överstiger 10 000 kr. Fyll i totalbeloppet.</i>		
2.2 Tjänsteresor		
2.3 Tillfälligt arbete, dubbel bosättning och hemresor		
2.4 Övriga utgifter <i>Du får avdrag endast för den del som överstiger 5 000 kr. Fyll i totalbeloppet.</i>		

③ Allmänna avdrag

Ange belopp i hela kronor

3.1 Socialförsäkringsavgifter enligt EU-förordningen m.m.		
---	--	--

④ Skattereduktioner

4.1 Rotarbete enligt meddelande eller som förmån	
4.2 Rotarbete enligt meddelande eller som förmån	
4.3 Underlag för skattereduktion för förnybar el	

⑤ Underlag för fastighetsavgift

Småhus/ägarlägenhet hel avgift 0,75 %	988 267
Småhus/ägarlägenhet halv avgift 0,375 %	

⑥ Underlag för fastighetsskatt

Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
---	--

⑦ Inkomster - Kapital

Ange belopp i hela kronor

7.1 Schablonintäkter	13 573	
7.2 Ränteinkomster, utdelningar, vinst från bilaga K4 avsnitt C m.m.	53 727	133 227
7.3 Överskott vid uthyrning av privatbostad		
7.4 Vinst fondandelar m.m. Vinst från bilaga K4 avsnitt A och B, K10, K10A, K11, K12 avsnitt B och C och K13.		
7.5 Vinst ej marknadsnoterade fondandelar m.m. Vinst från bilaga K4 avsnitt D, K12 avsnitt B och C och K15A/B m.m.		
7.6 Vinst från bilaga K5 och K6. Återfört uppskov från bilaga K2.		
7.7 Vinst från bilaga K7 och K8		

⑧ Avdrag - Kapital

Ange belopp i hela kronor

8.1 Ränteutgifter m.m. Förlust från bilaga K4 avsnitt C m.m.	29 794	
8.3 Förlust fondandelar m.m. Förlust från bilaga K4 avsnitt A, K10, K12 avsnitt B och C och K13.		
8.4 Förlust ej marknadsnoterade fondandelar. Förlust från bilaga K4 avsnitt D, K10A, K12 avsnitt B och C och K15A/B.		
8.5 Förlust från bilaga K5 och K6		
8.6 Förlust från bilaga K7 och K8		
8.7 Investeraravdrag från bilaga K11		

⑨ Utländsk försäkring - Avkastningsskatt

9.1 Skatteunderlag för kapitalförsäkring	
9.2 Skatteunderlag för pensionsförsäkring	

INK1-1-26-2016P4



Spara den ena som kopia!

Inkomstdeklaration enligt lagen SFS 2011:1244

SKV 2000 26 sv 00 06

610330-0171

2017

Punkt 10-16 gäller enbart näringsverksamhet**10 Näringsverksamhet**

10.1 Överskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.2 Underskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.3 Överskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.4 Underskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A
10.5 Inkomster för vilka uppdragsgivare ska betala socialavgifter	Bruttoinkomst	Kostnader
10.6 Underlag för särskild löneskatt på pensionskostnader	Eget	Anställdas
10.7 Underlag för avkastningsskatt på pensionskostnader		

11 Räntefördelning

11.1 Positiv räntefördelning Belopp från NE (ruta R30) eller N3A (p.15).	
11.2 Negativ räntefördelning Belopp från NE (ruta R31) eller N3A (p.16).	

12 Underlag för expansionsfondsskatt

12.1 Ökning av expansionsfond Belopp från NE (ruta R36) eller N3A (p.21).	
12.2 Minskning av expansionsfond Belopp från NE (ruta R37) eller N3A (p.22).	

13 Nedsättning av egenavgifter

13.1 Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde	
--	--

14 Allmänna avdrag (näringsverksamhet)

14.1 Underskott av aktiv näringsverksamhet som du får kvitta mot förvärsinkomst Underskott som du redovisar här ska inte redovisas vid punkt 10.2	
--	--

15 Underlag för fastighetsavgift

Hyreshus:	0,3 %
bostäder	0,15 %

16 Underlag för fastighetsskatt

Hyreshus: tomtmark, bostäder under uppförande	0,4 %
Hyreshus: lokaler	1,0 %
Industri och elproduktionsenhet, värmekraftverk	0,5 %
Elproduktionsenhet: vattenkraftverk	2,8 %
Elproduktionsenhet: vindkraftverk	0,2 %

☐ Kryssa här om din näringsverksamhet har upphört under 2016

17 Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättningens storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (t.ex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK.

Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din skulldiga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor elektroniskt.

Övrigt
Framskjutna beskattnings i sthm Finansiel Mgn Holding skall avregleras/ tas bort då jag deklarerat avyttring av dessa inneha 2013.
Har betett bolaget sändsin uppgifterna på återförändring till skv.

18 Underskrift

Namnteckning	Telefonnummer
--------------	---------------

120x06-00-021478-042956
610330-0171-1-2-100000

INK1-2-26-2016P4





Kvalificerade andelar

K10

Du som har kvalificerade andelar i ett fåmansföretag ska lämna den här blanketten.

För en närmare beskrivning, se broschyren Skatteregler för delägare i fåmansföretag, SKV 292. Ange belopp i hela kronor.

Fåmansföretag

Inkomstår

Datum när blanketten fylls i

2016

2017-02-09

Delägarens namn	Personnummer	Antal ägda andelar vid årets ingång
Deckmark, Ulf	610330-0171	375
Företagets namn	Organisationsnummer	Totala antalet andelar i företaget vid årets ingång
Braron Holding AB	559006-6873	500

A 1. Utdelning alternativ 1 - Förenklingsregeln ¹

Beräkning av gränsbelopp

1.1 Årets gränsbelopp enligt förenklingsregeln

$$159\,775 \text{ kr} \times \frac{\text{Antal ägda andelar vid årets ingång}}{\text{Totala antalet andelar vid årets ingång}}$$

+	119 831
---	---------

1.2 Sparat utdelningsutrymme från föregående år x 103,65 %

+	0
---	---

1.3 Gränsbelopp enligt förenklingsregeln

=	119 831
---	---------

1.4 Vid avyttring eller gåva innan utdelningstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlåtna andelarna

-	
---	--

1.5 Gränsbelopp att utnyttja vid p. 1.7

=	119 831
---	---------

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

1.6 Utdelning

+	119 250
---	---------

1.7 Gränsbeloppet enligt p. 1.5 ovan

-	119 831
---	---------

1.8 Utdelning som beskattas i tjänst (max 5 337 000 kr)

(+) =	
-------	--

1.9 Sparat utdelningsutrymme

(-) =	581
-------	-----

1.10 Vid delavyttring eller gåva efter utdelningstillfället: sparad utdelningsutrymme som är hänförligt till de överlåtna andelarna enligt p. 3.7a

-	
---	--

1.11 Sparat utdelningsutrymme till nästa år

=	581
---	-----

Utdelning som ska beskattas i KAPITAL

1.12 Utdelning

+	119 250
---	---------

1.13 Belopp som beskattas i tjänst enligt p. 1.8 ovan (max 5 337 000 kr)

-	
---	--

1.14 Utdelning i kapital

=	119 250
---	---------

Utdelning i p. 1.14 som rymts inom gränsbeloppet beskattas till 2/3 i inkomstslaget kapital. Resterande del beskattas i sin helhet i inkomstslaget kapital.

1.15 Beloppet i p. 1.5 x 2/3. Om beloppet i p. 1.5 är större än utdelningen i 1.14 tas istället 2/3 av utdelningen i 1.14 upp.

+	79 500
---	--------

1.16 Resterande utdelning (p. 1.14 minus p. 1.5). Beloppet kan inte bli lägre än 0 kr.

+	
---	--

1.17 Utdelning som ska tas upp i kapital

=	79 500
---	--------

Om beloppet är positivt ska det beskattas i inkomstslaget tjänst och förs till inkomstdeklaration 1 p. 1.7 samt p. 1.13 nedan.

Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

Förs till inkomstdeklaration 1 p. 7.2.

¹ Om du äger andelar i flera företag får du endast använda förenklingsregeln i ett av företagen. I övriga företag måste du använda huvudregeln.

K10M-1-28-2016P4

Lämna gärna bilagan via e-ljsten inkomstdeklaration 1 ("e-INK"), www.skatteverket.se



A 2. Utdelning alternativ 2 - Huvudregeln**Beräkning av gränsbelopp**

2.1 Omkostnadsbelopp vid årets ingång (alternativt omräknat omkostnadsbelopp) x 9,65 %

+	
---	--

2.2 Lönebaserat utrymme enligt avsnitt D p. 6.11 ¹⁾

+	
---	--

2.3 Sparat utdelningsutrymme från föregående år x 103,65 %

+	
---	--

2.4 Gränsbelopp enligt huvudregeln

=	
---	--

2.5 Vid avyttring eller gåva innan utdelningsstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlåtna andelarna

-	
---	--

2.6 Gränsbelopp att utnyttja vid p. 2.8

=	
---	--

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

2.7 Utdelning

+	
---	--

2.8 Gränsbeloppet enligt p. 2.6 ovan

-	
---	--

2.9 Utdelning som beskattas i tjänst (max 5 337 000 kr)

(+)	=	
-----	---	--

2.10 Sparat utdelningsutrymme

(-)	=	
-----	---	--

2.11 Vid delavyttring eller gåva efter utdelningsstillfället: sparad utdelningsutrymme som är hänförligt till de överlåtna andelarna enligt p. 3.7a

-	
---	--

2.12 Sparat utdelningsutrymme till nästa år

=	
---	--

Om beloppet är positivt ska det beskattas i inkomstslaget tjänst och förs till inkomstdeklaration 1 p. 1.7 samt p. 2.14 nedan.

Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

Utdelning som ska beskattas i KAPITAL

2.13 Utdelning

+	
---	--

2.14 Belopp som beskattas i tjänst enligt p. 2.9 ovan (max 5 337 000 kr)

-	
---	--

2.15 Utdelning i kapital

=	
---	--

Utdelning i p. 2.15 som ryms inom gränsbeloppet beskattas till 2/3 i inkomstslaget kapital. Resterande del beskattas i sin helhet i inkomstslaget kapital.

2.16 Beloppet i p. 2.6 x 2/3. Om beloppet i p. 2.6 är större än utdelningen i 2.15 tas istället 2/3 av utdelningen i 2.15 upp.

+	
---	--

2.17 Resterande utdelning (p. 2.15 minus p. 2.6). Beloppet kan inte bli lägre än 0 kr.

+	
---	--

2.18 Utdelning som ska tas upp i kapital

=	
---	--

Förs till inkomstdeklaration 1 p. 7.2.

¹⁾ Du får bara beräkna lönebaserat utrymme om du vid ingången av året (d.v.s. den 1 januari 2016) äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget.



Delägarens personnummer

610330-0171

B. Avyttring av aktier/andelar i fåmansföretag**Beräkning av vinst/förlust**

Antal sålda andelar

Försäljningsdatum

3.1 Ersättning minus utgifter för avyttring

+

3.2 Verkligt omkostnadsbelopp

-

3.3 Vinst

(+) =

➔ Om vinst fortsatt vid p. 3.5 nedan.

3.4a Förlust

(-) =

3.4b Förlust i p. 3.4a x 2/3

=

➔ Förs till Inkomstdeklaration 1 p. 8.3.

Vinst som beskattas i TJÄNST

3.5 Ersättning minus utgifter för avyttring (samma som p. 3.1 ovan)

+

3.6 Omkostnadsbelopp (alternativt omräknat omkostnadsbelopp)

-

3.7a Om utdelning erhållits under året och innan avyttring:
sarat utdelningsutrymme enligt p. 1.9 eller 2.10 ovan,
till den del det hör till de överlåtna andelarna

-

3.7b Om utdelning erhållits efter delavyttring eller utdelning inte
erhållits under året: gränslöpp enligt p. 1.4 eller 2.5

-

3.8 Skattepliktig vinst som ska beskattas i tjänst (max 5 930 000 kr)

=

➔ Förs till Inkomstdeklaration 1 p. 1.7.

Vinst som beskattas i KAPITAL

3.9 Vinst enligt p. 3.3 ovan

+

3.10 Belopp som beskattas i tjänst enligt p. 3.8 (max 5 930 000 kr)

-

3.11 Vinst i inkomstlaget kapital

=

Vinst i p. 3.11 som ryms inom separat utdelningsutrymme beskattas till 2/3 i inkomstlaget kapital.
Resterande del beskattas i sin helhet i inkomstlaget kapital.3.12 Belopp antingen enligt p. 3.7a x 2/3 eller 3.7b x 2/3. Om
beloppet i p. 3.7a eller 3.7b är större än vinsten i p. 3.11
tas istället 2/3 av vinsten i p. 3.11 upp.

+

3.13 Resterande vinst (p. 3.11 minus antingen p. 3.7a eller
p. 3.7b). Beloppet kan inte bli lägre än 0 kr.

+

3.14 Vinst som ska tas upp i inkomstlaget kapital

=

➔ Förs till Inkomstdeklaration 1 p. 7.4.

Försäljning av mottagna (tillbytt) andelar☐ Den i avsnitt B redovisade överlåtelsen avser avyttring (ej efterföljande byte) av andelar som har förvärvats genom andelsbyte**C. Omräknat omkostnadsbelopp**Om du vid punkterna 2.1 och 3.6 använt dig av omräknat omkostnadsbelopp anger du här hur det omräknade omkostnadsbeloppet har beräknats. För att räkna fram ditt omräknade omkostnadsbelopp kan du ta hjälp av Skatteverkets hjälpblankett SKV 2110b som finns i broschyren Skatteregler för delägare i fåmansföretag SKV 292 eller att hämta på www.skatteverket.se.☐ 4.1 Det omräknade omkostnadsbeloppet har beräknats enligt Indexregeln (andelar anskaffade före 1990)☐ 4.2 Det omräknade omkostnadsbeloppet har beräknats enligt Kapitalunderlagsregeln (andelar anskaffade före 1992)

K10M-3-28-2016P4



Deckmark, Ulf 610330-0171 Bil nr 1 K10-3

SKV 2110 28 SV 00 02

D. Lönebaserat utrymme (Bara för dig som den 1 januari 2016 äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget)

Delägarans personnummer

610330-0171

1. Lönekrav

Utöver kravet på att du ska äga andelar som motsvarar minst fyra procent av kapitalet i företaget får du bara beräkna lönebaserat utrymme om du eller någon närstående, under 2015 erhållit kontant ersättning från företaget och dess dotterföretag ¹ som uppgår till anslagen 557 760 kr eller 348 600 kr med tillägg för fem procent av den sammanlagda kontanta ersättningen i företaget och dess dotterföretag ¹. När du räknar på lönekravet gäller för ersättning som betalats ut i dotterföretag att bara ersättningar utbetalda under den tid företaget ägt dotterföretaget räknas med. Hänsyn tas inte till hur stor del av dotterföretaget företaget äger. All ersättning som betalats ut under innehavstiden räknas med då lönekravet beräknas.

1. Lönekravet uppfylls av närstående (ange personnummer)

2. Lönekravet uppfylls genom eget löneuttag

5.1 Din kontanta ersättning från företaget och dess dotterföretag ¹ under 2015

5.2 Sammanlagd kontant ersättning i företaget och dess dotterföretag ¹ under 2015

5.3 (Punkt 5.2 x 5%) + 348 600 kr

(=)

Lönekrav: Punkt 5.1 måste vara lika med eller större än punkt 5.3 eller 557 760 kr.

Om löneuttag enligt ovan gjorts i dotterföretag

Organisationsnummer

Organisationsnummer

Organisationsnummer

2. Beräkning av lönebaserat utrymme

För andelar ägda under hela 2015

6.1 Kontant ersättning till arbetslagare under 2015 ²

+	
---	----------------------

6.2 Kontant ersättning under 2015 till arbetslagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2015 ³

+	
---	----------------------

6.3 Löneunderlag

=	
---	----------------------

6.4 Företagets lönebaserade utrymme (Löneunderlag enligt p. 6.3 x 50 %)

=	
---	----------------------

6.5 Ditt lönebaserade utrymme för andelar ägda under hela 2015: Företagets lönebaserade utrymme enligt p. 6.4 x $\frac{\text{Antal ägda andelar under hela året}}{\text{Totala antalet andelar vid 2015 års utgång}}$

=	
---	----------------------

För andelar anskaffade under 2015

6.6 Kontant ersättning till arbetslagare som betalats ut under den del av 2015 som andelarna ägts ²

+	
---	----------------------

6.7 Kontant ersättning under 2015 till arbetslagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2015 ³

+	
---	----------------------

6.8 Löneunderlag avseende den tid under 2015 andelarna ägts

=	
---	----------------------

6.9 Företagets lönebaserade utrymme avseende den tid under 2015 andelarna ägts (Löneunderlag enligt p. 6.8 x 50 %)

=	
---	----------------------

6.10 Ditt lönebaserade utrymme för andelar som anskaffats under 2015:

Företagets lönebaserade utrymme enligt p. 6.9 x $\frac{\text{Antal andelar förvärvade under året}}{\text{Totala antalet andelar vid 2015 års utgång}}$

=	
---	----------------------

6.11 Totalt lönebaserat utrymme (p. 6.5 plus 6.10) ⁴

=	
---	----------------------

Förs till K10 p. 2.2.

¹ Med dotterföretag avses företag där moderföretaget, direkt eller indirekt genom ett annat moderföretag, äger mer än 50 % av kapitalet. För ett handelsbolag (eller en utländsk delägarbeskattad juridisk person) ska räknas som dotterföretag krävs att bolaget, direkt eller indirekt, är helägt av moderföretaget.

² Ersättningar som täcks av statliga lönebidrag ska inte räknas med.

³ Dessutom ska enbart ersättningar under den del av 2015 som du ägt andelen i moderföretaget beaktas.

⁴ Det totala lönebaserade utrymmet får inte överstiga ett belopp som motsvarar 60 gånger den kontanta ersättningen som du, eller närstående till dig, fått från företaget (eller dotterföretaget till företaget) under 2015.

K10M-4-28-2016P4



Senast den 2 maj 2018

ska deklarationen finnas hos Skatteverket.

Inläsningscentralen
Inkomstdeklaration 1
FE 8000
107 75 Stockholm

På skatteverket.se får du svar
på de flesta av dina frågor.

Person-/organisationsnummer

196103300171

M

OBS! Har du fått en förfylld inkomstdeklaration ska du använda den när du deklarerar.
Om du lämnar in denna manuella blankett måste du själv fylla i alla belopp.

Inkomstdeklaration 1 2018

Inkomståret 2017

Namn och adress

Deckmark, Ulf
KOMETVÄGEN 17 LGH 1802
183 48 TÄBY

① Inkomster - Tjänst

Ange belopp i hela kronor

1.1 Lön, förmåner, sjukpenning m.m.	402 795
1.2 Kostnadsersättningar	
1.3 Allmän pension och tjänstepension m.m.	
1.4 Privat pension och livränta	
1.5 Andra inkomster som inte är pensionsgrundande	
1.6 Inkomster, t.ex. hobby, som du själv ska betala egenavgifter för	
1.7 Inkomst från bilaga K10, K10A och K13	

② Avdrag - Tjänst

2.1 Resor till och från arbetet <i>Du får avdrag endast för den del som överstiger 11 000 kr. Fyll i totalbeloppet.</i>	
2.2 Tjänsteresor	
2.3 Tillfälligt arbete, dubbel bosättning och hemresor	
2.4 Övriga utgifter <i>Du får avdrag endast för den del som överstiger 5 000 kr. Fyll i totalbeloppet.</i>	

③ Allmänna avdrag

3.1 Socialförsäkringsavgifter enligt EU-förordningen m.m.	
---	--

④ Skattereduktioner

4.1 Rotarbete enligt meddelande eller som förmån	
4.2 Rutarbete enligt meddelande eller som förmån	4 145
4.3 Underlag för skattereduktion för förnybar el. Ange antal kilowattimmar.	

⑤ Underlag för fastighetsavgift

Ange belopp i hela kronor

5.1 Småhus/ägarlägenhet hel avgift 0,75 %	1 024 934
5.2 Småhus/ägarlägenhet halv avgift 0,375 %	

⑥ Underlag för fastighetsskatt

6.1 Småhus/ägarlägenhet: tomtmark, byggnad under uppförande 1,0 %	
---	--

⑦ Inkomster - Kapital

Ange belopp i hela kronor

7.1 Schablonintäkter	15 011
7.2 Ränteinkomster, utdelningar, vinst från bilaga K4 avsnitt C m.m.	134 090
7.3 Överskott vid uthyrning av privatbostad	
7.4 Vinst fondandelar m.m. Vinst från bilaga K4 avsnitt A och B, K10, K10A, K11, K12 avsnitt B och C och K13.	40 231
7.5 Vinst ej marknadsnoterade fondandelar m.m. Vinst från bilaga K4 avsnitt D, K12 avsnitt B och C och K15A/B m.m.	
7.6 Vinst från bilaga K5 och K6. Återfört uppskov från bilaga K2.	
7.7 Vinst från bilaga K7 och K8	

⑧ Avdrag - Kapital

8.1 Ränteutgifter m.m. Förlust från bilaga K4 avsnitt C m.m.	34 317
8.3 Förlust fondandelar m.m. Förlust från bilaga K4 avsnitt A, K10, K12 avsnitt B och C och K13.	
8.4 Förlust ej marknadsnoterade fondandelar. Förlust från bilaga K4 avsnitt D, K10A, K12 avsnitt B och C och K15A/B.	
8.5 Förlust från bilaga K5 och K6	
8.6 Förlust från bilaga K7 och K8	
8.7 Investeraravdrag från bilaga K11	

⑨ Utländsk försäkring - Avkastningsskatt

9.1 Skatteunderlag för kapitalförsäkring	
9.2 Skatteunderlag för pensionsförsäkring	

INK1M-1-27-2017P4



Punkt 10-16 gäller enbart näringsverksamhet

Samråd enligt SFS1982:688 har skett med Näringslivets Regelnämnd.

⑩ Näringsverksamhet			⑬ Nedsättning av egenavgifter	
10.1 Överskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A	13.1 Regionalt nedsättningsbelopp, endast näringsverksamhet i stödområde	
10.2 Underskott av aktiv näringsverksamhet	Belopp från NE	Belopp från N3A		
10.3 Överskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A	⑭ Allmänna avdrag (näringsverksamhet)	
10.4 Underskott av passiv näringsverksamhet	Belopp från NE	Belopp från N3A	14.1 Underskott av aktiv näringsverksamhet som du får kvitta mot förvärvsinkomst <i>Underskott som du redovisar här ska inte redovisas vid punkt 10.2</i>	
10.5 Inkomster för vilka uppdragsgivare ska betala socialavgifter	Bruttoinkomst	Kostnader	⑮ Underlag för fastighetsavgift	
10.6 Underlag för särskild löneskatt på pensionskostnader	Eget	Anställdas	15.1 Hyreshus: bostäder 0,3 %	
10.7 Underlag för avkastningsskatt på pensionskostnader			15.2 Hyreshus: bostäder 0,15 %	
⑪ Räntefördelning			⑯ Underlag för fastighetsskatt	
11.1 Positiv räntefördelning <i>Belopp från NE (ruta R30) eller N3A (p. 15)</i>			16.1 Hyreshus: tomtmark, bostäder under uppförande 0,4 %	
11.2 Negativ räntefördelning <i>Belopp från NE (ruta R31) eller N3A (p. 16)</i>			16.2 Hyreshus: lokaler 1,0 %	
⑫ Underlag för expansionsfondsskatt			16.3 Industri och elproduktionsenhet, värmekraftverk 0,5 %	
12.1 Ökning av expansionsfond <i>Belopp från NE (ruta R36) eller N3A (p. 21)</i>			16.4 Elproduktionsenhet: vattenkraftverk 2,2 %	
12.2 Minskning av expansionsfond <i>Belopp från NE (ruta R37) eller N3A (p. 22)</i>			16.5 Elproduktionsenhet: vindkraftverk 0,2 %	
			☐ Kryssa här om din näringsverksamhet har upphört under 2017	

⑰ Övriga upplysningar

☐ Kryssa här om du begär omfördelning av rot-/rutavdrag. Under "Övrigt" lämnar du uppgift om belopp, hur (till eller från) och med vem (personnummer) omfördelningen ska göras.

☐ Kryssa här om någon kontrolluppgift är felaktig/saknas. Under "Övrigt" lämnar du uppgift om utbetalarens namn, adress, ersättningens storlek och avdragen skatt.

☐ Kryssa här om du har haft inkomst från utlandet. ☐ Kryssa här om du begär avräkning av utländsk skatt. Om du redan har fått avräkning ska du inte kryssa, se din preliminära skatteuträkning.

Under "Övrigt" lämnar du uppgift om typ av inkomst (t.ex. lön eller utdelning), från vilket land, inkomstens storlek och betald utländsk skatt i SEK. Här kan du lämna övriga uppgifter som inte finns på blanketten, och som Skatteverket behöver för att fastställa din slutliga skatt, t.ex. om du begär ackumulerad inkomst. Du behöver inte skriva att du bifogar bilagor eller att du lämnar bilagor digitalt.

Övrigt

⑱ Underskrift

Namnteckning	Telefonnummer

Du som har kvalificerade andelar i ett fåmansföretag ska lämna den här blanketten.
Ange belopp i hela kronor.

Inkomstår

Datum när blanketten fylls i

2017

2018-04-16

Delägarens namn

Personnummer

Antal ägda andelar vid
årets ingång

Deckmark, Ulf

196103300171

375

Företagets namn

Organisationsnummer

Totala antalet andelar i hela
företaget vid årets ingång

165590066873

500

A 1. Utdelning alternativ 1 - Förenklingsregeln¹

Beräkning av gränsbelopp

1.1 Årets gränsbelopp enligt förenklingsregeln

$$163\,075 \text{ kr} \times \frac{\text{Antal ägda andelar vid årets ingång}}{\text{Totala antalet andelar vid årets ingång}}$$

+	122 306
---	---------

1.2 Sparat utdelningsutrymme från föregående år x 103,27 %

+	600
---	-----

1.3 Gränsbelopp enligt förenklingsregeln

=	122 906
---	---------

1.4 Vid avyttring eller gåva innan utdelningstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlåtna andelarna

-	
---	--

1.5 Gränsbelopp att utnyttja vid p. 1.7

=	122 906
---	---------

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

1.6 Utdelning

+	122 250
---	---------

1.7 Gränsbeloppet enligt p. 1.5 ovan

-	122 906
---	---------

1.8 Utdelning som beskattas i tjänst (max 5 535 000 kr)

(+) =	
-------	--

1.9 Sparat utdelningsutrymme

(-) =	656
-------	-----

1.10 Vid delavyttring eller gåva efter utdelningstillfället: sparad utdelningsutrymme som är hänförligt till de överlåtna andelarna enligt p. 3.7a

-	
---	--

1.11 Sparat utdelningsutrymme till nästa år

=	656
---	-----

Utdelning som ska beskattas i KAPITAL

1.12 Utdelning

+	122 250
---	---------

1.13 Belopp som beskattas i tjänst enligt p. 1.8 ovan (max 5 535 000 kr)

-	
---	--

1.14 Utdelning i kapital

=	122 250
---	---------

Utdelning i p. 1.14 som ryms inom gränsbeloppet beskattas till 2/3 i inkomstslaget kapital. Resterande del beskattas i sin helhet i inkomstslaget kapital.

1.15 Beloppet i p. 1.5 x 2/3. Om beloppet i p. 1.5 är större än utdelningen i 1.14 tas istället 2/3 av utdelningen i 1.14 upp.

+	81 500
---	--------

1.16 Resterande utdelning (p. 1.14 minus p. 1.5). Beloppet kan inte bli lägre än 0 kr.

+	
---	--

1.17 Utdelning som ska tas upp i kapital

=	81 500
---	--------

➔ Om beloppet är positivt ska det beskattas i inkomstslaget tjänst och förs till Inkomstdeklaration 1 p. 1.7 samt p. 1.13 nedan.

➔ Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

➔ Förs till Inkomstdeklaration 1 p. 7.2.

¹ Om du äger andelar i flera företag får du endast använda förenklingsregeln i ett av företagen. I övriga företag måste du använda huvudregeln.



A 2. Utdelning alternativ 2 - Huvudregeln

196103300171

Beräkning av gränsbelopp

2.1 Omkostnadsbelopp vid årets ingång (alternativt omräknat omkostnadsbelopp) x 9,27 %

+

2.2 Lönebaserat utrymme enligt avsnitt D p. 6.11 ¹⁾

+

2.3 Sparat utdelningsutrymme från föregående år x 103,27 %

+

2.4 Gränsbelopp enligt huvudregeln

=

2.5 Vid avyttring eller gåva innan utdelningstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlättna andelarna

-

2.6 Gränsbelopp att utnyttja vid p. 2.8

=

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

2.7 Utdelning

+

2.8 Gränsbeloppet enligt p. 2.6 ovan

-

2.9 Utdelning som beskattas i tjänst (max 5 535 000 kr)

(+) =

2.10 Sparat utdelningsutrymme

(-) =

2.11 Vid delavyttring eller gåva efter utdelningstillfället: sparad utdelningsutrymme som är hänförligt till de överlättna andelarna enligt p. 3.7a

-

2.12 Sparat utdelningsutrymme till nästa år

=

Utdelning som ska beskattas i KAPITAL

2.13 Utdelning

+

2.14 Belopp som beskattas i tjänst enligt p. 2.9 ovan (max 5 535 000 kr)

-

2.15 Utdelning i kapital

=

Utdelning i p. 2.15 som ryms inom gränsbeloppet beskattas till 2/3 i inkomstslaget kapital. Resterande del beskattas i sin helhet i inkomstslaget kapital.

2.16 Beloppet i p. 2.6 x 2/3. Om beloppet i p. 2.6 är större än utdelningen i 2.15 tas istället 2/3 av utdelningen i 2.15 upp.

+

2.17 Resterande utdelning (p. 2.15 minus p. 2.6). Beloppet kan inte bli lägre än 0 kr.

+

2.18 Utdelning som ska tas upp i kapital

=

➔ Om beloppet är positivt ska det beskattas i inkomstslaget tjänst och förs till Inkomstdeklaration 1 p. 1.7 samt p. 2.14 nedan.

➔ Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

➔ Förs till Inkomstdeklaration 1 p. 7.2.

¹⁾ Du får bara beräkna lönebaserat utrymme om du vid ingången av året (d.v.s. den 1 januari 2017) äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget.



B. Avyttring av aktier/andelar i fåmansföretag

196103300171

Beräkning av vinst/förlust

Antal sålda andelar

Försäljningsdatum

3.1 Ersättning minus utgifter för avyttring

+

3.2 Verkligt omkostnadsbelopp

-

3.3 Vinst

(+) =

→ Om vinst fortsatt vid p. 3.5 nedan.

3.4a Förlust

(-) =

3.4b Förlust i p. 3.4a x 2/3

=

→ Förs till Inkomstdeklaration 1 p. 8.3.

Vinst som beskattas i TJÄNST

3.5 Ersättning minus utgifter för avyttring (samma som p. 3.1 ovan)

+

3.6 Omkostnadsbelopp (alternativt omräknat omkostnadsbelopp)

-

3.7a Om utdelning erhållits under året och innan avyttring:
sparat utdelningsutrymme enligt p. 1.9 eller 2.10 ovan,
till den del det hör till de överlåtna andelarna

-

3.7b Om utdelning erhållits efter delavyttring eller utdelning inte
erhållits under året: gränslöpp enligt p. 1.4 eller 2.5

-

3.8 Skattepliktig vinst som ska beskattas i tjänst (max 6 150 000 kr)

=

→ Förs till Inkomstdeklaration 1 p. 1.7.

Vinst som beskattas i KAPITAL

3.9 Vinst enligt p. 3.3 ovan

+

3.10 Belopp som beskattas i tjänst enligt p. 3.8 (max 6 150 000 kr)

-

3.11 Vinst i inkomstslaget kapital

=

Vinst i p. 3.11 som ryms inom separat utdelningsutrymme beskattas till 2/3 i inkomstslaget kapital.
Resterande del beskattas i sin helhet i inkomstslaget kapital.3.12 Belopp antingen enligt p. 3.7a x 2/3 eller 3.7b x 2/3. Om
beloppet i p. 3.7a eller 3.7b är större än vinsten i p. 3.11
tas istället 2/3 av vinsten i p. 3.11 upp.

+

3.13 Resterande vinst (p. 3.11 minus antingen p. 3.7a eller
p. 3.7b). Beloppet kan inte bli lägre än 0 kr.

+

3.14 Vinst som ska tas upp i inkomstslaget kapital

=

→ Förs till Inkomstdeklaration 1 p. 7.4.

Försäljning av mottagna (tillbytta) andelar☐ Den i avsnitt B redovisade överlåtelsen avser avyttring (ej efterföljande byte) av andelar som har förvärvats genom andelsbyte**C. Omräknat omkostnadsbelopp**Om du vid punkterna 2.1 och 3.6 använt dig av omräknat omkostnadsbelopp anger du här hur det omräknade omkostnadsbeloppet har beräknats. För att räkna fram ditt omräknade omkostnadsbelopp kan du ta hjälp av Skatteverkets hjälpblankett SKV 2110b som finns att hämta på www.skatteverket.se.☐ 4.1 Det omräknade omkostnadsbeloppet har beräknats enligt Indexregeln (andelar anskaffade före 1990)☐ 4.2 Det omräknade omkostnadsbeloppet har beräknats enligt Kapitalunderlagsregeln (andelar anskaffade före 1992)

D2017 Lönebaserat utrymme (Bara för dig som den 1 januari 2017 äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget)

Delägarens personnummer

196103300171

1. Lönekrav

Utöver kravet på att du ska äga andelar som motsvarar minst fyra procent av kapitalet i företaget får du bara beräkna lönebaserat utrymme om du eller någon närstående, under 2016 erhållit kontant ersättning från företaget och dess dotterföretag ¹ som uppgår till antingen 569 280 kr eller 355 800 kr med tillägg för fem procent av den sammanlagda kontanta ersättningen i företaget och dess dotterföretag ¹. När du räknar på lönekravet gäller för ersättning som betalats ut i dotterföretag att bara ersättningar utbetalda under den tid företaget ägt dotterföretaget räknas med. Hänsyn tas inte till hur stor del av dotterföretaget företaget äger. All ersättning som betalats ut under innehavstiden räknas med då lönekravet beräknas.

1. Lönekravet uppfylls av närstående (ange personnummer)

2. Lönekravet uppfylls genom eget löneuttag

5.1 Din kontanta ersättning från företaget och dess dotterföretag ¹ under 2016

5.2 Sammanlagd kontant ersättning i företaget och dess dotterföretag ¹ under 2016

5.3 (Punkt 5.2 x 5%) + 355 800 kr

(=)

Lönekrav: Punkt 5.1 måste vara lika med eller större än punkt 5.3 eller 569 280 kr.

	Organisationsnummer	Organisationsnummer	Organisationsnummer
Om löneuttag enligt ovan gjorts i dotterföretag			

2. Beräkning av lönebaserat utrymme

För andelar ägda under hela 2016

6.1 Kontant ersättning till arbetstagare under 2016 ²

+	
---	--

6.2 Kontant ersättning under 2016 till arbetstagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2016

+	
---	--

6.3 Löneunderlag

=	
---	--

6.4 Företagets lönebaserade utrymme (Löneunderlag enligt p. 6.3 x 50 %)

=	
---	--

6.5 Ditt lönebaserade utrymme för andelar ägda under hela 2016:

Företagets lönebaserade utrymme enligt p. 6.4 $\times$ $\frac{\text{Antal ägda andelar under hela året}}{\text{Totala antalet andelar vid 2016 års utgång}}$

=	
---	--

För andelar anskaffade under 2016

6.6 Kontant ersättning till arbetstagare som betalats ut under den del av 2016 som andelarna ägts ²

+	
---	--

6.7 Kontant ersättning under 2016 till arbetstagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2016 ³

+	
---	--

6.8 Löneunderlag avseende den tid under 2016 andelarna ägts

=	
---	--

6.9 Företagets lönebaserade utrymme avseende den tid under 2016 andelarna ägts (Löneunderlag enligt p. 6.8 x 50 %)

=	
---	--

6.10 Ditt lönebaserade utrymme för andelar som anskaffats under 2016:

Företagets lönebaserade utrymme enligt p. 6.9 $\times$ $\frac{\text{Antal andelar förvärvade under året}}{\text{Totala antalet andelar vid 2016 års utgång}}$

=	
---	--

6.11 Totalt lönebaserat utrymme (p. 6.5 plus 6.10) ⁴

=	
---	--

➡ Förs till K10 p. 2.2.

¹ Med dotterföretag avses företag där moderföretaget, direkt eller indirekt genom ett annat moderföretag, äger mer än 50 % av kapitalet. För att handelsbolag (eller en utländsk delägarbeskattad juridisk person) ska räknas som dotterföretag krävs att bolaget, direkt eller indirekt, är helägt av moderföretaget.

² Ersättningar som täcks av statliga lönebidrag ska inte räknas med.

³ Dessutom ska enbart ersättningar under den del av 2016 som du ägt andelen i moderföretaget beaktas.

⁴ Det totala lönebaserade utrymmet får inte överstiga ett belopp som motsvarar 50 gånger den kontanta ersättningen som du, eller närstående till dig, fått från företaget (eller dotterföretaget till företaget) under 2016.

K10M-4-29-2017P4



Du som har kvalificerade andelar i ett fåmansföretag ska lämna den här blanketten.
Ange belopp i hela kronor.

Inkomstår

Datum när blanketten fylls i

2017

2018-05-01

Delägarens namn

Personnummer

Antal ägda andelar vid
årets ingång

Deckmark, Ulf

196103300171

375

Företagets namn

Organisationsnummer

Totala antalet andelar i hela
företaget vid årets ingång

165590066873

500

A 1. Utdelning alternativ 1 - Förenklingsregeln¹

Beräkning av gränsbelopp

1.1 Årets gränsbelopp enligt förenklingsregeln

$$163\,075 \text{ kr} \times \frac{\text{Antal ägda andelar vid årets ingång}}{\text{Totala antalet andelar vid årets ingång}}$$

+	122 306
---	---------

1.2 Sparat utdelningsutrymme från föregående år x 103,27 %

+	599
---	-----

1.3 Gränsbelopp enligt förenklingsregeln

=	122 905
---	---------

1.4 Vid avyttring eller gåva innan utdelningstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlåtna andelarna

-	
---	--

1.5 Gränsbelopp att utnyttja vid p. 1.7

=	122 905
---	---------

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

1.6 Utdelning

+	122 250
---	---------

1.7 Gränsbeloppet enligt p. 1.5 ovan

-	122 905
---	---------

1.8 Utdelning som beskattas i tjänst (max 5 535 000 kr)

(+) =	
-------	--

1.9 Sparat utdelningsutrymme

(-) =	655
-------	-----

1.10 Vid delavyttring eller gåva efter utdelningstillfället: sparad utdelningsutrymme som är hänförligt till de överlåtna andelarna enligt p. 3.7a

-	
---	--

1.11 Sparat utdelningsutrymme till nästa år

=	655
---	-----

Utdelning som ska beskattas i KAPITAL

1.12 Utdelning

+	122 250
---	---------

1.13 Belopp som beskattas i tjänst enligt p. 1.8 ovan (max 5 535 000 kr)

-	
---	--

1.14 Utdelning i kapital

=	122 250
---	---------

Utdelning i p. 1.14 som ryms inom gränsbeloppet beskattas till 2/3 i inkomstlaget kapital. Resterande del beskattas i sin helhet i inkomstlaget kapital.

1.15 Beloppet i p. 1.5 x 2/3. Om beloppet i p. 1.5 är större än utdelningen i 1.14 tas istället 2/3 av utdelningen i 1.14 upp.

+	81 500
---	--------

1.16 Resterande utdelning (p. 1.14 minus p. 1.5). Beloppet kan inte bli lägre än 0 kr.

+	0
---	---

1.17 Utdelning som ska tas upp i kapital

=	81 500
---	--------

➔ Om beloppet är positivt ska det beskattas i inkomstlaget tjänst och förs till Inkomstdeklaration 1 p. 1.7 samt p. 1.13 nedan.

➔ Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

➔ Förs till Inkomstdeklaration 1 p. 7.2.

¹ Om du äger andelar i flera företag får du endast använda förenklingsregeln i ett av företagen. I övriga företag måste du använda huvudregeln.



A 2. Utdelning alternativ 2 - Huvudregeln

196103300171

Beräkning av gränsbelopp

2.1 Omkostnadsbelopp vid årets ingång (alternativt omräknat omkostnadsbelopp) x 9,27 %

+

2.2 Lönebaserat utrymme enligt avsnitt D p. 6.11 ¹⁾

+

2.3 Sparat utdelningsutrymme från föregående år x 103,27 %

+

2.4 Gränsbelopp enligt huvudregeln

=

2.5 Vid avyttring eller gåva innan utdelningstillfället (eller då utdelning inte sker avyttringsåret): gränsbelopp som är hänförligt till de överlåtna andelarna

-

2.6 Gränsbelopp att utnyttja vid p. 2.8

=

Utdelning som ska beskattas i TJÄNST och sparad utdelningsutrymme

2.7 Utdelning

+

2.8 Gränsbeloppet enligt p. 2.6 ovan

-

2.9 Utdelning som beskattas i tjänst (max 5 535 000 kr)

(+) =

2.10 Sparat utdelningsutrymme

(-) =

2.11 Vid delavyttring eller gåva efter utdelningstillfället: sparad utdelningsutrymme som är hänförligt till de överlåtna andelarna enligt p. 3.7a

-

2.12 Sparat utdelningsutrymme till nästa år

=

Utdelning som ska beskattas i KAPITAL

2.13 Utdelning

+

2.14 Belopp som beskattas i tjänst enligt p. 2.9 ovan (max 5 535 000 kr)

-

2.15 Utdelning i kapital

=

Utdelning i p. 2.15 som ryms inom gränsbeloppet beskattas till 2/3 i inkomstslaget kapital. Resterande del beskattas i sin helhet i inkomstslaget kapital.

2.16 Beloppet i p. 2.6 x 2/3. Om beloppet i p. 2.6 är större än utdelningen i 2.15 tas istället 2/3 av utdelningen i 2.15 upp.

+

2.17 Resterande utdelning (p. 2.15 minus p. 2.6). Beloppet kan inte bli lägre än 0 kr.

+

2.18 Utdelning som ska tas upp i kapital

=

➔ Om beloppet är positivt ska det beskattas i inkomstslaget tjänst och förs till Inkomstdeklaration 1 p. 1.7 samt p. 2.14 nedan.

➔ Om beloppet är negativt sparas det och kan användas vid beräkning av gränsbeloppet nästa år (eller vid vinstberäkning i år om utdelning skett innan avyttringen).

➔ Förs till Inkomstdeklaration 1 p. 7.2.

¹ Du får bara beräkna lönebaserat utrymme om du vid ingången av året (d.v.s. den 1 januari 2017) äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget.



B. Avyttring av aktier/andelar i fåmansföretag

196103300171

Beräkning av vinst/förlust

Antal sålda andelar

Försäljningsdatum

3.1 Ersättning minus utgifter för avyttring

+

3.2 Verkligt omkostnadsbelopp

-

3.3 Vinst

(+) =

→ Om vinst fortsatt vid p. 3.5 nedan.

3.4a Förlust

(-) =

3.4b Förlust i p. 3.4a x 2/3

=

→ Förs till Inkomstdeklaration 1 p. 8.3.

Vinst som beskattas i TJÄNST

3.5 Ersättning minus utgifter för avyttring (samma som p. 3.1 ovan)

+

3.6 Omkostnadsbelopp (alternativt omräknat omkostnadsbelopp)

-

3.7a Om utdelning erhållits under året och innan avyttring:
sparat utdelningsutrymme enligt p. 1.9 eller 2.10 ovan,
till den del det hör till de överlåtna andelarna

-

3.7b Om utdelning erhållits efter delavyttring eller utdelning inte
erhållits under året: gränslöslighet enligt p. 1.4 eller 2.5

-

3.8 Skattepliktig vinst som ska beskattas i tjänst (max 6 150 000 kr)

=

→ Förs till Inkomstdeklaration 1 p. 1.7.

Vinst som beskattas i KAPITAL

3.9 Vinst enligt p. 3.3 ovan

+

3.10 Belopp som beskattas i tjänst enligt p. 3.8 (max 6 150 000 kr)

-

3.11 Vinst i inkomstslaget kapital

=

Vinst i p. 3.11 som ryms inom sparad utdelningsutrymme beskattas till 2/3 i inkomstslaget kapital.
Resterande del beskattas i sin helhet i inkomstslaget kapital.3.12 Belopp antingen enligt p. 3.7a x 2/3 eller 3.7b x 2/3. Om
beloppet i p. 3.7a eller 3.7b är större än vinsten i p. 3.11
tas istället 2/3 av vinsten i p. 3.11 upp.

+

3.13 Resterande vinst (p. 3.11 minus antingen p. 3.7a eller
p. 3.7b). Beloppet kan inte bli lägre än 0 kr.

+

3.14 Vinst som ska tas upp i inkomstslaget kapital

=

→ Förs till Inkomstdeklaration 1 p. 7.4.

Försäljning av mottagna (tillbytta) andelar☐ Den i avsnitt B redovisade överlåtelsen avser avyttring (ej efterföljande byte) av andelar som har förvärvats genom andelsbyte**C. Omräknat omkostnadsbelopp**Om du vid punkterna 2.1 och 3.6 använt dig av omräknat omkostnadsbelopp anger du här hur det omräknade omkostnadsbeloppet har beräknats. För att räkna fram ditt omräknade omkostnadsbelopp kan du ta hjälp av Skatteverkets hjälpblankett SKV 2110b som finns att hämta på www.skatteverket.se.☐ 4.1 Det omräknade omkostnadsbeloppet har beräknats enligt Indexregeln (andelar anskaffade före 1990)☐ 4.2 Det omräknade omkostnadsbeloppet har beräknats enligt Kapitalunderlagsregeln (andelar anskaffade före 1992)

Lönebaserat utrymme (Bara för dig som den 1 januari 2017 äger andelar i företaget som motsvarar minst fyra procent av kapitalet i företaget)

Delägarers personnummer

196103300171

1. Lönekrav

Utöver kravet på att du ska äga andelar som motsvarar minst fyra procent av kapitalet i företaget får du bara beräkna lönebaserat utrymme om du eller någon närstående, under 2016 erhållit kontant ersättning från företaget och dess dotterföretag ¹ som uppgår till antingen 569 280 kr eller 355 800 kr med tillägg för fem procent av den sammanlagda kontanta ersättningen i företaget och dess dotterföretag ¹. När du räknar på lönekravet gäller för ersättning som betalats ut i dotterföretag att bara ersättningar utbetalda under den tid företaget ägt dotterföretaget räknas med. Hänsyn tas inte till hur stor del av dotterföretaget företaget äger. All ersättning som betalats ut under innehavstiden räknas med då lönekravet beräknas.

1. Lönekravet uppfylls av närstående (ange personnummer)

2. Lönekravet uppfylls genom eget löneuttag

5.1 Din kontanta ersättning från företaget och dess dotterföretag ¹ under 2016

5.2 Sammanlagd kontant ersättning i företaget och dess dotterföretag ¹ under 2016

5.3 (Punkt 5.2 x 5%) + 355 800 kr

(=)

Lönekrav: Punkt 5.1 måste vara lika med eller större än punkt 5.3 eller 569 280 kr.

	Organisationsnummer	Organisationsnummer	Organisationsnummer
Om löneuttag enligt ovan gjorts i dotterföretag			

2. Beräkning av lönebaserat utrymme

För andelar ägda under hela 2016

6.1 Kontant ersättning till arbetstagare under 2016 ²

6.2 Kontant ersättning under 2016 till arbetstagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2016

6.3 Löneunderlag

6.4 Företagets lönebaserade utrymme (Löneunderlag enligt p. 6.3 x 50 %)

6.5 Ditt lönebaserade utrymme för andelar ägda under hela 2016:

Företagets lönebaserade utrymme enligt p. 6.4 $\times \frac{\text{Antal ägda andelar under hela året}}{\text{Totala antalet andelar vid 2016 års utgång}}$

För andelar anskaffade under 2016

6.6 Kontant ersättning till arbetstagare som betalats ut under den del av 2016 som andelarna ägts ²

6.7 Kontant ersättning under 2016 till arbetstagare i dotterföretag ¹ i förhållande till moderföretagets ägda andel och innehavstid under 2016 ³

6.8 Löneunderlag avseende den tid under 2016 andelarna ägts

6.9 Företagets lönebaserade utrymme avseende den tid under 2016 andelarna ägts (Löneunderlag enligt p. 6.8 x 50 %)

6.10 Ditt lönebaserade utrymme för andelar som anskaffats under 2016:

Företagets lönebaserade utrymme enligt p. 6.9 $\times \frac{\text{Antal andelar förvärvade under året}}{\text{Totala antalet andelar vid 2016 års utgång}}$

6.11 Totalt lönebaserat utrymme (p. 6.5 plus 6.10) ⁴

➔ Förs till K10 p. 2.2.

¹ Med dotterföretag avses företag där moderföretaget, direkt eller indirekt genom ett annat moderföretag, äger mer än 50 % av kapitalet. För att handelsbolag (eller en utländsk delägarbeskattad juridisk person) ska räknas som dotterföretag krävs att bolaget, direkt eller indirekt, är helägt av moderföretaget.

² Ersättningar som täcks av statliga lönebidrag ska inte räknas med.

³ Dessutom ska enbart ersättningar under den del av 2016 som du ägt andelen i moderföretaget beaktas.

⁴ Det totala lönebaserade utrymmet får inte överstiga ett belopp som motsvarar 50 gånger den kontanta ersättningen som du, eller närstående till dig, fått från företaget (eller dotterföretaget till företaget) under 2016.

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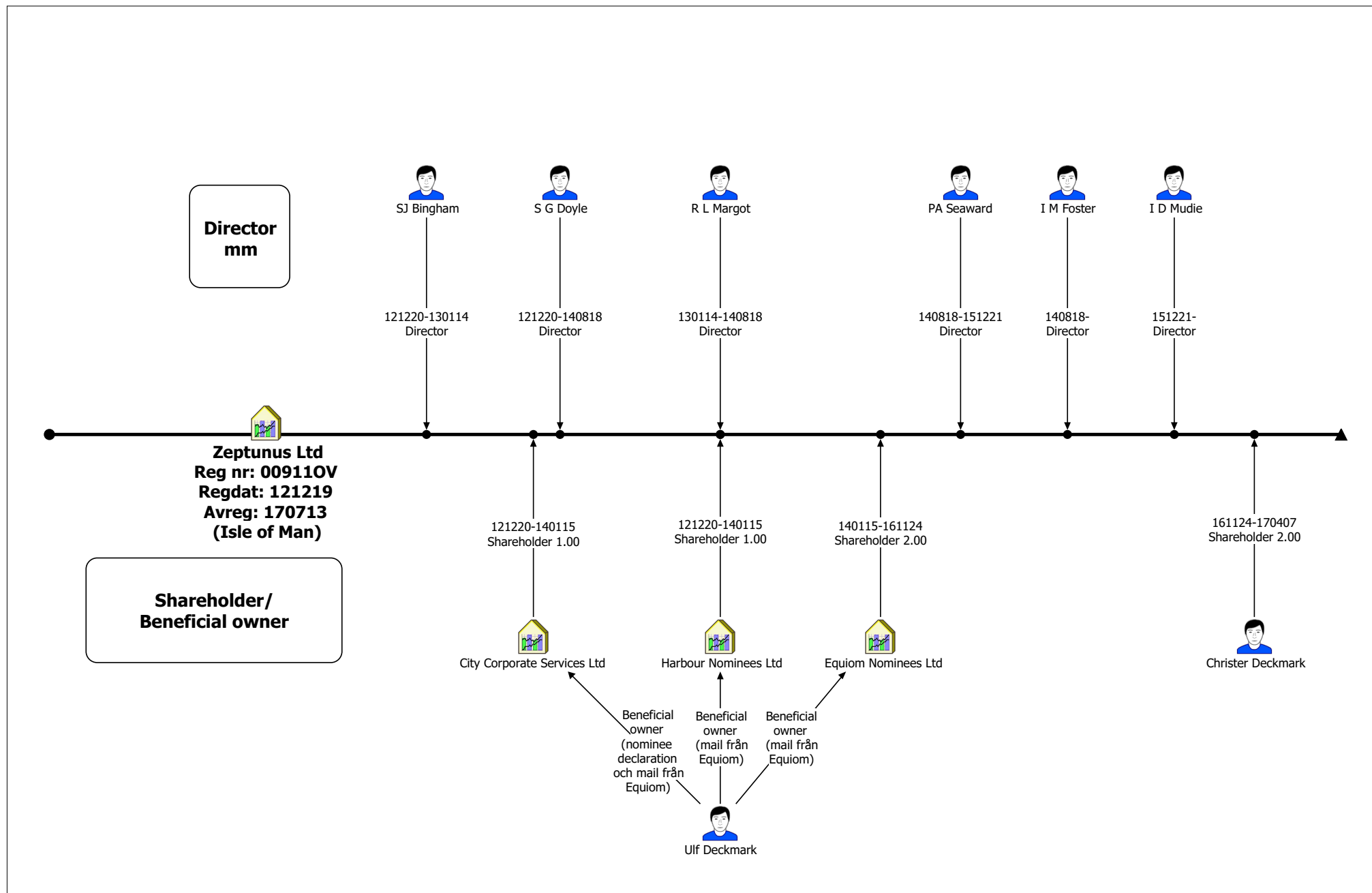


Exhibit No: JB/001

Description of Item

Statement of Justine Bowen

Date: 17th July 2018

A handwritten signature in black ink, appearing to read 'Justine', with a large, stylized '2' written below it.

High Bailiff.

Witness Statement

Name: Justine Bowen

Date of Birth: 25/11/71

This statement consisting of two pages each signed by me, is true to the best of my knowledge and belief and I make it knowing that, if it is tendered in evidence, I shall be liable to prosecution if I have wilfully stated in it anything which I know to be false or do not believe to be true.

Dated the 17th day of July 2018

Signed: *Justine Bowen*

I am Justine Bowen and I am a Senior Manager and Money Laundering Reporting Officer for Equiom (Isle of Man) Limited, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH. I am attending court today as a representative of Equiom (Isle of Man) Limited having been served a summons issued by the High Bailiff issued under Section 21 of the Criminal Justice Act 1991 to give evidence relating to Zeptunus Limited.

The evidence and documentation I am exhibiting is extracted from the documentation I have identified from a review of the records maintained by Equiom (Isle of Man) Limited as a corporate and trust service provider to Zeptunus Limited and which, due to the nature of my role as the Money Laundering Reporting Officer of Equiom (Isle of Man) Limited, I have examined following receipt of the summons.

Please note the following:

I confirm Zeptunus Limited has been liquidated and dissolved on 13/07/17.

I exhibit the certificate of incorporation for Zeptunus Limited.

Exhibit JB/002

I exhibit the register of directors and other registered officials (including the Liquidator) of Zeptunus Limited, together with company minutes regarding the appointment and resignation of directors.

Equiom (Isle of Man) Limited has no information regarding power of attorney holders or legal advisers appointed in relation to Zeptunus Limited.

Exhibit JB/003

I exhibit documents regarding persons who had power to enter into contracts and signatories on Zeptunus Limited's bank accounts. Please note the directors and members of staff of Equiom (Isle of Man) Limited were the persons who had power to enter into contracts and were the signatories on the company's bank account. Also see Exhibit JB/003.

Exhibit JB/004

I exhibit the register of members, share certificates, stock transfer forms in relation to Zeptunus Limited.

Exhibit JB/005

I exhibit documents (KYC, Nominee agreement) relating to the beneficial owner(s) of Zeptunus Limited.

Exhibit JB/006

I exhibit the Memorandum and Articles of Association which evidence the first registered office of Zeptunus Limited. I also exhibit Company Registry forms changing the registered office of Zeptunus Limited.

Exhibit JB/007

I exhibit the Financial Statements and/or Trial Balance of Zeptunus Limited, for the following periods:

- 19/12/12 to 31/12/13
- Year ended 31/12/13
- Year ended 31/12/14
- Year ended 31/12/15
- To the period 12/12/16 and 16/12/16

Exhibit JB/008

I exhibit the following in relation to the bank account in the name of Zeptunus Limited. Zeptunus Limited had one account with The Royal Bank of Scotland, account number 58608545:

- Account opening documentation, including account signatories and correspondence
- Bank statements (July 2013 to December 2016)
- Documents relating to transactions in excess of GBP 5,000, USD 5,000, and/or EUR 5,000.

Exhibit JB/009

In order to carry out my duties I have access to all the company records both manual and computerised relating to Equiom (Isle of Man) Limited. The documents to which I refer are derived from and form part of the records relating to Zeptunus Limited and were compiled in the ordinary course of business from information recorded by persons who had, or may reasonably be supposed to have had, personal knowledge of the matters dealt with in the information they supplied. The person or person who supplied the information recorded in the records cannot reasonably be expected to have any recollection of the matters dealt with in the information they supplied.

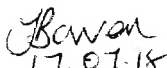
Signature: 
17.07.18

Exhibit No: JB/002

Description of Item

Certificate of incorporation

Date: 17th July 2018



High Bailiff.



Isle of Man
Government
Reillys Ellan Vaunin

009110V

ISLE of MAN
COMPANIES ACT 2006

Certificate of Incorporation

Section 3(1)(c)

THE REGISTRAR OF COMPANIES hereby certifies that

ZEPTUNUS LIMITED

is this day incorporated under the Companies Act 2006.

This 19th day of December 2012

**Department of Economic Development
Registrar of Companies**

The Companies Registry is part of the Department of Economic Development. This certificate does not constitute a licence to conduct banking, investment, CSP, insurance or other business regulated by the Financial Supervision Commission or the Insurance and Pensions Authority.

Exhibit No: JB/004

Description of Item

Documents regarding signatories

Date: 17th July 2018

A handwritten signature in black ink, appearing to be 'J. J. J.', written in a cursive style.

High Bailiff.



Corporate and Trust Services

First Floor, Ragnhall House, 18 Peel Road, Douglas, Isle of Man IM1 4
Tel: 44 (0) 1624 661881; Fax: 44 (0) 1624 611423
E-mail: enquiries@city-trust.com; Web: www.city-trust.com

22 January 2013

Lorraine Ironside
RBS International
Corporate Banking
PO Box 111
Royal Bank House
2 Victoria Street
Douglas
Isle of Man
IM99 1NJ

Dear Lorraine

Zeptunus Limited/[REDACTED] Limited/[REDACTED] Limited

Further to our telephone conversation, please find enclosed the company mandate pages duly signed by Rodney as a Director on the Companies.

As discussed please replace these pages on the mandate sent to you.

If you have any queries please either contact Steve Doyle or myself.

Kind regards

Yours sincerely

Jenny Mackintosh



— International —

Company Manda

Please complete this form in BLOCK CAPITALS and in black ink

1. Meanings of words used in this Authority

'Account' means an account or accounts held with the Bank in the name of the Company
 'Bank' means The Royal Bank of Scotland International Limited

'Instructions' means

- (a) cheques or other orders for payment, bills accepted and promissory notes or negotiable instruments made, drawn or given on the Account;
 (b) instructions to countermand payment of cheques, bills of exchange or other orders;
 (c) endorsement on behalf of the Company of cheques and other orders, drafts, bills, promissory notes and deposit receipts payable or in the name of the Company;
 (d) instructions to withdraw securities, documents or articles lodged for safe custody in the name of the Company;
 (e) requests for borrowing facilities by way of loan, overdraft, discount or otherwise, and whether secured or otherwise;
 (f) indemnities, guarantees and bonds, and instructions for the opening of credits or the issue of guarantees, bonds or indemnities by the Bank;
 (g) instructions for discounting inland or foreign bills;
 (h) instructions to withdraw, deliver, dispose of or deal with any property, documents or securities held in name of the Company;
 (i) instructions to open further Account(s) or close Account(s) in name of the Company;
 (j) any instruction, request, agreement, undertaking or document necessary for the provision of any of the above purposes; or otherwise for the provision of banking services (including, in particular, any agreement for the provision of these services by electronic, internet-based or similar means); or giving of information, as between each of the Bank and the Company.

2. Meeting details

Excerpt from Meeting of the Directors of Zeptonus Limited

held at 1st floor, Ragdoll House, 15 Peel Road, Douglas, Isle of Man (the 'Company')
 on the 14th
 it was resolved that: The Royal Bank of Scotland International Limited be requested to act as the bankers of the Company
 day of January 2013

3. Authority

- (i) The Bank is requested to open or continue an Account in the name of the Company and is authorised to accept Instructions from:-

Any two Signatories of the Signatory Panel of City Trust Limited for clients in force from time to time

on behalf of the Company, even if the Account becomes overdrawn as a result of the Instructions.

- (ii) Where Instructions to the Bank are given in any format that is not in writing ['writing' means for this purpose a document bearing an original written authorised signature(s)] the Bank is entitled to accept them if the Bank is satisfied that the Instruction is genuine. The Company accepts that the Bank may require us to enter into a separate agreement(s) with the Bank and/or comply with any further conditions covering any means of providing the Bank with Instructions that are not in writing.
 (iii) The Company accepts that in the event of a winding up petition being presented against the Company, the Bank may refuse to make payments out of the Account.

4. Constitution of the Company

The Bank is to be given a list of names of the Directors, Company Secretary and other Officers of the Company and to be advised of any alterations to the list.
 All lists and notifications provided to the Bank from time to time shall be certified as correct by the Company Secretary or a Director.
 Resolutions shall be certified by the Company Secretary.
 The Bank is to be given a certified list of names of the authorised signatories with their specimen signatures.
 The Bank will be advised of additions to the list by way of certified Resolutions of the Company. The Bank will be notified of resignations and retirements of those authorised to give Instructions.
 This Authority shall continue until receipt by the Bank of a certified copy of a later Board Resolution amending or rescinding this Resolution.

5. Certification – I hereby certify that the above is a true Excerpt from the Minutes of the Meeting of Directors of the Company at which the quorum required by the Articles of Association of the Company was present and that the specimen signatures attached are correct.

Signature of Company Secretary Director

[Handwritten signature]

Please note – when filling out this form please use the tab and arrow keys to move between the relevant fields. Ensure you do **not** use the return or enter keys.

This form should be used to refresh details of existing accounts.

1. Customer details

Full business name

Account number Sort code

2. Registered address

Address line 1

Address line 2

Address line 3

Address line 4 OR overseas country

Postcode

3. Additional information

Country of registration/formation

Registration number (where applicable)

Jurisdictions in which you conduct business

Country where principal place of business is located

Date business started trading (DD/MM/YYYY) Financial year end date (DD/MM/YYYY)

4 Purpose / intended nature of business relationship. If 'Investments please state either a) for personal investment OR for third parties (detail what type of investments i.e. property, shares, where the investments are being made etc. – we may need supporting information.)

5. Type, volume and value of activity expected. (Please state expected turnover on the account for the next 12 months and expected level of activity / usage / number of transactions)

6. Source of wealth. (Please provide details of where wealth originated, i.e. savings from earnings [please state time period], sale of property etc., how contribution to start up business has been generated. Generic answers such as investments and savings are not acceptable. We may require supporting documentation.)

Already known to Bank

7. Personal details

For details of how we and others will use your information, please look for the padlock symbol below and in the accompanying Terms (see Section 9).

Please give full names and capacity in the business (e.g. beneficial owner [25% or more shareholding], director, company secretary, partner) below. Use additional copies of this sheet as required.

Please note where there are any new parties to the account not known or previously advised to the bank we may approach you for due diligence.

Party oneName Capacity % ownership **Party two**Name Capacity % ownership **Party three**Name Capacity % ownership **Party four**Name Capacity % ownership **Party five**Name Capacity % ownership

Party one

Title Mr ☒ Mrs ☐ Miss ☐ Ms ☐ Other ☐
(Please specify)

Surname DECKMARK

First name(s) ULF ROLAND

Nationality (where dual nationality is held please advise both) SWEDISH

Gender MALE

Date of birth (dd/mm/yyyy) 30/03/1961

Full residential address KOMTVAGEN 17

Address line 2 8FL 18348 TABY

Address line 3

Address line 4 OR overseas country SWEDEN

Postcode

Date of entry to above address (DD/MM/YYYY)

If you have an account with RBS International please provide details:

Account name

Account holding branch

Account number

Sort code

Declaration and signature

I confirm that the information given in this form is true and complete.

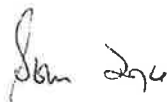
Fraud prevention agencies

If false or inaccurate information is provided and fraud is identified or suspected, details may be passed to fraud prevention agencies. We may also obtain information about you from fraud prevention agencies.

Giving your consent

By signing this application you are agreeing that we may use your information in the way described in this form and in the associated Terms and Conditions.

Signature



Date (DD/MM/YYYY)

04/12/13

The Royal Bank of Scotland International Limited (RBS International). Registered Office: P.O. Box 64, Royal Bank House, 71 Bath Street, St. Helier, Jersey JE4 8PJ. Regulated by the Jersey Financial Services Commission. Guernsey business address: P.O. Box 62, Royal Bank Place, 1 Glatigny Esplanade, St. Peter Port, Guernsey, GY1 4BQ. Regulated by the Guernsey Financial Services Commission and licensed under the Banking Supervision (Bailiwick of Guernsey) Law, 1994, as amended, the Insurance Managers and Insurance Intermediaries (Bailiwick of Guernsey) Law, 2002, and the Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended. Isle of Man business address: Royal Bank House, 2 Victoria Street, Douglas, Isle of Man IM99 1NJ. Licensed by the Financial Supervision Commission of the Isle of Man and registered with the Insurance and Pensions Authority in respect of general business. Calls may be recorded. Internal e-mails are not necessarily secure as information might be intercepted, lost or destroyed. Please do not e-mail any account or other confidential information.

OFF200470RBSI (22/03/2013)

Title	Mr ☐	Mrs ☐	Miss ☐	Ms ☐	Other ☐	 (Please specify)
Surname						
First name(s)						
Nationality (where dual nationality is held please advise both)						
Gender						
Date of birth (dd/mm/yyyy)						
Full residential address						
Address line 2						
Address line 3						
Address line 4 OR overseas country						
Postcode						
Date of entry to above address (DD/MM/YYYY)						
If you have an account with RBS International please provide details:						
Account name						
Account holding branch						
Account number						
Sort code						

Declaration and signature

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Title ☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐
(Please specify)

Surname

First name(s)

Nationality (where dual nationality is held please advise both)

Gender

Date of birth (dd/mm/yyyy)

Full residential address

Address line 2

Address line 3

Address line 4 OR overseas country

Postcode

Date of entry to above address (DD/MM/YYYY)

If you have an account with RBS International please provide details:

Account name

Account holding branch

Account number

Sort code

Declaration and signature

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Title ☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐
(Please specify)

Surname

First name(s)

Nationality (where dual nationality is held please advise both)

Gender

Date of birth (dd/mm/yyyy)

Full residential address

Address line 2

Address line 3

Address line 4 OR overseas country

Postcode

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Title ☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐
(Please specify)

Surname

First name(s)

Nationality (where dual nationality is held please advise both)

Gender

Date of birth (dd/mm/yyyy)

Full residential address

Address line 2

Address line 3

Address line 4 OR overseas country

Postcode

Date of entry to above address (DD/MM/YYYY)

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Account name

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Account number

Sort code

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The current terms of your accounts and services and a summary of how we use personal information and our regulatory details are available online. Please save or print a copy and read the document(s) carefully.

Please go to www.rbsint.com/terms and enter **RBSICAT** as the product code.

Alternatively, please ask your Relationship Manager for a copy of the Terms and the personal information summary.

By signing:

- You agree we may use the personal information you have supplied in the way described in this form (including in the 'Keeping you informed' section below) and in the personal information summary.
- You agree to the Terms for accounts and services held.
- You confirm the details provided are correct and agree to notify us of any changes

Signature: Director/Partner

Signature: Director/Partner

Name (in full) **STEPHEN GERARD DOYLE**

Name (in full) **Rodney Leonard Margot**

Date (DD/MM/YYYY) **04/12/13**

Date (DD/MM/YYYY) **04/12/13**

9. Contact details

Please provide a contact name, number and email address, in case of referral.

Name **STEPHEN G. DOYLE**

Telephone number **01624 699111**

Email address **StephenDoyle@Equiom-im**

1 Keeping you informed

We would like to keep your contacts informed by letter, phone and electronic means (including e-mail and mobile messaging) about products, services and additional benefits that we believe may be of interest to you.

If this is not required, please place a cross in this box. ☒

Exhibit No: JB/005

Description of Item

Register of members, share certificates etc..

Date: 17th July 2018

A handwritten signature in black ink, appearing to be 'J. J. J.', written over a horizontal line.

High Bailiff.

Zeptunus Limited

Registered No.
009110V

Register of Members - Historical Data as of 18/06/18

Currency Code	Share Class	Share Class Description	Authorised	Par Value	Issued Shares	Issued Value			
GBP	ORDINARY	Ordinary	2,000.00	1.00	0.00	0.00			
Share Holder		EN000543	Equiom Nominees Limited	First Floor, Jubilee Buildings, Victoria Street, Douglas, IM1 2SH, Isle of Man					
Date Appointed	15/01/14	Date Ceased to be a Member		24/11/16					
Posting Date	Certificate No.	Shares	Amount	Amount Paid	Running Balance	Description	Allocation Method	Share Numbers	
15/01/14	3	2.00	2.00	2.00	2.00	0.00 Issue of a Fully Paid 1.00 GBP ORDINARY Share.	Individual	-	
24/11/16	3	-2.00	-2.00	-2.00	0.00	0.00 Cancellation of Fully Paid 1.00 GBP ORDINARY Share Certificate.	Individual	-	
Total for Share Holder		0.00		0.00	0.00				
Share Holder		EN009427	City Corporate Services Limited	First Floor, Jubilee Buildings, Victoria Street, Douglas, IM1 2SH, Isle of Man					
Date Appointed	20/12/12	Date Ceased to be a Member		15/01/14					
Posting Date	Certificate No.	Shares	Amount	Amount Paid	Running Balance	Description	Allocation Method	Share Numbers	
20/12/12		1.00	1.00	1.00	1.00	0.00 Issue of a Fully Paid 1 GBP ORDINARY Share Certificate.	Individual	-	
15/01/14		-1.00	-1.00	-1.00	0.00	0.00 Cancellation of Fully Paid 1.00 GBP ORDINARY Share Certificate.	Individual	-	
Total for Share Holder		0.00		0.00	0.00				
Share Holder		EN009428	Harbour Nominees Limited	First Floor, Jubilee Buildings, Victoria Street, Douglas, IM1 2SH, Isle of Man					
Date Appointed	20/12/12	Date Ceased to be a Member		15/01/14					
Posting Date	Certificate No.	Shares	Amount	Amount Paid	Running Balance	Description	Allocation Method	Share Numbers	
20/12/12		1.00	1.00	1.00	1.00	0.00 Issue of a Fully Paid 1 GBP ORDINARY Share Certificate.	Individual	-	
15/01/14		-1.00	-1.00	-1.00	0.00	0.00 Cancellation of Fully Paid 1.00 GBP ORDINARY Share Certificate.	Individual	-	
Total for Share Holder		0.00		0.00	0.00				
Share Holder		EN035963	Mr Christer Bertil Deckmark	34 Romfort Road, Pembury, Tunbridge Wells, TN2 4JA					
Date Appointed	24/11/16	Date Ceased to be a Member		07/04/17					
Posting Date	Certificate No.	Shares	Amount	Amount Paid	Running Balance	Description	Allocation Method	Share Numbers	
24/11/16	4	2.00	2.00	2.00	2.00	0.00 Issue of a Fully Paid 1.00 GBP ORDINARY Share.	Individual	-	

18 June 2018

Page 2

EQUJOMVAROYLE

Individual

-2.00	0.00	0.00	0.00	Cancellation of Fully Paid 1.00 GBP ORDINARY Share Certificate.
-------	------	------	------	---

-2.00

-2.00

0.00

07/04/17 4

Total for Share Holder

Incorporated under the Isle of Man Companies Act 2006

Company Number 009110V

No. of Certificate

4

No. of Shares

2.00

Zeptunus Limited

THIS IS TO CERTIFY that Mr Christer Bertil Deckmark of 34 Romfort Road, Pembury, Tunbridge Wells, TN2 4JA is the registered holder of TWO (2.00) Ordinary Shares of GBP ONE (1.00) each Fully Paid in the above-named company, subject to the Memorandum and Articles of Association of the company.

Signed for and on behalf of the Company, the 24th day of November, 2016.



Director

Director/Secretary

STOCK
TRANSFER
FORM**J30**

(Above this line for Registrars only)

Certificate lodged with the Registrar

Consideration money £2

(For completion by the Registrar/Stock Exchange)

Name of Undertaking

Zeptunus Limited

Description of Security

Ordinary

Number or amount
of Shares, Stock or
other security and, in
figures column only,
number and denomination
of units, if any

Words

TWO

Figures

(2 units of £1)

Name(s) of registered
holder(s) should be given
in full; the address should
be given where there is only
one holder.

In the name(s) of:

Equiom Nominees Limited

If the transfer is not made
by the registered holder(s)
insert also the name(s) and
capacity (e.g., Executor(s) of
the person(s) making the
transferFirst Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH
Isle of ManI / We hereby transfer the above security out of the name(s) aforesaid to the person(s)
named below

Signature(s) of transferor(s):

Stamp of selling Broker(s) or, for
transactions which are not stock
exchange transactions, of Agent(s),
if any, acting for the transferor(s)

Date: 24/11/16

Full name(s) and full
postal address(es)
(including County or, if
applicable, Postal
District number) of the
person(s) to whom the
security is transferred.Mr Christer Bertil Deckmark
34 Romford Road
Pembury
Tunbridge Wells
TN2 4JAPlease state title, if
any, or whether Mr.,
Mrs., Miss., or Ms.Please complete in
type writing or in Block
Capitals.

I / We request that such entries be made in the register as are necessary to give effect to the transfer.

Stamp of Buying Broker(s) (if any)

Stamp or name and address of person lodging this
form (if other than the Buying Broker(s))

**FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS EXEMPT FROM
AD VALOREM STAMP DUTY AS BELOW THRESHOLD**

* Please delete
as appropriate

* I / We certify that the transaction effected by this instrument does not form part of a larger transaction or series of transactions in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.

** Delete second
sentence if
certificate is
given by
transferor

* I / We confirm that *I /we have been duly authorised by the transferor to sign this certificate and that the facts of the transaction are within *my / our knowledge. **

Signature(s)

**Description: ("Transferor", "Solicitor", etc.*

Date _____

NOTES

- (1) If the above certificate has been completed, this transfer does not need to be submitted to the Stamp Office but should be sent directly to the Company or its Registrars.
- (2) If the above certificate is not completed, this transfer must be submitted to the Stamp Office and duly stamped.

Incorporated under the Isle of Man Companies Acts 2006
Company Number 009110V

Share Certificate No: 3

No. of Shares: 2

Zeptunus Limited

THIS IS TO CERTIFY that Equiom Nominees Limited of First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH is the registered holder of TWO (2) Ordinary Shares of GBP ONE POUND (£1.00) each Fully Paid in the above-named Company, subject to the Memorandum and Articles of Association of the Company.

Executed by the Company the 15th day of January 2014



Director



Director

Certificate number 2 for 1 Shares issued to Harbour Nominees Limited
of First Floor, Ragnall House, Peel Road, Douglas, Isle of Man, IM1 4LZ

Dated 20/12/2012

ZEPTUNUS LIMITED

No. of Certificate

TWO

No. of Shares

ONE

**SHARE CERTIFICATE
OF
ZEPTUNUS LIMITED**

Incorporated under the Isle of Man Companies Act 2006

THIS IS TO CERTIFY that Harbour Nominees Limited

of First Floor, Ragnall House, Peel Road, Douglas, Isle of Man is/are the Registered

Holder(s) of One Share of One Pound each

subject to the Memorandum and Articles of Association of the Company.

GIVEN this 20th day of December 2012



Director



Director/Secretary

Certificate number 1 for 1 Shares issued to City Corporate Services Limited
of First Floor, Ragnall House, Peel Road, Douglas, Isle of Man, IM1 4LZ

Dated 20/12/2012

ZEPTUNUS LIMITED

**SHARE CERTIFICATE
OF
ZEPTUNUS LIMITED**

Incorporated under the Isle of Man Companies Act 2006

No. of Certificate

ONE

No. of Shares

ONE

THIS IS TO CERTIFY that City Corporate Services Limited

of First Floor, Ragnall House, Peel Road, Douglas, Isle of Man is/are the Registered

Holder(s) of One Share of One Pound each

subject to the Memorandum and Articles of Association of the Company.

GIVEN this 20th day of December 2012


Director


Director/Secretary

STOCK
TRANSFER
FORM**J30**

(Above this line for Registrars only)

Certificate lodged with the Registrar

Consideration money

(For completion by the Registrar/Stock Exchange)

Name of Undertaking

Zeptunus Limited

Description of Security

Ordinary

Number or amount
of Shares, Stock or
other security and, in
figures column only,
number and denomination
of units, if any

Words

ONE

Figures

(1 units of £1)

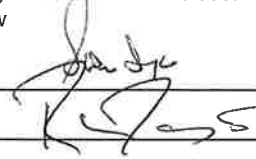
Name(s) of registered
holder(s) should be given
in full; the address should
be given where there is only
one holder.

In the name(s) of:

City Corporate Services Limited

If the transfer is not made
by the registered holder(s)
insert also the name(s) and
capacity (e.g., Executor(s) of
the person(s) making the
transferFirst Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH
Isle of ManI / We hereby transfer the above security out of the name(s) aforesaid to the person(s)
named below

Signature(s) of transferor(s):


Stamp of selling Broker(s) or,
for transactions which are not stock
exchange transactions, of Agent(s),
if any, acting for the transferor(s)

Date: 15/01/14

Full name(s) and full
postal address(es)
(including County or, if
applicable, Postal
District number) of the
person(s) to whom the
security is transferred.Please state title, if
any, or whether Mr.,
Mrs., Miss., or Ms.Please complete in
type writing or in Block
Capitals.

Equiom Nominees Limited

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH
Isle of Man

I / We request that such entries be made in the register as are necessary to give effect to the transfer.

Stamp of Buying Broker(s) (if any)

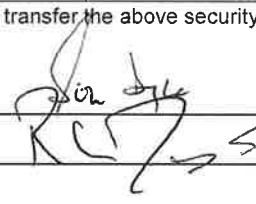
Stamp or name and address of person lodging this
form (if other than the Buying Broker(s))

FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS EXEMPT FROM AD VALOREM STAMP DUTY AS BELOW THRESHOLD	
* Please delete as appropriate	* I / We certify that the transaction effected by this instrument does not form part of a larger transaction or series of transactions in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.
** Delete second sentence if certificate is given by transferor	* I / We confirm that *I /we have been duly authorised by the transferor to sign this certificate and that the facts of the transaction are within *my / our knowledge. **
Signature(s)	*Description: ("Transferor", "Solicitor", etc.

Date _____

NOTES

- (1) If the above certificate has been completed, this transfer does not need to be submitted to the Stamp Office but should be sent directly to the Company or its Registrars.
- (2) If the above certificate is not completed, this transfer must be submitted to the Stamp Office and duly stamped.

STOCK TRANSFER FORM J30	(Above this line for Registrars only)	
	Certificate lodged with the Registrar	
	Consideration money	
	(For completion by the Registrar/Stock Exchange)	
	Name of Undertaking	Zeptunus Limited
	Description of Security	Ordinary
	Number or amount of Shares, Stock or other security and, in figures column only, number and denomination of units, if any	Words ONE Figures (1 units of £1)
	Name(s) of registered holder(s) should be given in full; the address should be given where there is only one holder.	In the name(s) of:
	If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g., Executor(s) of the person(s) making the transfer	Harbour Nominees Limited First Floor Jubilee Buildings Victoria Street Douglas IM1 2SH Isle of Man
	I / We hereby transfer the above security out of the name(s) aforesaid to the person(s) named below  _____ _____ _____ Signature(s) of transferor(s):	
Stamp of selling Broker(s) or, for transactions which are not stock exchange transactions, of Agent(s), if any, acting for the transferor(s) Date: 15/01/14		
Full name(s) and full postal address(es) (including County or, if applicable, Postal District number) of the person(s) to whom the security is transferred. Please state title, if any, or whether Mr., Mrs., Miss, or Ms. Please complete in type writing or in Block Capitals.	Equiom Nominees Limited First Floor Jubilee Buildings Victoria Street Douglas IM1 2SH Isle of Man	
I / We request that such entries be made in the register as are necessary to give effect to the transfer.		
Stamp of Buying Broker(s) (if any)	Stamp or name and address of person lodging this form (if other than the Buying Broker(s))	

**FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS EXEMPT FROM
AD VALOREM STAMP DUTY AS BELOW THRESHOLD**

* Please delete
as
appropriate

* I / We certify that the transaction effected by this instrument does not form part of a larger transaction or series of transactions in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.

** Delete
second
sentence if
certificate is
given by
transferor

* I / We confirm that *I /we have been duly authorised by the transferor to sign this certificate and that the facts of the transaction are within *my / our knowledge. **

Signature(s)

*Description: ("Transferor", "Solicitor", etc.

Date _____

NOTES

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ZEPTUNUS LIMITED

("the Company")

HELD AT FIRST FLOOR, JUBILEE BUILDINGS, VICTORIA STREET, DOUGLAS,
ISLE OF MAN, IM1 2SH
ON 15TH DAY OF JANUARY 2014

4211015 +
mins**PRESENT:**

Mr Stephen Gerard Maurice Doyle
Mr Rodney Leonard Margot

CHAIRMAN:

Mr Doyle was nominated by those present to act as Chairman of the meeting.

NOTICE AND QUORUM:

The Chairman noted that a quorum was present and the Directors having confirmed that they had received due notice of the meeting, the Chairman declared the meeting open.

DECLARATION OF DIRECTORS' INTERESTS:

IT WAS NOTED that in accordance with Section 104 of the Isle of Man Companies Act 2006, that any Director who has, directly or indirectly, an interest in a transaction entered into or proposed to be entered into by the Company or by a subsidiary of the Company which to a material extent conflicts or may conflict with the interests of the Company and of which the director is aware, shall disclose to the Company the nature and extent of the directors' interest.

The Directors present confirmed they had no material interests in any of the transactions proposed on the agenda for the meeting.

BUSINESS:**Share Transfers**

Mr Doyle presented the following share transfer forms to the meeting:

City Corporate Services Limited transferring 1 Ordinary share of £1.00 to Equiom Nominees Limited.

Harbour Nominees Limited transferring 1 Ordinary share of £1.00 to Equiom Nominees Limited.

It was resolved that the above share transfers are hereby approved and registered in the books of the Company with effect from the end of this meeting.

Share Certificates

It was resolved that the following share certificates be cancelled:

Share certificate number 1 in the name of City Corporate Services Limited for the holding of 1 Ordinary share of £1.00.

Share certificate number 2 in the name of Harbour Nominees Limited for the holding of 1 Ordinary share of £1.00.

It was further resolved that the following share certificate be prepared and signed by two Directors Company:

Share certificate number 3 in the name of Equiom Nominees Limited for the holding of 2 Ordinary shares of £1.00 each.

OTHER BUSINESS:

The Chairman advised that there was no further business.

There being no further business, the meeting was concluded



Chairman



Director Present

**MINUTES OF A MEETING OF THE DIRECTORS
OF
ZEPTUNUS LIMITED**

("the Company")

**HELD AT FIRST FLOOR, JUBILEE BUILDINGS, VICTORIA STREET, DOUGLAS,
ISLE OF MAN, IM1 2SH
ON THURSDAY 24TH NOVEMBER 2016**

PRESENT:

I M Foster
I D Mudie (By telephone)

CHAIRMAN:

Mrs Foster was nominated by those present to act as Chairman of the meeting.

**NOTICE AND
QUORUM:**

The Chairman noted that a quorum was present and the Directors having confirmed that they had received due notice of the meeting, the Chairman declared the meeting open.

**DECLARATION OF
DIRECTORS'
INTERESTS:**

IT WAS NOTED that in accordance with Section 104 of the Isle of Man Companies Act 2006, that any Director who has, directly or indirectly, an interest in a transaction entered into or proposed to be entered into by the company or by a subsidiary of the company which to a material extent conflicts or may conflict with the interests of the company and of which the director is aware, shall disclose to the company the nature and extent of the director's interest.

The Directors present confirmed they had no material interests in any of the transactions proposed on the agenda for the meeting.

BUSINESS:

Share Transfer

Mrs Foster presented the following share transfer form to the meeting:

Zeptunus Limited transferring 2 Ordinary shares of £1.00 each to Mr Christer Bertil Deckmark.

It was resolved that the above share transfer be and is hereby approved and registered in the books of the Company with effect from the end of this meeting.

Share Certificate

It was resolved that the following share certificate be cancelled:

Share certificate numbered 3 in the name of Equiom Nominees Limited for the holding of 2 Ordinary shares of £1.00 each.

It was further resolved that the following share certificate be prepared, and that such be signed by two Officers of the Company:

Share certificate number 4 in the name of Mr Christer Bertil Deckmark for the holding of 2 Ordinary shares of £1.00 each

OTHER BUSINESS:

The Chairman advised that there was no further business.

There being no further business, the meeting was concluded

A handwritten signature in dark ink, appearing to read "Infatu", written over a horizontal dotted line.

Chairman

NOMINEE DECLARATION

by and between

Equiom Nominees Limited
("ENL")

And

Mr Ulf Roland Deckmark
("Owner")

NOMINEE DECLARATION Dated: 15th January 2014

BETWEEN **Equiom Nominees Limited** of First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, British Isles IM1 2SH ("**ENL**")

AND

Mr Ulf Roland Deckmark of Kometvägen 17, 183 48 Täby, Sweden ("**Owner**")

RECITALS

ENL is the registered holder of 2 Ordinary Shares of £1.00 each in the capital of **Zeptunus Limited**, company number 009110V of First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH ("**Company**"), ("**Shares**").

- A. ENL was allotted the Shares at the request of the Owner on terms that it holds the Shares as nominee for the Owner.
- B. The consideration for the allotment of the Shares was provided by the Owner.
- C. ENL and the Owner agree that the Shares are held by ENL as nominee for the Owner on the following terms.

OPERATIVE PART**1. Declaration**

ENL declares that it holds the Shares as nominee for the Owner absolutely, together with all dividends and all other rights and privileges in respect of the Shares.

2. Undertakings and acknowledgements**2.1. ENL undertakes and agrees:**

- (a) to transfer, deal with and dispose of the Shares only as the Owner may from time to time direct, and in no other manner;
- (b) to account to the Owner for all dividends and profits which may be paid to ENL from time to time upon the Shares, and for all the monies or profit which may be payable to ENL in respect of the Shares; and
- (c) to exercise the voting power and other rights and privileges conferred by the Shares only in such manner and for such purposes as the Owner may from time to time direct or determine.

2.2. ENL acknowledges that the Owner has the power to remove ENL as nominee in relation to the Shares and to appoint a new nominee in its place.**2.3. The Owner acknowledges that ENL shall be entitled to terminate this Deed and transfer the Shares to the Owner should Equiom (Isle of Man) Limited, the holding company of ENL, terminates its services with the Company and the Owner.****3. Indemnity**

- 3.1. The Owner agrees to hold ENL, and its proper directors, officers and employees, indemnified so that in any execution of the powers conferred on ENL as registered holder of the Shares, and in the carrying out of ENL's duties as a shareholder, and, where appropriate, as an officer of the Company, neither ENL nor its proper directors, officers and employees shall be liable for:

- (a) any loss or damage arising in consequence of all and any documents made in good faith; or
- (b) loss occasioned by reason of any mistake or omission made in good faith; or
- (c) any other matter or thing done or omitted to be done, except wilful and individual fraud and wrongdoing on the part of the person or entity sought to be made liable,

and the Owner hereby fully indemnifies ENL and its proper directors, officers and employees as aforesaid.

4. Reimbursement

- 4.1. The Owner acknowledges and agrees that ENL is entitled to be reimbursed in full for carrying out all duties for which ENL has been retained by the Owner in respect of the Shares, including without limitation, reimbursement for all reasonable and proper fees, including disbursements reasonably made for legal and other advice, at the rates and on the terms currently published by ENL.
- 4.2. Without limiting clause 4.1 in any way, the Owner authorises ENL to deduct from any funds belonging to the Owner which are controlled by ENL, such amounts as may be incurred by ENL and in respect of which ENL is entitled to be reimbursed.

Executed as a Deed this 12th day of February 2015

Executed by Equiom Nominees Limited
in the presence of:

Director

Director/Secretary

Executed by the Owner in the presence of:

Witness



CHECKLISTName of Individual: Ulf DeckmarkRelated companies: Zeptunus LimitedRisk rating: L**PERSONAL DETAILS**

Address (Inc postcode):	Kometvägen 17, 8fl 18348 TÄBY, Sweden
Any former name:	
Nationality:	Swedish
Date of Birth:	30 March 1961
Place of birth:	Spånga, Sweden
National identification number:	Passport No. 81976147
Gender:	Male

FOR HIGH RISK ONLY

Occupation:	
Name of employer/source of income:	
High profile position held:	
Enhanced due diligence held:	

N/A

DOCUMENTATION

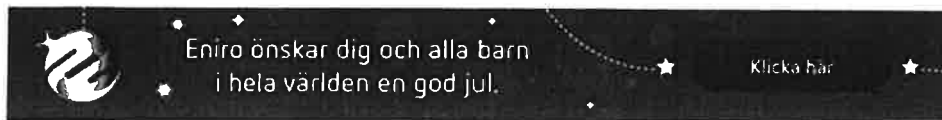
Form of ID provided:	Passport
Certifier name and capacity:	Certified by SDoyle-original seen
Verification of Certifier (if applicable):	<i>N/A</i>
Expiry date:	2 March 2016
Form of Address Verification provided:	Utility Bill
Original/Certified:	Original
Verification of Certifier (if applicable)	<i>N/A</i>
External verification (Directory search)	<i>Yes</i>
Questionnaire provided and reviewed:	Yes

OTHER VERIFICATION

- | | |
|---|----------------------------|
| 1) Sanctions
a) Print off from HM Treasury Sanctions
b) Print off from US OFAC list
2) a) Check general search engines
b) Set up Google alert on individual
3) For countries to risk rate check
(a) imf.org/external/country/index.htm
(b) fatf-gafi.org (Member Countries)
(c) Transparency International CPI Index
(d) state.gov/misc/list/index.htm | ✓
✓
✓
✓
✓
✓ |
|---|----------------------------|

INTRODUCTION OF CLIENT

Existing client	<i>No</i>
Introduced via professional intermediary:	EA Associates, London
Introduced by an existing client:	<i>No.</i>
Face to Face: date of meeting/(s)	11 th December 2012



[Visa alla Ulf Deckmark](#)

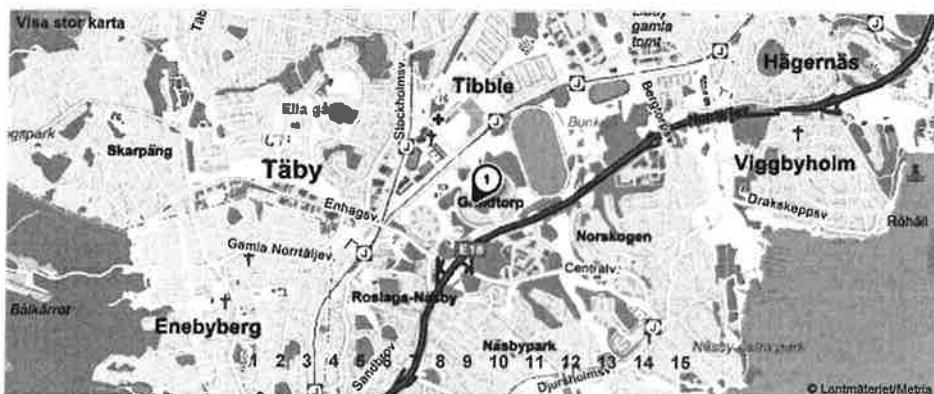
UlfDeckmark

☎ 076-870 44 06

Kometvägen 17, 18348TÄBY

[Skaffa e-post](#) mail@ulfdeckmark.se

[Skaffa hemsida](#) www.ulfdeckmark.se



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Sid 1 av 2



Faktura 12 november 2012
Faktura-/OCR-nummer 425 065 932 526
Kundnummer 3083971



Ulf Deckmark
 Kometvägen 17 8 tr
 183 48 TÄBY



3305463100042898

Kometvägen 17 / Anläggnings id 735 999 102 108 011 509
 Kostnad för perioden 1 augusti 2012 t o m 31 oktober 2012
 Elhandel
 Elnät

1 377,78 kr
 798,67 kr

2 176,00 kr
Att betala

Oss tillhanda senast 4 december 2012	Momsgrundande 1 741,16 kr	Moms 25% 435,29 kr	Ej momsgrundande 0,00 kr	Öresutjämning -0,45 kr	Att betala 2 176,00 kr
Fortum Markets AB, SE - 115 77 Stockholm momsreg.nr. SE556549067801 fakturerar elnätskostnader efter överlåtelse av fordran från Fortum Distribution A momsreg.nr. SE556037732601. Företagen har F-skattsedel. Dröjsmålsränta: referensränta +8 procentenheter. Anmärkningar på fakturan ska göras inom 8 c					

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(gäller i Fortums nätområde)Ring om strömavbrott
020-44 11 00, dygnet runt**Elhandelskostnad för perioden 1 aug 2012 t o m 31 okt 2012**

	Pris fr o m	Antal	Pris inkl. moms	Summa
Årsavgift	1 aug-12	92 dagar	325,00 kr/år	81,70 kr
Ei	1 aug-12	454 kWh	36,80 öre/kWh	167,05 kr
Ei	1 sept-12	589 kWh	40,20 öre/kWh	236,75 kr
Ei	1 okt-12	621 kWh	46,55 öre/kWh	289,08 kr
Energiskatt	1 aug-12	1 664 kWh	36,25 öre/kWh	603,20 kr
Summa elhandel (moms ingår med 275,56 kr)				1 377,78 kr

Ditt elhandelsavtal Rörligt elpris Liten fr.o.m. 2 juni 2008

Elnätskostnad för perioden 1 aug 2012 t o m 31 okt 2012

	Antal	Pris inkl. moms	Summa
Abonnemang	92 dagar	450,00 kr/år	113,11 kr
Elöverföring	1 664 kWh	41,20 öre/kWh	685,56 kr
Summa elnät (moms ingår med 159,73 kr)			798,67 kr

Mätarställning

1 aug 24 077 1 sep 24 531 1 okt 25 120 1 nov 25 741

Information om anläggningen och energiförbrukningen månad för månad

Anläggningsadress Kometvägen 17
18348 TÅBY
Fastal Säkring/Tariff 3X20 A/Bastariff
Elmätarnr 7613207896609417
Mätmetod Månadsmätt
Elmätartavla B8-15
Anl id 735 999 102 108 011 509
Områdes id TBY
Elområde SE3

Månad, förbrukning och % av året		
okt	2012	621 kWh 9,35 %
sep	2012	589 kWh 8,87 %
aug	2012	454 kWh 6,84 %
jul	2012	343 kWh 5,16 %
jun	2012	445 kWh 6,70 %
maj	2012	517 kWh 7,78 %

Månad, förbrukning och % av året		
apr	2012	559 kWh 8,42 %
mar	2012	593 kWh 8,93 %
feb	2012	595 kWh 8,96 %
jan	2012	653 kWh 9,83 %
dec	2011	668 kWh 10,06 %
nov	2011	604 kWh 9,10 %
okt	2011	633 kWh 9,53 %

Beräknad årsförbrukning 6 641 kWh. Värdet är baserat på de senaste 12 månaderna och varierar därför över tid.

CITY TRUST LIMITED
First Floor, Ragnall House, Peel Road, Douglas, Isle of Man, IM1 4LZ
Tel: 01624 661881 Fax: 01624 611423

BENEFICIAL OWNER QUESTIONNAIRE

INTRODUCTION

Thank you for instructing City Trust to incorporate your new Company.

In order to comply with our Anti Money Laundering regulations it is necessary to obtain certain information and documentation from you. This also serves to protect the assets of your Company because once we hold this information and documentation no one can seek to usurp your position as the beneficial owner of the Company.

This information is strictly confidential and is maintained by us separate to the Company files in a safe.

If there is any matter you would like to discuss in more detail or clarify please contact one of our Directors or Managers and we will be happy to assist.

INFORMATION REQUIRED

1a	Full Name:	Ulf Deckmark
1b	Any previous names (if applicable):	
2a	Permanent residential address: <i>(Note: this will be deemed to be the country in which you are resident for tax purposes)</i>	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2b	Any previous full time residential addresses in the last 5 years:	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2c	Country of domicile if different from above (please state reason for claiming domicile i.e. by birth)	
2d	Contact Address (if different from 2a)	

2e	Contact numbers:	Office: +46 (0)8 502 556 03
		Mobile: +46 (0)70 530 93 31
		Fax:
		Other:
		Email 1: Uffe470@hotmail.com
		Email 2: Uffe470@gmail.com
2f	Please list any additional person who we may take instructions from (NB a separate letter of authority will be required)	
3	Nationality:	Swedish
4	Business Occupation:	CEO, Traction Fonder AB
5	Brief background on the nature and type of business carried on in the past:	Manager and CEO in the financial market
6	Source of wealth (e.g. sale of business, inheritance, trading profits). Please be as detailed as possible. (This is as distinct from source of funds)	Sale of business and trading commissions
7	Academic Qualifications (i.e. diploma / degree level or similar)	Collage education in Sweden, se the annex
8	Professional Qualifications (including the year they were obtained):	Se the annex
9	List any memberships of Professional Body/ies (including year of admittance):	
10	Detail all experience relevant to the	

	activity of the proposed new Company:	25 years of experience in the financial market in EU
11	List all other companies of which you own 50% or more	Solid Venture Capital Ltd
12	Have you at any time been convicted of any offence? If so, give full particulars of the court by which you were convicted, the offence and penalty imposed and the date of conviction. This does not apply to (a) an offence committed when you were under 18 years unless the same was committed within the last ten years, (b) an offence in connection with the use or ownership of a motor vehicle which was tried in a court of summary jurisdiction.	NO
13	Have you ever been disciplined by any court or professional body or association to which you have belonged or do belong or been the subject of a regulatory order or been refused or had revoked a license to practice law, accounting or other profession or deal in securities? If so explain the circumstances thereof.	NO
14	Have you been judged bankrupt by a Court?	NO
15	Have you failed to satisfy any debt adjudged due and payable by you as a judgment debtor under an order of court?	NO
16	Have you in connection with the formation or management of any body corporate partnership or un-incorporated institution been adjudged by a court civilly liable for any fraud misfeasance or other misconduct by you towards such a body or company or towards any members thereof? If so, give full particulars.	NO
17	Has any body corporate, partnership or un-incorporated institution with which you have been associated been compulsorily wound up or made an arrangement with its creditors or ceased trading in circumstances where its creditors did not receive or have not received full settlement of their claims, either while you were associated with it or within one year thereof? If so, give full particulars.	NO
18a	Do you hold any political office in any	NO

	country? (if so please provide details)	
18b	Does any member of your family (including extended family) hold any political office in any country? (if so please provide details)	NO
18c	Do any of your associates hold any political office in any country? (if so please provide details)	NO
18d	Do you or your family or associates act as an official or assistant or carry out any work for any political individual (if so please provide details)	NO
19	Please provide any other information which you believe is relevant to the setting up and operation of the Company.	



Signed _____

2012-11-26 _____
Dated

http://www.hm-treasury.gov.uk/d/sanctionsconsolidist.pdf

Financial sanctions - HM Treas... hm-treasury.gov.uk

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CONSOLIDATED LIST OF FINANCIAL SANCTIONS TARGETS IN THE UK

Pa. Deckmark

HM TREASURY

CONSOLIDATED LIST OF FINANCIAL SANCTIONS TARGETS IN THE UK

Last updated: 31/12/2012

Status: Asset Freeze Targets

REGIME: Afghanistan

INDIVIDUALS

Adobe Reader

Reader has finished searching the document. No matches were found.

OK

1. Name & ABBASIN 1: ABDUL AZIZ 2: n/a 3: n/a 4: n/a 5: n/a
DOB: --/1969, POB: Sheykhon Village, Pak...
Nationality: Pakistani Other Information: UN Ref T/A.121.01 Listed on: 23/02/2001 Last Updated: 25/02/2012 Group ID: 7055
2. Name & ABDUL AHAD 1: AZIZRAHMAN 2: n/a 3: n/a 4: n/a 5: n/a
DOB: --/1972, POB: Sogda District, Kandahar Province, Afghanistan Other Information: UN Ref T/A.121.01 Listed on: 23/02/2001 Last Updated: 25/02/2012 Group ID: 7055
3. Name & ABDUL AHMAD TURK 1: ABDUL GHANI 2: BARADAR 3: n/a 4: n/a 5: n/a
Title: Maulvi DOB: --/1963, POB: Yaltrak village, Dehrawood District, Uruzgan Province, Afghanistan a.k.a: (1) AKHUND, Basadar (2) BARADAR, Abdul, Ghani Nationality: Afghan Position: Deputy Minister of Defense under the Taliban regime Other Information: UN Ref T/A.124.01 Arrived in Feb 2010 and is custody in Pakistan. Extradition request to Afghanistan pending in Lahore High Court, Pakistan as of June 2011. Belongs to Popalzai tribe, Senior Taliban military commander and member of Taliban Qudta Council as of May 2007. Listed on: 02/04/2001 Last Updated: 25/02/2012 Group ID: 7060
4. Name & ABDUL BASEER 1: ABDUL QADEER 2: BASIR 3: n/a 4: n/a 5: n/a
Title: General/Masturi DOB: --/1964, POB: (1) Surin Red District, Nangarhar Province (2) Hamaiz District, Nangarhar Province, Afghanistan a.k.a: (1) BASIR, Abdul, Qadir (2) HAQGANI, Abdul, Qadir Nationality: Afghan Passport Details: O 000574 (Afghan) Position: Military Attache, Taliban Embassy, Islamabad, Pakistan Other Information: UN Ref T/A.126.01 Also referred to as Abdul Qadir and Ahmad Haji. Financial advisor to Taliban President Military Council and Head of Taliban Peshawar Financial Commission, believed to be in Afghanistan/Pakistan border area. Listed on: 23/02/2001 Last Updated: 06/12/2012 Group ID: 6911
5. Name & ABDUL BASIR 1: NAZIR MOHAMMAD 2: n/a 3: n/a 4: n/a 5: n/a
Title: Maulavi/Sar Masudin DOB: --/1954, POB: Malakli Village, Kunduz Province, Afghanistan a.k.a: MOHAMMAD, Nazar Nationality: Afghan Position: Mayor of Kunduz City, Acting Governor of Kunduz Province under the Taliban regime Other Information: UN Ref T/LM.100.01. Reportedly deceased on 9 November 2008 Listed on: 02/04/2001 Last Updated: 26/06/2012 Group ID: 7238
6. Name & ABDUL GHAFAR 1: ABDUL WAHAB 2: n/a 3: n/a 4: n/a 5: n/a
Title: Maulavi DOB: --/1973, POB: Kurbahar village, Khogyani District, Nangarhar Province, Afghanistan a.k.a: ABDUL WAHAB Nationality: Afghan Position: (1) Taliban Charge d'Affaires in Riyadh, Saudi Arabia (2) First Secretary, Taliban Embassy in Islamabad, Pakistan Other Information: UN Ref T/A.125.01. Member of Taliban Qudta Shura as of 2010. Confirmed to have died in December 2010 in Pakistan. Listed on: 23/02/2001 Last Updated: 06/12/2012 Group ID: 6915
7. Name & ABDUL GHANI 1: ABDUL GHAFAR 2: QURISHI 3: n/a 4: n/a 5: n/a
Title: Maulavi DOB: (1) --/1970, (2) --/1967, POB: Turbat village, Warduj District, Takhar Province, Afghanistan a.k.a: (1) ABDUL GHAFAR, Abdul, Ghani (2) ABDUL GHANI, Abdul, Ghani Nationality: Afghan Position: (1) Taliban Charge d'Affaires in Riyadh, Saudi Arabia (2) First Secretary, Taliban Embassy in Islamabad, Pakistan Other Information: UN Ref T/A.125.01. Member of Taliban Qudta Shura as of 2010. Confirmed to have died in December 2010 in Pakistan. Listed on: 23/02/2001 Last Updated: 06/12/2012 Group ID: 6915
8. Name & ABDUL MANAN 1: ABDUL SATAR 2: n/a 3: n/a 4: n/a 5: n/a
Title: Haji DOB: --/1954, POB: (1) Mirmandaw village, Nahr-e Saraj District, Helmand Province (2) Mirmandaw Province, (1) Afghanistan (2) Afghanistan (3) Pakistan a.k.a: (1) BARAKZAI, Abdul, Satar (2) BARAKZAI, Satar, 2013 National Identification no: (1) 5420255181999 (Pakistan) (2) 5558209 (Afghan) Address: (1) Abdul Satar

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- NYHETER
- BESTÄLL INFO
- KONTAKTA



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Kontaktuppgifter

Ulf Deckmark, VD & Förvaltare
tel: +46 8 502 556 03
info@tractionfonder.se

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info@tractionfonder.se

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Hammarby Kaj 16, 5tr
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F +46 8 502 556 04

Klagomålsansvarig
Åsa Kjellander
Hammarby Kaj 16, 5tr
120 30 Stockholm
 +46 8 502 556 03
info@tractionfonder.se

FONDKURSER

2012-12-18	NAV (SEK)	+/- (%)	i år (%)
Yield	103,56	0,14	4,61
Small Cap	103,76	0,26	17,86
High Yield	104,41	0,09	4,41

*Indikativa NAV-kurser publiceras
nästkommande bankdag.*

AKTUELLT

2012-08-30

[Nu har vi startat vår första PPM fond Traction High Yield](#)

2012-02-06

[Ändrade skatteregler för fondsparare](#)



2010-08-23 | 17:49

Top rankings for Traction hedge funds

Bengt Still Ströms investment Traction has also hedge funds that are going well.

Traction writes in a press release that the company's shares, hedge funds Traction SAM Small Cap and Small Cap Traction among top tier in Europe in its category so far this year.

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During the first seven months rose Traction SAM Small Cap in value by 13 percent, while Traction Small Cap rose in value by 8 percent, according to the press release.

Fund analyst Morningstar ranks the two funds so that two of the top five equity hedge funds so far this year, says the press release.

The two "funds have shown very good returns this year despite different direction, and also in relation to the OMX Small Cap Index," writes Traction in the press release.

And Funds under Traction also gone much better than the index since the funds started.

Here OMX Small Cap GI index used as a benchmark.

Of the two funds, Traction SAM Small Cap risen in value by about 6 percent since its inception, while the benchmark index fell by 12 percent.

Traction Small Cap for its part, has risen in value by over 28 percent, while the index fell by 0-1 percent.

For both funds, the value increases occurred at a significantly lower risk than the market index writes Traction.

Management Company Traction Fonder AB is a wholly owned subsidiary of investment company whose shares Traction is listed on the Nasdaq OMX Nordic Small Cap list.

Full Traction is worth over 1.1 billion on the stock exchange of which family Stillström (with Traction Chairman Bengt Stillström in the lead) owns approximately 85 percent.

Management Company Traction Fonder added to Traction Traction when in early 2010 bought Thenberg & Kinde funds which then changed its name to Traction Fonder.

The Management Company currently has three state employees.

The three employees Traction Fonder CEO Ulf Deckmark, and the two staff Anders Hall and His Light.

These two funds have "small cap" in its name, does not mean that they only invest in small caps.



Traction principal owner and chairman Bengt Stillström.
Photo: Scanpix



Traction Fonder CEO Ulf Deckmark.
Photo: Traction Fonder

Traction Small Cap has no restrictions other than that most of the shares are invested in small-cap and Mid-cap.

Traction SAM Small Cap has a rule that the Fund may only buy shares in companies with a market capitalization of up to 1 billion euros.

And so both of these funds are not ordinary equity funds but "equity hedge".

That means they can vary their investments more and such sell shares they do not own ("short sales") to thus make money and decreases.

Or they can issue options on shares in a company (issue call and make money if a stock falls, or exhibit put options and make money if a stock rises).

And Funds also have the right to mortgage their holdings at strong belief in price appreciation, to earn more if a stock rises than without leverage.

Realtid.se get Traction Fonder CEO Ulf Deckmark.

He says that one must invest at least SEK 250,000 to invest in Traction SAM Small Cap, and at least SEK 50,000 to invest in Traction Small Cap.

Traction SAM Small Cap is more focused on equity investments in companies with high growth, while Traction Small Cap is more focused on "value-focused" equity investment.

A change will now September 18 when the Traction Small Cap will change its name to Trac Traction and Traction SAM Small Cap will change its name to Traction Small Cap.

This may mean that the fund Trac Traction greater will reflect investment company Traction equity investment.

- Both we fund company Traction funds and the investment company invests Traction on the same fundamentals, says Deckmark.
- We're looking for stocks that can provide large potential gains with limited risk.
- And also "revaluation case".

Deckmark'd rather not go public with how much capital Traction Fonder manages.

- But we are still the smaller players in the market.

Traction funds charge an annual fund charge of 1.5 percent of assets under management, plus a "performance fee" of 20 percent of any increase in value of the fund's capital.

- All our fees charged after expenses are deducted, says Deckmark.

How come it's been so good for your funds?

- It is because we are working with a successful methodology for how we invest.
- It is based on our own analysis and a methodical basis of our investment philosophies.
- And we have managed to fend off the larger decline in the financial crisis, more than enough.

- This is more of a feel for the market, when the market is overvalued or not.

What are your capital flows out? Turns fund customers money or they take them out?

- Right now it flows into money in our funds.

Do you have goals to reach under management of half a billion dollars within three years?

- There is also a bit sensitive.
- We have such goals, but would rather not go out with it.
- Everyone has goals to become bigger.

According Deckmark is not as meaningful to say which shares Traction Fonder of their funds right now.

- It can change quickly.
- But as a starting point, we have long-term holdings, not "trading portfolios."

This may mean holding in 15-20 companies in each fund.

Additionally, Traction Fonder other funds such as a money market fund.

Ian Hammer
redaktionen@realtid.se

Exhibit No: JB/006

Description of Item

KYC documents

Date: 17th July 2018

A handwritten signature in black ink, appearing to be 'J. M. 2'.

High Bailiff.

118

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Faktura: 510473653
Kundnummer: 2153086
Fakturadatum: 2016-02-15

Faktura

COPY

Ulf Deckmark
 Kometvägen 17 lgh 1802
 183 48 Täby

Januari

Ditt avtal: sol, vind & vatten



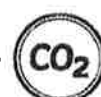
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Telefon: 020-34 40 40, må-tö 8-17, fr 8-15.

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22982

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Kontakta oss om du i fortsättningen vill ha ett inbetalningskort

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Stockholm, February 22, 2016

Ex officio:

Notary Public

Kungsgatan 3, 111 43,
 Stockholm, Sweden

Phone: +46 8 555 699 60



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Subject: Ulf Deckmark

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Comment

No match, internet searches carried out. LQ

USERNAME: Equim0002

SENT: 20-12-2016 15:53 UTC

NAME: Ulf Deckmark

MODE: part

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Lynn Quilliam

From: World-Check <no-reply-world-check@thomsonreuters.com>
Sent: 20 December 2016 15:52
To: Lynn Quilliam
Subject: Ulf Roland Deckmark

This message originated from outside your organization

Comment

No match, internet searches carried out. LQ

USERNAME: Equim0002

SENT: 20-12-2016 15:52 UTC

NAME: Ulf Roland Deckmark

MODE: part

There are no entries matching the above search criteria.

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CHECKLISTName of Individual: Ulf DeckmarkRelated companies: Zeptunus LimitedRisk rating: L**PERSONAL DETAILS**

Address (Inc postcode):	Kometvägen 17, 8fl 18348 TÄBY, Sweden
Any former name:	
Nationality:	Swedish
Date of Birth:	30 March 1961
Place of birth:	Spånga, Sweden
National identification number:	Passport No. 81976147
Gender:	Male

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Occupation:	
Name of employer/source of income:	
High profile position held:	
Enhanced due diligence held:	

DOCUMENTATION

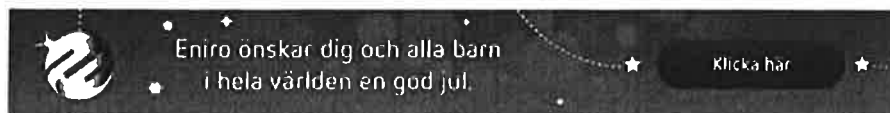
Form of ID provided:	Passport
Certifier name and capacity:	Certified by SDoyle-original seen
Verification of Certifier (if applicable):	N/A
Expiry date:	2 March 2016
Form of Address Verification provided:	Utility Bill
Original/Certified:	Original
Verification of Certifier (if applicable)	N/A
External verification (Directory search)	Yes
Questionnaire provided and reviewed:	Yes

OTHER VERIFICATION

- | | |
|---|---------------------------------|
| 1) Sanctions
a) Print off from HM Treasury Sanctions
b) Print off from US OFAC list
2) a) Check general search engines
b) Set up Google alert on individual
3) For countries to risk rate check
(a) imf.org/external/country/index.htm
(b) fatf-gafi.org (Member Countries)
(c) Transparency International CPI Index
(d) state.gov/misc/list/index.htm | ✓
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|---|---------------------------------|

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Introduced by an existing client:	No
Face to Face: date of meeting/(s)	11 th December 2012



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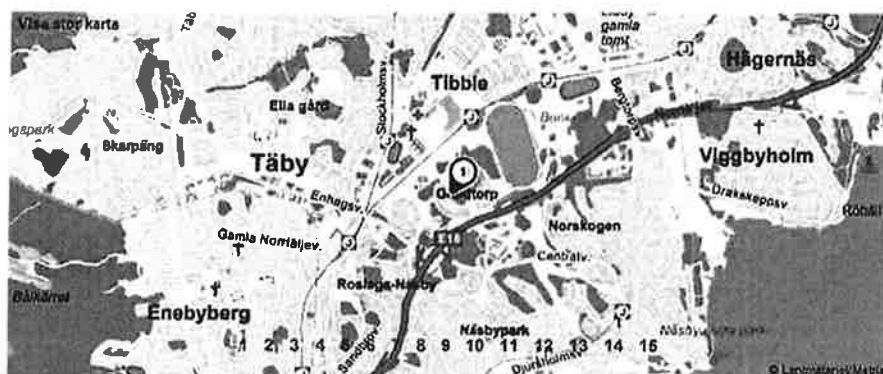
UlfDeckmark

☎ 076-870 44 06

Kornelvägen 17, 18348TÄBY

Skaffa e-post mail@ulfdeckmark.se

Skaffa hemsida www.ulfdeckmark.se



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Ulf Deckmark
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183 48 TABY



3305463100042898

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Kostnad för perioden 1 augusti 2012 t o m 31 oktober 2012

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Att betala**2 176,00 kr**

HES!

Toppen om Du kan
sända mina papper ihop
med Dina.
Om jag förstått rätt skall
det ena till Trusten och
den andra till Elins.

M b h

Oss tillhanda senast	Momsgrundande	Moms 25%	Ej momsgrundande	Öresutjämning	Att betala
4 december 2012	1 741,16 kr	435,29 kr	0,00 kr	-0,45 kr	2 176,00 kr

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BENEFICIAL OWNER QUESTIONNAIRE

INTRODUCTION

Thank you for instructing City Trust to incorporate your new Company.

In order to comply with our Anti Money Laundering regulations it is necessary to obtain certain information and documentation from you. This also serves to protect the assets of your Company because once we hold this information and documentation no one can seek to usurp your position as the beneficial owner of the Company.

This information is strictly confidential and is maintained by us separate to the Company files in a safe.

If there is any matter you would like to discuss in more detail or clarify please contact one of our Directors or Managers and we will be happy to assist.

INFORMATION REQUIRED

1a	Full Name:	Ulf Deckmark
1b	Any previous names (if applicable):	
2a	Permanent residential address: (<i>Note: this will be deemed to be the country in which you are resident for tax purposes</i>)	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2b	Any previous full time residential addresses in the last 5 years:	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2c	Country of domicile if different from above (please state reason for claiming domicile i.e. by birth)	
2d	Contact Address (if different from 2a)	

2e	Contact numbers:	Office: +46 (0)8 502 556 03
		Mobile: +46 (0)70 530 93 31
		Fax:
		Other:
		Email 1: Uffe470@hotmail.com
		Email 2: Uffe470@gmail.com
2f	Please list any additional person who we may take instructions from (NB a separate letter of authority will be required)	
3	Nationality:	Swedish
4	Business Occupation:	CEO, Traction Fonder AB
5	Brief background on the nature and type of business carried on in the past:	Manager and CEO in the financial market
6	Source of wealth (e.g. sale of business, inheritance, trading profits). Please be as detailed as possible. (This is as distinct from source of funds)	Sale of business and trading commissions
7	Academic Qualifications (i.e. diploma / degree level or similar)	Collage education in Sweden, see the annex
8	Professional Qualifications (including the year they were obtained):	See the annex
9	List any memberships of Professional Body/ies (including year of admittance):	
10	Detail all experience relevant to the	

	activity of the proposed new Company:	25 years of experience in the financial market in EU
11	List all other companies of which you own 50% or more	Solid Venture Capital Ltd
12	Have you at any time been convicted of any offence? If so, give full particulars of the court by which you were convicted, the offence and penalty imposed and the date of conviction. This does not apply to (a) an offence committed when you were under 18 years unless the same was committed within the last ten years, (b) an offence in connection with the use or ownership of a motor vehicle which was tried in a court of summary jurisdiction.	NO
13	Have you ever been disciplined by any court or professional body or association to which you have belonged or do belong or been the subject of a regulatory order or been refused or had revoked a license to practice law, accounting or other profession or deal in securities? If so explain the circumstances thereof.	NO
14	Have you been judged bankrupt by a Court?	NO
15	Have you failed to satisfy any debt adjudged due and payable by you as a judgment debtor under an order of court?	NO
16	Have you in connection with the formation or management of any body corporate partnership or un-incorporated institution been adjudged by a court civilly liable for any fraud misfeasance or other misconduct by you towards such a body or company or towards any members thereof? If so, give full particulars.	NO
17	Has any body corporate, partnership or un-incorporated institution with which you have been associated been compulsorily wound up or made an arrangement with its creditors or ceased trading in circumstances where its creditors did not receive or have not received full settlement of their claims, either while you were associated with it or within one year thereof? If so, give full particulars.	NO
18a	Do you hold any political office in any	NO

	country? (if so please provide details)	
18b	Does any member of your family (including extended family) hold any political office in any country? (if so please provide details)	NO
18c	Do any of your associates hold any political office in any country? (if so please provide details)	NO
18d	Do you or your family or associates act as an official or assistant or carry out any work for any political individual (if so please provide details)	NO
19	Please provide any other information which you believe is relevant to the setting up and operation of the Company.	



Signed _____

2012-11-26 _____
Dated

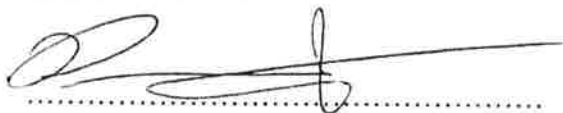
COPY

GÅVOBREV

Härmed överlåter jag, Ulf Deckmark, 610330-0171, såsom gåva till Christer Deckmark pers nr 540522-0079, 100% aktierna i företaget Zeptunus Ltd, Fist Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ med reg nr GB:003 8940 88.
Gåvan tillträdades den 20 april 2013.

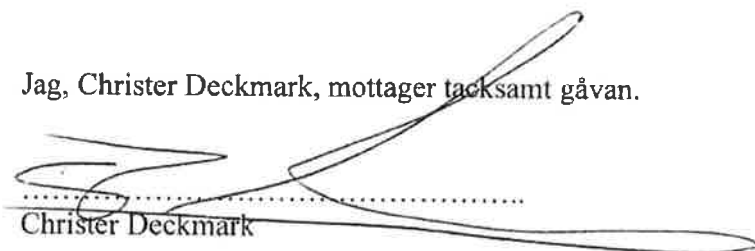
Vad Christer Deckmark mottar ska vara hans enskilda egendom. Den gäller även avkastningen därav och vad som sätts i dess ställe. Christer Deckmark har dock rätt att efter tio år ifrån gåvodatumet göra egendomen till giftorätts gods.

Stockholm 20/4 2013



Ulf Deckmark

Jag, Christer Deckmark, mottager tacksamt gåvan.



Christer Deckmark

Namnteckningarna bevittnas:


Namn: Bo Holm
Pers nr: 420110-7853


Namn: Hans Holm
Pers nr: 500226-0353

This is a true copy of the document
presented to me today.

Stockholm, February 22, 2016

Ex officio:

Notary Public

Kungsgatan 3, 111 43,
Stockholm, Sweden

Phone: +46 8 555 699 60



To whom it may concern,

I, Ulf Deckmark, would like to clarify my intention of the gift to my brother in order to get the registration done.

In the deed of gift of 20 April 2013 I gave all my shares in Zeptunus Limited, a company incorporated on Isle of Man, to my brother Christer Deckmark, domiciled in the UK, 34 Romford Road, Pembury, Tunbridge Wells, Kent TN2 4JA, London. I have attached a copy of the deed of gift to this letter, please note it is in Swedish and states about the following:

"I, Ulf Deckmark, hereby give my brother, Christer Deckmark, as a gift 100 % of the shares in Zeptunus Ltd registration No. GB 003 8940 88. The gift is valid as per 20 April 2013.

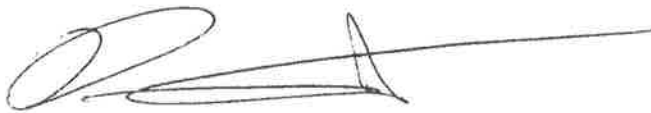
The assets Christer Deckmark receives shall be his private property. This includes also the return of the assets or what has been replaced. If Christer Deckmark wishes, he is allowed, 10 years after this date, to transfer it as a general marital property"

As mentioned, this gift was however never formalised and registered in my brother's name. I therefore would like you to register my brother as the sole owner of all the shares in Zeptunus Limited, registration No. GB 003 8940 88.

Together with this letter I have attached the following:

1. A certified copy of the deed of gift
2. A certified copy of Christer Deckmark passport
3. A certified copy of residency issued by Her Majesty's Custom and Revenue

Stockholm the 22 Feb. 2016



Ulf Deckmark, former beneficial owner of the shares of Zeptunus Ltd.

This is to certify that Mr Ulf Deckmark
has signed his name above.

Stockholm February 22, 2016

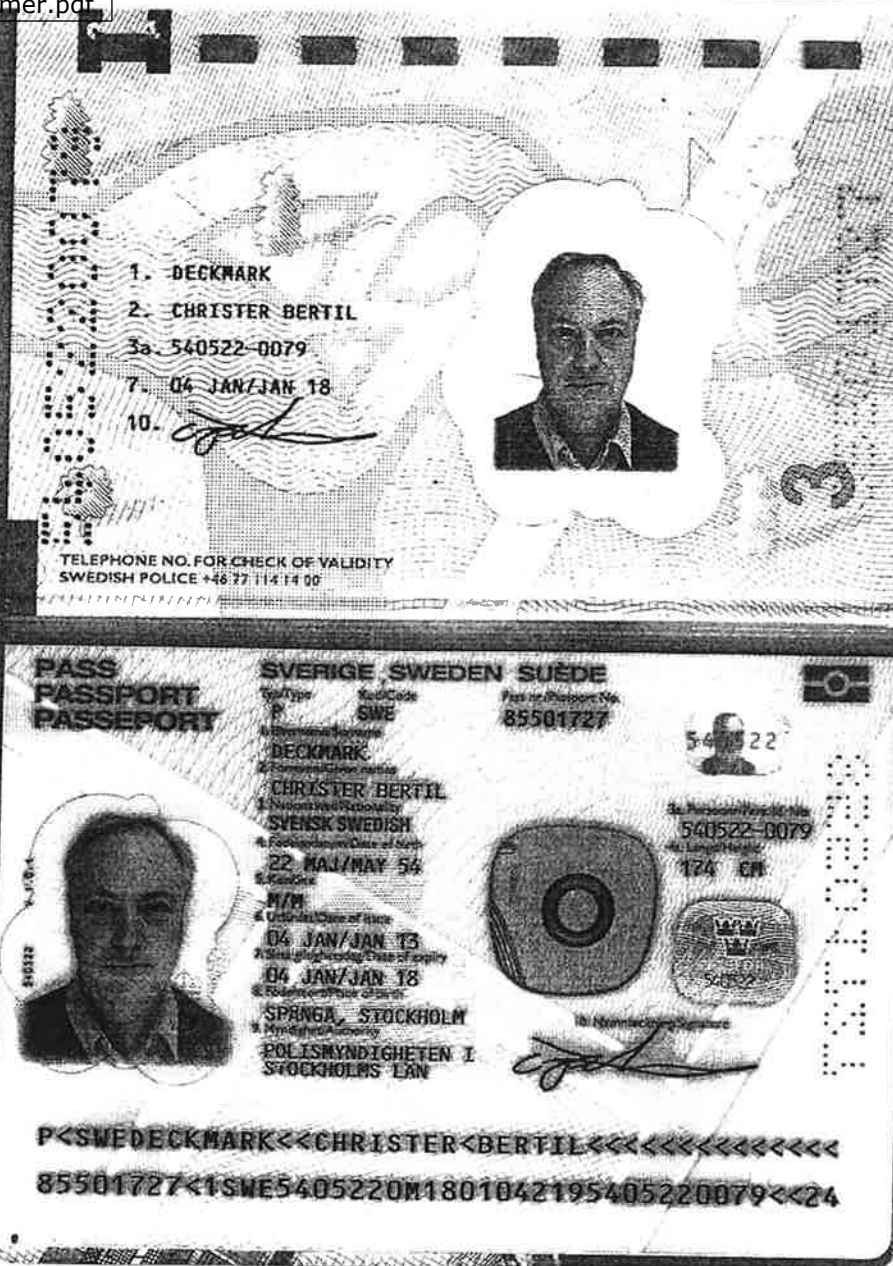


Ex officio:

Notary Public

Kungsgatan 3, 111 43
Stockholm, Sweden

Phone: +46 8 555 699 60



§ 2200. I certify that this is a true copy of the original, which I have seen, and that the photograph represents a true resemblance of the individual concerned.

Hedemora, February 23rd, 2016

Ex officio:

[Signature]

Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14



**HM Revenue
& Customs****Self Assessment: Tax Calculation**

180008:00000162:001
MR C B DECKMARK
34 ROMFORD ROAD
PEMBURY
TUNBRIDGE WELLS
TN2 4JA

UTR 77526 71774
Date 19 June 2015

Issued by:
HM Revenue & Customs
SELF ASSESSMENT
HM REVENUE AND CUSTOMS

BX9 1AS

Telephone 0300 200 3310
Issue no: 2015/001

Tax Calculation for 2014–15 (year ended 5 April 2015)

Thank you for your tax return.

We enclose our tax calculation based on the amounts shown in your tax return.

We calculate that you have nothing to pay for 2014–15.

We will write to you again if we have any questions about your tax return. Please let us know if there is anything you do not agree with or do not understand in our calculation. Our telephone number is shown above.

§ 2200. I certify that this is a true copy of the original,
which I have seen.

Hedemora, February 23rd, 2016

Ex officio:

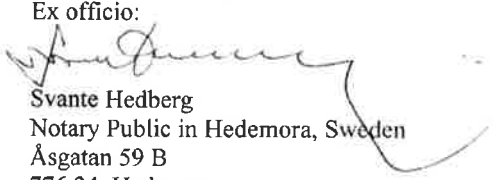

Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14



Exhibit No: JB/007

Description of Item

Memorandum and Articles of Association

Date: 17th July 2018


High Bailiff.



online services

Isle of Man Government

[➔ Login / Register](#)

Company Summary

[Back to Search Results](#)[Previous Names](#)[Registered Agents](#)

Name	ZEPTUNUS LIMITED
Number	009110V
Registry Type	2006 Act Company
Company Type	Limited by shares
Registered Office Address	FIRST FLOOR, JUBILEE BUILDINGS, VICTORIA STREET, DOUGLAS, IM1 2SH, Isle of Man
Date of Incorporation	19 Dec 2012
Dissolved Date or Struck Off Date	13 Jul 2017
Presence of Charges	No
Is in Liquidation?	No
Receiver(s) Appointed?	No
Status	Dissolved
Documents	14 public documents available

Previous Names

Name	Status
ZEPTUNUS LIMITED	Current

Registered Agents

Agent	Address
EQUIOM (ISLE OF MAN) LIMITED	First Floor, Jubilee Buildings, Victoria Street, Douglas

Exhibit No: JB/008

Description of Item

Financial statements and trial balance

Date: 17th July 2018

A handwritten signature in black ink, appearing to be 'J. M. S.', written over the date.

High Bailiff.

REPORT OF THE DIRECTORS AND
UNAUDITED FINANCIAL STATEMENTS
FOR THE PERIOD
19 DECEMBER 2012 TO 31 DECEMBER 2013
FOR
ZEPTUNUS LIMITED

ZEPTUNUS LIMITED**CONTENTS OF THE FINANCIAL STATEMENTS
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013**

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ZEPTUNUS LIMITED

COMPANY INFORMATION
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

DIRECTORS:

I M Foster
P A Seaward

REGISTERED OFFICE:

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

REGISTERED NUMBER:

009110V (Isle of Man)

ACCOUNTANTS:

EA Associates
Chartered Accountants
869 High Road
London
N12 8QA

ZEPTUNUS LIMITED

REPORT OF THE DIRECTORS
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

The directors present their report with the financial statements of the company for the period 19 December 2012 to 31 December 2013.

INCORPORATION

The company was incorporated on 19 December 2012.

PRINCIPAL ACTIVITY

The principal activity of the company in the period under review was that of introducing buyer and seller of financial products.

DIRECTORS

The directors who have held office during the period from 19 December 2012 to the date of this report are as follows:

S G Doyle - appointed 20 December 2012

S J Bingham - appointed 20 December 2012 - resigned 14 January 2013

R L Margot - appointed 14 January 2013

I M Foster and P A Seaward were appointed as directors after 31 December 2013 but prior to the date of this report.

S G Doyle and R L Margot ceased to be directors after 31 December 2013 but prior to the date of this report.

This report has been prepared in accordance with the Companies Act relating to small companies.

ON BEHALF OF THE BOARD:



I M Foster - Director

Date: 17 December 2014

CHARTERED ACCOUNTANTS' REPORT TO THE BOARD OF DIRECTORS
ON THE UNAUDITED FINANCIAL STATEMENTS OF
ZEPTUNUS LIMITED

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Zeptunus Limited for the period ended 31 December 2013 which comprise the Profit and Loss Account, the Balance Sheet and the related notes from the company's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at icaew.com/membershandbook.

This report is made solely to the Board of Directors of Zeptunus Limited, as a body, in accordance with our terms of engagement. Our work has been undertaken solely to prepare for your approval the financial statements of Zeptunus Limited and state those matters that we have agreed to state to the Board of Directors of Zeptunus Limited, as a body, in this report in accordance with AAF 2/10 as detailed at icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and its Board of Directors, as a body, for our work or for this report.

It is your duty to ensure that Zeptunus Limited has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and profit of Zeptunus Limited. You consider that Zeptunus Limited is exempt from the statutory audit requirement for the period.

We have not been instructed to carry out an audit or a review of the financial statements of Zeptunus Limited. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.

EA Associates
 Chartered Accountants
 869 High Road
 London
 N12 8QA

Date:

This page does not form part of the statutory financial statements

ZEPTUNUS LIMITED
PROFIT AND LOSS ACCOUNT
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

	Notes	£
TURNOVER		366,575
Administrative expenses		<u>33,419</u>
OPERATING PROFIT and PROFIT ON ORDINARY ACTIVITIES BEFORE TAXATION	2	333,156
Tax on profit on ordinary activities	3	<u>-</u>
PROFIT FOR THE FINANCIAL PERIOD		<u><u>333,156</u></u>

The notes form part of these financial statements

ZEPTUNUS LIMITED (REGISTERED NUMBER: 009110V)**BALANCE SHEET**
31 DECEMBER 2013

	Notes	£
CURRENT ASSETS		
Debtors	4	208
Cash at bank and in hand		<u>340,551</u>
		340,759
CREDITORS		
Amounts falling due within one year	5	<u>7,601</u>
NET CURRENT ASSETS		<u>333,158</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>333,158</u>
CAPITAL AND RESERVES		
Called up share capital	6	2
Profit and loss account	7	<u>333,156</u>
SHAREHOLDERS' FUNDS		<u>333,158</u>

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with the Companies Act and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of the Companies Act relating to financial statements, so far as applicable to the company.

The financial statements have been prepared in accordance with the Companies Act relating to small companies and with the Financial Reporting Standard for Smaller Entities.

The financial statements were approved by the Board of Directors on 17 December 2014 and were signed on its behalf by:



I M Foster - Director



P A Seaward - Director

The notes form part of these financial statements

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013**1. ACCOUNTING POLICIES****Accounting convention**

The financial statements have been prepared under the historical cost convention and in accordance with the Financial Reporting Standard for Smaller Entities.

Turnover

Turnover represents net invoiced sales of services, excluding value added tax.

Deferred tax

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date.

2. OPERATING PROFIT

The operating profit is stated after charging:

	£
Foreign exchange differences	<u>21,641</u>
Directors' remuneration	<u>-</u>

3. TAXATION

There is no tax to pay in the Isle of Man.

4. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	£
VAT	<u>208</u>

5. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	£
Trade creditors	3,561
Accrued expenses	<u>4,040</u>
	<u>7,601</u>

6. CALLED UP SHARE CAPITAL

Allotted, issued and fully paid:

Number:	Class:	Nominal value:	£
2	Ordinary	1	<u>2</u>

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS - continued**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013**7. RESERVES**

	Profit and loss account £
Profit for the period	<u>333,156</u>
At 31 December 2013	<u>333,156</u>

8. ULTIMATE CONTROLLING PARTY

The ultimate controlling party is Ulf Roland, the beneficial owner of the company.

ZEPTUNUS LIMITED**PROFIT AND LOSS ACCOUNT**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

	£	£
Sales		366,575
Expenditure		
Accountancy	3,525	
Legal and professional fees	8,200	
Foreign exchange losses	<u>21,641</u>	
		<u>33,366</u>
		333,209
Interest payable and similar charges		
Bank charges		<u>53</u>
NET PROFIT		<u><u>333,156</u></u>

This page does not form part of the statutory financial statements

Zeptunus Limited
First Floor
Jubilee Buildings
Victoria Street
Isle of Man
IM1 2SH

EA (UK) LLP
Chartered Accountants
869 High Road
London N12 8QA

7 December 2014

Dear Sirs

During the course of the preparation of our accounts for the year ended 31 December 2013, the following representations were made to you by management and directors.

1. We acknowledge as directors our responsibilities for making accurate representations to you and for the accounts which you have prepared for the company.
2. We confirm that all accounting records had been made available to you for the purpose of preparing the company's accounts and that all the transactions undertaken by the company had been properly reflected and recorded in the accounting records. All other records and related information, including minutes of all management and shareholders' meetings, had been made available to you.
3. We confirm that the company had no liabilities or contingent liabilities other than those disclosed in the accounts.
4. We confirm that there have been no events since the balance sheet date which required disclosing or which would materially affect the amounts in the accounts, other than those already disclosed or included in the accounts.
5. We confirm that the company has had, at no time during the year, any arrangement, transaction or agreement to provide credit facilities (including loans, quasi-loans or credit transactions) for directors, nor to guarantee or provide security for such matters.
6. We confirm that we have disclosed to you all related party transactions relevant to the company and that we are not aware of any further related party matters that require disclosure.
7. We confirm that the company has not contracted for any capital expenditure other than as disclosed in the accounts.
8. We confirm we are not aware of any possible or actual instance of non-compliance with those laws and regulations which provide a legal framework within which the entity conducts its business and which are central to the entity's ability to conduct its business.
9. We confirm that we made a rigorous assessment of whether the company is a going concern considering all available information about the future covering at least twelve months from the date of approval of accounts.

We confirm that we are not aware of any material uncertainties arising from your assessment of going concern that may cast significant doubt on the company's ability to continue as going concern.

Yours faithfully



Signed on behalf of the board of directors by:

I M Foster - Director

Date.....17 December 2014



869 High Road
London N12 8QA

t: +44 (0)20 8445 5500

f: +44 (0)20 8445 5656

www.eaassociates.co.uk

Strictly Private & Confidential

Our Ref: Z039/ESE/ESE

Zeptunus Limited
First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

15 December 2014

Dear Sirs

Engagement letter – confirmation

We enclose our Standard Terms of Business and the modular engagement letters covering the various services you have engaged us to undertake on your behalf.

1. Company accounts
2. Bookkeeping and VAT

Yours sincerely,

EA Associates

EA ASSOCIATES

I confirm that I have read and understood the contents of the Standard Terms of Business and all the engagement letters listed above and accept all the terms and conditions referred to therein.

Signed..... *Infra*

Signed..... *P. A. Howard*

Dated *17.12.14*

Dated *22.12.14*

ZEPTUNUS LIMITED
Accounts Year Ended 31 Dec 2013

Bank and Cash in Hand

	£
BANK DEPOSIT ACCOUNTS	
A/C 5880 58608545 GBP £	104.67
A/C 5880 58608545 USD \$	340,444.18
	<u>340,548.85</u>
 CASH IN HAND	 <u>2.00</u>
 Aggregate Amount	 340,550.85

ZEPTUNUS LIMITED
Accounts Year Ended 31 Dec 2013

Creditors consist of:

Trade Creditors:

Description	£
Solid Venture Capital Ltd - Incorporate fee paid	1,995.00
EA Associates	1,566.00
	3,561.00

Accruals:

Description	£
Accountancy fee for Dec 2013	3,000.00
Bookkeeping and VAT prep. For Q/E Dec 2013	145.00
Professional fee - Equiom (part of invoice)	895.00
	4,040.00

Creditors Total	7,601.00
------------------------	-----------------

ZEPTUNUS LIMITED
Accounts Year Ended 31 Dec 2013**Debtors consist of:****VAT:**

Description	£
VAT Receivable - Q/E 31 Dec 2013	208.00
Total	208.00

Zeptunus Limited
(Profit)/Loss Schedule
Year Ended 31 December 2013

<u>Description</u>	<u>£</u>	<u>£</u>
Sales - consultancy	<u>(366,574.96)</u>	(366,574.96)
Interest received	<u>(0.22)</u>	(0.22)
Accountancy:		
Book Keeping fees	380.00	
Book keeping accruals 2013	145.00	
Accountancy accruals 2013	<u>3,000.00</u>	3,525.00
Legal and professional fees:		
EA incorporation fees	1,305.00	
Professional fees	6,000.00	
Professional fees from Equiom partly paid	<u>895.00</u>	8,200.00
Foreign exchange losses	<u>21,641.01</u>	21,641.01
Bank Charges	<u>53.32</u>	53.32
(Profit)/Loss		<u>(333,155.85)</u>

REPORT OF THE DIRECTORS AND
UNAUDITED FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2014
FOR
ZEPTUNUS LIMITED

ZEPTUNUS LIMITED**CONTENTS OF THE FINANCIAL STATEMENTS**
FOR THE YEAR ENDED 31 DECEMBER 2014

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ZEPTUNUS LIMITED
COMPANY INFORMATION
FOR THE YEAR ENDED 31 DECEMBER 2014

DIRECTORS:

I M Foster
P A Seaward

REGISTERED OFFICE:

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

REGISTERED NUMBER:

009110V (Isle of Man)

ACCOUNTANTS:

EAGK LLP
Chartered Accountants
869 High Road
London
N12 8QA

ZEPTUNUS LIMITED

REPORT OF THE DIRECTORS
FOR THE YEAR ENDED 31 DECEMBER 2014

The directors present their report with the financial statements of the company for the year ended 31 December 2014.

DIRECTORS

The directors who have held office during the period from 1 January 2014 to the date of this report are as follows:

S G Doyle - resigned 18 August 2014
R L Margot - resigned 18 August 2014
I M Foster - appointed 18 August 2014
P A Seaward - appointed 18 August 2014

This report has been prepared in accordance with the Companies Act relating to small companies.

ON BEHALF OF THE BOARD:



I M Foster - Director

20 August 2015

CHARTERED ACCOUNTANTS' REPORT TO THE BOARD OF DIRECTORS
ON THE UNAUDITED FINANCIAL STATEMENTS OF
ZEPTUNUS LIMITED

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Zeptunus Limited for the year ended 31 December 2014 which comprise the Profit and Loss Account, the Balance Sheet and the related notes from the company's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at icaew.com/membershandbook.

This report is made solely to the Board of Directors of Zeptunus Limited, as a body, in accordance with our terms of engagement. Our work has been undertaken solely to prepare for your approval the financial statements of Zeptunus Limited and state those matters that we have agreed to state to the Board of Directors of Zeptunus Limited, as a body, in this report in accordance with AAF 2/10 as detailed at icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and its Board of Directors, as a body, for our work or for this report.

It is your duty to ensure that Zeptunus Limited has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and profit of Zeptunus Limited. You consider that Zeptunus Limited is exempt from the statutory audit requirement for the year.

We have not been instructed to carry out an audit or a review of the financial statements of Zeptunus Limited. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.

EAGK LLP
Chartered Accountants
869 High Road
London
N12 8QA

20 August 2015

This page does not form part of the statutory financial statements

ZEPTUNUS LIMITED
PROFIT AND LOSS ACCOUNT
FOR THE YEAR ENDED 31 DECEMBER 2014

	Notes	Year Ended 31.12.14 £	Period 19.12.12 to 31.12.13 £
TURNOVER		-	366,575
Administrative expenses		<u>(8,906)</u>	<u>33,419</u>
OPERATING PROFIT	2	8,906	333,156
Interest receivable and similar income		<u>1</u>	<u>-</u>
PROFIT ON ORDINARY ACTIVITIES BEFORE TAXATION		8,907	333,156
Tax on profit on ordinary activities	3	<u>-</u>	<u>-</u>
PROFIT FOR THE FINANCIAL YEAR		<u>8,907</u>	<u>333,156</u>

The notes form part of these financial statements

ZEPTUNUS LIMITED (REGISTERED NUMBER: 009110V)**BALANCE SHEET**
31 DECEMBER 2014

	Notes	2014 £	2013 £
CURRENT ASSETS			
Debtors	4	1,550	208
Cash at bank and in hand		<u>351,359</u>	<u>340,551</u>
		352,909	340,759
CREDITORS			
Amounts falling due within one year	5	<u>10,844</u>	<u>7,601</u>
NET CURRENT ASSETS		<u>342,065</u>	<u>333,158</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>342,065</u>	<u>333,158</u>
CAPITAL AND RESERVES			
Called up share capital	6	2	2
Profit and loss account	7	<u>342,063</u>	<u>333,156</u>
SHAREHOLDERS' FUNDS		<u>342,065</u>	<u>333,158</u>

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with the Companies Act and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of the Companies Act relating to financial statements, so far as applicable to the company.

The financial statements have been prepared in accordance with the Companies Act relating to small companies and with the Financial Reporting Standard for Smaller Entities.

The financial statements were approved by the Board of Directors on 20 August 2015 and were signed on its behalf by:



I M Foster - Director



P A Seaward - Director

The notes form part of these financial statements

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2014****1. ACCOUNTING POLICIES****Accounting convention**

The financial statements have been prepared under the historical cost convention and in accordance with the Financial Reporting Standard for Smaller Entities.

Turnover

Turnover represents net invoiced sales of services, excluding value added tax.

Deferred tax

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date.

2. OPERATING PROFIT

The operating profit is stated after charging/(crediting):

	Year Ended 31.12.14 £	Period 19.12.12 to 31.12.13 £
Foreign exchange differences	<u>(17,323)</u>	<u>21,641</u>
Directors' remuneration	<u>-</u>	<u>-</u>

3. TAXATION

There is no tax to pay in the Isle of Man.

4. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2014 £	2013 £
VAT	162	208
Prepayments	<u>1,388</u>	<u>-</u>
	<u>1,550</u>	<u>208</u>

5. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2014 £	2013 £
Trade creditors	3,562	3,561
Accrued expenses	<u>7,282</u>	<u>4,040</u>
	<u>10,844</u>	<u>7,601</u>

6. CALLED UP SHARE CAPITAL

Allotted, issued and fully paid:

Number:	Class:	Nominal value:	2014 £	2013 £
2	Ordinary	1	<u>2</u>	<u>2</u>

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS - continued**
FOR THE YEAR ENDED 31 DECEMBER 2014**7. RESERVES**

	Profit and loss account £
At 1 January 2014	333,156
Profit for the year	<u>8,907</u>
At 31 December 2014	<u><u>342,063</u></u>

8. ULTIMATE CONTROLLING PARTY

The ultimate controlling party is Ulf Roland, the beneficial owner of the company.

ZEPTUNUS LIMITED**PROFIT AND LOSS ACCOUNT**
FOR THE YEAR ENDED 31 DECEMBER 2014

	Year Ended 31.12.14		Period 19.12.12 to 31.12.13	
	£	£	£	£
Sales		-		366,575
Other income				
Deposit account interest		<u>1</u>		<u>-</u>
		1		366,575
Expenditure				
Accountancy	2,505		3,525	
Legal and professional fees	5,853		8,200	
Foreign exchange (gain)/losses	<u>(17,323)</u>		<u>21,641</u>	
		<u>(8,965)</u>		<u>33,366</u>
		8,966		333,209
Interest payable and similar charges				
Bank charges		<u>59</u>		<u>53</u>
NET PROFIT		<u>8,907</u>		<u>333,156</u>

This page does not form part of the statutory financial statements

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CL11015

REPORT OF THE DIRECTORS AND
UNAUDITED FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2015
FOR
ZEPTUNUS LIMITED

ZEPTUNUS LIMITED**CONTENTS OF THE FINANCIAL STATEMENTS**
FOR THE YEAR ENDED 31 DECEMBER 2015

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ZEPTUNUS LIMITED
COMPANY INFORMATION
FOR THE YEAR ENDED 31 DECEMBER 2015

DIRECTORS:

I M Foster
I D Mudie

REGISTERED OFFICE:

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

REGISTERED NUMBER:

009110V (Isle of Man)

ACCOUNTANTS:

EAGK LLP
Chartered Accountants
869 High Road
London
N12 8QA

ZEPTUNUS LIMITED

REPORT OF THE DIRECTORS
FOR THE YEAR ENDED 31 DECEMBER 2015

The directors present their report with the financial statements of the company for the year ended 31 December 2015.

DIRECTORS

The directors shown below have held office during the period as follows:

I M Foster (appointed 18/08/2014)
P A Seaward (resigned 21/12/2015)
I D Mudie (appointed 21/12/2015)

This report has been prepared in accordance with the Companies Act relating to small companies.

ON BEHALF OF THE BOARD:

IM Foster

I M Foster - Director

Date: *18 October 2016*

CHARTERED ACCOUNTANTS' REPORT TO THE BOARD OF DIRECTORS
ON THE UNAUDITED FINANCIAL STATEMENTS OF
ZEPTUNUS LIMITED

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Zeptunus Limited for the year ended 31 December 2015 which comprise the Profit and Loss Account, the Balance Sheet and the related notes from the company's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at icaew.com/membershandbook.

This report is made solely to the Board of Directors of Zeptunus Limited, as a body, in accordance with our terms of engagement. Our work has been undertaken solely to prepare for your approval the financial statements of Zeptunus Limited and state those matters that we have agreed to state to the Board of Directors of Zeptunus Limited, as a body, in this report in accordance with AAF 2/10 as detailed at icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and its Board of Directors, as a body, for our work or for this report.

It is your duty to ensure that Zeptunus Limited has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and profit of Zeptunus Limited. You consider that Zeptunus Limited is exempt from the statutory audit requirement for the year.

We have not been instructed to carry out an audit or a review of the financial statements of Zeptunus Limited. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.

EAGK LLP
 Chartered Accountants
 869 High Road
 London
 N12 8QA

Date:

ZEPTUNUS LIMITED
PROFIT AND LOSS ACCOUNT
FOR THE YEAR ENDED 31 DECEMBER 2015

	Notes	2015 £	2014 £
TURNOVER		-	-
Administrative expenses		<u>(5,279)</u>	<u>(8,906)</u>
OPERATING PROFIT	2	5,279	8,906
Interest receivable and similar income		<u>2</u>	<u>1</u>
PROFIT ON ORDINARY ACTIVITIES BEFORE TAXATION		5,281	8,907
Tax on profit on ordinary activities	3	<u>-</u>	<u>-</u>
PROFIT FOR THE FINANCIAL YEAR		<u>5,281</u>	<u>8,907</u>

The notes form part of these financial statements

ZEPTUNUS LIMITED (REGISTERED NUMBER: 009110V)**BALANCE SHEET**
31 DECEMBER 2015

	Notes	2015 £	2014 £
CURRENT ASSETS			
Debtors	4	1,669	1,550
Cash at bank and in hand		<u>356,488</u>	<u>351,359</u>
		358,157	352,909
CREDITORS			
Amounts falling due within one year	5	<u>10,811</u>	<u>10,844</u>
NET CURRENT ASSETS		<u>347,346</u>	<u>342,065</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>347,346</u>	<u>342,065</u>
CAPITAL AND RESERVES			
Called up share capital	6	2	2
Profit and loss account	7	<u>347,344</u>	<u>342,063</u>
SHAREHOLDERS' FUNDS		<u>347,346</u>	<u>342,065</u>

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with the Companies Act and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of the Companies Act relating to financial statements, so far as applicable to the company.

The financial statements have been prepared in accordance with the Companies Act relating to small companies and with the Financial Reporting Standard for Smaller Entities.

The financial statements were approved by the Board of Directors on 18 October 2016 and were signed on its behalf by:

I M Foster

I M Foster - Director

I D Mudie

I D Mudie - Director

The notes form part of these financial statements

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2015****1. ACCOUNTING POLICIES****Accounting convention**

The financial statements have been prepared under the historical cost convention and in accordance with the Financial Reporting Standard for Smaller Entities.

Deferred tax

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date.

Foreign currencies

Assets and liabilities in foreign currencies are translated into sterling at the rates of exchange ruling at the balance sheet date. Transactions in foreign currencies are translated into sterling at the rate of exchange ruling at the date of transaction. Exchange differences are taken into account in arriving at the operating result.

2. OPERATING PROFIT

The operating profit is stated after charging/(crediting):

	2015 £	2014 £
Foreign exchange differences	<u>(18,844)</u>	<u>(17,323)</u>
Directors' remuneration	<u>-</u>	<u>-</u>

3. TAXATION

There is no tax to pay in the Isle of Man.

4. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2015 £	2014 £
VAT	281	162
Prepayments	<u>1,388</u>	<u>1,388</u>
	<u>1,669</u>	<u>1,550</u>

5. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2015 £	2014 £
Trade creditors	5,098	3,562
Accrued expenses	<u>5,713</u>	<u>7,282</u>
	<u>10,811</u>	<u>10,844</u>

6. CALLED UP SHARE CAPITAL

Allotted, issued and fully paid:

Number:	Class:	Nominal value:	2015 £	2014 £
2	Ordinary	1	<u>2</u>	<u>2</u>

ZEPTUNUS LIMITEDNOTES TO THE FINANCIAL STATEMENTS - continued
FOR THE YEAR ENDED 31 DECEMBER 2015

7. RESERVES

	Profit and loss account £
At 1 January 2015	342,063
Profit for the year	<u>5,281</u>
At 31 December 2015	<u><u>347,344</u></u>

8. ULTIMATE CONTROLLING PARTY

A document dated 20 April 2013 was provided to the Directors indicating that the ownership of the issued shares was transferred to Christer Bertil Deckmark on that date.

ZEPTUNUS LIMITED
PROFIT AND LOSS ACCOUNT
FOR THE YEAR ENDED 31 DECEMBER 2015

	2015		2014
	£	£	£
Income		-	-
Other income			
Deposit account interest		<u>2</u>	<u>1</u>
		2	1
Expenditure			
Accountancy	3,100		2,505
Legal and professional fees	10,377		5,853
Foreign exchange (gain)/losses	<u>(18,844)</u>		<u>(17,323)</u>
		<u>(5,367)</u>	<u>(8,965)</u>
		5,369	8,966
Interest payable and similar charges			
Bank charges		<u>88</u>	<u>59</u>
NET PROFIT		<u><u>5,281</u></u>	<u><u>8,907</u></u>

This page does not form part of the statutory financial statements

9

18/02/2014 Equiom Isle of Man	1401596 (£2,424)	4,169.41
25/07/2014 Equiom Isle of Man	1404847 (£834)	1,452.65
29/09/2014 Equiom Isle of Man	RF1409166 (£2,220)	3,692.68

Client Entity: **ZEPTUNUS LIMITED****GBP account RBSI**

DATE	DETAIL	REF	DR	CR
CASH TRANSACTIONS				
01/01/2012	Balance b/f			
22/08/2013	IOM Government		1,011.00	
28/08/2013	EA Associates			282.00
28/08/2013	Bank Charges			0.50
30/09/2013	Interest		0.07	
19/11/2013	IOM Government		207.00	
26/11/2013	Transfer to US\$ A/C		602.95	
26/11/2013	Equiom fees			1,074.00
27/11/2013	IOM Government			360.00
31/12/2013	Interest		0.15	
11/02/2014	IOM Government	<i>Vat refund</i>	208.00	
31/03/2014	Interest		0.17	
06/05/2014	IOM Government	<i>Vat refund</i>	433.00	
30/06/2014	Interest		0.43	
12/09/2014	IOM Government	<i>Vat refund</i>	139.00	
13/08/2014	Equiom fees			420.00
28/08/2014	EA Associates	35939/35296		306.00
28/08/2014	Bank Charges			1.00
30/09/2014	Interest		0.39	

USD account RBSI

DATE	DETAIL	REF	DR	CR
CASH TRANSACTIONS				
01/01/2012	Balance b/f			
31/07/2013	ABS Investment Group		305,350.00	
31/07/2013	Bank Charges			11.42
20/08/2013	ABS Investment Group		175,464.00	
20/08/2013	Bank Charges			11.74
23/08/2013	City Trust Limited			4,311.77
23/08/2013	Bank Charges			23.95
23/08/2013	Equiom Isle of Man			1,533.07
15/10/2013	ABS Investment Group		87,732.00	
15/10/2013	Bank Charges			11.99
26/11/2013	Transfer GBP a/c	£602.95		1,000.00
05/12/2013	EA Associates	33548 (£174)		292.48
05/12/2013	Bank Charges			25.21
18/02/2014	EA Associates	34598 (£174)		299.29
18/02/2014	Bank Charges			39.56

BALANCE**£**

0.00
 1,011.00
 729.00
 728.50
 728.57
 935.57
 1,538.52
 464.52
 104.52
 104.67
 312.67
 312.84
 745.84
 746.27
 885.27
 465.27
 159.27
 158.27
 158.66

BALANCE**\$**

0.00
 305,350.00
 305,338.58
 480,802.58
 480,790.84
 476,479.07
 476,455.12
 474,922.05
 562,654.05
 562,642.06
 561,642.06
 561,349.58
 561,324.37
 561,025.08
 560,985.52

Date: 18/11/2016

Time: 13:04:44

ZEPTUNUS LTD
Period Trial Balance

Page: 1

To Period: Month 12, December 2016

<u>N/C</u>	<u>Name</u>	<u>Debit</u>	<u>Credit</u>
1200	Bank A/c 58608545 GBP	304.69	
1211	Bank A/c 58608545 USD	334,331.30	
1230	Petty Cash	2.00	
2100	Creditors Control Account		630.00
2109	Accruals		1,925.00
2201	Purchase Tax Control Account	520.00	
2202	VAT Liability	1,968.35	
3000	Ordinary Shares		2.00
3200	Profit and Loss Account		347,344.00
4100	Interest Received		2.27
7602	Accountancy Fees	3,259.00	
7603	Consultancy Fees	2,130.47	
7604	Professional Fees	7,084.25	
7901	Bank Charges	154.82	
7906	Exchange Rate Variance	148.39	
Totals:		<u>349,903.27</u>	<u>349,903.27</u>

Zeptunus Limited

Z0039

4 - Period ended 16 Dec 2016

DETAILED TRIAL BALANCE

Year Ended 16 December 2016

Account	Description	Final	Comparative Year Ended 31/12/15
130	Deposit account interest-	-	(2.33)
285	Accountancy-	-	3,100.00
290	Legal and professional fees-	-	10,378.40
296	Foreign exchange (gain)/losses-	-	(18,844.23)
378	Bank charges-	-	87.53
	(Profit) / Loss	£0.00	(£5,280.63)
614	Prepayments-	-	1,387.50
668	Cash in hand-	-	2.00
692	Bank account GBP-	-	1,487.45
693	Bank account USD-	-	354,998.50
735	VAT-	-	280.95
737	Trade creditors-	-	(5,096.92)
786	Accrued expenses-	-	(5,713.48)
966	Called up share capital		
/ 1	Share capital 1-	-	(2.00)
968	Profit and loss account		
/ 1	Brought forward-	-	(342,063.37)
	Total	£0.00	£0.00

Nilsson Susanne

Från: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Skickat: fredag den 4 december 2015 10:27
Till: Patrik Hild
Kopia: Roy Callow; Ian Mudie
Ämne: RE: Zeptunus Limited
Bifogade filer: 2013 accounts.pdf; Zeptunus 2014 accs.pdf

Dear Patrik,

I am the administrator of the above Company and Roy has referred your request to me.

The Company was incorporated on 18 December 2012 and we currently have two sets of accounts, which I enclose for your information.

Let me know if you need anything else.

Kind regards

Yuliya

Yuliya Sasina-Yates

Senior Trust & Company Administrator

Equiom

Equiom (Isle of Man) Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 1624 696603 Main: +44 1624 699000 Fax: +44 1624 699001

yuliyasasina-yates@equiomgroup.com

www.equiomgroup.com

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From: Patrik Hild [<mailto:patrik.hild@mantatax.se>]

Sent: 03 December 2015 15:12

To: Roy Callow <RoyCallow@equiomgroup.com>

Subject: SV: Request for quote for provision of services

Dear Roy,

I have promised the client to calculate the taxable income of the company. Could you please send me the annual accounts of the company? I only need the years where there has been an activity in the company.

Thanks in advance!

Best regards,

Patrik Hild

Delägare, Aukt. Skatterådgivare FAR

Svensk och Internationell beskattning

MANTATAX AB

Kungsgatan 8

111 43 Stockholm

Tel: +46 70 872 83 22

Epost: patrik.hild@mantatax.se

<< OLE Object: Picture (Device Independent Bitmap) >>

Hemsida: www.mantatax.se

Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 18 november 2015 15:28

Till: Patrik Hild <patrik.hild@mantatax.se>

Ämne: RE: Request for quote for provision of services

You can call this afternoon if you feel you need to but the ownership is very straight forward

Ulf is the owner of all the issued shares in the company. The shares are registered in the name of a nominee who holds them under a declaration of trust as a bare trustee for Ulf. Nominees have no interest in the share.

If you need more on the back ground I would have to get the company directors involved but there is nothing further on the ownership.

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001
roycallow@equiomgroup.com
www.equiomgroup.com

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From: Patrik Hild [<mailto:patrik.hild@mantatax.se>]

Sent: 18 November 2015 09:56

To: Roy Callow <RoyCallow@equiomgroup.com>

Subject: VB: Request for quote for provision of services

Dear Roy,

My name is Patrik Hild and me and my firm Manta Tax are providing tax services in behalf of Revidentia and PKF in Sweden. I met with the client on Monday, we had some discussions regarding the set up and I would like to discuss with you how the owning is set up, there was some uncertainty regarding this from the clients perspective and I would like to understand this better and what kind of possibilities there are to change it one way or another.

The client therefore wanted me to talk directly to in order to sort this out. Is it possible to take a call regarding this in order to discuss the matter a bit more in detail? Is there any time that is more suitable for you?

Best regards,

Patrik Hild

Partner, Certified Tax Advisor
Swedish and International Tax
MANTATAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

Epost: patrik.hild@mantatax.se

<< OLE Object: Picture (Device Independent Bitmap) >>

Hemsida: www.mantatax.se

Från: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]

Skickat: den 11 november 2015 15:17

Till: Patrik Hild <patrik.hild@mantatax.se>

Ämne: VB: Request for quote for provision of services

F.K.

Vänliga hälsningar/ Kind regards

Karin Rosén

<< OLE Object: Picture (Device Independent Bitmap) >>

<< OLE Object: Picture (Device Independent Bitmap) >>

Karin Rosén Telefon: +46 (0)8 121 341 02

Auktoriserad revisor E-mail: karin.rosen@revidentia.se

Österlånggatan 43, 4tr Fax: +46 (0)8 660 30 06

SE 111 31 STOCKHOLM Websida www.revidentia.se

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Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 11 november 2015 15:08

Till: Karin Rosén <Karin.Rosen@revidentia.se>

Kopia: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Ämne: RE: Request for quote for provision of services

Dear Karin

The tax advice will as I see it affect him and perhaps seems sensible he engages you. The company wants to be certain he has obtained advice and know what the effect on him of any actions it may take. Thus company wishes to see the advice. This is why we approached you.

See what he says but keep me up to date

Thanks again for your help

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001

roycallow@equiomgroup.com

www.equiomgroup.com

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From: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]

Sent: 11 November 2015 13:12

To: Roy Callow <RoyCallow@equiomgroup.com>

Cc: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Subject: SV: Request for quote for provision of services

Dear Roy,

Either way works for us.

Should I ask him and let him decide?

Vänliga hälsningar/ Kind regards

Karin Rosén

<< OLE Object: Picture (Device Independent Bitmap) >>

<< OLE Object: Picture (Device Independent Bitmap) >>

Karin Rosén Telefon: +46 (0)8 121 341 02

Auktoriserad revisor E-mail: karin.rosen@revidentia.se

Österlånggatan 43, 4tr Fax: +46 (0)8 660 30 06

SE 111 31 STOCKHOLM Websida www.revidentia.se

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Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 11 november 2015 13:48

Till: Karin Rosén <Karin.Rosen@revidentia.se>

Kopia: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Ämne: RE: Request for quote for provision of services

Dear Karin

Just thinking if you are going to meet with Mr Deckman would it be easier to set up the engagement with him?

If not I will check whether the engagement should be with Equiom (Isle of Man) Limited our trust company which administers the company concerned or the company itself?

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001
roycallow@equiomgroup.com
www.equiomgroup.com

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From: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]

Sent: 11 November 2015 12:29

To: Roy Callow <RoyCallow@equiomgroup.com>

Cc: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Subject: SV: Request for quote for provision of services

Dear Roy,

Mr Ulf Deckman called me today and we will meet, together with my tax specialist in the morning of Monday the 16th of November.

I was thinking about the engagement letter. Should it be addressed to Equiom?

Vänliga hälsningar/ Kind regards

Karin Rosén

<< OLE Object: Picture (Device Independent Bitmap) >>

<< OLE Object: Picture (Device Independent Bitmap) >>

Karin Rosén Telefon: +46 (0)8 121 341 02

Auktoriserad revisor E-mail: karin.rosen@revidentia.se

Österlånggatan 43, 4tr Fax: +46 (0)8 660 30 06

SE 111 31 STOCKHOLM Websida www.revidentia.se

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Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 6 november 2015 16:04

Till: Karin Rosén <Karin.Rosen@revidentia.se>

Ämne: RE: Request for quote for provision of services

Dear Karin

Our client has now agreed that we seek the advice from yourselves and would ask if you can now proceed. If you require anything further from us please let me know.

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001
roycallow@equiomgroup.com
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From: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]

Sent: 29 October 2015 09:13

To: Roy Callow <RoyCallow@equiomgroup.com>

Subject: SV: Request for quote for provision of services

Dear Roy,

I have now consulted our tax specialist and your assumption is correct, this will most likely create tax consequences for the beneficiary. A preliminary investigation would be about SEK 12,000 – 15,000 or 4 – 5 hours. Depending on the outcome of the investigation, we might be able to advise regarding different options for your client, and will then give you a fee indication for future work. Does this work for you?

Vänliga hälsningar/ Kind regards

Karin Rosén

<< OLE Object: Picture (Device Independent Bitmap) >>

<< OLE Object: Picture (Device Independent Bitmap) >>

Karin Rosén Telefon: +46 (0)8 121 341 02

Auktoriserad revisor E-mail: karin.rosen@revidentia.se

Österlånggatan 43, 4tr Fax: +46 (0)8 660 30 06

SE 111 31 STOCKHOLM Websida www.revidentia.se

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Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 28 oktober 2015 09:43

Till: Karin Rosén <Karin.Rosen@revidentia.se>

Kopia: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Ämne: RE: Request for quote for provision of services

Dear Karin

Quite understand tomorrow will be fine. Look forward to hearing from you.

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001

roycallow@equiomgroup.com

www.equiomgroup.com

Equiom will be exhibiting on the Isle of Man Government stand at the European iGaming Congress and Expo (EIG) at the Arena, Berlin, from October 20th to 22nd. To arrange a meeting with one of the Equiom eBusiness team at the show, please email sophiecampbell@equiomgroup.com

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From: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]

Sent: 28 October 2015 08:36

To: Roy Callow <RoyCallow@equiomgroup.com>

Cc: Sylvia Ceamanos <Sylvia.Ceamanos@revidentia.se>

Subject: SV: Request for quote for provision of services

Dear Roy,

We will be happy to assist you.

I hope it's ok if I come back to you with regards to this tomorrow since I'm out of the office today?

Vänliga hälsningar/ Kind regards

Karin Rosén

<< OLE Object: Picture (Device Independent Bitmap) >>

<< OLE Object: Picture (Device Independent Bitmap) >>

Karin Rosén Telefon: +46 (0)8 121 341 02

Auktoriserad revisor E-mail: karin.rosen@revidentia.se

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Från: Roy Callow [<mailto:RoyCallow@equiomgroup.com>]

Skickat: den 27 oktober 2015 16:21

Till: Karin Rosén <Karin.Rosen@revidentia.se>

Ämne: Request for quote for provision of services

Dear Karin

The firm I work for was until 2013 part of PKF international being the Isle of Man representative firm. In 2013 the Isle of Man firm was acquired by Equiom a licensed Corporate and Trust Service provider with headquarters in the Isle of Man but with office in a number of other countries. Equiom Solutions Limited provides tax compliance and tax advisory services to clients of the Equiom group but also provides tax services to external clients. From our own resources we provide UK and Isle of Man tax and if clients require tax advice in other countries we seek to outsource this.

We have been asked to seek advice for an Isle of Man company in respect of the tax consequences for its Swedish resident beneficial owner. The back ground as we understand it is as follows.

The Isle of Man company was set up on 19 December 2012. The company is beneficially owned by a Swedish resident individual. The company contracted to supply consultancy services with a US entity. These services were supplied and fees received. The Isle of Man company provided the services by engaging the Swedish resident individual to do the work. He was not remunerated for this work. These work has now ceased. The company currently holds cash balances accumulated from the above activity.

If you believe any tax consequences has arisen to date either for the company or its beneficial owner then your advice would be welcomed.

The beneficial owner was asked the company to seek advice as to how he can get funds out of the company either now or in the future in a tax efficient way. E.g. If the company made him a loan would there be any immediate Swedish tax consequences?, If the loan was made and in the future the company was liquidated with the loan not repaid but in fact written off what would be the tax consequences at that time. If company was just liquidated and the profits distributed to the beneficial owner as a result of the liquidation.

Can you please give us a fee quote for such advice.

If you require any additional information please let me know.

Regards

Roy Callow

Senior Tax Consultant

Equiom

Equiom Solutions Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 1624 652041 Main: +44 1624 699000 Fax: +44 1624 699001

roycallow@equiomgroup.com

www.equiomgroup.com

Equiom will be exhibiting on the Isle of Man Government stand at the European iGaming Congress and Expo (EIG) at the Arena, Berlin, from October 20th to 22nd. To arrange a meeting with one of the Equiom eBusiness team at the show, please email sophiecampbell@equiomgroup.com

Voting is now open for the **Citywealth International Financial Centre Awards**. Equiom is shortlisted for 'Trust Company of the Year' for the Isle of Man, Guernsey and Hong Kong. Please show your support for Equiom by voting [here](#). Voting closes 30th November 2015.

Equiom is also shortlisted in the **Citywealth Power Women Awards** for 'Company of the Year – Female Leadership'. Please show your support for Equiom by voting [here](#). Voting closes 30th November 2015.

REPORT OF THE DIRECTORS AND
UNAUDITED FINANCIAL STATEMENTS
FOR THE PERIOD
19 DECEMBER 2012 TO 31 DECEMBER 2013
FOR
ZEPTUNUS LIMITED

ZEPTUNUS LIMITED

CONTENTS OF THE FINANCIAL STATEMENTS
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

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ZEPTUNUS LIMITED

COMPANY INFORMATION
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

DIRECTORS:

I M Foster
P A Seaward

REGISTERED OFFICE:

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

REGISTERED NUMBER:

009110V (Isle of Man)

ACCOUNTANTS:

EA Associates
Chartered Accountants
869 High Road
London
N12 8QA

ZEPTUNUS LIMITED

REPORT OF THE DIRECTORS
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

The directors present their report with the financial statements of the company for the period 19 December 2012 to 31 December 2013.

INCORPORATION

The company was incorporated on 19 December 2012.

PRINCIPAL ACTIVITY

The principal activity of the company in the period under review was that of introducing buyer and seller of financial products.

DIRECTORS

The directors who have held office during the period from 19 December 2012 to the date of this report are as follows:

S G Doyle - appointed 20 December 2012

S J Bingham - appointed 20 December 2012 - resigned 14 January 2013

R L Margot - appointed 14 January 2013

I M Foster and P A Seaward were appointed as directors after 31 December 2013 but prior to the date of this report.

S G Doyle and R L Margot ceased to be directors after 31 December 2013 but prior to the date of this report.

This report has been prepared in accordance with the Companies Act relating to small companies.

ON BEHALF OF THE BOARD:



.....
I M Foster - Director

Date: 17 December 2014

CHARTERED ACCOUNTANTS' REPORT TO THE BOARD OF DIRECTORS
ON THE UNAUDITED FINANCIAL STATEMENTS OF
ZEPTUNUS LIMITED

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Zeptunus Limited for the period ended 31 December 2013 which comprise the Profit and Loss Account, the Balance Sheet and the related notes from the company's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at icaew.com/membershandbook.

This report is made solely to the Board of Directors of Zeptunus Limited, as a body, in accordance with our terms of engagement. Our work has been undertaken solely to prepare for your approval the financial statements of Zeptunus Limited and state those matters that we have agreed to state to the Board of Directors of Zeptunus Limited, as a body, in this report in accordance with AAF 2/10 as detailed at icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and its Board of Directors, as a body, for our work or for this report.

It is your duty to ensure that Zeptunus Limited has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and profit of Zeptunus Limited. You consider that Zeptunus Limited is exempt from the statutory audit requirement for the period.

We have not been instructed to carry out an audit or a review of the financial statements of Zeptunus Limited. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.

EA Associates
Chartered Accountants
869 High Road
London
N12 8QA

Date:

This page does not form part of the statutory financial statements

ZEPTUNUS LIMITED**PROFIT AND LOSS ACCOUNT**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

	Notes	£
TURNOVER		366,575
Administrative expenses		<u>33,419</u>
OPERATING PROFIT and PROFIT ON ORDINARY ACTIVITIES BEFORE TAXATION	2	333,156
Tax on profit on ordinary activities	3	<u>-</u>
PROFIT FOR THE FINANCIAL PERIOD		<u><u>333,156</u></u>

The notes form part of these financial statements

ZEPTUNUS LIMITED (REGISTERED NUMBER: 009110V)**BALANCE SHEET**
31 DECEMBER 2013

	Notes	£
CURRENT ASSETS		
Debtors	4	208
Cash at bank and in hand		<u>340,551</u>
		340,759
CREDITORS		
Amounts falling due within one year	5	<u>7,601</u>
NET CURRENT ASSETS		<u>333,158</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>333,158</u>
CAPITAL AND RESERVES		
Called up share capital	6	2
Profit and loss account	7	<u>333,156</u>
SHAREHOLDERS' FUNDS		<u>333,158</u>

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with the Companies Act and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of the Companies Act relating to financial statements, so far as applicable to the company.

The financial statements have been prepared in accordance with the Companies Act relating to small companies and with the Financial Reporting Standard for Smaller Entities.

The financial statements were approved by the Board of Directors on 17 December 2014 and were signed on its behalf by:



I M Foster - Director



P A Seaward - Director

The notes form part of these financial statements

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013**1. ACCOUNTING POLICIES****Accounting convention**

The financial statements have been prepared under the historical cost convention and in accordance with the Financial Reporting Standard for Smaller Entities.

Turnover

Turnover represents net invoiced sales of services, excluding value added tax.

Deferred tax

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date.

2. OPERATING PROFIT

The operating profit is stated after charging:

Foreign exchange differences	£ <u>21,641</u>
Directors' remuneration	<u>-</u>

3. TAXATION

There is no tax to pay in the Isle of Man.

4. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

VAT	£ <u>208</u>
-----	-----------------

5. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

Trade creditors	£ 3,561
Accrued expenses	<u>4,040</u>
	<u>7,601</u>

6. CALLED UP SHARE CAPITAL

Allotted, issued and fully paid:

Number:	Class:	Nominal value:	
2	Ordinary	1	£ <u>2</u>

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS - continued**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013**7. RESERVES**Profit
and loss
account
£

Profit for the period

333,156

At 31 December 2013

333,156**8. ULTIMATE CONTROLLING PARTY**

The ultimate controlling party is Ulf Roland, the beneficial owner of the company.

ZEPTUNUS LIMITED**PROFIT AND LOSS ACCOUNT**
FOR THE PERIOD 19 DECEMBER 2012 TO 31 DECEMBER 2013

	£	£
Sales		366,575
Expenditure		
Accountancy	3,525	
Legal and professional fees	8,200	
Foreign exchange losses	<u>21,641</u>	
		<u>33,366</u>
		333,209
Interest payable and similar charges		
Bank charges		<u>53</u>
NET PROFIT		<u>333,156</u>

This page does not form part of the statutory financial statements

REPORT OF THE DIRECTORS AND
UNAUDITED FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2014
FOR
ZEPTUNUS LIMITED

ZEPTUNUS LIMITED

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FOR THE YEAR ENDED 31 DECEMBER 2014

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ZEPTUNUS LIMITED

COMPANY INFORMATION
FOR THE YEAR ENDED 31 DECEMBER 2014

DIRECTORS:

I M Foster
P A Seaward

REGISTERED OFFICE:

First Floor
Jubilee Buildings
Victoria Street
Douglas
IM1 2SH

REGISTERED NUMBER:

009110V (Isle of Man)

ACCOUNTANTS:

EAGK LLP
Chartered Accountants
869 High Road
London
N12 8QA

ZEPTUNUS LIMITED

REPORT OF THE DIRECTORS
FOR THE YEAR ENDED 31 DECEMBER 2014

The directors present their report with the financial statements of the company for the year ended 31 December 2014.

DIRECTORS

The directors who have held office during the period from 1 January 2014 to the date of this report are as follows:

S G Doyle - resigned 18 August 2014
R L Margot - resigned 18 August 2014
I M Foster - appointed 18 August 2014
P A Seaward - appointed 18 August 2014

This report has been prepared in accordance with the Companies Act relating to small companies.

ON BEHALF OF THE BOARD:



I M Foster - Director

20 August 2015

CHARTERED ACCOUNTANTS' REPORT TO THE BOARD OF DIRECTORS
ON THE UNAUDITED FINANCIAL STATEMENTS OF
ZEPTUNUS LIMITED

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Zeptunus Limited for the year ended 31 December 2014 which comprise the Profit and Loss Account, the Balance Sheet and the related notes from the company's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at icaew.com/membershandbook.

This report is made solely to the Board of Directors of Zeptunus Limited, as a body, in accordance with our terms of engagement. Our work has been undertaken solely to prepare for your approval the financial statements of Zeptunus Limited and state those matters that we have agreed to state to the Board of Directors of Zeptunus Limited, as a body, in this report in accordance with AAF 2/10 as detailed at icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and its Board of Directors, as a body, for our work or for this report.

It is your duty to ensure that Zeptunus Limited has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and profit of Zeptunus Limited. You consider that Zeptunus Limited is exempt from the statutory audit requirement for the year.

We have not been instructed to carry out an audit or a review of the financial statements of Zeptunus Limited. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.

EAGK LLP
Chartered Accountants
869 High Road
London
N12 8QA

20 August 2015

This page does not form part of the statutory financial statements

ZEPTUNUS LIMITED**PROFIT AND LOSS ACCOUNT**
FOR THE YEAR ENDED 31 DECEMBER 2014

	Notes	Year Ended 31.12.14 £	Period 19.12.12 to 31.12.13 £
TURNOVER		-	366,575
Administrative expenses		<u>(8,906)</u>	<u>33,419</u>
OPERATING PROFIT	2	8,906	333,156
Interest receivable and similar income		<u>1</u>	<u>-</u>
PROFIT ON ORDINARY ACTIVITIES BEFORE TAXATION		8,907	333,156
Tax on profit on ordinary activities	3	<u>-</u>	<u>-</u>
PROFIT FOR THE FINANCIAL YEAR		<u>8,907</u>	<u>333,156</u>

The notes form part of these financial statements

ZEPTUNUS LIMITED (REGISTERED NUMBER: 009110V)**BALANCE SHEET**
31 DECEMBER 2014

	Notes	2014 £	2013 £
CURRENT ASSETS			
Debtors	4	1,550	208
Cash at bank and in hand		<u>351,359</u>	<u>340,551</u>
		352,909	340,759
CREDITORS			
Amounts falling due within one year	5	<u>10,844</u>	<u>7,601</u>
NET CURRENT ASSETS		<u>342,065</u>	<u>333,158</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>342,065</u>	<u>333,158</u>
CAPITAL AND RESERVES			
Called up share capital	6	2	2
Profit and loss account	7	<u>342,063</u>	<u>333,156</u>
SHAREHOLDERS' FUNDS		<u>342,065</u>	<u>333,158</u>

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with the Companies Act and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of the Companies Act relating to financial statements, so far as applicable to the company.

The financial statements have been prepared in accordance with the Companies Act relating to small companies and with the Financial Reporting Standard for Smaller Entities.

The financial statements were approved by the Board of Directors on 20 August 2015 and were signed on its behalf by:



I M Foster - Director



P A Seaward - Director

The notes form part of these financial statements

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS
FOR THE YEAR ENDED 31 DECEMBER 2014****1. ACCOUNTING POLICIES****Accounting convention**

The financial statements have been prepared under the historical cost convention and in accordance with the Financial Reporting Standard for Smaller Entities.

Turnover

Turnover represents net invoiced sales of services, excluding value added tax.

Deferred tax

Deferred tax is recognised in respect of all timing differences that have originated but not reversed at the balance sheet date.

2. OPERATING PROFIT

The operating profit is stated after charging/(crediting):

	Year Ended 31.12.14 £	Period 19.12.12 to 31.12.13 £
Foreign exchange differences	<u>(17,323)</u>	<u>21,641</u>
Directors' remuneration	<u>-</u>	<u>-</u>

3. TAXATION

There is no tax to pay in the Isle of Man.

4. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2014 £	2013 £
VAT	162	208
Prepayments	<u>1,388</u>	<u>-</u>
	<u>1,550</u>	<u>208</u>

5. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2014 £	2013 £
Trade creditors	3,562	3,561
Accrued expenses	<u>7,282</u>	<u>4,040</u>
	<u>10,844</u>	<u>7,601</u>

6. CALLED UP SHARE CAPITAL

Allotted, issued and fully paid:

Number:	Class:	Nominal value:	2014 £	2013 £
2	Ordinary	1	<u>2</u>	<u>2</u>

ZEPTUNUS LIMITED**NOTES TO THE FINANCIAL STATEMENTS - continued**
FOR THE YEAR ENDED 31 DECEMBER 2014**7. RESERVES**

	Profit and loss account £
At 1 January 2014	333,156
Profit for the year	<u>8,907</u>
At 31 December 2014	<u>342,063</u>

8. ULTIMATE CONTROLLING PARTY

The ultimate controlling party is Ulf Roland, the beneficial owner of the company.

ZEPTUNUS LIMITED
PROFIT AND LOSS ACCOUNT
FOR THE YEAR ENDED 31 DECEMBER 2014

	Year Ended 31.12.14	Period 19.12.12 to 31.12.13
	£	£
Sales	-	366,575
Other income		
Deposit account interest	1	-
	1	366,575
Expenditure		
Accountancy	2,505	3,525
Legal and professional fees	5,853	8,200
Foreign exchange (gain)/losses	(17,323)	21,641
	(8,965)	33,366
	8,966	333,209
Interest payable and similar charges		
Bank charges	59	53
NET PROFIT	<u>8,907</u>	<u>333,156</u>

This page does not form part of the statutory financial statements

Nilsson Susanne

Från: Karin Rosén <Karin.Rosen@revidentia.se>
Skickat: onsdag den 11 november 2015 12:40
Till: 'Ulf Deckmark'
Ämne: SV:

Hej!

Vi träffar dig gärna hos oss måndag den 16 november kl 9:30 på vårt kontor i Gamla Stan.
Pk 1993, 4 tr

Välkommen!

Vänliga hälsningar/ Kind regards
Karin Rosén



Karin Rosén Telefon: +46 (0)8 121 341 02
Auktoriserad revisor E-mail: karin.rosen@revidentia.se
Österlånggatan 43, 4tr Fax: +46 (0)8 660 30 06
SE 111 31 STOCKHOLM Websida www.revidentia.se

This e-mail and its files are confidential and may contain legally privileged information. The information transmitted is intended only for the addressee. If you are not the intended recipient, you are hereby requested not to distribute or copy this communication. In such event please notify us immediately and delete the original message.

Från: Ulf Deckmark [mailto:uffe470@gmail.com]
Skickat: den 11 november 2015 10:53
Till: Karin Rosén
Ämne:

Hej Karin,

Tack för ett trevligt samtal.

Här kommer förslag på mötestider, den 16:e valfri tid, den 18:e 12:30, den 19:e 10:00 eller den 19:e kl 14:00

Hoppas tiderna kommer att passa, återkom annars.

Mvh

Nilsson Susanne

Från: Ulf Deckmark <uffe470@gmail.com>
Skickat: tisdag den 17 november 2015 09:26
Till: Patrik Hild

Hej Patrik,

Tack för ett givande och trevligt möte. Använd gärna denna mail då det är den som jag använder för mer privata ärenden.

Ringer Dig imorgon så kan vi gå igenom några korta funderings som jag fick efter vårt möte, är det någon tid som passar dig bäst eller någon annan dag ?

Mvh

Ulf Deckmark

Nilsson Susanne

Från: Patrik Hild <IMCEAEX-_O=EXCHANGELABS_OU=EXCHANGE+20ADMINISTRATIVE+20GROUP+20+28FYDIBOHF23SPDLT+29_CN=RECIPIENTS_CN=FC0785F262684D90895933A5B29E26A4-PATRIK+2EHILD@eurprd02.prod.outlook.com>
Skickat: tisdag den 8 december 2015 09:08
Till: Karin Rosén
Kopia: Åsa Ifvarsson; Sylvia Ceamanos
Ämne: SV: God morgon!

Hej,

Jo det har rullat på, vi hade ett möte till och jag har varit i kontakt med IoM om uppgifter och räkna på skatten lite mer noggrant för att se vad worst case scenario blir. Vi avser att fakturera dig efter detta. Kunden är medveten om att det blir lite fler timmar, men å andra sidan så verkar IoM vara införstådd med problematiken.

Januari är nog mkt lugnare för oss än vad det är just nu. Bara den 29 januari är hittills uppbokad.

mvh

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

MANTATAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22
Epost: patrik.hild@mantatax.se

Linked  profile
Hemsida: www.mantatax.se

Från: Karin Rosén [<mailto:Karin.Rosen@revidentia.se>]
Skickat: den 8 december 2015 06:37
Till: Patrik Hild
Kopia: Åsa Ifvarsson ; Sylvia Ceamanos
Ämne: God morgon!

Hej!
Hur är det med dig? Hoppas att allt går bra?
Tänkte höra lite om det har fungerat bra med vår gemensamma kund, Ulf Deckmark?
Har för övrigt vederbörligen dåligt samvete för att vi inte har bjudit in er till lunchen med PKF dragningen, men vi har bara inte hunnit. Vi kan väl stämma av kalendrarna och se hur det ser ut i januari?

Vänliga hälsningar/ Kind regards
Karin Rosén

Nilsson Susanne

Från: Patrik Hild <IMCEAEX-_O=EXCHANGELABS_OU=EXCHANGE+20ADMINISTRATIVE+20GROUP+20+28FYDIBOHF23SPDLT+29_CN=RECIPIENTS_CN=FC0785F262684D90895933A5B29E26A4-PATRIK+2EHILD@eurprd02.prod.outlook.com>
Skickat: torsdag den 17 december 2015 21:08
Till: Ulf Deckmark
Ämne: SV: beräkning skatt 2013 - 2015_20151216.xlsx

Hej,

Jag skickade dig en mötesinbjudan till den 12, se tidigare mail.

mvh

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

MANTATAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22
Epost: patrik.hild@mantatax.se

Linked  

Hemsida: www.mantatax.se

Från: Ulf Deckmark [mailto:uffe470@gmail.com]
Skickat: den 17 december 2015 19:45
Till: Patrik Hild
Ämne: Re: beräkning skatt 2013 - 2015_20151216.xlsx

Hej Patrik,

Tack för uträkningen.
Föreslår att vi ses den 11:e eller 12:e på em typ kl 15:00 ?

Mvh

Den 16 december 2015 23:44 skrev Patrik Hild <patrik.hild@mantatax.se>:

En sak till, i och med återföringen av p-fond så har du ju en intäkt att möta kostnader med, jag minns inte om jag sa det, men på så sätt är det bäst att låta bolaget ta kostnaderna för detta, precis som du var inne på från början.

mvh

Patrik Hild

Delägare, Aukt. Skatterådgivare FAR

Svensk och Internationell beskattning

MANTATAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Epost: patrik.hild@mantatax.se

Linked in profile

Hemsida: www.mantatax.se

Från: Patrik Hild

Skickat: den 16 december 2015 23:35

Till: 'Ulf Deckmark' <uffe470@gmail.com>

Ämne: beräkning skatt 2013 - 2015_20151216.xlsx

Hej Ulf,

Jag har utgått från din faktiska inkomst, men skillnaden blir inte så stor mot vad jag redan räknat fram då jag redan räknat på en inkomst som låg över den högsta brytgränsen. Man kan dela upp upplösningen av p-fonden på flera år, men det ger väldigt liten effekt eftersom du redan lyfter en väldigt hög lön.

Återkom på förslag på tider för ytterligare ett möte nästa år. Jag kommer att börja jobba den 11 januari (ev är jag även inne på em den 7 januari).

Mvh

Patrik

Bveräkning inkomster i utlandet									
ÅR	inkomst f	valutakurs	inkomst SEK	p-fond	resultat	AG	20%	skattepliktigt resultat	
2013 m AG	£ 333 156	10,1863	3 393 627 kr	1 018 088 kr	2 375 539 kr	475 108 kr		1 900 431 kr	
2013 utan AG	£ 333 156	10,1863	3 393 627 kr	1 018 088 kr	2 375 539 kr			2 375 539 kr	
2014 alt 1	£ 8 907	11,2917	100 575 kr	30 173 kr	70 403 kr	14 081 kr		56 322 kr	
2014 alt 2	£ 8 907	11,2917	100 575 kr	30 173 kr	70 403 kr			70 403 kr	
2015 återföring p-fond			1 048 261 kr		1 048 261 kr			1 048 261 kr	

<u>skatt</u>	<u>skatt+AG</u>	<u>inkomst 1 MSEK</u>	<u>inkl AG</u>	<u>Tjänsteinkomst</u>	<u>bet skatt</u>	<u>skatt att betala</u>	<u>total skatt</u>	<u>AG</u>	<u>SKATT + AG</u>
1 457 797 kr	1 932 905 kr	1 598 126 kr	2 073 234 kr	1 777 787 kr	835 197 kr	1 583 358 kr	2 418 555 kr	540 553 kr	2 123 911 kr
1 859 827 kr	1 859 827 kr	2 000 156 kr		1 777 787 kr	835 197 kr	1 981 682 kr	2 816 879 kr		1 981 682 kr
16 320 kr	30 401 kr	43 436 kr	57 517 kr	1 087 920 kr	459 607 kr	43 435 kr	503 042 kr	12 088 kr	55 523 kr
21 508 kr	21 508 kr	54 311 kr		1 087 920 kr	459 607 kr	54 309 kr	513 916 kr	228 026 kr	54 309 kr
				1 700 000 kr	784 985 kr	688 694 kr	1 473 679 kr		916 720 kr
				1 700 000 kr	784 985 kr	864 673 kr	1 649 658 kr		864 673 kr

Nilsson Susanne

Från: Ulf Deckmark <uffe470@gmail.com>
Skickat: onsdag den 3 februari 2016 13:33
Till: Patrik Hild
Bifogade filer: SCAN_2016020313441000.pdf

Hej Patrik,

Hoppas allt är väl.

Bifogar gåvobrevet som jag informerat Dig om.
Ringer Dig lite senare eller imorgon så kan vi prata igenom.

Mbh

Ulf

GÅVOBREV

Härmed överlåter jag, Ulf Deckmark, 610330-0171, såsom gåva till Christer Deckmark pers nr 540522-0079, 100% aktierna i företaget Zeptunus Ltd, Fist Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ med reg nr GB:003 8940 88.
Gåvan tillträdades den 20 april 2013.

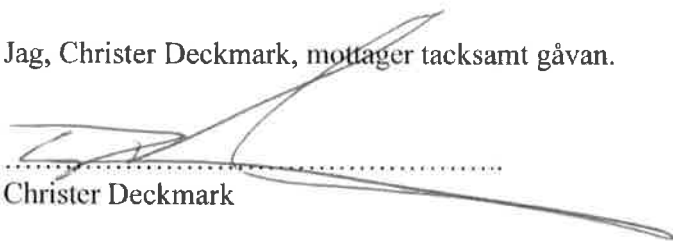
Vad Christer Deckmark mottar ska vara hans enskilda egendom. Den gäller även avkastningen därav och vad som sätts i dess ställe. Christer Deckmark har dock rätt att efter tio år ifrån gåvodatumet göra egendomen till giftorättsgods.

Stockholm 20/4 2013



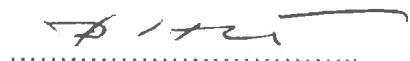
Ulf Deckmark

Jag, Christer Deckmark, mottager tacksamt gåvan.



Christer Deckmark

Namnsteckningarna bevittnas:



Namn: Bo 14051
Pers nr: 420110-7950



Namn:
Pers nr: 500226-0150

Nilsson Susanne

Från: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Skickat: fredag den 12 februari 2016 12:39
Till: Patrik Hild
Kopia: Isobel Foster; Ian Mudie; Roy Callow
Ämne: RE: Zeptunus Limited

Dear Patrik,

I am just checking that you received my email on Tuesday. I hope you found the information helpful?
I would also be most grateful if you could provide us with the tax advice so that we can pay your fees.

Many thanks
Kind regards
Yuliya

Yuliya Sasina-Yates
Senior Administrator - Client Services

Equiom

Equiom (Isle of Man) Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
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yuliyasasina-yates@equiomgroup.com
www.equiomgroup.com

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From: Yuliya Sasina-Yates
Sent: 09 February 2016 14:47
To: 'Patrik Hild' <patrik@pretax.se>
Cc: Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>
Subject: RE: Zeptunus Limited

Dear Patrik,

Thank you for your message. Roy has also forwarded your original email to me. I will have to have a look at it in more detail and will revert to you at a later date. As Mr Deckmark did not inform us about it previously, for our purposes the beneficial owner has always been Ulf Deckmark. Mr Deckmark has always referred to Zeptunus as his Company and it is slightly surprising in the light of the letter he had provided.

From our prospective, the change did not take place and if Mr Deckmark still wants to proceed with the change of beneficial owner, we can arrange this, albeit with cost attributed to it, as this will be the introduction of a new client.

The first thing that would need to be done is to establish if Ulf wants the shares to go directly to his brother or to be held by a nominee shareholder as is the case now. He would need to send us a letter with details of his request for change of the beneficial owner. This would need to be in English, dated with the present date and would need to be notarised. We would also need notarised copies of the current passports and utility bills confirming residential address for both Ulf and his brother. We would need to be able to contact Mr Deckmark's brother as our new client for further information.

On a separate note, Mr Deckmark asked us to pay your invoice but the Directors wish to see a copy of the tax advice before payment. Would it be possible for you to provide us with a copy of the advice relating to the company and its assets?

Many thanks for your help

Kind regards
Yuliya

Yuliya Sasina-Yates
Senior Administrator - Client Services

Equiom

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Equiom's eGaming Team will be exhibiting at ICE Totally Gaming at ExCel London from 2-4 February 2016. To arrange a meeting with the Team at ICE please email sophiecampbell@equiomgroup.com or visit the Isle of Man Government stand number N5-320.

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From: Patrik Hild [<mailto:patrik@pretax.se>]
Sent: 09 February 2016 14:14
To: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Subject: Zeptunus Limited

Dear Yuliya,

I did send an email to Roy last week, I forgot it was you whom I am supposed to speak to regarding these issues. I just wanted to check with you whether Roy did forward my email regarding the gift of the shares to his brother, please see the attachment. It is unfortunately in Swedish and from 2013. As I understand it you can only do the registration from today and forward, correct?

Please let me know what kind of information you need from me in order to be able to change the owner of the company.

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

LinkedIn profile

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Nilsson Susanne

Från: Patrik Hild <patrik@pretax.se>
Skickat: onsdag den 17 februari 2016 08:16
Till: Ulf Deckmark
Ämne: SV: jag har fått svar....

Ja, jag är tillgänglig efter typ 13:30 idag eller fram till 9:30.

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

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Från: Ulf Deckmark [mailto:uffe470@gmail.com]
Skickat: den 17 februari 2016 06:56
Till: Patrik Hild <patrik@pretax.se>
Ämne: Re: jag har fått svar....

Hmmm
Kan jag ringa dig någon lämplig tid idag ?

Hälsningar

Ulf

Den 16 februari 2016 23:00 skrev Patrik Hild <patrik@pretax.se>:

Hej,

Ok, så det är det de vill veta. Såg nu deras andra mail och blir återigen konfunderad. Vad för typ av arbete skulle jag i så fall presentera senare? Jag hade tänkt skriva följande nedan men blir nu osäker på om det är så vettigt om jag även ska presentera en utredning åt bolaget. Vet du hur fakturan såg ut som Karin skickade till bolaget? Kanske bra om vi börjar med att kolla detta. Hur som helst – nedan har jag tråcklat ihop en kort förklaring. Notera att jag inte lämnat ngn skriftlig opinion. Kan tycka att det är bättre att inte lämna ngn.

” The consultancy fees regards to several meetings with Ulf Deckmark regarding the following topics:

- the impact of the gift by Ulf to his brother both from a Swedish and UK perspective and possible CFC status depending on the domiciliation of Christian
- whether performed work by Christian and Ulf Deckmark may render a PE in the UK or in Sweden
- any other grounds for taxation in Sweden or the UK due to the ownership, relationship and performed work

- Investigation on possible tax impact on dividend from the company to Christian in IoM, the UK and possible Sweden depending on the tax status of Christian.

Please note that nothing has been written in relation to a memo or similar. The meetings has been on a high level where only a few matters has been needed to secure. The client has at this stage not demand any written opinion.”

Med vänliga hälsningar,

Patrik

Patrik Hild

Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

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111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

 [preTAX.se](https://www.linkedin.com/company/pretax-se)

 Please consider the impact on the environment before printing this e-mail

Från: Ulf Deckmark [mailto:uffe470@gmail.com]

Skickat: den 15 februari 2016 21:14

Till: Patrik Hild <patrik@pretax.se>

Ämne: Re: jag har fått svar....

Hej Patrik,

Jag pratade med Yiara eller vad hon nu heter och de tror att vi är klara och vill veta vad vi kom fram till och att råden är gällande bolaget och inte mig privat.

Fåreslår att jag sänder följande mailtext till henne och cc dig?, se nedan och vad tycker du ?

Geting back to you on the occasion of our conversation yesterday. The invoice you received for payment is for a more general discussion and information about Zeptunus. The company's tax expert has not come up with a definitive solution, that will take a few weeks and of course you will get part of it. When the final proposal has been developed, there is a further invoice for payment.

I hope this information will be fine so that you can pay the invoice.

Best regards

I övrigt så är det bra om Du kan maila mig ett mail förslag som vi pratade om på tfn gärna på torsdag om det funkar för Dig.

Mbh

Ulf

Den 9 februari 2016 22:12 skrev Patrik Hild <patrik@pretax.se>:

....det var dock ett ganska långt svar med en rad påpekanden och frågor. Vidare så vill inte Directors betala fakturan annat än att jag gör en sammanställning om mina råd till bolaget. Jag blev väldigt förvånad över detta. Frågan är om du anser det värt att jag ska lägga tid på detta eller inte. Här har du, eller snarare din bror, möjlighet att säga till om betalning ska göras. Annars så får jag göra en sammanställning på de frågor som vi har berört.

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Linked  profile

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Nilsson Susanne

Från: Patrik Hild <patrik@pretax.se>
Skickat: fredag den 19 februari 2016 16:25
Till: Ulf Deckmark
Ämne: SV: Invoice for tax consultation

Sorry, har du en faktura i original så behöver den naturligtvis inte vidimeras av Notarius Publicus. Såvitt jag förstått det vill man ha för båda er.

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

 [LinkedIn](#)

 Please consider the impact on the environment before printing this e-mail

Från: Patrik Hild
Skickat: den 19 februari 2016 16:23
Till: 'Ulf Deckmark' <uffe470@gmail.com>
Ämne: VB: Invoice for tax consultation
Prioritet: Hög

Hej,

Det räcker med intyg på att kopiorna överensstämmer med originalet.

1. Pass på er båda,
2. Utility bills där er aktuella hemadress framgår på er båda
3. Gåvobrev

Brevet som förklarar vad som hänt skickar du ju i original.

Det var dock väldigt formbundet. Det måste stå antingen (för pass):

"I certify that this is a true copy of the original which I have seen, and that the photograph represents a true likeness of the individual concerned."

Eller för allt utom passen:

"I certify that this is a true copy of the original which I have seen."

Vidare ska vidimeringen ha följande info:

Certifier's original signature

Certifier's name

Capacity in which certifier acts – i.e. Solicitor, Notary, etc.

Organisation / employer of certifier

Contact details of the certifier - i.e. their telephone number

Date of the certification

Jag gissar dock att Notarius Publicus har koll på detta.

Med vänliga hälsningar,

Patrik

Patrik Hild

Delägare, Aukt. Skatterådgivare FAR

Svensk och Internationell beskattning

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: +46 70 872 83 22

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Från: Toby Marshall [<mailto:TobyMarshall@equiomgroup.com>]

Skickat: den 19 februari 2016 13:59

Till: Patrik Hild <patrik@pretax.se>

Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>

Ämne: FW: Invoice for tax consultation

Prioritet: Hög

Dear Patrik

Thank you for your email below, I understand you are aware that Yuliya is currently out of the office.

I will deal with the urgent matter regarding the certification of Ulf's documents.

Regarding the certification of Ulf's passport, a certified copy will be sufficient. Also, we will require either an original utility bill (mobile telephone bill is not acceptable) or a certified copy. This document should be dated within 3 months and confirm Ulf's residential address.

A certified copy of the Swedish deed of gift will also be required please.

Regarding the certification, the following statement(s) should be written on each copy document –

"I certify that this is a true copy of the original which I have seen, and that the photograph represents a true likeness of the individual concerned."

For any document that **does not include a photograph**, the following statement should be written on each copy –

"I certify that this is a true copy of the original which I have seen."

Thereafter, every document should have the following information –

Certifier's original signature

Certifier's name

Capacity in which certifier acts – i.e. Solicitor, Notary, etc.

Organisation / employer of certifier

Contact details of the certifier - i.e. their telephone number

Date of the certification

I trust the above is self-explanatory, however should you require any clarification, please let me know.

Kind regards

Toby

Toby Marshall TEP

Senior Administrator - Client Services

Equiom

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tobymarshall@equiomgroup.com

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From: Patrik Hild [<mailto:patrik@pretax.se>]

Sent: 19 February 2016 09:26

To: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>

Cc: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>

Subject: SV: Invoice for tax consultation

Importance: High

Dear Yuliya,

Sorry for my late response.

Firstly, I got back to Syliva at Revidentia in order to understand why you did not want to pay the invoice, it made me puzzled. I have now seen the description of my performed work which is not correct. Please be aware that it was the way this case was presented to us by you. However, the consultancy fees regards to several meetings during November 2015 – January 2016 (additional invoices will/have been issued) and some additional email conversations with Ulf Deckmark where mostly general issues regarding Zeptunus Ltd tax status has been discussed including performed work by the owner can create a PE in Sweden and UK and how this can be avoid. I therefore are of the opinion my fees relates to the company and not to either Ulf or his brother Christer. I have however not written any opinion/memo since the client never asked for it.

Secondly, and this matter is very urgent, since Ulf is going to the Public Notary either today or tomorrow, is it enough if you get a certified copy of the passport or do want the Notarius Publicus to certify that Christer is the person to whom the passport belongs to. The later requires a more formal procedure and we therefore need to know whether you settle with the a certified copy of the passport or not. Furthermore – do you want a certified copy of the Swedish deed of gift as well?

As mentioned – we need an answer rather urgent so can you please get back to me regarding this.

Kindly regards,

Patrik

Patrik Hild

Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

LinkedIn profile

Från: Yuliya Sasina-Yates [<mailto:YuliyaSasina-Yates@equiomgroup.com>]

Skickat: den 16 februari 2016 12:10

Till: Patrik Hild <patrik@pretax.se>

Kopia: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>

Ämne: Invoice for tax consultation

Dear Patrik,

I spoke to Mr Deckmark yesterday and I expect an email from him confirming some details regarding the tax consultation that you have had.

Mr Deckmark explained that the actual tax advice will be provided at a later stage and that he would later send us a copy of the advice when it has been finalised.

We would be arranging for the payment of your invoice, but on Mr Deckmark's behalf and we would be most grateful if you could amend the invoice so that it is addressed to Mr Deckmark. Once we receive the amended invoice, I will be arranging for the payment.

Thank you in advance.

Kind regards
Yuliya

Yuliya Sasina-Yates
Senior Administrator - Client Services

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Nilsson Susanne

Från: Ulf Deckmark <uffe470@gmail.com>
Skickat: tisdag den 15 mars 2016 08:49
Till: Patrik Hild
Ämne: Fwd: Invoice for tax consultation

----- Forwarded message -----

From: **Yuliya Sasina-Yates** <YuliyaSasina-Yates@equiomgroup.com>
Date: 2016-03-11 18:31 GMT+01:00
Subject: RE: Invoice for tax consultation
To: Ulf Deckmark <uffe470@gmail.com>
Cc: Isobel Foster <IsobelFoster@equiomgroup.com>, Ian Mudie <IanMudie@equiomgroup.com>

Dear Ulf,

Thank you for your email.

I have indeed presented the papers sent by you for Directors' review. There are several questions which follow from the transaction which you suggested. These are as below and I would be grateful if you could provide us with further comments on this.

1. Tax Advice – we have not received anything in this respect and the Directors would like to know if the change of ownership has been prompted by the consultation with Patrik. This is also important in the view of the request to pay your invoice, which you suggested can be paid on your behalf by the Company.
2. The Directors will need to be provided with appropriate evidence that the change in ownership took place in 2013, as you advise. They are not willing to back date a transaction. If the change of beneficial ownership indeed took place in 2013, you will appreciate that we can only accept instructions from the new beneficial owner which is your brother.
3. Your brother will now have to instruct us in respect of any transactions undertaken by the company and specifically in this instance for the third party loan to you. All future instructions will need to come from him also.

Please let me have your comments on the above so that we are able us to progress this matter further.

Kind regards

Yuliya

Yuliya Sasina-Yates BA (Hons), Dip.ICSA
Senior Administrator - Client Services

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From: Ulf Deckmark [mailto:uffe470@gmail.com]

Sent: 11 March 2016 11:46

To: Patrik Hild <patrik@pretax.se>

Cc: Toby Marshall <TobyMarshall@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>; Isobel Foster <IsobelFoster@equiomgroup.com>

Subject: Re: Invoice for tax consultation

Dear Toby and Yuliya

Please answer Patrik and me regarding the process of register the change of ownership in Zeptunus ?

Best regards

Ulf

2016-03-09 20:08 GMT+05:00 Patrik Hild <patrik@pretax.se>:

Dear Toby and Yuliya,

Can you please confirm that you are in process of register the change of ownership in Zeptunus? a short update in the matter would be nice.

Furthermore, has the outstanding invoices yet been paid by Zeptunus or not?

Thanks in advance!

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Linked  [profile](#)

Från: Toby Marshall [mailto:TobyMarshall@equiomgroup.com]

Skickat: den 23 februari 2016 12:29

Till: Patrik Hild <patrik@pretax.se>

Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>; Ulf Deckmark <uffe470@gmail.com>

Ämne: RE: Invoice for tax consultation

Hi Patrik

The fee for nominee shareholding is currently £350 per annum.

Please let me know if this is of interest to you.

Kind regards

Toby

Toby Marshall TEP
Senior Administrator - Client Services

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From: Patrik Hild [<mailto:patrik@pretax.se>]
Sent: 22 February 2016 18:53
To: Toby Marshall <TobyMarshall@equiomgroup.com>
Cc: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>;
Ulf Deckmark <uffe470@gmail.com>
Subject: SV: Invoice for tax consultation

Thank you for the provided information Toby!

Please let me know about the fee, a nominee shareholding might be interesting.

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Linked  [preTAX](#)

Från: Toby Marshall [<mailto:TobyMarshall@equiomgroup.com>]
Skickat: den 22 februari 2016 15:16
Till: Patrik Hild <patrik@pretax.se>
Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>

Ämne: RE: Invoice for tax consultation

Hi Patrik

Thank you for your emails, I was out of the office towards the end of Friday, so didn't pick up your emails.

Both passports will be useful especially as Ulf's expires in March.

With respect to holding the shares by a nominee, this process will not take any longer than registering the shares directly, however there may be a small annual fee for this service. Is this something you would like to consider? If so, I can propose a fee for your consideration.

Many thanks

Toby

Toby Marshall TEP
Senior Administrator - Client Services

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From: Patrik Hild [<mailto:patrik@pretax.se>]
Sent: 19 February 2016 15:41
To: Toby Marshall <TobyMarshall@equiomgroup.com>
Cc: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>;
Ulf Deckmark <uffe470@gmail.com>
Subject: SV: Invoice for tax consultation

Hi again,

I talked briefly to the client and if the time of registration is the same he would prefer that the shares are held by a nominee shareholder on behalf of his brother. However, if the time to register this is longer, please register the brother directly as owner.

Thanks in advance and have a nice weekend!

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Linked  

Från: Patrik Hild

Skickat: den 19 februari 2016 16:32

Till: 'Toby Marshall' <TobyMarshall@equiomgroup.com>

Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>

Ämne: SV: Invoice for tax consultation

Dear Toby,

If I do not hear from you, I have asked Ulf to send over the required information on both him and his brother.

Kindly regards,

Patrik

Patrik Hild

Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

Linked  

Från: Toby Marshall [<mailto:TobyMarshall@equiomgroup.com>]

Skickat: den 19 februari 2016 13:59

Till: Patrik Hild <patrik@pretax.se>

Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>

Ämne: FW: Invoice for tax consultation

Prioritet: Hög

Dear Patrik

Thank you for your email below, I understand you are aware that Yuliya is currently out of the office.

I will deal with the urgent matter regarding the certification of Ulf's documents.

Regarding the certification of Ulf's passport, a certified copy will be sufficient. Also, we will require either an original utility bill (mobile telephone bill is not acceptable) or a certified copy. This document should be dated within 3 months and confirm Ulf's residential address.

A certified copy of the Swedish deed of gift will also be required please.

Regarding the certification, the following statement(s) should be written on each copy document –

"I certify that this is a true copy of the original which I have seen, and that the photograph represents a true likeness of the individual concerned."

For any document that **does not include a photograph**, the following statement should be written on each copy –

"I certify that this is a true copy of the original which I have seen."

Thereafter, every document should have the following information –

Certifier's original signature

Certifier's name

Capacity in which certifier acts – i.e. Solicitor, Notary, etc.

Organisation / employer of certifier

Contact details of the certifier - i.e. their telephone number

Date of the certification

I trust the above is self-explanatory, however should you require any clarification, please let me know.

Kind regards

Toby

Toby Marshall TEP
Senior Administrator - Client Services

Equiom

Equiom (Isle of Man) Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: [+44 1624 652107](tel:+441624652107) Main: [+44 1624 699000](tel:+441624699000) Fax: [+44 1624 699001](tel:+441624699001)
tobymarshall@equiomgroup.com
www.equiomgroup.com

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You are advised to view our [email disclaimer](#)

From: Patrik Hild [<mailto:patrik@pretax.se>]
Sent: 19 February 2016 09:26
To: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Cc: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>
Subject: SV: Invoice for tax consultation
Importance: High

Dear Yuliya,

Sorry for my late response.

Firstly, I got back to Syliva at Revidentia in order to understand why you did not want to pay the invoice, it made me puzzled. I have now seen the description of my performed work which is not correct. Please be aware that it was the way this case was presented to us by you. However, the consultancy fees regards to several meetings during November 2015 – January 2016 (additional invoices will/have been issued) and some additional email conversations with Ulf Deckmark where mostly general issues regarding Zeptunus Ltd tax status has been discussed including performed work by the owner can create a PE in Sweden and UK and how this can be avoid. I therefore are of the opinion my fees relates to the company and not to either Ulf or his brother Christer. I have however not written any opinion/memo since the client never asked for it.

Secondly, and this matter is very urgent, since Ulf is going to the Public Notary either today or tomorrow, is it enough if you get a certified copy of the passport or do want the Notarius Publicus to certify that Christer is the person to whom the passport belongs to. The later requires a more formal procedure and we therefore need to know whether you settle with the a certified copy of the passport or not. Furthermore – do you want a certified copy of the Swedish deed of gift as well?

As mentioned – we need an answer rather urgent so can you please get back to me regarding this.

Kindly regards,

Patrik

Patrik Hild

Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

 [LinkedIn](#)

Från: Yuliya Sasina-Yates [<mailto:YuliyaSasina-Yates@equiomgroup.com>]

Skickat: den 16 februari 2016 12:10

Till: Patrik Hild <patrik@pretax.se>

Kopia: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>

Ämne: Invoice for tax consultation

Dear Patrik,

I spoke to Mr Deckmark yesterday and I expect an email from him confirming some details regarding the tax consultation that you have had.

Mr Deckmark explained that the actual tax advice will be provided at a later stage and that he would later send us a copy of the advice when it has been finalised.

We would be arranging for the payment of your invoice, but on Mr Deckmark's behalf and we would be most grateful if you could amend the invoice so that it is addressed to Mr Deckmark. Once we receive the amended invoice, I will be arranging for the payment.

Thank you in advance.

Kind regards

Yuliya

Yuliya Sasina-Yates
Senior Administrator - Client Services

Equiom

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Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: [+44 1624 696603](tel:+441624696603) Main: [+44 1624 699000](tel:+441624699000) Fax: [+44 1624 699001](tel:+441624699001)
yuliyasasina-yates@equiomgroup.com
www.equiomgroup.com

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Nilsson Susanne

Från: Patrik Hild <patrik@pretax.se>
Skickat: onsdag den 24 februari 2016 18:28
Till: Toby Marshall
Kopia: Isobel Foster; Yuliya Sasina-Yates; Ulf Deckmark
Ämne: SV: Invoice for tax consultation
Bifogade filer: SCAN_2016022413320500.pdf

Dear Toby,

Please see the attachment. I have asked the client to send the originals directly to you. Please let me know whether there are anything outstanding.

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

 [LinkedIn profile](#)

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Till: Patrik Hild <patrik@pretax.se>
Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Ämne: FW: Invoice for tax consultation
Prioritet: Hög

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Toby

Toby Marshall TEP

Senior Administrator - Client Services

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tobymarshall@equiomgroup.com

www.equiomgroup.com

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Sent: 19 February 2016 09:26

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Cc: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>

Subject: SV: Invoice for tax consultation

Importance: High

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Patrik

Patrik Hild

Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

Linked  profile

Från: Yuliya Sasina-Yates [<mailto:YuliyaSasina-Yates@equiomgroup.com>]

Skickat: den 16 februari 2016 12:10

Till: Patrik Hild <patrik@pretax.se>

Kopia: 'Ulf' <Uffe470@hotmail.com>; Isobel Foster <IsobelFoster@equiomgroup.com>; Ian Mudie <IanMudie@equiomgroup.com>

Ämne: Invoice for tax consultation

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Kind regards
Yuliya

Yuliya Sasina-Yates
Senior Administrator - Client Services

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To whom it may concern,

I, Ulf Deckmark, would like to clarify my intention of the gift to my brother in order to get the registration done.

In the deed of gift of 20 April 2013 I gave all my shares in Zeptunus Limited, a company incorporated on Isle of Man, to my brother Christer Deckmark, domiciled in the UK, 34 Romford Road, Pembury, Tunbridge Wells, Kent TN2 4JA, London. I have attached a copy of the deed of gift to this letter, please note it is in Swedish and states about the following:

"I, Ulf Deckmark, hereby give my brother, Christer Deckmark, as a gift 100 % of the shares in Zeptunus Ltd registration No. GB 003 8940 88. The gift is valid as per 20 April 2013.

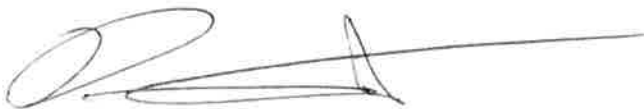
The assets Christer Deckmark receives shall be his private property. This includes also the return of the assets or what has been replaced. If Christer Deckmark wishes, he is allowed, 10 years after this date, to transfer it as a general marital property"

As mentioned, this gift was however never formalised and registered in my brother's name. I therefore would like you to register my brother as the sole owner of all the shares in Zeptunus Limited, registration No. GB 003 8940 88.

Together with this letter I have attached the following:

1. A certified copy of the deed of gift
2. A certified copy of Christer Deckmark passport
3. A certified copy of residency issued by Her Majesty's Custom and Revenue

Stockholm the 22 Feb. 2016



Ulf Deckmark, former beneficial owner of the shares of Zeptunus Ltd.

This is to certify that Mr Ulf Deckmark
has signed his name above.

Stockholm February 22, 2016



Ex officio

Notary Public

Kungsgatan 3, 111 43
Stockholm, Sweden

Phone: +46 8 555 699 60

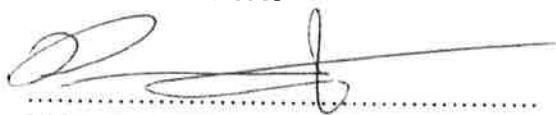
COPY

GÅVOBREV

Härmed överlåter jag, Ulf Deckmark, 610330-0171, såsom gåva till Christer Deckmark pers nr 540522-0079, 100% aktierna i företaget Zeptunus Ltd, First Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ med reg nr GB:003 8940 88.
Gåvan tillträdades den 20 april 2013.

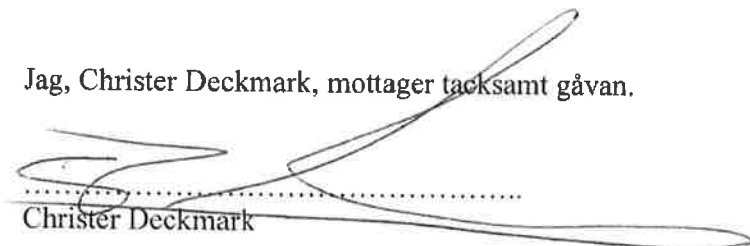
Vad Christer Deckmark mottar ska vara hans enskilda egendom. Den gäller även avkastningen därav och vad som sätts i dess ställe. Christer Deckmark har dock rätt att efter tio år ifrån gåvodatumet göra egendomen till gifterättsgods.

Stockholm 20/4 2013

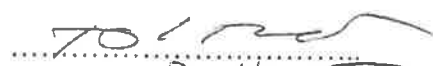
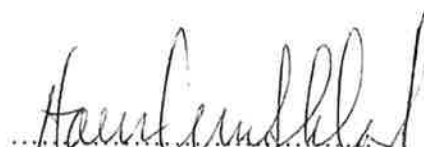


Ulf Deckmark

Jag, Christer Deckmark, mottager tacksamt gåvan.



Namnsteckningarna bevittnas:


Namn: PO HOLM
Pers nr: 420110-7853
Namn: Hans Lindblad
Pers nr: 500226-0353

This is a true copy of the document
presented to me today.

Stockholm, February 22, 2016

Ex officio:

Notary Public

Kungsgatan 3, 111 43,
Stockholm, Sweden

Phone: +46 8 555 699 60





Vi säljer bara ren el från de förnybara energikällorna sol, vind och vatten!



Faktura: 510473653
Kundnummer: 2153086
Fakturadatum: 2016-02-15

Faktura

COPY

Ulf Deckmark
 Kometvägen 17 lgh 1802
 183 48 Täby

Januari

Ditt avtal: sol, vind & vatten



Rörligt avtal
 Gäller tills vidare

Din användning: +55%



Januari 2016: 2766 kWh
 Januari 2015: 1784 kWh

Ditt klimatbidrag: -953 kg



Tack för att du tillsammans med oss bidrar till fortsatt minskat koldioxidutsläpp. Ditt klimatbidrag är beräknat på din förbrukning hittills i år.

Information

2016-01-01 justerades kvotplikten för elcertifikat enl. beslut av riksdagen. Justeringen innebär att kostnad för elcertifikat ökar. Samtidigt sker en sänkning av energiskatten. Förändringarna berör alla elkunder i Sverige. Läs mer i allmänna avtalsvillkor och på telgeenergi.se/elcertifikat-kvotplikt

Tips!

Du kan minska din förbrukning av el och minska dina koldioxidutsläpp med ca 150 kg per år genom att sänka temperaturen hemma med en grad.

Din insats!

Förnybar energi i bostaden gör stor skillnad. Det är en av de största och enklaste insatserna du som privatperson kan göra för klimatet. Tack för att du gör skillnad!

Webb: telgeenergi.se
E-post: kundtjanst@telgeenergi.se
Telefon: 020-34 40 40, må-to 8-17, fr 8-15.

Har du frågor, klagomål eller vill ha information om dina rättigheter som kund. Besök telgeenergi.se rubrik Konsumenträtt eller kontakta vår kundtjänst.

Mer information på nästa sida >

22982

Summa att betala 2 392,00 kr

Oss tillhanda senast: 2016-02-29

OCR-nr: 51047365310

Plusgiro: 94 31 02-4

Bankgiro: 5391-5849

Kontakta oss om du i fortsättningen vill ha ett inbetalningskort

This is a true copy of the document presented to me today.

Stockholm, February 22, 2016

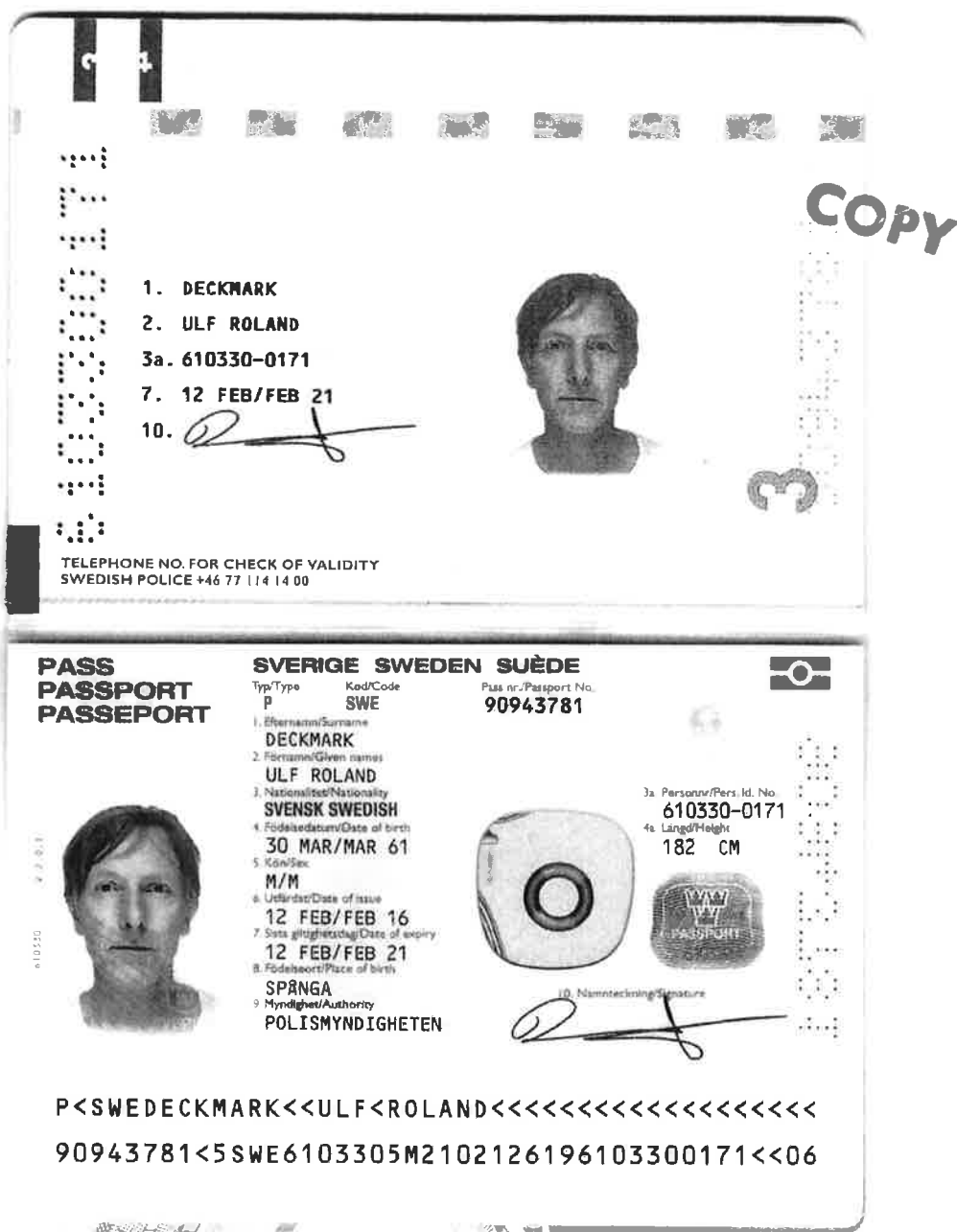
Ex officio:

Notary Public

Kungsgatan 3, 111 43,
 Stockholm, Sweden

Phone: +46 8 555 699 60





I, MIKAEL BRÄTT, a Notary Public at Stockholm, Sweden, hereby certify

that this photocopy agrees with Swedish Passport No 90943781, presented to me today,
and

that the photograph is a good likeness of Mr Ulf Roland Deckmark.

Stockholm, February 22, 2016

Ex officio:

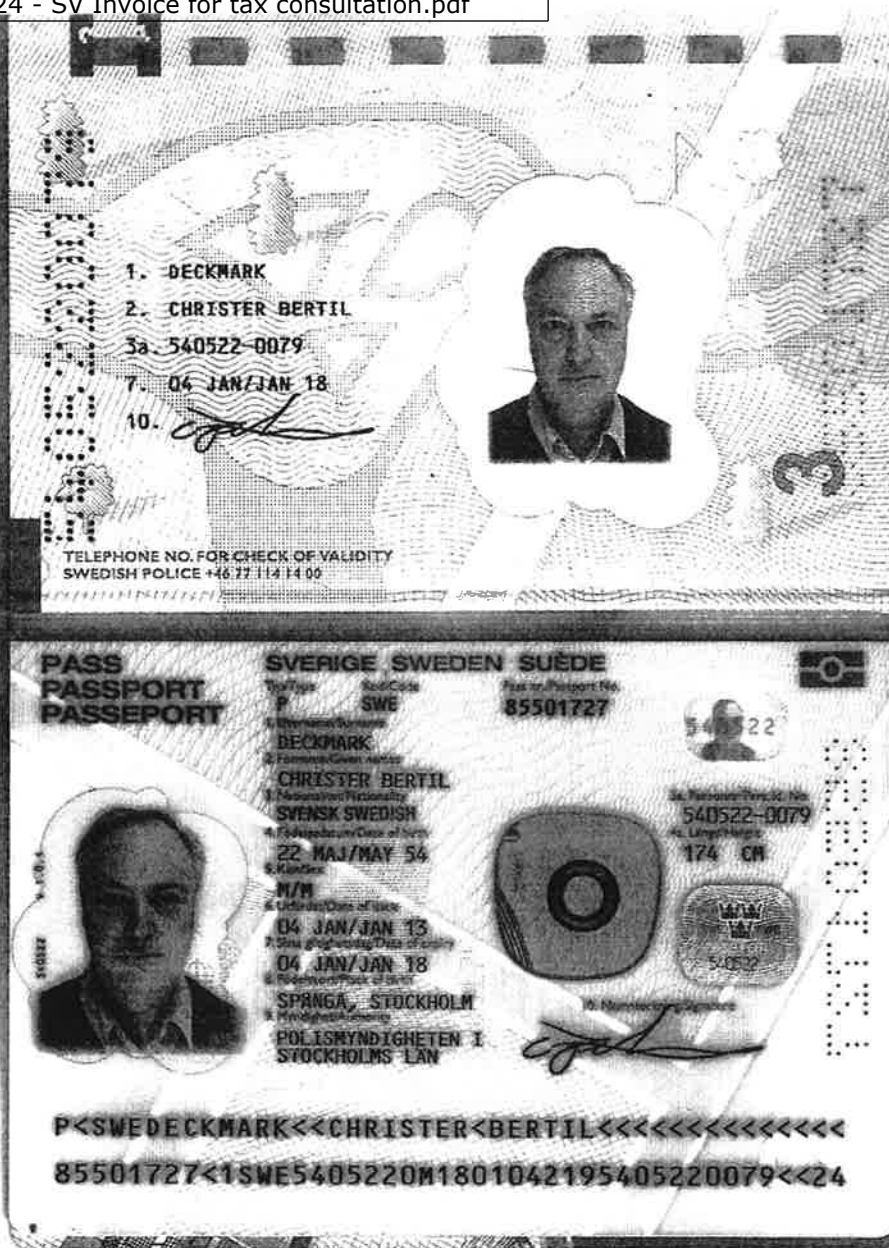
Notary Public

Notary Public

Kungsgatan 3, 111 43,
Stockholm, Sweden

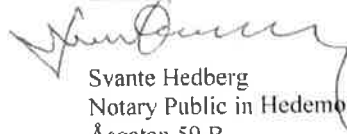
Phone: +46 8 555 699 60





§ 2200. I certify that this is a true copy of the original, which I have seen, and that the photograph represents a true resemblance of the individual concerned.

Hedemora, February 23rd, 2016
Ex officio:



Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14



180008:00000162:001_UU1_UU1



Self Assessment: Tax Calculation

180008:00000162:001
MR C B DECKMARK
34 ROMFORD ROAD
PEMBURY
TUNBRIDGE WELLS
TN2 4JA

UTR 77526 71774

Date 19 June 2015

Issued by:

HM Revenue & Customs
SELF ASSESSMENT
HM REVENUE AND CUSTOMS

BX9 1AS

Telephone 0300 200 3310

Issue no: 2015/001

Tax Calculation for 2014–15 (year ended 5 April 2015)

Thank you for your tax return.

We enclose our tax calculation based on the amounts shown in your tax return.

We calculate that you have nothing to pay for 2014–15.

We will write to you again if we have any questions about your tax return. Please let us know if there is anything you do not agree with or do not understand in our calculation. Our telephone number is shown above.

§ 2200. I certify that this is a true copy of the original,
which I have seen.

Hedemora, February 23rd, 2016

Ex officio:

[Signature]
Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14



06871000010029570102

Nilsson Susanne

Från: Patrik Hild <patrik@pretax.se>
Skickat: onsdag den 24 februari 2016 18:21
Till: Toby Marshall
Kopia: Isobel Foster; Yuliya Sasina-Yates; Ulf Deckmark
Ämne: SV: Invoice for tax consultation

Prioritet: Hög

Dear Toby,

I have got an acceptance of the fee, please go ahead with the nominee shareholding.

Furthermore – can you please confirm that the outstanding (and coming) invoices Revidentia will send to the company will be paid by you without any further delay?

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

Linked  profile

Från: Toby Marshall [mailto:TobyMarshall@equiomgroup.com]
Skickat: den 23 februari 2016 12:29
Till: Patrik Hild <patrik@pretax.se>
Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>; Ulf Deckmark <uffe470@gmail.com>
Ämne: RE: Invoice for tax consultation

Hi Patrik

The fee for nominee shareholding is currently £350 per annum.

Please let me know if this is of interest to you.

Kind regards
Toby

Toby Marshall TEP
Senior Administrator - Client Services

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tobymarshall@equiomgroup.com
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Sent: 22 February 2016 18:53
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Cc: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>;
Ulf Deckmark <uffe470@gmail.com>
Subject: SV: Invoice for tax consultation

Thank you for the provided information Toby!

Please let me know about the fee, a nominee shareholding might be interesting.

Kindly regards,

Patrik

Patrik Hild
Partner, Certified Tax Advisor
Swedish and International Tax

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

 [LinkedIn profile](#)

Från: Toby Marshall [<mailto:TobyMarshall@equiomgroup.com>]
Skickat: den 22 februari 2016 15:16
Till: Patrik Hild <patrik@pretax.se>
Kopia: Isobel Foster <IsobelFoster@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>; Ulf Deckmark <uffe470@gmail.com>
Ämne: RE: Invoice for tax consultation

Hi Patrik

Thank you for your emails, I was out of the office towards the end of Friday, so didn't pick up your emails.

Both passports will be useful especially as Ulf's expires in March.

With respect to holding the shares by a nominee, this process will not take any longer than registering the shares directly, however there may be a small annual fee for this service. Is this something you would like to consider? If so, I can propose a fee for your consideration.

Many thanks
Toby

Toby Marshall TEP
Senior Administrator - Client Services

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tobymarshall@equiomgroup.com
www.equiomgroup.com

Nilsson Susanne

Från: Ulf Deckmark <uffe470@gmail.com>
Skickat: onsdag den 24 februari 2016 17:11
Till: Patrik Hild; Karin Rosén
Ämne: Re:

Nä men det var så himla mycket problem och jag sa till Karin att om de inte har betalat denna vecka så betalar jag.

Karin kan jag betala denna privat om de ej betalat när jag är tillbaka ifrån semestern den 14:e vi riskerar annars att det nu blir dubbelt betalt ? för de har nu sagt att de skall betala fakturan.

Sorry för strulet.

Ulf

Den 24 februari 2016 13:49 skrev Patrik Hild <patrik@pretax.se>:

Har de ens sagt att de inte ska betala fakturan?

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB

Kungsgatan 8

111 43 Stockholm

Tel: [+46 70 872 83 22](tel:+46708728322)

 [profile](#)

 Please consider the impact on the environment before printing this e-mail

Från: Ulf Deckmark [mailto:uffe470@gmail.com]
Skickat: den 24 februari 2016 13:07
Till: Patrik Hild <patrik.hild@mantatax.se>
Ämne:

Hej Patrik,

Hur gör vi med fakturan om de inte betalar den så kan jag inte beta den förrän den 14:e.

Vad tycker Du ?

Hälsningar

ulf

Nilsson Susanne

Från: Patrik Hild <patrik@pretax.se>
Skickat: onsdag den 24 februari 2016 18:26
Till: Ulf Deckmark; Patrik Hild
Ämne: SV: christer.deckmark@btinternet.com

Hej,

Jag har nu bett dem betala fakturorna (även de som kommer att komma) utan dröjsmål.

Jag föreslår att du skickar materialet till Toby, han är deras seniora administrator och verkar vara den som har ansvaret för själva registreringen. Jag skickar honom handlingarna på mail så att han vet att originalen är på gång.

Toby Marshall TEP
Senior Administrator - Client Services

Equiom

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Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 1624 652107 Main: +44 1624 699000 Fax: +44 1624 699001
tobymarshall@equiomgroup.com

Med vänliga hälsningar,

Patrik

Patrik Hild
Delägare, Aukt. Skatterådgivare FAR
Svensk och Internationell beskattning

preTAX AB
Kungsgatan 8
111 43 Stockholm

Tel: +46 70 872 83 22

Linked  

 Please consider the impact on the environment before printing this e-mail

Från: Ulf Deckmark [mailto:uffe470@gmail.com]
Skickat: den 24 februari 2016 13:03
Till: Patrik Hild <patrik.hild@mantatax.se>
Ämne: christer.deckmark@btinternet.com

Hej Patrik,

Bifogar handlingarna som jag kommer att översända till Equiom till vem utav dem tycker Du att jag skall posta handlingarna till ?

Nu på lördag tar jag två veckors semester och kommer knappt vara anträffbar, toppen om Du under denna tid kan se till att Equiom registrera det som de skall registrera. Åter 14:e mars.

Min bror har mail adress christer.deckmark@btinternet.com och tfn nr 073-514 31 03

Frågan om reg for nominee shareholding får min bror och du avgöra. The fee is currently £350 per anum for that.

Toppen om Du kan maila bifogade dokument till Equiom samt en förfrågan om de kan ombesörja öppnandet av ett privat konto för min bror och gärna i HSBC för där har han haft konto tidigare annars får det bli en valfri bank typ samma som bolaget har RBS.

Hälsningar

Ulf

To whom it may concern,

I, Ulf Deckmark, would like to clarify my intention of the gift to my brother in order to get the registration done.

In the deed of gift of 20 April 2013 I gave all my shares in Zeptunus Limited, a company incorporated on Isle of Man, to my brother Christer Deckmark, domiciled in the UK, 34 Romford Road, Pembury, Tunbridge Wells, Kent TN2 4JA, London. I have attached a copy of the deed of gift to this letter, please note it is in Swedish and states about the following:

"I, Ulf Deckmark, hereby give my brother, Christer Deckmark, as a gift 100 % of the shares in Zeptunus Ltd registration No. GB 003 8940 88. The gift is valid as per 20 April 2013.

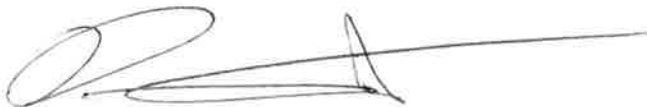
The assets Christer Deckmark receives shall be his private property. This includes also the return of the assets or what has been replaced. If Christer Deckmark wishes, he is allowed, 10 years after this date, to transfer it as a general marital property"

As mentioned, this gift was however never formalised and registered in my brother's name. I therefore would like you to register my brother as the sole owner of all the shares in Zeptunus Limited, registration No. GB 003 8940 88.

Together with this letter I have attached the following:

1. A certified copy of the deed of gift
2. A certified copy of Christer Deckmark passport
3. A certified copy of residency issued by Her Majesty's Custom and Revenue

Stockholm the 22 Feb. 2016



Ulf Deckmark, former beneficial owner of the shares of Zeptunus Ltd.

This is to certify that Mr Ulf Deckmark
has signed his name above.

Stockholm February 22, 2016



Ex officio
Notary Public
Kungsgatan 3, 111 43
Stockholm, Sweden

Phone: +46 8 555 699 60

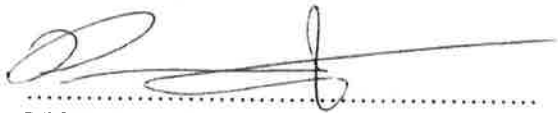
COPY

GÅVOBREV

Härmed överlåter jag, Ulf Deckmark, 610330-0171, såsom gåva till Christer Deckmark pers nr 540522-0079, 100% aktierna i företaget Zeptunus Ltd, Fist Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ med reg nr GB:003 8940 88.
Gåvan tillträdades den 20 april 2013.

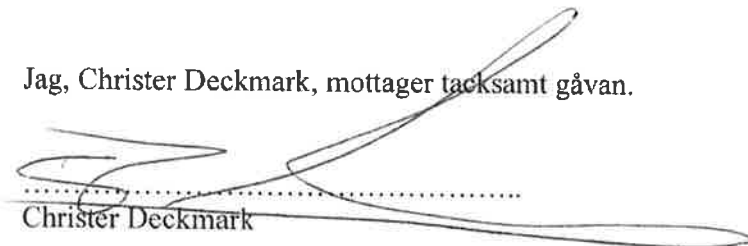
Vad Christer Deckmark mottar ska vara hans enskilda egendom. Den gäller även avkastningen därav och vad som sätts i dess ställe. Christer Deckmark har dock rätt att efter tio år ifrån gåvodatumet göra egendomen till giftorättsgods.

Stockholm 20/4 2013



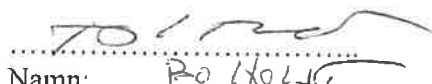
Ulf Deckmark

Jag, Christer Deckmark, mottager tacksamt gåvan.



Christer Deckmark

Namnteckningarna bevittnas:



Namn: Bo Holm
Pers nr: 420110-7853



Namn: Mikael Braitt
Pers nr: 500226-0353

This is a true copy of the document
presented to me today.

Stockholm, February 22, 2016

Ex officio:

Notary Public

Kungsgatan 3, 111 43,
Stockholm, Sweden

Phone: +46 8 555 699 60





Vi säljer bara ren el från de förnybara energikällorna sol, vind och vatten!



Faktura: 510473653
Kundnummer: 2153086
Fakturadatum: 2016-02-15

Faktura

COPY

Ulf Deckmark
 Kometvägen 17 lgh 1802
 183 48 Täby

Januari

Ditt avtal: sol, vind & vatten



Rörligt avtal
 Gäller tills vidare

Din användning: +55%



Januari 2016: 2766 kWh
 Januari 2015: 1784 kWh

Ditt klimatbidrag: -953 kg



Tack för att du tillsammans med oss bidrar till fortsatt minskat koldioxidutsläpp. Ditt klimatbidrag är beräknat på din förbrukning hittills i år.

Information

2016-01-01 justerades kvotplikten för elcertifikat enl. beslut av riksdagen. Justeringen innebär att kostnad för elcertifikat ökar. Samtidigt sker en sänkning av energiskatten. Förändringarna berör alla elkunder i Sverige. Läs mer i allmänna avtalsvillkor och på telgeenergi.se/elcertifikat-kvotplikt

Tips!

Du kan minska din förbrukning av el och minska dina koldioxidutsläpp med ca 150 kg per år genom att sänka temperaturen hemma med en grad.

Din insats!

Förnybar energi i bostaden gör stor skillnad. Det är en av de största och enklaste insatserna du som privatperson kan göra för klimatet. Tack för att du gör skillnad!

Webb: telgeenergi.se
E-post: kundtjanst@telgeenergi.se
Telefon: 020-34 40 40, må-to 8-17, fr 8-15.

Har du frågor, klagomål eller vill ha information om dina rättigheter som kund. Besök telgeenergi.se rubrik Konsumenträtt eller kontakta vår kundtjänst.

Mer information på nästa sida >

22982

Summa att betala 2 392,00 kr

Oss tillhanda senast: 2016-02-29

OCR-nr: 51047365310

Plusgiro: 94 31 02-4

Bankgiro: 5391-5849

Kontakta oss om du i fortsättningen vill ha ett inbetalningskort

This is a true copy of the document presented to me today.

Stockholm, February 22, 2016

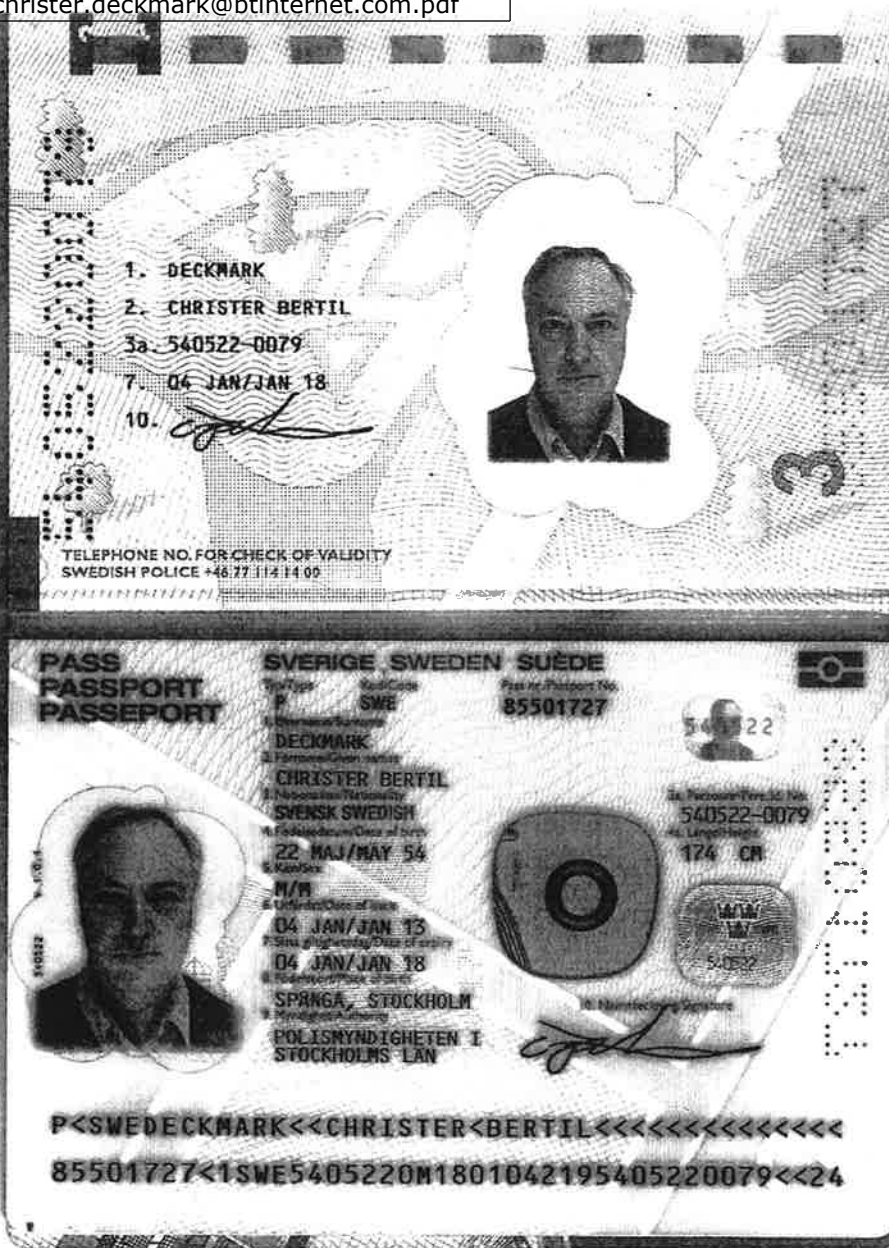
Ex officio:

Notary Public

Kungsgatan 3, 111 43,
 Stockholm, Sweden

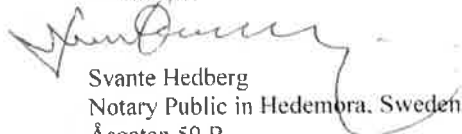
Phone: +46 8 555 699 60





§ 2200. I certify that this is a true copy of the original, which I have seen, and that the photograph represents a true resemblance of the individual concerned.

Hedemora, February 23rd, 2016
Ex officio:


Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14





**HM Revenue
& Customs**

Self Assessment: Tax Calculation

180008:00000162:001
MR C B DECKMARK
34 ROMFORD ROAD
PEMBURY
TUNBRIDGE WELLS
TN2 4JA

UTR 77526 71774

Date 19 June 2015

Issued by:

HM Revenue & Customs
SELF ASSESSMENT
HM REVENUE AND CUSTOMS

BX9 1AS

Telephone 0300 200 3310

Issue no: 2015/001

Tax Calculation for 2014–15 (year ended 5 April 2015)

Thank you for your tax return.

We enclose our tax calculation based on the amounts shown in your tax return.

We calculate that you have nothing to pay for 2014–15.

We will write to you again if we have any questions about your tax return. Please let us know if there is anything you do not agree with or do not understand in our calculation. Our telephone number is shown above.

§ 2200. I certify that this is a true copy of the original,
which I have seen.

Hedemora, February 23rd, 2016

Ex officio:

Svante Hedberg
Svante Hedberg
Notary Public in Hedemora, Sweden
Åsgatan 59 B
776 34 Hedemora
Sweden
Phone +46 70 542 08 14



Nilsson Susanne

Från: Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>
Skickat: fredag den 8 april 2016 15:37
Till: 'christer.deckmark@btinternet.com'; Patrik Hild; 'Ulf Deckmark'
Kopia: Isobel Foster; Ian Mudie; Emma Corlett
Ämne: RE: Zeptunus Limited

Dear Mr Deckmark,

I can confirm that the payment have been made. If there are any issues with receiving the payments on Revidentia's side, please do let us know.

Kind regards
Yuliya

Yuliya Sasina-Yates BA (Hons), Dip.ICSA
Senior Administrator - Client Services

Equiom

Equiom (Isle of Man) Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 1624 696603 Main: +44 1624 699000 Fax: +44 1624 699001
yuliyasasina-yates@equiomgroup.com
www.equiomgroup.com

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Registered Office: First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH. Registered in the Isle of Man: No 011582C
You are advised to view our [email disclaimer](#)

From: Christer Deckmark [<mailto:christer.deckmark@btinternet.com>]
Sent: 08 April 2016 09:42
To: Isobel Foster <IsobelFoster@equiomgroup.com>
Subject: RE: Zeptunus Limited

Dear Ms Foster,

Correct you have not got my replay – due to that I have had to concentrate on a client matter this week and therefore been on a business trip. I am actually still on the trip. But back tomorrow.
I will try to deal with it over the weekend. I am sorry for the delay!

One item I would like to raise already due to a phone call I received. You have not paid the invoices as you have said. I have an e-mail confirming payment from you selves , don't like to be given one information and find out that it that was to be economical with the truth.

Pay the invoices as the owner (I) of the company have given you instructions to do.

Have a nice weekend

Yours faithfully
C Deckmark
Owner of Zeptunus Ltd

From: Isobel Foster [<mailto:IsobelFoster@equiomgroup.com>]
Sent: 08 April 2016 09:43
To: Christer Deckmark
Cc: Ian Mudie; Yuliya Sasina-Yates; Ulf Deckmark
Subject: FW: Zeptunus Limited

Dear Mr Deckmark,

I am disappointed that we have not heard from you, further to our call and my e-mail last week.

I wonder if you can give me an update on the position.

Kind regards

Isobel

Isobel Foster BA (Econ), FCA, ACII, TEP
Senior Manager - Client Services

Equiom

Equiom (Isle of Man) Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 1624 652061 Main: +44 1624 699000 Fax: +44 1624 699001 Mob: +44 7624 487284

isobelfoster@equiomgroup.com

www.equiomgroup.com

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From: Isobel Foster

Sent: 01 April 2016 10:23

To: 'Christer Deckmark' <christer.deckmark@btinternet.com>

Cc: Ian Mudie <ianmudie@equiomgroup.com>; Yuliya Sasina-Yates <YuliyaSasina-Yates@equiomgroup.com>; Ulf Deckmark <uffe470@gmail.com>

Subject: Zeptunus Limited

Dear Mr Deckmark,

Further to our telephone call yesterday I note below the further information that we would like to see before we take any further action:-

1. Firstly, I will reiterate that any transfer of the shares in the company will not be backdated – which is the one piece of advice given by the Swedish advisors that we were copied into
2. Tax advice was taken by your brother, at our request, when he wished the directors of Zeptunus to look into a tax efficient manner in which to remove the assets from the company, prior to the company being dissolved. It was suggested that the company engage with the tax advisor so that they could obtain advice directly. Your brother, we understand chose to engage with them personally, and as such has advised that all information provided to him, is personal to him. We have no interest in any matters other than in relation to the company, which may relate to the income earned by the company to date and the distributions made to your bother, either prior to or on liquidation of the company.
3. I am unsure as to whether you have taken tax advice with regard to the implications for your tax affairs should you become the owner of the company, and the subsequent extraction of funds from the company either by distributions or liquidation. We would wish to see a copy of this advice.

Kind regards

Isobel

Isobel Foster BA (Econ), FCA, ACII, TEP
Senior Manager - Client Services

Equiom ,

Equiom (Isle of Man) Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

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EKOBROTTSMYNDIGHETEN
2:a kammaren Stockholm
Ekorevisor Lars-Inge Johansson

Datum

2017-11-09

Diarienum (åberöpas vid korresp)

EB 4689-16

Gransknings PM avseende ersättningar från företaget Optimus Fonder AB till Strategi Placering i Skandinavien AB och till Stellum Kapitalförvaltning AB.

1. Uppdraget.

Undersök omfattningen av intäkter från Optimus Fonder AB (Optimus) till Strategi Placering i Skandinavien AB (Strategi Placering) 2013 och till Stellum Kapitalförvaltning AB (Stellum) under 2013 och 2014.

2. Gjorda iakttagelser.

I Strategi Placering finns för 2013 bokfört intäkter från Optimus (f d Traction Fonder AB) om 6 323 879 kr. 2 009 242 kr av intäkterna från Optimus har fakturerats under perioden januari – juni och **4 314 637 kr** har fakturerats under perioden 2013-08-10 – 2013-11-10.

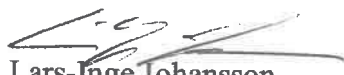
I Stellum finns under slutet av 2013 bokfört intäkter från Optimus om **1 288 319 kr**. I Stellum.s bokföring för 2014 finns bokfört intäkter från Optimus om **3 387 484 kr**.

Sammanlagt finns det hos de två företagen Strategi Placering och Stellum under perioden augusti 2013 – juni 2014 bokfört kundintäkter från Optimus om **8 990 440 kr**.

Emil Amir Ingamsson (Max Servin) har haft ett väsentligt ekonomiskt inflytande över Stellum. Dess VD har sedan 2013-02-25 varit Roger Hassanov. Denne har även varit ägare av RHM Invest AB som under 2015 övertog det direkta ägandet av Stellum.

Enligt avtal mellan Ingmanson och Roger Hassanov daterat 2012-12-18 garanteras Ingmanson 51 % av aktierna i Stellum efter att denne betalat in ytterligare 400 tkr.

Under perioden 2013-11-01 – 2015-10-03 har Ingmanson lånat ut dels ca 1,5 mkr till Roger Hassanov dels har han genom sitt engelska bolag Solid Venture Ltd gett villkorade aktieägartillskott till RHM om sammanlagt ca 11,3 mkr.


Lars-Inge Johansson
Ekorevisor

Strategi Placering i Skandinavien AB

2013

Intäkter från Optimus Fonder AB f d Traction Fonder AB.

Konto 3921 Provisionsintäkter

A282	2013-01-31	248 294 kr	f15 Traction
A284	2013-02-28	289 514 kr	f17 Traction
A285	2013-03-31	300 843 kr	f20 Optimus
A286	2013-04-30	343 692 kr	f22 Optimus
A287	2013-05-31	393 267 kr	f23 Optimus
B012	2013-06-10	433 632 kr	Fakt 24 Optimus Fonder AB
B020	2013-08-10	1 016 153 kr	Kundfakt Optimus Fonder AB (26)
B021	2013-09-10	1 251 759 kr	Kundfakt Optimus Fonder AB (27)
B022	2013-10-08	1 287 173 kr	Kundfakt Optimus Fonder AB (28)
B027	2013-11-10	<u>759 552 kr</u>	Kundfakt Optimus Fonder AB (33)
		6 323 879 kr	

jan - juli
aug - dec

2 009 242 kr
4 314 637 kr
6 323 879 kr

Totalt augusti 2013 - juni 2014: 8 990 440 kr

Stellum Kapitalförvaltning AB

2013

Intäkter från Optimus Fonder AB f d Traction Fonder AB.

Konto 3144 Försäljning PPM momsfri

2-053	2013-12-10	672 124 kr	Optimus Fonder
8002-001	2013-12-31	<u>616 195 kr</u>	Optimus Fonder
		1 288 319 kr	

2014

2-022	2014-03-12	513 832 kr	Optimus Fonder
2-044	2014-04-29	513 832 kr	Optimus
2-054	2014-05-23	382 369 kr	Optimus
2-054	2015-05-23	345 885 kr	Optimus
2-061	2014-06-13	381 566 kr	Optimus
2-062	2014-06-30	868 434 kr	Optimus
2-063	2014-06-30	<u>381 566 kr</u>	Optimus
		3 387 484 kr	

Stellum: 4 675 803 kr

Stockholm 18/12 2012.

156 681-26

Enligt Ök skall Emil INGMANSON
 äga 51% av aktierna i Stellen
 Kapitalförvaltning. Efter att Emil ha-
 betalat in 400.000 SEK ytterligare.

Jag Roger Hassner garanterar dessa
 aktier 51%. Avtal upprättas av
 Andolf Advokatbyrå. När det är
 klart och signerat. Så hälsas denna
 garanti.



ROGER HASSNER.

Vänligen förr över 400.000 SEK ASAP.

Ers transer idag och En i morgon.
 till kontonummer. Samt skicka kopia på transerna.

Stellen Kapitalförvaltning

SEK KUNTO

IBAN: SE 74 1200 0000 01380 8012 3875

BIC/SWIFT: DABASESX

EURS KUNTO

IBAN: SE 40 1200 0000 0130 8013 1762

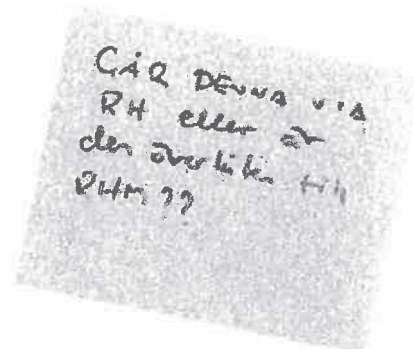
BIC/SWIFT: DABASESX.

Loan Agreement
Effective as from August 8th 2014

BG 681-10

Lender: **Emil Amir Ingmanson**
Flat 9, The Trend,
239c Tower Road Sliema
Malta

Borrower: **Roger Hassanov**
Östermalmsgatan 61
114 50 Stockholm, SWEDEN



- 1) Loan Amount:** SEK one million fivehundredseventy-sixthousandsixhundredeightyfour (1 576 684)
- 2) Loan Currency:** The loan is in SEK and repayments can be made in any currency or the equivalent in any other currency.
- 3) Interest:** The interest rate is 2,00 % per annum calculated upon 360 days on the basis of a 360 day year.
- 4) Interest payment:** Interest shall be paid on the date of repayment of the loan.
- 5) Term:** The loan is repayable on December 31, 2017 (the maturity date).
- 6) Early repayment:** The Borrower can at any time before the maturity date repay the loan together with accrued interest calculated until the repayment day.
- 7) Alterations:** This agreement may only be altered with a written agreement of both the Lender and the Borrower.
- 8) Interpretation:** This agreement is written under the laws of Sweden and should be read and construed accordingly.

Signed in duplicate, each party acknowledges receipt of one original.

Lender

Emil Ingmanson

Borrower

Roger Hassanov

TOTALT T.O.M. 2015-03-25. VILKORAT TILL RHM.

SOLID VENTURE LTD. 7.339.991

Totalt från 3/10-2015 11.308.751 VILKORAT TILL RHM.

BOARDWALK 1.242.541.69

EMIL PRIVAT

1.576.684.07

GICK DIREKT FRÅN RÖKER
TILL SK. EJ VIA RHM.

EJ MED I TRANSAKTIONSLISTAN
SOM ÄR BIFOGAD.

FINNS BLAND RÖKER PRIVAT.

TOTALT 10.159.216.71

VILKORAT TILL SK.

TOTALT

ATT TA HÄNSYN TILL

7.307.597

* LÅN SOM ÅTBETALATS AV RHM. HUR HANTERAR VI DEM?

* MELLAN SKILLNADER MELLAN PENGAR IN OCH TILL SKATT
MAN FÅTT SKALL KORRIGERAS. SKALL VARA LIKA.

* UPPRÄTTA DOKUMENT PÅ ALLA TRANSAKTIONER

* ~~FÄRRE~~ ABS 2 st FAKTURER (UNDERLAG)

* DOKUMENT TVIST 187.000 2015-03-25

* LINEHANDLING KELAB 100.000 2015-02-03



EKOBROTTSMYNDIGHETEN

2:a kammaren Stockholm

Ekorevisor Lars-Inge Johansson

Datum

2019-06-28

Diarienum (åberöpas vid korresp)

EB 4689-16

PM

avseende pengar från Zeptunus Ltd till Ulf Deckmark via Christer Deckmark.

Zeptunus Ltd har haft två bankkonton i banken RBS International på Isle of Man. Ett konto i valutan USD och ett konto i valutan GBP. Under 2013 gjordes det insättningar till USD-kontot om \$568 546.

2016-12-12 överfördes kvarvarande saldon på kontona - \$493 970,21 USD från USD-kontot och £2 162,54 från GBP-kontot - till Christer Deckmarks bankkonto i SEB. De två insättningarna uppgick i SEK till 4 504 232,42 kr respektive 24 558,46 kr eller sammanlagt 4 528 790,88 kr.

Christer Deckmark har fört över en stor del av pengarna från Zeptunus Ltd till först ett av hans andra konton i SEB, därefter tillbaka till det första kontot i SEB för att därifrån föra dem vidare till ett bankkonto i hans namn i Santander Bank. Från kontot i Santander Bank har han vid sju tillfällen under 2017 fört över delbelopp till sitt första konto i SEB och därifrån överfört dem och ytterligare ett belopp, till sin bror Ulf Deckmarks bankkonto i SEB. Sammanlagt uppgår de åtta överföringarna från Christer till Ulf till 3 381 750 kr.

Insättningar och uttag framgår av sammanställningar gjorda i Excel som finns i bilagorna 1 - 3.

Bilaga 1: Insättningar på Zeptunus konto och överföringarna till Christer Deckmark,

Bilaga 2: Insättningarna från Zeptunus till Christer Deckmarks SEB-konto och överföringarna mellan hans egna konton.

Bilaga 3: Överföringar från Christer Deckmark till Ulf Deckmark.

Lars-Inge Johansson
Ekorevisor

Pengar från Zeptunus Ltd till Ulf Deckmark via bodern Christer.

Zeptunus Ltd				
RBS International				
Konto 5880-58608545				
U.S. Dollar				
<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>	
2013-07-31	\$305 350			
2013-08-20	\$175 464			
2013-10-15	\$87 732			
2016-12-12		\$493 970,21	Christer Deckmark SEB -2541	
	\$568 546			

Zeptunus Ltd				
RBS International				
Konto 5880-58608545				
Pounds Sterling				
<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>	
2016-12-12		£2 162,54	Christer Deckmark SEB -2541	

Christer Deckmark

SEB

Konto 5374 006 2541

Christer Deckmark

SEB

Konto 5365 30 356 00

<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>	<u>Anm.</u>	<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>
2016-12-12	4 504 232,42 kr		Zeptunus	493 938,21 USD	2016-12-14	4 490 000 kr		CD SEB -2541
2016-12-12	24 558,46 kr		Zeptunus	2 142,54 GBP	2017-02-14		4 490 000 kr	CD SEB -2541
2017-12-14		4 490 000 kr	CD SEB -5600					
2017-02-14	4 490 000,00 kr		CD SEB -5600					
2017-02-15		4 490 000 kr	CD- Santander -6122					
2017-03-14	200 000,00 kr		CD- Santander -6122					
2017-03-16		200 000,00 kr	UD SEB -4797					
2017-03-23	500 000,00 kr		CD- Santander -6122					
2017-03-24		500 000,00 kr	UD SEB -4797	AVB 2 REVERS				
2017-04-24	525 000,00 kr		CD- Santander -6122					
2017-04-24		500 000,00 kr	UD SEB -4797	AVB 3 REVERS				
2017-05-31	500 000,00 kr		UD- Santander -6122					
2017-05-31		500 000,00 kr	UD SEB -4797	AVB 4 REVERS	2017-02-15	4 490 000 kr		CD SEB -2541
2017-07-06	550 000,00 kr		UD- Santander -6122		2017-03-13		200 000,00 kr	CD SEB -2541
2017-07-10		500 000,00 kr	UD SEB -4797	AVB 5 REV	2017-03-22		500 000,00 kr	CD SEB -2541
2017-08-09	500 000,00 kr		UD- Santander -6122		2017-04-23		525 000,00 kr	CD SEB -2541
2017-08-11		500 000,00 kr	UD SEB -4797	ABT 6 REV	2017-05-30		500 000,00 kr	CD SEB -2541
2017-09-05		500 000,00 kr	UD SEB -4797	AVBET 7	2017-07-05		550 000,00 kr	CD SEB -2541
2017-10-09	180 000,00 kr		UD- Santander -6122		2017-08-09		500 000,00 kr	CD SEB -2541
2017-10-10		181 750,00 kr	UD SEB -4797	SLUTBET REV	2017-10-09		180 000,00 kr	CD SEB -2541
Summa:			3 381 750,00 kr		Summa:			2 955 000,00 kr

Christer Deckmark

Santander Bank

Konto 200107736122

Christer Deckmark

SEB

Konto 5374 006 2541

Ulf Deckmark

SEB

Konto 5398-01 247 97

<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>	<u>Anm.</u>	<u>Datum</u>	<u>Insättning</u>	<u>Uttag</u>	<u>Motpart</u>	<u>Anm.</u>
2016-12-12	4 504 232,42 kr		Zeptunus	493 938,21 USD	2017-02-10	220 000 kr	UD SEB -7638	UD SEB -7638	UTH LGH
2016-12-12	24 558,46 kr		Zeptunus	2 142,54 GBP	2017-03-07	100 000 kr			LÖS LÅN BRAR
2017-12-14		4 490 000 kr	CD SEB -5600		2017-03-08	500 000 kr	UD SEB -5754	UD SEB -5754	SEB
2017-02-14	4 490 000,00 kr		CD SEB -5600		2017-03-08	469 263,25 kr	Banco De Sabadell	Banco De Sabadell	ULF DECKMARK
2017-02-15		4 490 000 kr	CD- Santander -6122		2017-03-09	450 000 kr	UD SEB -1538	UD SEB -1538	ULF DECKMARK
2017-03-14	200 000,00 kr		CD- Santander -6122		2017-03-09	432 463,59 kr	Banco De Sabadell	Banco De Sabadell	ULF DECKMARK
2017-03-16		200 000,00 kr	UD SEB -4797		2017-03-09	197 206,41 kr	Banco De Sabadell	Banco De Sabadell	ULF DECKMARK
2017-03-23	500 000,00 kr		CD- Santander -6122		2017-03-16	200 000 kr	CD SEB -2541	CD SEB -2541	AVB 2 REVERS
2017-03-24		500 000,00 kr	UD SEB -4797	AVB 2 REVERS	2017-03-24	500 000 kr	CD SEB -2541	CD SEB -2541	AVB 3 REVERS
2017-04-24	525 000,00 kr		CD- Santander -6122		2017-04-24	500 000 kr	Eget SEB-konto	Eget SEB-konto	56993285754
2017-04-24		500 000,00 kr	UD SEB -4797	AVB 3 REVERS	2017-04-24	500 000 kr	CD SEB -2541	CD SEB -2541	AVB 4 REVERS
2017-05-31	500 000,00 kr		UD- Santander -6122		2017-05-31	500 000 kr	Eget SEB-konto	Eget SEB-konto	56993285754
2017-05-31		500 000,00 kr	UD SEB -4797	AVB 4 REVERS	2017-06-07	500 000 kr	CD SEB -2541	CD SEB -2541	AVB 5 REV
2017-07-06	550 000,00 kr		UD- Santander -6122		2017-07-10	500 000 kr	53 983 071 538	53 983 071 538	
2017-07-10		500 000,00 kr	UD SEB -4797	AVB 5 REV	2017-07-18	500 000 kr	CD SEB -2541	CD SEB -2541	ABT 6 REV
2017-08-09	500 000,00 kr		UD- Santander -6122		2017-08-11	500 000 kr	Eget SEB-konto	Eget SEB-konto	53983071538
2017-08-11		500 000,00 kr	UD SEB -4797	ABT 6 REV	2017-08-14	500 000 kr	CD SEB -2541	CD SEB -2541	AVBET 7
2017-09-05	500 000,00 kr		UD SEB -4797	AVBET 7	2017-09-05	500 000 kr	Eget SEB-konto	Eget SEB-konto	53983071538
2017-10-09	180 000,00 kr		UD- Santander -6122		2017-09-19	500 000 kr	CD SEB -2541	CD SEB -2541	
2017-10-10		181 750,00 kr	UD SEB -4797	SLUTBET REV	2017-10-10	181 750 kr	Eget SEB-konto	Eget SEB-konto	56993287498
					2017-10-17	100 000 kr	CD SEB -2541	CD SEB -2541	56993287498
					2017-11-03	150 000 kr	Eget SEB-konto	Eget SEB-konto	56993285754

Summa: 3 381 750,00 kr

Summa1: 3 381 750 kr

**EKOBROTTSMYNDIGHETEN**

2:a kammaren Stockholm

Ekorevisor Lars-Inge Johansson

Datum

2019-06-26

Diariernr (åberopas vid korresp)

EB 4689-16

Gransknings PM avseende MBS.er köpta av Optimus Fonder AB f d Traction Fonder AB.

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1. Uppdraget.

Jag har fått i uppdrag att utreda och beskriva de köp av 17 MBS.er som det svenska bolaget Traction Fonder AB, senare namnändrat till Optimus Fonder AB, gjorde under perioden augusti 2012 – augusti 2013. Under utredningen har det framkommit att det skett ytterligare två affärer där MBS.er har köpts av Optimus. Det rör sig om två MBS.er med namnet CBASS som båda såldes till Optimus i februari 2013. Dessa två affärer finns enbart delvis medtagna i min utredning då jag saknar viss information om köp och försäljningar, främst vad gäller säljaren ABS I G.

I denna PM försöker jag att redogöra för fakta om de MBS-affärer som Optimus gjort, vem som köpt från vem, till vilka priser och vilka andra personer/företag som fått ersättningar i samband med respektive affär. PM tar inte upp faktorer som varför affärerna gjordes, om det kunde förväntas vara en bra eller dålig affär, eller andra bedömningar och mer subjektiva faktorer.

2. Sammanfattning

Min utredning synes visa att de 17 MBS.er som Optimus köpte under perioden augusti 2012 till och med augusti 2013 alla såldes av Mark Bishops amerikanska bolag ABS I G. 9 av de 17 MBS.erna har dessförinnan ägts av den fond, Omniarch American RMBS Equity Fund IV, som varit närstående till Mark Bishop.

De två första MBS affärerna innebar att Optimus vid sin senare försäljning gjorde vinst på dessa. De resterande 15 (17) affärerna innebar att Optimus sålde med förlust. Sammantaget innebar de 17 MBS affärerna en förlust för Optimus om \$33 690 180. (-\$34 064 828 för de 19) *(I detta belopp ingår inte de mindre utbetalningar av räntor och amorteringar som gjorts för de olika MBS.erna.)*

Optimus fick köpa de 17 MBS.erna för betydligt högre priser än vad ABS I G hade köpt dem för. ABS I G köpte in dem för sammanlagt \$23 746 661 och Optimus fick köpa dem för \$54 071 003. Skillnaden uppgick sammanlagt till \$30 324 342.

Förutom Mark Bishops bolag ABS I G så gjorde även företaget SVC - ägt av Emil Amir Ingmanson och Afram Gergeo – förtjänst på varje MBS affär. Sammanlagt uppgår SVC förtjänst till \$7 208 547.

Företaget Zeptunus Ltd på Isle of Man – ägt av Optimus värdepappershandlare och VD Ulf Deckmark – fick provision på varje genomförd affär där Optimus köpte MBS.er. Enligt återfunna handlingar har Zeptunus ”tjänat in” 4 541 056 kr i provisioner för de 19 genomförda MBS affärerna.

3. Tillgängligt material.

I min utredning har jag haft tillgång till material från ett antal olika källor. De är i huvudsak:

- Uppgifter från Swedbank i Sverige om Optimus transaktioner bestående av bl.a. bankkontoutdrag och Trade Tickets för gjorda affärer.
- Räkenskapsmaterial - erhållet genom rättshjälp – avseende det brittiska bolaget Solid Venture Capital Ltd (SVC) tillhörigt Emil Amir Ingmanson och Afram Gergeo.
- Material - erhållet genom rättshjälp - från SVC.s bank i Tyskland, Oddo Close Brothers.
- Bankkontoutdrag för ABS I G.s bankkonto -0070 i Commerce West Bank i USA *(erhållet från Pensionsmyndigheten och numera genom egen rättshjälp från USA)*
- Omfattande material återfunna i olika datorer som tagits i beslag i samband med husrannsakan hos Mark Bishop.
- Material från mäklarfirmorna Mesirow Financial och Auriga, LPL Financial, Commerce West Bank m fl erhållet genom rättshjälp med USA.

4. Allmänt.

I promemorian har jag valt att genomgående benämna det aktuella svenska fondbolaget för Optimus. Fram till 2013-02-08 var bolagets namn rätteligen Traction Fonder AB och därefter Optimus Fonder AB.

Det engelska företaget Solid Venture Capital Ltd benämns ofta med förkortningen SVC.

Det amerikanska företaget ABS Investment Group LLC benämns ABS I G.

Den amerikansk kanadensiska fonden Omniarch American RMBS Equity Fund IV, LP har jag ofta förkortat till Fund IV och i chart.en till RMBS E F IV.

De värdepapper som avhandlas benämns antingen MBS.er eller RMBS.er.

5. MBS.er.

Den här utredningen avser en väldigt speciell typ av finansiellt instrument vanligtvis kallad MBS. Det står för Mortgage-Backed Security. Då de dessutom avser bostadslån så tillhör de en undergrupp och benämns RMBS som står för Residential Mortgage-Backed Security. Kortfattat kan de beskrivas som ett antal amerikanska bostadslån som slagits ihop och tillsammans bildar en RMBS. Den är konstruerad av ett finansiellt institut, ger periodvisa utbetalningar (månadsvis eller

kvartalsvis) av bostadslåneräntor och eventuellt låneamorteringar till innehavarna av RMBS.en och har ett initialt prospekt från utgivaren med detaljerna för just den RMBS.en. Köpare av RMBS.er är vanligtvis inte enskilda personer utan professionella investerare som livförsäkringsbolag.

RMBS.er spelade en central roll vid den stora finansiella krisen som började 2007 och som fick det amerikanska bolaget Lehman Brothers på fall.

När en RMBS skapas ges den ett unikt identitetsnummer som kan liknas vid ett organisationsnummer eller personnummer för RMBS.en. Av detta nummer fås även ett s.k. cusipnr genom att ta bort de initiala bokstäverna samt sista siffran. Exempelvis har RMBS.en MANA identiteten US59024FAG90 och därmed även cusipnr 59024FAG9.

RMBS.er kan vara olika stora. De som den här utredningen rör har vid bildandet av respektive RMBS varit från 3 187 000 USD (MARM) till 332 815 000 USD (INDX). De kan säljas antingen som hela RMBS.en eller som delar av den. Vid försäljningar anges storleken i USD av original face. Allt eftersom tiden går sjunker den del av RMBS.en som återstår att få betalning för. Huslånetagarna kan ha amorterat på sina lån, de kan ha löst hela sitt lån för att lägga om det till ett bättre lån med lägre ränta, de kan ha lämnat sitt överbelånade hus och därmed även huslånet etc. Den del av RMBS.ens originalsumma som återstår att betala på anges med en procent benämnt factor eller pool factor.

När en RMBS handlas görs detta till ett "deal price" som anges exempelvis till 73,0. Med detta menas 73 % lika med 0,73. Förutom priset för bonden räknat på original face, factor och pris skall köparen även betala eventuell upplupen ränta sedan senaste utbetalningen. Säljaren har rätt till denna ränta fram till överlåtelsen till nya ägaren. Ibland får även köparen betala en bankavgift till en bank som mäklat affären.

En affär där en aktör säljer en RMBS till en annan aktör kan dokumenteras genom en s.k. Trade Ticket (ibland VCON ticket). I denna anges parter, cusipnr på MBS.en, namnet på MBS.en, pris, vilken dag affären överenskomms (trade date), vilken dag affären genomförs (settlement date eller enbart settle), hur stor del av MBS.en som säljs uttryckt i original face, aktuell factor, upplupen betalning (accrual paid) och summa att betala (settlement eller total funds).

6. Något om aktuella bolag.

6.1 Allmänt

Denna PM behandlar 17 försäljningar av MBS.er som gjorts från det amerikanska företaget ABS Investment Group (ABS I G) och dess företrädare Mark Bishop till det svenska fondbolaget Optimus Fonder AB. Ett antal av MBS.erna har innan försäljningen innehafts av en fond närstående till Mark Bishop. Fondens namn är Omniarch American RMBS Equity Fund IV.

Fram till februari 2013 gjordes affärerna med Optimus från ABS I G via den amerikanska mäklarfirman Auriga. Under perioden februari – augusti 2013 skedde affärerna genom att ABS I G sålde till Emil Amir Ingmanson och Afram Gergeos brittiska bolag Solid Venture Capital Ltd (SVC) som i sin tur sålde till Optimus via den tyska banken Oddo Seydler Close Brothers (Close Brothers).

ABS I G och/eller Fund IV har i många fall köpt in de aktuella MBS.erna via någon av mäklarfirmorna Auriga eller Mesirow Financial. När Optimus i sin tur senare har sålt MBS.erna har detta också oftast gjorts till någon av de två mäklarfirmorna Auriga eller Mesirow. Vem som är den slutliga köparen i dessa fall är inte känt för mig.

6.2 ABS I G

Det finns två bolag med snarlika namn. De benämns ABS Investment Group LLC (ABS I G) respektive ABSIG LLC (ABSIG). I handlingar - som Mark Bishop skickade in till den svenska Finansinspektionen i juli 2012 – beskrev han ägarstrukturen. ABSIG ägdes till 50 % direkt av Mark Bishop och till 50% av ABS Investment Group LLC som i sin tur ägdes till 100% av Mark Bishop. M a o ägdes båda bolagen till 100% av Mark Bishop direkt eller indirekt.

ABS I G har bl.a. haft bankkonto i Commerce West Bank med nummer D92200070 (070-kontot), bankkonto hos LPL Financial med nummer 1774-4095 och konto hos Auriga med nummer NFE-017456. ABSIG har haft bankkonto D1217728 i Commerce West Bank.

6.3 Omniarch American RMBS Equity Fund IV.

Fond registrerad i Delaware, USA med koppling både till Alberta i Kanada och till USA. Fonden är nära kopplad till ABSIG och dess företrädare, främst Mark Bishop. Fonden har bl.a. haft bankkonto i Commerce West Bank med kontonummer D92200005 (005-kontot) samt konto hos LPL Financial.

Ett antal av MBS.erna i denna utredning har sålts från Fund IV via ABS I G till Optimus.

OmniArch har även haft Fund III och Fund V. Det är oklart om någon av MBS.erna har innehafts av Fund III eller Fund V.

6.4 Solid Venture Capital Ltd (SVC).

Bolaget är registrerat i UK under 2004. Under perioden december 2011 – oktober 2015 hade bolaget två företrädare:

Emil Amir Ingmanson, director
Afram Gergeo, director

För de 11 RMBS försäljningar – inklusive CBASS - som ABS I G gjorde till Optimus under perioden augusti 2012 – februari 2013 har SVC erhållit provision från ABS I G. Detta har skett genom att SVC fakturerat ABS I G ”sales commission”.

Under perioden februari 2013 – augusti 2013 genomfördes ytterligare åtta RMBS affärer från ABS I G till Optimus. Men under denna period skedde försäljningarna via SVC som sålde till ett högre pris till Optimus. SVC:s försäljningar till Optimus skedde inte direkt utan via den tyska banken Oddo Seydler Close Brothers där SVC även hade ett bankkonto. På Optimus inköpsnotor framgår inte annat än att motpart har varit mäklaren Oddo Seydler Close Brothers.

Trots att SVC först köpte RMBS.erna och sedan sålde dem har bolaget inte i något av fallen behövt betala sitt inköp innan det fått betalt från sin försäljning. Som jag har förstått det så har det också vid SVC.s köp redan varit klart att Optimus skulle köpa RMBS.en och till vilket pris.

6.5 Zeptunus Ltd och Ulf Deckmark.

De 19 RMBS-erna köptes av det svenska fondbolaget Optimus Fonder (f.d. Traction Fonder). VD under perioden 2009-02-17 – 2014-08-28 var Ulf Deckmark. Under i vart fall den - för denna utredning - aktuella perioden var han även handlare av värdepapper.

Den 19 december 2012 registrerades bolaget Zeptunus Ltd i Isle of Man. Enligt Zeptunus årsredovisning för 2012/2013 var det Ingmansons och Gergeos bolag SVC som betalade registreringsavgiften för Zeptunus Ltd. Ulf Deckmark angavs som ägare i handlingarna till det bolag, City Trust, som skötte om att bolaget bildades. Deckmark försåg City Trust med kopia av sitt pass, kopia av sitt körkort och en kopia av en svensk elräkning ställd till honom på hans bostadsadress. Som bolagets synliga medlemmar av styrelsen utsågs anställda på City Trust. City Trust lät i januari 2013 öppna två bankkonton (ett i GBP och ett i USD) i banken RBS International för Zeptunus räkning. Ulf Deckmark angavs i handlingarna till banken vara Zeptunus beneficial owner till 100%.

Den bokföringsfirma i London, EA Associates, som skötte bokföringen för SVC fick även i uppdrag att sköta bokföringen för Zeptunus Ltd.

Under 2013 ställde Zeptunus ut tre fakturor:

<u>Datum</u>	<u>Till</u>	<u>Belopp</u>	<u>Text på fakturan</u>
2013-07-31	ABS I G	305 350 USD	For consulting services provided
2013-08-20	ABS I G	175 464 USD	For consulting services provided
2013-10-15	ABS I G	87 732 USD	For consulting services provided
	Summa:	568 546 USD	

Pengarna betalades in på Zeptunus bankkonto på Isle of Man samma dag som fakturorna ställdes ut.

Zeptunus har inte fakturerat några andra intäkter än dessa tre fakturor till ABS I G. Kostnaderna består av förvaltningskostnader till City Trust, bokförare och bank. Under 2015 tillkom kostnader för konsultationer som Ulf Deckmark gjorde hos en svensk revisionsbyrå.

Vid husrannsakan hos Mark Bishop har ett antal handlingar återfunnits som rör ABS I G.s förhållande till Zeptunus Ltd:

- Memorandum skriven av Mark Bishop daterad 2013-04-24. I skrivelsen anger Bishop att ABS I G har slutit att konsultavtal med Zeptunus 2013-04-19.

- Konsultavtal daterat 2013-04-19 mellan ABS I G och Zeptunus Ltd. Enbart underskrivet av Mark Bishop för ABS I G. Avtalet skall gälla från 2013-01-01 oavsett datum för undertecknandet.

- Konsultavtal daterat 2013-04-19 mellan SVC och Zeptunus Ltd. Ej underskrivet av parterna. I huvudsak kopia av avtalet mellan ABS I G och Zeptunus Ltd förutom att parterna har ändrats.

- Mail mellan Mark Bishop och företrädare för det företag som handhar Zeptunus förvaltning. Bl. a. ett mail 2013-07-31 där Mark Bishop skrivet att (ABS I G) skall betala 3 787 272 kr till Zeptunus och att det utgörs av "transactions fees for services provided on approximately SEK 280 646 000 volume of purchased asset-backed securities. "Vidare att den aktuella betalningen av ungefär 2 000 000 kr är en delbetalning. Resten skall betalas 2013-08-30.

- Excel-ark döpt till "Zeptunus Payable Schedule.xlsx". Det utgörs av en sammanställning av Zeptunus fordringar månad för månad och hur dessa har räknats ut. Det framgår bl. a. att Zeptunus skall ha 12,5% av "Solid Arbitrage", att Zeptunus skall ha ersättningar från och med augusti 2012, att Zeptunus skall ha erhållit 260 022 kr i juni 2013, 2 000 000 kr i juli 2013 och 1 140 517 kr i augusti 2013. För juli och augusti anges det dessutom för vilka MBS affärer som provisionen har beräknats. Excelarket är ifyllt t.o.m. augusti 2013. Zeptunus har då tjänat in 4 541 056 kr varav de erhållit 3 400 539 kr och har kvar en fordran om 1 140 517 kr.

- Tre bankbetalningar till Zeptunus Ltd om 305 350 USD, 175 464 USD och 87 732 USD, varav två betalningar gjorts från bankkonto tillhörigt ABS I G och ett gjorts från bankkonto tillhörigt ABSIG.

- Faktura från ABS I G daterad 2013-09-06 ställd till SVC om 1 140 517 kr avseende "July 2013 Outstanding Zeptunus Ltd Fees". I fakturan anges även

"Total fees earned – Zeptunus	4,541,056 kr
Fees paid by SVC	260,022 kr
Fees paid by ABS	3,140,517 kr
Remaining fees to be paid	1,140,517 kr

SVC portion of Zeptunus Fee for July 2013: 1 140 517 kr"

7. Genomförda MBS affärer.

7.1 Översiktligt.

Mark Bishop köpte genom sitt bolag ABSIG i juni 2012 samtliga aktier i Optimus Fonder AB.

Optimus har därefter köpt 19 MBS.er (eller egentligen RMBS.er) under perioden 2012-08-15 – 2013-08-16 från det amerikanska företaget ABS I G som också till 100% ägdes och företrädades av Mark Bishop.

Denna utredning behandlar främst 17 av de 19 affärerna. Två köp av olika serier av MBS.en CBASS ingår enbart delvis i utredningen då jag saknar en del uppgifter för dessa två affärer. De köptes båda av Optimus 2013-02-05 och såldes 2013-04-25. Den ena med en förlust för Optimus om \$161 217 och den andra med en förlust för Optimus om \$213 431. SVC och Zeptunus erhöll provisioner för affärerna.

ABS I G köpte de 17 MBS.erna för \$23 746 662. Optimus fick köpa dem för \$54 071 004 vilket var \$30 324 342 högre än ABS I G.s inköpspris. ABS I G

köpte MBS.erna i nära anslutning till att de såldes vidare till Optimus. Ibland skedde detta samma dag, i andra fall skedde det i upp till två veckor innan försäljningen till Optimus.

15 MBS.er (samt båda CBASS) såldes av Optimus medan två överfördes till Falcon Funds fonder i samband med en fusion med Falcon Funds. Dessa två MBS.er såldes senare av Falcon Funds. De 17 MBS.erna såldes för sammanlagt \$20 380 824. Räknat på vad Optimus fick betala vid köpet jämfört med vad Optimus/Falcon fick vid försäljningen innebär affärerna en sammanlagd förlust om \$33 690 180. Optimus har under sitt innehav fått utbetalningar på MBS.erna vilket gör att förlusten är något lägre.

ABS I G:s resultat från de 17 MBS affärerna innebär en sammanlagd vinst för ABS I G om \$23 095 864.

SVC har fått provision från ABS I G för de elva första MBS affärerna. Provisionen uppgår sammanlagt till £627 098 lika med \$1 040 187 och har fakturerats ABS I G av SVC. Detta innebär i sig att ABS I G:s vinst som angivits ovan skall sänkas med motsvarande kostnad. För de åtta sista MBS affärerna har SVC inte fått provision utan istället handlat in MBS.erna från ABS I G och sålt dem till ett högre pris till Optimus. SVC:s vinst har varit betydligt högre i dessa affärer än den provision de erhöll dessförinnan. SVC vinst för de åtta sista MBS affärerna uppgår sammanlagt till \$6 434 287. Det bör observeras att SVC i samtliga dessa åtta affärer har fått betalt för sin försäljning innan de har betalat sitt inköp. Som jag har förstått det har det dessutom redan vid försäljningen från ABS I G till SVC varit klart att Optimus skulle köpa den aktuella MBS.en och till vilket pris det skulle ske. SVC synes därmed ha haft en mycket begränsad företagsrisk.

Förutom Mark Bishops bolag ABS I G och Emil Amir Ingmansons och Afram Gergeos bolag SVC så har även Optimus VD Ulf Deckmarks bolag Zeptunus fått provision för varje genomförd MBS-affär. Av den excel-fil som återfunnits hos Mark Bishop framgår hur mycket pengar Zeptunus hade tjänat varje månad och för juli och augusti 2013 framgår uttryckligen för vilka MBS affärer. För övriga månader anges det att Zeptunus får ersättning med 12,5% av den ersättning (arbitrage) som Solid (SVC) fått. Av handlingar i SVC:s bokföring samt handlingar från bolagets bank Oddo Seydler Close Brothers i Tyskland framgår det för vilka 9 MBS affärer SVC erhållit provision under perioden augusti 2012 – februari 2013. MBS-affärerna därefter har gått via SVC vilket medför att de är bokförda i SVC och därmed framgår det vilka värdepapper som köpts och sålts.

Av handlingar som återfunnits hos Mark Bishop framgår att ABS I G och SVC skall dela på ersättningen till Zeptunus. Vidare att i början av september 2013 har Zeptunus "tjänat in" 4 541 056 kr, att ABS I G har betalat 3 140 517 kr till Zeptunus, att SVC har betalat 260 022 kr och att det återstår att betala 1 140 517 kr.

Av Zeptunus bankkonto och bokföring framgår det att ABS I G satt in \$305 350 motsvarande 2 000 000 SEK 2013-07-31, \$175 464 motsvarande 1 140 517 kr 2013-08-20 samt \$87 732 motsvarande 570 258 SEK 2013-10-15. Sammanlagt \$568 546 motsvarande 3 710 775 SEK. Enligt Excel-arket skall Zeptunus även erhållit 260 022 kr i juni 2013. Även i en hos Mark Bishop återfunnen faktura från ABS I G till SVC anges det att SVC betalat 260 022 kr till Zeptunus. Jag har

inte kunnat hitta denna betalning. Den synes inte ha gjorts till Zeptunus bankkonto.

7.2 17 MBS affärer

Under punkt 7.3 – 7.16 följer redogörelser för 17 av de 19 MBS.er som sålts från ABS I G till Optimus under perioden 2012-08-15 – 2013-08-16. (*Under punkt 7.17 finns en kortare redogörelse för de två affärerna med MBS.erna CBASS.*) I bilagedelen finns dels charts som åskådliggör varje affär dels underlag för affärerna i form av Trade Tickets, bankbetalningar, mail, lagerförteckningar för Omniarch American RMBS Equity Fund IV med uppgifter om fondens köp och försäljningar av aktuella MBS.er m.m.

Köp och försäljningar har ofta gjorts via någon av de amerikanska mäklarfirmorna Mesirow Financial och Auriga eller via SVC.s tyska bank Close Brothers. Jag har valt att ta med varje transaktion i en försäljning av en MBS som om mäklarfirmorna var egna parter i affärerna. Detta för att få med de olika priser som MBS.erna åsatts i varje led. Och för att kunna koppla dessa priser till gjorda betalningar.

En läsning av beskrivningarna av de enskilda affärerna, så som jag beskriver dem nedan under punkt 7.3 – 7.16, torde underlättas av att jämsides ha dels de sammanställningar jag gjort över varje affär i excel och dels ha de charts med respektive MBS-affär. Dessa finns intagna i bilagedelen för varje MBS.

7.3 MBS affär 1 – 4

MBS-affärerna inleddes med en försäljning till Optimus 2012-08-15 av fyra olika MBS.er (CMLTI, CWL, GSAMP och PCHLT) som fanns i lager hos Omniarch RMBS Fund IV. MBS.erna såldes från Fund IV till ABS I G som i sin tur sålde dem via mäklare till Optimus med god vinst.

De två första kom senare att säljas av Optimus i januari 2013 med vinst. De andra två såldes med förlust i februari 2013.

De två vinstaffärerna är speciella. Under de ca 5 månader som Optimus innehade MBS.en CMLTI skall den ha ökat i pris med ca 60% och MBS.en CWL skall ha ökat i pris med 143%. Dessa två första MBS.er innebar en sammanlagd vinst för ABS I G om \$243 453. SVC fick provision av ABS I G för dessa två MBS.er om sammanlagt \$148 667. Optimus försäljning i januari innebar en vinst för bolaget för de två MBS.erna om \$889 867.

De två andra MBS.erna i augusti-affären (GSAMP och PCHLT) innebar en vinst för ABS I G om sammanlagt \$161 302. SVC fick provision av ABS I G för dessa två MBS.er om sammanlagt \$87 869. Och Zeptunus (Ulf Deckmark) fick provision för de fyra affärerna med 62 229 kr.

Optimus sålde de två MBS.erna (GSAMP och PCHLT) i februari 2013 med en sammanlagd förlust om \$260 856.

7.3.1 MBS affär 1 CMLTI 2005-WF M1

1. 2011-06-13 (settlement) köpte ABS I G 925 000 original face av MBS.en CMLTI 2005-WF M1 från företaget De La Rosa till priset 42,0 lika med \$353 693,04.

Underlag

Trade Ticket från De La Rosa till Mark Bishop (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende uttag 2011-06-13 om \$353 693,04.

2. 2011-06-13 (settlement) sålde ABS I G 925 000 original face CMLTI till RMBS Fund IV för priset 44,0 lika med \$370 464,62.

Underlag

Trade Ticket (*fr beslag hos Mark Bishop*)

Omniarch Bond Inventory Fund IV Jan2013 som visar att Fund IV köpte för 44,0.

3. 2012-08-15 (settlement) Fund IV sålde 925 000 original face CMLTI till ABS I G till priset 46,5 lika med \$391 056,62.

En återfunnen Trade Ticket anger köparen till mäklarfirman Auriga. Egentlig köpare synes vara ABS I G som betalar köpet till Fund IV och som i Marks sammanställning över de fyra MBS-affärerna den 15 augusti 2012 också anges som köpare.

Underlag

Trade Ticket (*fr beslag hos Mark Bishop*)

Omniarch Bond Inventory Fund IV Jan2013 som visar att Fund IV sålde för 46,5 lika med \$391 056,62.

Kontoutdrag för ABS I G.s bankkonto avseende överföring från ABS I G till Fund IV.

Summary – MAB Settlement Aug 15, 2012.xlsx

4. 2012-08-15 (settlement) ABS I G sålde 925 000 original face CMLTI till mäklarfirman Auriga till priset 57,5 lika med \$483 921,09.

Underlag

Trade Ticket (*fr beslag hos Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende insättning till ABS I G 2012-08-23 av \$1 303 000.

(\$483 921,09 (CMLTI) + \$819 314,49 (PCHLT) = 1 303 235,58

Account Statement från Auriga för ABS I G.s konto NFE-017456.

5. 2012-08-15 Auriga sålde 925 000 original face CMLTI till Optimus till priset 58,5 lika med \$492 306,88.

Underlag

Trade Ticket (*fr beslag hos Mark Bishop*)

Mail från Auriga till Optimus

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

6. 2013-01-30 (settlement) sålde Optimus 925 000 original face CMLTI till mäklaren Auriga till priset 74,3125 lika med \$626 769,52.

Underlag

Mail från Auriga till Optimus

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Övrigt: Sammanställning av fyra MBS affärer gjorda 15 augusti 2012 döpt till "Summary – MAB – Settlement Aug 15,2012.xlsx.

Kommentar.

I juni 2011 köpte ABS I G MBS.en CMLTI för \$353 693 och sålde den samma dag till Fund IV för \$370 464. I augusti 2012 köpte ABS I G tillbaka MBS.en för \$391 056 och sålde den samma dag via mäklaren Auriga till Optimus som fick betala \$492 306. Det var en ökning av priset med \$138 613 sedan ABS I G.s köp 2011 eller ca 39%.

I januari 2013 sålde Optimus MBS.en för \$626 769 innebärande en vinst för Optimus om \$134 462,64.

7.3.2 MBS affär 2 CWL 2005-16 MV1

1. 2011-12-14 (settlement) köpte RMBS Fund IV 2 500 000 original face av MBS.en CWL 2005-16 MV1 från mäklaren Mesirow Financial till priset 24,5 lika med \$613 446,33.

Underlag

Trade Ticket (*fr beslag Mark Bishop*)

Inventory for purchase avseende Fund IV (*fr beslag Mark Bishop*)

2. 2012-08-10 (settlement) sålde Fund IV 2 500 000 original face av CWL 2005-16 MV1 till mäklaren Auriga till priset 26,0 lika med \$650 440,63. Slutlig köpare synes vara ABS I G.

I Fund IV.s handling Traded Bond Summary anges att försäljningen gjordes 2012-08-17.

Underlag

Trade Ticket (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende överföring från ABS I G till Fund IV 2012-08-23.

Traded Bond Summary (*fr beslag Mark Bishop*)

Summary – MAB - settlement Aug 15, 2012.xlsx (*fr beslag Mark Bishop*)

3. 2012-08-15 (settlement) sålde ABS I G 2 500 000 original face av CWL 2005-16 MV1 till mäklarfirman Auriga till priset 32,0 lika med \$801 029,88.

Underlag

Summary – MAB - settlement Aug 15, 2012.xlsx (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende överföring till ABS I G 2012-08-23 om \$906 059 som synes vara köpeskillingen för CWL och GSAMP (\$801 029,88 + \$105 029,92 = \$906 059,80.)

Account Statement från Auriga för ABS I G.s konto NFE-017456.

4. 2012-08-15 (settlement) sålde Auriga 2 500 000 original face av CWL 2005-16 MV1 till Optimus (Traction) till priset 33,0 lika med \$826 029,88.

Underlag

Summary – MAB - settlement Aug 15, 2012.xlsx (*fr beslag Mark Bishop*)

Mail från Auriga till Mark Bishop. (*fr beslag Mark Bishop*)

Trade Ticket (*fr beslag Mark Bishop*)

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

5. 2013-01-29 (settlement) sålde Optimus 2 500 000 original face av CWL 2005-16 MV1 till mäklarfirman Auriga till priset 63,25 lika med \$1 581 434,36.

Underlag

Mail från Auriga till Optimus (Traction)

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Kommentar.

I augusti 2012 Köpte ABS I G MBS.en CWL från Fund IV för \$650 440,63 och sålde den till via mäklaren Auriga till Optimus som fick betala \$826 029,88. Det var en ökning av priset med \$175 589,25 eller ca 27%.

7.3.3 MBS affär 3 GSAMP 2003-HE1 M2

1. 2012-02-07 (settlement) sålde ABS I G 1 200 000 original face av GSAMP 2003-HE1 M2 till RMBS Fund IV till priset 59,0 lika med \$90 516,10.

Underlag

Trade Ticket (*fr beslag Mark Bishop*)

Fund IV – Inventory for purchase (*fr beslag Mark Bishop*)

2. 2012-08-10 (settlement) sålde Fund IV 1 200 000 original face av GSAMP 2003-HE1 M2 till mäklarfirman Auriga till priset 62,0 lika med \$86 244,81. (*Nu med lägre factor.*) Slutlig köpare synes vara ABS I G.

I Fund IV.s handling Traded Bond Summary anges att försäljningen gjordes 2012-08-17.

Underlag

Trade Ticket (*fr beslag Mark Bishop*)

Traded Bond Summary (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende överföring från ABS I G till Fund IV 2012-08-23.

3. 2012-08-15 (settlement) sålde ABS I G 1 200 000 original face av GSAMP 2003-HE1 M2 till Auriga till priset 75,375 lika med \$105 029,92.

Underlag

Summary – MAB - settlement Aug 15, 2012.xlsx (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende överföring till ABS I G 2012-08-23 om \$906 059 som synes vara köpeskillingen för CWL och GSAMP (\$801 029,88 + \$105 029,92 = \$906 059,80).

Account Statement från Auriga för ABS I G.s konto NFE-017456.

4. 2012-08-15 (settlement) sålde Auriga 1 200 000 original face av GSAMP 2003-HE1 M2 till Optimus (Traction) till priset 76,375 lika med \$106 419,24.

Underlag

Summary – MAB - settlement Aug 15, 2012.xlsx (fr beslag Mark Bishop)

Mail från Auriga till Mark Bishop. (fr beslag Mark Bishop)

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

5. 2013-02-13 (settlement) sålde Optimus 1 200 000 original face av GSAMP 2003-HE1 M2 till mäklarfirman Mesirow Financial till priset 65,0 lika med \$87 619,53.

Underlag

Trade Ticket (fr Wahlstedt)

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Kommentar.

I augusti 2012 Köpte ABS I G MBS.en GSAMP från Fund IV för \$86 244,80 och sålde den via mäklaren Auriga till Optimus som fick betala \$106 419,23. Det var en ökning av priset med \$20 174,43 eller ca 23%.

7.3.4 MBS affär 4 PCHLT 2005-4 1A2

1. 2012-01-23 sålde ABS I G 4 675 000 original face av MBS.en PCHLT 2005-4 1A2 till RMBS Fund IV till priset 59,75 lika med \$661 987,10.

Trade Ticket anger enbart mäklaren Mesirow Financial men betalningen till Fund IV kommer från ABS I G.

Underlag

Trade Ticket

Kontoutdrag för ABS I G.s bankkonto avseende överföring från Fund IV till ABS I G 2012-01-18.

Traded Bond Summary (fr beslag Mark Bishop)

2. 2012-08-10 (settlement) sålde Fund IV 4 675 000 original face av MBS.en PCHLT 2005-4 1A2 till Auriga till priset 63,0 lika med \$676 903,12. Slutlig köpare synes vara ABS I G.

I Fund IV.s handling Traded Bond Summary anges att försäljningen gjordes 2012-08-17.

Underlag

Trade Ticket (fr beslag Mark Bishop)

Kontoutdrag för ABS I G.s bankkonto avseende överföring från ABS I G till Fund IV 2012-08-23.

Traded Bond Summary (fr beslag Mark Bishop)

Summary – MAB - settlement Aug 15, 2012.xlsx (fr beslag Mark Bishop)

- 2012-08-15 (settlement) sålde ABS I G 4 675 000 original face PCHLT 2005-4 1A2 till mäklarfirman Auriga till priset 76,25 lika med \$819 420,72.

Underlag

Trade Ticket med upplupen ränta om 210,97 (*fr beslag Mark Bishop*)

Summary – MAB - settlement Aug 15, 2012.xlsx med upplupen ränta om 317,20 (*fr beslag Mark Bishop*)

Kontoutdrag för ABS I G.s bankkonto avseende insättning till ABS I G 2012-08-23 av \$1 303 000.

(\$483 921,09 (CMLTI) + \$819 420,72 (PCHLT) = 1 303 341,81.

Account Statement från Auriga för ABS I G.s konto NFE-017456.

- 2012-08-15 (settlement) sålde Auriga 4 675 000 original face PCHLT 2005-4 1A2 till Optimus till priset 77,25 lika med \$830 163,06.

Underlag

Mail från Auriga till Optimus och Mark Bishop.

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

- 2013-02-15 sålde Optimus 4 675 000 original face PCHLT 2005-4 1A2 till mäklaren Mesirow Financial till priset 55,625 lika med \$588 107,16.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Övrigt: Trade Ticket avseende en annan försäljning av samma MBS gjord under aktuell tid av ABS I G till Fund IV. Affären är genomförd 2012-12-04 till priset 60,0.

Kommentar.

I augusti 2012 köpte ABS I G MBS.en PCHLT från Fund IV för \$676 903,12 och sålde den vidare via mäklaren Auriga till Optimus för \$830 163,06. Det var en ökning av priset med \$153 259,94 eller ca 23%.

I februari 2013 sålde Optimus MBS.en för \$588 107 innebärande en förlust för Optimus om \$242 055 (exkl utbetalningar under innehavstiden).

7.4 MBS affär 5 LMT 2005-2 1A4 (Bilaga 5 med underbilagor)

- 2012-09-11 (settlement) sålde ABS I G \$9 850 000 i original face av LMT 2005-2 1A4 till RMBS Equity Fund IV till priset 32,5. Betalningsdag var 2012-09-11. Betalningsbeloppet uppgick till \$2 468 705,18. Detta motsvarar \$1 083 974,61 för original face \$4 325 000.

Underlag

Trade Ticket

Sammanställning från Fund IV per januari 2013 över en del köpta och sålda MBS.er. En av dem är LMT som redovisas som köpt 2012-09-11 till priset 32,5 och delsålda 2012-09-14 till priset 36.

Kontoutdrag avseende ABS I G.s bankkonto -0070 i Commerce West Bank som visar en överföring 2012-09-11 från ABS I G.s konto till OmniArch konto 005 om \$2 468 705,18.

2. 2012-09-14 (settlement) sålde RMBS Equity Fund IV \$4 325 000 i original face av LMT 2005-2 1A4 till Auriga till priset 36 lika med \$1 204 380,38.

Underlag

Trade Ticket

Kontoutdrag avseende ABS I G.s bankkonto -0070 i Commerce West Bank som visar en överföring från ABS I G.s konto till OmniArch konto 005 om \$1 204 380,38 2012-09-24.

3. 2012-09-19 (settlement) sålde Mesirow \$4 325 000 i original face av LMT 2005-2 1A4 till ABS I G till priset 38,5 lika med \$1 287 355,26.

Underlag

Trade Ticket

4. 2012-09-19 (settlement) sålde ABS I G \$4 325 000 i original face av LMT 2005-2 1A4 till Auriga till priset 47 lika med \$1 529 035,17. *(Affären rättad av Auriga till lägre factor och lägre upplupen ränta.)*

Underlag

Trade Ticket

Betalningsinstruktion från Mark Bishop till Auriga att från konto NFE017456 betala \$1 569 469,85 till ABS I G.s konto 92200070.

Account Statement från Auriga för ABS I G.s konto NFE-017456

5. 2012-09-19 (settlement) sålde Auriga \$4 325 000 i original face av LMT 2005-2 1A4 till Optimus till priset 48 lika med \$1 561 370,05.

Underlag

Trade Ticket

Kontoutdrag från Optimus bankkonto i Swedbank.

6. 2013-06-19 (settlement) sålde Optimus \$4 325 000 i original face av LMT 2005-2 1A4 till priset 31 lika med \$812 343,63

Underlag

VCON Ticket från köparen.

7. 2013-11-25 (settlement) sålde RMBS Equity Fund IV resterande \$5 525 000 original face från sitt köp 2012-09-11 till priset 33 lika med \$851 609,55.

Underlag

Trade Ticket

Kommentar.

ABS I G sålde 9 850 000 av MBS.en LMT 2005 i september 2012 till Omniarch RMBS Fund IV (pris 0,325). Det motsvarar \$1 083 974 för 4 325 000 av MBS.en. Därefter såldes Fund IV 4 325 000 via mäklare tillbaka till ABS I G i september 2012. ABS I G sålde dessa vidare, också i september 2012, via mäklare till Optimus som fick betala \$ 1 561 370 (pris 0,48).

SVC fick provision från ABS I G om \$133 989 (£80 255,10).

Zeptunus fick provision om 107 944 kr.

Optimus sålde LMT 2005 i juni 2013 (pris 0,31) med en förlust om \$749 026 (exklusive erhållna utbetalningar under innehavstiden).

Omniarch RMBS Fund IV sålde de resterande 5 525 000 i november 2013 för \$851 609 (pris 0,33).

7.5 MBS affär 6 MARM 2005-06 4A2

1. 2012-10-19 (settlement) köpte ABS I G \$3 187 000 i original face från Mesirow Financial till priset 20,5 lika med \$295 799,85. Detta var hela denna MBS original face.

Underlag

Trade Ticket

2. 2012-10-23 (settlement) sålde ABS I G \$3 187 000 original face till Auriga till priset 24,125 lika med \$347 847,66. *(Affären rättad av Auriga till lägre factor och lägre upplupen ränta.)*

Underlag

Trade Ticket

Betalningsinstruktion från Mark Bishop till Auriga att från konto NFE017456 betala \$348 190,51 till ABS I G.s konto 92200070.

Kontoutdrag för ABS I G.s bankkonto -0070 i Commerce West Bank som visar en överföring 2012-11-01 till ABS I G.s konto om \$348 190,51.

Account Statement från Auriga för ABS I G.s konto NFE-017456.

3. 2012-10-23 (settlement) sålde Auriga \$3 187 000 original face till Optimus till priset 25,125 lika med \$362 528,85.

Underlag

Vcon Ticket

Kontoutdrag från Optimus bankkonto i Swedbank.

4. 2015-03-11 överfördes MARM från Optimus till Falcon i samband med en fusion.

Underlag

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

5. 2015-09-08 (settlement) sålde Falcon \$3 187 000 original face till Mesirow Financial för priset 4 lika med \$18 139,43.

Underlag

Trade Ticket

Övrigt: faktura från SVC till ABS I G 2012-10-18 om £31 582,80 lika med \$52 391. Pengarna kom in på SVC bankkonto 2012-10-18 med angivande av "MARM BOND". 2012-10-17 skickades \$52 391 från ABS I G.s

bankkonto i Commerce West till SVC.s bankkonto i Barclays Bank..

Kommentar.

ABS I G köpte MARM från okänd (genom mäklaren Mesirow) i oktober 2012 för \$295 800 och sålde den några dagar senare via mäklare till Optimus för \$362 528.

SVC fick provision från ABS I G om \$52 391.

Zeptunus fick provision om 42 479 kr.

Falcon sålde MARM i september 2015 med en förlust om \$344 389 (exklusive erhållna utbetalningar under innehavstiden).

7.6 MBS affär 7 CFAB 2003-5 1B

1. 2012-11-06 (settlement) köpte ABS I G \$3 000 000 i original face av CFAB 2003-5 1B av Mesirow Financial till priset 41,0 lika med \$599 987,06.

Underlag

Trade Ticket

2. 2012-11-13 (*ändrad till 2012-11-28*) (settlement) sålde ABS I G \$3 000 000 i original face av CFAB till Auriga till priset 48,0.
Av kontoutdrag inhämtat från Auriga i USA framgår att slutpriset var \$705 384,80. (*Auriga ställde först ut en annan nota om \$705 396,63 med något högre upplupen ränta vilket korrigerades.*)

Underlag

Trade Ticket

Betalningsinstruktion från Mark Bishop till Auriga att från konto NFE017456 betala \$705 396,63 till ABS I G.s konto 92200070

Kontoutdrag avseende ABS I G.s bankkonto -0070 i Commerce West Bank som visar en insättning 2012-11-23 om \$705 396,63.

Account Statement från Auriga för ABS I G.s konto NFE-017456.

3. 2012-11-20 (settlement) sålde Auriga \$3 000 000 i original face av CFAB till Optimus till priset 49,0 lika med \$720 002,89.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

4. 2013-08-19 (settlement) sålde Optimus \$3 000 000 i original face till Mesirow Finance till priset 31,0 lika med \$393 448,46.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Kommentar.

ABS I G köpte CFAB från okänd (genom mäklaren Mesirow) i november 2012 för \$599 987 och sålde den under november 2012 via mäklare till Optimus för \$720 002.

SVC fick provision från ABS I G om \$98 154 (£59 832).

Zeptunus fick provision om 80 475 kr.

Optimus sålde CFAB i augusti 2013 med en förlust om \$326 554 (exklusive erhållna utbetalningar under innehavstiden).

7.7 MBS affär 8 CWALT 2005-28CB 1A11

1. 2012-12-18 (settlement) köpte ABS I G \$4 395 400 i original face av MBS.en CWALT från mäklarfirman Mesirow Finance till priset 20,0 lika med \$801 471,41.

Underlag

Trade Ticket

2. 2012-12-18 (settlement) sålde ABS I G \$4 395 400 i original face av MBS.en CWALT till mäklarfirman Auriga till priset 25,0 lika med \$993 746,21. *(Auriga ställde först ut en annan nota om \$999 270,62 med högre factor och något högre upplupen ränta.)*

Underlag

Trade Ticket *(felaktigt belopp)*

Account Statement från Auriga för ABS I G.s konto NFE-017456.

Mail från Mark Bishop till Emil Amir Ingmanson 2012-12-21 om att de handlade CWALT för priset 25,0 till sig och 26,0 till Traction (Optimus) vilket gav dem ett arbitrage om \$197 799,22.

Kontoutdrag för ABS I G.s konto avseende överföring 2012-12-12 av \$251 343,28 (lika med £151 722,14).

Kontoutdrag för SVC.s konto i Barclays Bank till vilket £151 722,14 sattes in 2012-12-13.

Faktura från SVC till ABS I G avseende kommission för gjord affär

3. 2012-12-18 (settlement) sålde Auriga \$4 395 400 i original face av MBS.en CWALT till Optimus till priset 26,0 lika med \$1 038 830,47.

Underlag

Trade Ticket från Mark Bishops beslag

Trade Ticket från Optimus

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

4. 2013-08-19 (settlement) sålde Optimus \$4 395 400 i original face av MBS.en CWALT till mäklarfirman Mesirow Financial till priset 21,625 lika med \$490 203,09.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Övrigt: mail mellan Mark Bishop och Emil Amir Ingmanson.

Faktura från SVC till ABS I G 2012-12-13 om £151 722,14. Pengarna kom in på SVC bankkonto 2012-12-13 med angivande av "ARB CWALT". 2012-12-12 skickades \$251 343,28 från ABS I G.s bankkonto i Commerce West. En analys synes visa att av beloppet avsåg \$197 799,21 CWALT och \$53 544,06 IndyMac.

Kommentar.

ABS I G köpte CWALT från okänd (genom mäklaren Mesirow) i december 2012 för \$801 471 och sålde den under december 2012 via mäklare till Optimus för \$1 038 830.

SVC fick provision från ABS I G om \$197 799.

Zeptunus fick provision om 160 595 kr.

Optimus sålde CWALT i augusti 2013 med en förlust om \$548 627 (exklusive erhållna utbetalningar under innehavstiden).

7.8 MBS affär 9 INDX – IndyMac 2006-AR4 A1B

1. 2012-12-18 (settlement) köpte ABS I G \$5 350 000 i original face av MBS.en INDX 2006-AR4 A1B från mäklarfirman Mesirow Financial till priset 23,0 lika med \$308 293,52.

Underlag

Trade Ticket

2. 2012-12-18 (settlement) sålde ABS I G \$5 350 000 i original face av MBS.en INDX till mäklarfirman Auriga till priset 27,0 lika med \$361 837,58.

Underlag

Trade Ticket

Account Statement från Auriga för ABS I G.s konto NFE-017456.

Mail från Mark Bishop till Emil Amir Ingmanson 2012-12-21 om att de handlade INDX för priset 27,0 till sig och 28,0 till Traction (Optimus) vilket gav dem ett arbitrage om \$53 544,06.

Kontoutdrag för ABS I G.s konto avseende överföring 2012-12-12 av \$251 343,28 från kontot (lika med £151 722,14). Det är betalning för både INDX och CWALT.

Kontoutdrag för SVC.s konto i Barclays Bank till vilket £151 722,14 sattes in 2012-12-13.

Faktura från SVC till ABS I G avseende kommission för gjord affär.

3. 2012-12-18 (settlement) sålde Auriga \$5 350 000 i original face av MBS.en INDX till Optimus till priset 28,0 lika med \$375 223,60.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

4. 2013-02-13 (settlement) sålde Optimus \$5 350 000 i original face av MBS.en INDX till mäklarfirman Mesirow Financial till priset 24,5 lika med \$315 214,58.

Underlag

Trade Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Övrigt: I december 2012 köpte ABS I G två MBS.er som såldes vidare till Optimus via mäklarfirman Auriga. Det var CWALT och IndyMac/INDX. SVC skickade en faktura till ABS I G 2012-12-13 om £151 722,14 för provision. Av beloppet avsåg \$197 799,21 CWALT och \$53 544,06 IndyMac.

Kommentar.

ABS I G köpte INDX från okänd (genom mäklaren Mesirow) i december 2012 för \$308 293 och sålde den under december 2012 via mäklare till Optimus för \$375 223.

SVC fick provision om \$53 544.

Zeptunus fick provision om 43 473 kr.

Optimus sålde INDX i februari 2013 med en förlust om \$60 009 (exklusive erhållna utbetalningar under innehavstiden).

7.9 MBS affär 10 BOAMS MTGE 2004-L B1

1. 2013-02-14 (settlement) köpte Mesirow Financial \$12 863 000 i original face av MBS.en BOAMS MTGE 2004-L B1 från säljaren Stifel Nicholas till priset 18,625 lika med \$2 142 582,09.

Underlag

Ticket från Mesirow Financial

2. 2013-02-14 (settlement) sålde Mesirow Financial \$12 863 000 i original face av MBS.en BOAMS MTGE 2004-L B1 till ABS I G till priset 19,5 lika med \$2 242 591,21.

Underlag

Ticket från Mesirow Financial

3. 2013-02-26 (settlement) sålde ABS I G \$12 863 000 i original face av MBS.en BOAMS MTGE 2004-L B1 till SVC till priset 30,0 lika med \$3 463 317,66.

Underlag

Trade Ticket

Kontoutdrag för ABS I G.s bankkonto avseende insättning 2013-03-01 om \$3 463 317,66.

Kontoutdrag för SVC.s bankkonto avseende överföring 2013-03-01 om \$3 463 317,66.

4. 2013-02-26 (settlement) sålde SVC \$12 863 000 i original face av MBS.en BOAMS till Close Brothers till priset 37,5 lika med \$4 318 184,66 (efter avdrag för en bankavgift till Close Brothers om \$4 295,81)

Underlag

Sammanställning från Close Brothers över handeln med BOAMS.

Settlement Confirm från Close Brothers avseende SVC.s försäljning

Kontoutdrag från SVC.s konto i Close Brothers

Trade Ticket avseende Optimus köp

Handlingar från Close Brothers

Kontoutdrag för SVC.s bankkonto avseende insättning 2013-02-26 om \$4 318 170,10 (*OBS \$14,56 lägre än vad som skulle betalats enligt TT*).

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

5. 2013-02-26 (settlement) sålde Close Brothers \$12 863 000 i original face av MBS.en BOAMS till Optimus till priset 37,5 men tog dessutom en bankavgift om \$4 295,81. Optimus fick betala \$4 326 776,28.
6. 2013-12-06 (settlement) sålde Optimus \$12 863 000 i original face av MBS.en BOAMS till mäklarfirman Auriga till priset 20,5 lika med \$2 303 878,02.

Underlag

VCON Ticket

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Övrigt: Mail från Mark Bishop till Emil Amir Ingmanson.

Kommentar.

Det är okänt för mig när, från vem och till vilket pris ABS I G köpte BOAMS. ABS I G sålde den i februari till SVC för \$3 463 317. Optimus fick därefter köpa den via mäklare för \$4 326 776.

SVC.s vinst på affärer uppgick till \$854 867.

Zeptunus fick provision om 688 093 kr.

Optimus sålde BOAMS i december 2013 med en förlust om \$2 022 898 (exklusive erhållna utbetalningar under innehavstiden).

7.10 MBS affär 11 MSAC 2003-NC8 B3

1. 2011-10-26 (settlement) köpte ABS I G \$10 696 000 i original face av MSAC 2003-NC8 B3 av mäklaren De La Rosa till priset 37,0 lika med \$742 745,69. 2011-10-26 betalar Fund IV köpeskillingen till ABS I G.s konto. Därefter anger Fund IV att de innehar \$10 696 000 MSAC fram till mars/april 2013.

Underlag

Trade Ticket

Kontoutdrag för ABS I G.s konto avseende överföring från Fund IV till ABS I G.

"Bond Valuation for 12-31-2011" för Fund IV.

"Omniarch Inventory Fund IV WORKSHEET"

2. 2013-04-02 (settlement) sålde Fund IV \$10 696 000 original face MSAC till priset 44,5 lika med \$892 909,44 + upplupen ränta.

En Trade Ticket anger SVC som köpare och upplupen ränta anges till \$271,72 vilket ger ett slutbelopp om \$893 181,16. Detta köp har inte bokförts hos eller betalats av SVC.

2013-04-15 överförde ABS I G \$893 181,16 till Omniarch Fund IV. ABS I G torde ha varit köpare.

Underlag

Omniarch Bond Inventory Fund IV Jul2013 (Upplupen ränta uppges där vara enbart \$44,5.)

Trade Ticket som anger SVC som köpare.

Kontoutdrag för ABS I G.s konto avseende överföring från ABS I G till Fund IV.

3. 2013-04-15 (settlement) sålde ABSIG \$10 696 000 original face MSAC till SVC till priset 51,5 lika med \$1 040 190,08.

Underlag

Trade Ticket

Kontoutdrag från SVC.s konto i Close Brothers.

Kontoutdrag för ABS I G.s konto avseende insättning om \$1 040 190,08.

4. 2013-04-12 (settlement) sålde SVC \$10 696 000 original face MSAC till Close Brothers till priset 64,375 lika med \$1 296 265,43 efter avdrag för en bankavgift om \$1 291,71.

Underlag

Trade Ticket

Sammanställning från Close Brothers över handeln med MSAC

Settlement confirm från Close Brothers

5. 2013-04-12 (settlement) sålde Close Brothers \$10 696 000 original face MSAC till Optimus till priset 64,375. Optimus fick betala \$1 298 848,85 inkl en bankavgift om \$1 291,71.

Underlag

Sammanställning från Close Brothers över handeln med MSAC

Settlement confirm från Close Brothers

Trade Confirmation hämtad från Optimus

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

6. 2014-08-21 (settlement) sålde Optimus \$10 696 000 original face MSAC till mäklarfirman Auriga till priset 23,5 lika med \$480 234,79.

Underlag

Mail från Auriga till Optimus

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

Kommentar.

Omniarch RMBS Fund IV köpte MSAC i oktober 2011 för \$742 745 och sålde den till ABS I G i april 2013 för \$892 954. ABS I G sålde den vidare i april 2013 till SVC för \$1 040 190. SVC i sin tur sålde den under april via mäklare till Optimus för \$1 298 848.

ABS I G.s vinst på affären uppgick till \$147 236.

SVC.s vinst på affärer uppgick till \$256 075.

ABS I G.s och SVC.s sammanlagda vinst på affären uppgick till: \$403 311.

Zeptunus fick provision om 212 335 kr.

Optimus sålde MSAC i augusti 2014 med en förlust om \$818 614 (exklusive erhållna utbetalningar under innehavstiden).

7.11 MBS affär 12 LMT 2008-6 B1

1. 2012-11-08 (settlement) köpte Mesirow Finacial \$6 614 000 original face av MBS.en LMT 2008-6 B1 från Brean Capital till priset 25,75 lika med \$1 658 644,55.

Underlag

Ticket från Mesirow Financial

2. 2012-11-08 (settlement) sålde Mesirow Finacial \$6 614 000 original face av LMT till ABS I G till priset 27,671875 lika med \$1 781 956,63.

Underlag

Ticket från Mesirow Financial

3. 2012-11-08 (settlement) sålde ABS I G \$6 614 000 original face av LMT till American RMBS Equity Fund IV till priset 33,0 lika med \$2 121 956,52.

Underlag

Trade Ticket

Kontoutdrag för ABS I G.s bankkonto avseende insättning om \$2 121 956,52.

4. 2013-05-08 (settlement) sålde Fund IV \$6 614 000 original face av LMT till priset 38,0 lika med \$2 443 859,90 till ABS I G.

Underlag

Trade Ticket

Kontoutdrag för ABS I G.s bankkonto avseende överföring från kontot om \$2 443 859,90.

Omniarch Bond Inventory Fund IV Jul2013 som visar att Fund IV köpte för 33,0 och sålde för 38,0.

5. 2013-05-17 (settlement) sålde ABS I G LMT till SVC till priset 46,8 lika med \$3 015 803,57.

Underlag

Trade Ticket

Kontoutdrag för SVC.s konto i Close Brothers avseende uttag om \$3 015 803,57.

Kontoutdrag för ABS I G.s konto avseende insättning om \$3 015 803,57.

6. 2013-05-17 (settlement) sålde SVC LMT till Optimus via mäklarfirman Close Brothers för 58,5 lika med \$3 766 503,49. Close Brothers tog en avgift om \$3 753,50 från vardera parten. Detta innebär att SVC

erhöll \$3 762 749,99 och Optimus fick betala \$3 770 256,99.

Underlag

Trade Confirmation avseende Optimus köp.

Sammanställning från Close Brothers över handeln med MSAC

Settlement confirm från Close Brothers för köp och försäljning.

Kontoutdrag från SVC.s konto i Close Brothers avseende insättning om \$3 762 750.

7. 2014-08-21 (settlement) sålde Optimus LMT till mäklarfirman Auriga till priset 10,375 lika med \$606 497,37.

Underlag

Mail från Auriga till Optimus.

Trade instructions från Swedbank avseende Optimus försäljning

Övrigt: Mail mellan Mark Bishop och Emil Amir Ingmanson.

Mail mellan Emil Amir Ingmanson och Close Brothers 2013-05-06-

Kommentar.

ABS I G sålde LMT 2008 till Omniarch RMBS Fund IV i november 2012 för \$2 121 956. Fonden sålde den tillbaka till ABS I G i maj 2013 för \$2 443 860.

ABS I G sålde LMT 2008 i maj 2013 till SVC för \$3 015 803. SVC sålde den i maj 2013 vidare via mäklare till Optimus för \$3 770 257.

SVC.s vinst på affären uppgick till \$746 946.

Zeptunus fick provision om 619 347 kr.

Optimus sålde LMT 2008 i augusti 2014 med en förlust om \$3 163 759 (exklusive erhållna utbetalningar under innehavstiden).

7.12 MBS affär 13 BAFC 2004-1 B2

1. 2013-06-07 (settlement) köpte Mesirow Financial \$5 345 000 original face av MBS.en BAFC 2004-1 B2 från Credit Suisse till priset 34,0 lika med \$1 332 327,60.

Underlag

Ticket från Mesirow Financial

2. 2013-06-07 sålde Mesirow Financial \$5 345 000 original face av BAFC till ABS I G till priset 35,5 lika med \$1 390 935

Underlag

Ticket från Mesirow Financial)

3. 2013-06-10 sålde ABS I G MBS.en BAFC till SVC med settlement 2013-06-13 till priset 55,0 lika med \$2 164 677. Affären gjordes dock om så att settlement sattes till 2013-06-21 men med samma pris. Det innebar en något högre upplupen ränta vilket gjorde att slutpriset blev \$2 169 886,94.

Underlag

Trade Ticket (*erhållen genom rättshjälp fr Tyskland*)

Trade Ticket omskriven till senare datum (*erhållen genom rättshjälp fr Tyskland*)

Kontoutdrag för SVC.s konto i Close Brothers avseende överföring från kontot om \$2 169 886,94.

Kontoutdrag för ABS I G.s bankkonto avseende överföring till kontot om \$2 169 886,84.

4. 2013-06-20 (settlement) sålde SVC BAFC till Optimus via mäklaren Close Brothers till priset 69,0 lika med \$2 718 255,17. Close Brothers tog en avgift om \$5 345 från SVC och \$2 705,88 från Optimus. Detta innebär att SVC erhöll \$2 712 910,17 och Optimus fick betala \$2 720 961,05.

Underlag

Trade Ticket till Optimus utan bankavgiften (*erhållen genom rättshjälp fr Tyskland*)

Trade Confirmation till Optimus med bankavgiften (från Wahlstedt)

Trade Confirmation till SVC med bankavgiften (*erhållen genom rättshjälp fr Tyskland*)

Sammanställning från Close Brothers över handeln med BOAMS.

Kontoutdrag från Swedbank benämnt Optimus foreign trades.

5. 2014-09-09 (settlement) sålde Optimus sin MBS BAFC till mäklarfirman Auriga till priset 24,125 lika med \$910 396,48.

Underlag

Mail från Auriga till Mark Bishop (*fr beslag Mark Bishop*)

Mail från Auriga till Optimus (*från Wahlstedt*)

Kontoutdrag från Swedbank benämnt Optimus foreign trades. (*se under p 3*)

Övrigt: Mail från Mark Bishop till sin kompanjon Craig Chang 2013-06-07. (fr beslag Mark Bishop)

Mail mellan Mark Bishop och Emil Amir Ingmanson. (fr beslag Mark Bishop)

Kommentar.

ABS I G köpte BAFC i juni 2013 från Credit Suisse genom mäklaren Mesirow för antingen \$1 390 935. ABS I G sålde den vidare under juni 2013 till SVC för \$2 169 887. SVC sålde den i sin tur via mäklare till Optimus under juni 2013 för \$2 720 961.

SVC.s vinst på affären uppgick till \$548 233.

Zeptunus fick provision om 456 720 kr.

Optimus sålde BAFC i september 2014 med en förlust om \$1 810 564(exklusive erhållna utbetalningar under innehavstiden).

7.13 MBS affär 14 FNLC

1. 2012-08-14 (settlement) köpte ABS I G 7 000 000 i original face av MBS.en FNLC 2005-3 M-2 från mäklarfirman Mesirow Finansial. Köpet gjordes till priset 10,625 lika med \$748 674,11. Mesirow hade i

sin tur köpt in värdepappret samma dag från US Financial Investments Inc för \$726 799,11.

Underlag

Ticket från Mesirow Financial - inhämtade genom rättshjälp från USA – avseende bolagets köp och försäljning av FNLC.

2. ABS I G säljer **2012-08-09** 7 000 000 FNLC till Omniarch för **1 098 674,11 \$**. 2012-08-10 sätts 1 098 674,11\$ in på ABS I G.s bankkonto -0070 i USA.

Underlag

Trade Ticket återfunnen vid husrannsakan hos Bishop. Kontoutdrag från CommerceWest Bank för ABS I G visar insättning av beloppet 2012-08-10 från ett bankkonto tillhörande Omniarch.

3. Omniarch säljer **2013-07-03** 7 000 000 FNLC till ABS I G för **1 403 773 \$**.

Underlag

Trade Ticket återfunnen vid husrannsakan hos Bishop.

4. ABS I G säljer **2013-07-03** 7 000 000 FNLC till SVC för **4 476 773,78 \$**. Beloppet överförs till ABS I G.s bankkonto -0070 i USA 2013-07-15.

Underlag

Trade Ticket finns som underlag i SVC.s bokföring. Samma finns även i mapp återfunnen vid husrannsakan hos Bishop. Se även mappomslaget som anger försäljning till Optimus samt har texten "P.P. – Funding # 1"

5. SVC säljer **2013-07-10** 7 000 000 FNLC till Close Brothers för **4 966 773,78 \$**

Underlag

Trade Ticket utan bankavgiften finns som underlag i SVC.s bokföring (4 973 773,78 \$). Skiss som beskriver SVC.s försäljning som ger SVC \$4 966 773,78 och som kostar Optimus \$4 978 743,78 erhållen genom rättshjälp från Close Brothers. Beloppen stämmer med överföringar till/från SVC.s konto i Close Brothers.

6. Close Brothers säljer **2013-07-10** 7 000 000 FNLC till Optimus H Y för **4 978 743,78 \$** Close Brothers vinst uppgår till 4 978 743,78 \$ - 4 966 773,78 \$ = 11 970 \$ (=bankavgifterna).

Underlag

Transaktionerna framgår av skiss med underliggande handlingar från Close Brothers. Optimus inköp framgår även av settlementfil från Swedbank samt av Trade confirmation från Close Brothers.

7. Optimus H Y återsäljer **2013-08-08** 1 000 000 FNLC till Close Brothers för **710 504,18 \$**.

Underlag

Optimus försäljning framgår av settlementfil från Swedbank.

8. Close Brothers återsäljer **2013-08-08** 1 000 FNLC till SVC för **710 504,18 \$**

Underlag

Trade Ticket finns som underlag i SVC.s bokföring. Betalningen stämmer överens med SVC.s kontoutdrag

från Close Brothers.

9. SVC återsäljer **2013-08-06** 1 000 FNLC till ABS I G för **639 403,34\$**

Underlag

Trade Ticket finns som underlag i SVC.s bokföring. Betalningen stämmer överens med SVC.s kontoutdrag från Close Brothers.

Vinsten uppgår till $4\,966\,773,78\$ - 4\,476\,773,78\$ + 639\,403,34\$ - 710\,504,18\$ = 418\,899,16\$$ för SVC. Köp och försäljning har i SVC bokförts på konto 1104 vad gäller köp och vidareförsäljning av 7 miljoner FNLC. Och på konto 4900 vad gäller återköpet av 1 000 FNLC och vidareförsäljningen till ABS I G.

10. ABS I G säljer **2013-08-22** 1 000 FNLC för **\$209 983,45**.

Underlag

Återfunnen Trade Ticket anger priset till 210 033,45 \$ men LPL Financial anger slutpriset till 50 \$ lägre eller lika med 209 983,45 \$.

11. Optimus H Y säljer **2014-08-18** 6 000 000 FNLC för **1 625 287,5 \$**

Deras förlust uppgår till $1\,625\,287,5\$ + 710\,504,18\$ - 4\,978\,743,78\$ = 2\,642\,952,10\$$

Underlag

Försäljningssumman framgår av handlingar från Swedbank och i mail från Auriga till Optimus.

Kommentar.

ABS I G köpte FNLC från mäklarfirman Mesirow i augusti 2012 för \$748 674. Mesirow hade samma dag köpt FNLC från US Financial Investments Inc för \$726 799. ABS I G sålde FNLC till Omniarch RMBS Fund IV samma dag för \$1 098 674.

I juli 2013 köpte ABS I G tillbaka den från fonden för \$1 403 773 och sålde den direkt vidare till SVC för \$4 476 773. SVC sålde den i sin tur – fortfarande i juli 2013 - via mäklare till Optimus för \$4 978 743.

I augusti 2013 backade parterna 1/7-del av MBS.en till samma priser de gett förutom att Fund IV fick betala något högre till ABS I G än vad de fått vid försäljningen till ABS I G en månad tidigare (pris 0,21 istället för 0,20).

SVC.s vinst på affären uppgick till \$418 899.

Zeptunus fick provision om 201 906 kr.

Optimus sålde FNLC i augusti 2014 med en förlust om \$2 642 952 (exklusive erhållna utbetalningar under innehavstiden).

En chart över affären finns intagen bland bilagorna.

7.14 MBS affär 15 GSAA

1. **2011-11-03** köpte Omniarch – RMBS Eq. Fund IV MBS.en GSAA för ett pris om 0,25 lika med **1 085 169,89 \$**.

Underlag

Trade Ticket återfunnen hos Mark Bishop. Uppgifter om Omniarch – RMBS Eq. Fund IV.s innehav och köp finns även i särskild lagerlista återfunnen hos Mark Bishop.

2. Omniarch– RMBS Eq. Fund IV säljer **2013-07-03** till ABS I G för ett pris om 0,2875 innebärande ett slutpris om **1 249 174,11 \$**.

Underlag

I Bishops hängmapp (BG724-38) för FNLC finns en uppställning av instrument innehavda av Omniarch – AMERICAN RMBS EQUITY FUND IV. Ett av instrumenten är GSAA med original face 4 321 000. Det uppges där att Omni har köpt dem 2011-11-08 till priset 25,000 (0,25). Tänkt försäljningspris från Omniarch är 28,750 (0,28750) lika med en 15 procentig ökning. Tänkt försäljningspris till Optimus är därefter 61,00 (0,61) lika med en ökning om 112%.

Trade Ticket för ABS I G.s köp från Omniarch– RMBS Eq. Fund IV funnen i Marks Bishops dator.

3. ABS I G säljer **2013-07-03** till SVC för **2 379 115,61 \$** lika med priset 54,9 (0,549). ABS I G.s vinst uppgår till **2 379 115,61 \$ – 1 249 174,11 \$ = 1 129 941,50 \$**. 2 379 115,61\$ överförs 2013-07-15 till ABS I G.s bankkonto -0070 i USA.

Underlag

Trade Ticket för SVC.s köp för \$2 379 115,61 finns som underlag till SVC.s bokföring. Beloppet stämmer med vad som gått ut från SVC.s konto i Close Brothers och vad som går in på ABS I G.s bankkonto.

4. SVC säljer till Close Brothers **2013-07-10** för **2 638 375,61 \$**

Vinsten uppgår till **2 638 375,61\$ - 2 379 115,61\$ = 259 260\$** för SVC. Köp och försäljning har i SVC bokförts på konto 1104 med en vinst om **1 799 391,38 £ - 1 624 302,32 £ = 175 089,06 £**

Underlag

*Trade Ticket utan bankavgift finns som underlag till SVC.s bokföring (2 642 696,61\$). Settlement confirmation med bankavgift erhållen genom rättshjälp från Close Brothers. Beloppet stämmer med vad SVC fick in på sitt bankkonto i Close Brothers. Skiss som beskriver SVC.s försäljning som ger SVC **\$ 2 638 375,61** och som kostar Optimus **\$2 645 332,42** erhållen genom rättshjälp från Close Brothers.*

5. Close Brothers säljer **2013-07-10** till Optimus H Y för **2 645 332,42 \$**. CB.s vinst uppgår till **2 645 332,42\$ - 2 638 375,61 \$ = 6 956,81 \$**.

Underlag

*Skiss som beskriver SVC.s försäljning som ger SVC **\$ 2 638 375,61** och som kostar Optimus **\$2 645 332,42** erhållen genom rättshjälp från Close Brothers. Trade Confirmation finns från Wahlstedts. Från Swedbank finns kontoutdrag avseende Optimus (Traction) som visar Optimus köp av GSAA.*

6. Optimus H Y säljer **2014-04-10** 4 321 000 GSAA för **916 636,99 \$**. Deras förlust uppgår till 916 636,99 \$ - 2 645 332,42 \$ = 1 728 695,43 \$.

Underlag

Från Swedbank finns kontoutdrag som visar försäljningen.

Övrigt: Mail från Mark till sina medarbetare Lisa Duehring och Craig Chang 2013-06-07 bl.a. rörande sju MBS.er som finns i Omniarch – American RMBS Equity Fund IV, varav en är GSAA.

Lager av MBS.er i Omniarch American RMBS Equity Fund IV.

Mail från Mark Bishop till Craig Chang 2013-06-07 om sina planer att sälja sju bonds från Fund IV till Optimus så snart "the Positiv deal" går igenom.

Kommentar.

Omniarch RMBS Fund IV köpte GSAA i november 2011 för \$1 085 169. I juli 2013 sålde fonden den till ABS I G för \$1 249 174 som sålde den vidare till SVC för \$2 379 115. SVC sålde den i sin tur i juli 2013 via mäklare till Optimus för \$2 645 332.

SVC.s vinst på affären uppgick till \$259 260.

Zeptunus fick provision om 107 079 kr.

Optimus sålde GSAA i april 2014 med en förlust om \$1 728 695 (exklusive erhållna utbetalningar under innehavstiden).

En chart över affären finns intagen bland bilagorna.

7.15 MBS affär 16 SAIL

1. **2013-07-16** (settlement) köpte ABS I G MBS.en SAIL från mäklarfirman Mesirow Finance för priset 22,125 lika med \$6 646 302,5. Mesirow hade samma dag köpt MBS.en från Whitebox Advisors till priset 20,8438 lika med \$6 261 927,5.

Underlag

Ticket från Mesirow avseende deras köp.

Ticket från Mesirow avseende deras försäljning till ABS I G.

Trade Ticket och mail återfunnen vid husrannsakan hos Bishop. Betalningen från ABS I G framgår av bankkontoutdrag för ABS I G.

2. **2013-07-19** (settlement) sålde ABS I G SAIL till SVC för **\$19 722 155,83**.

ABS I G.s vinst uppgår till \$19 722 155,83 – \$6 646 302,5 = \$13 075 853,33

Underlag

Transaktionen framgår av Trade Tickets återfunna hos Mark Bishop och från SVC.s bokföring

Betalningen från SVC framgår av bankkontoutdrag från SVC.s konto i Close Brothers. Samma inbetalning till ABS I G framgår av bankkontoutdrag från CommerceWest.

3. **2013-07-23** sålde SVC SAIL till Close Brothers för **\$21 882 155,83**

Vinsten uppgår till 2 160 000 \$ för SVC. Köp och försäljning har i SVC bokförts på konto 1104 med en vinst om £ 14 685 616,38 - £ 13 264 923,65 = £ 1 420 692,73

Underlag

Transaktionen framgår av bokföringen i SVC med en Trade Ticket från försäljningen som dock saknar bankavgiften och en Trade Ticket erhållen genom rättshjälp från Close Brothers medtagandes bankavgift och som då slutar på summan 21 882 155,83 \$.

4. **2013-07-23** sålde Close Brothers SAIL till Optimus Fonder AB för **\$21 934 055,83**. Close Brothers vinst uppgår till 21 934 055,83 \$ - 21 882 155,83 \$ = 51 900 \$ (=bankavgiften).

Underlag

Transaktionen framgår av skiss med underliggande handlingar från Close Brothers, från Optimus och från Swedbank..

5. **2014-04-22** sålde Optimus H Y 30 000 000 SAIL för **\$6 018 750**. Deras förlust uppgår till \$6 018 750 – \$21 934 055,93 \$ = \$15 915 305,83.

Underlag

Transaktionen framgår av bankutdrag från Swedbank för Optimus och av mail från Auriga till Ulf Deckmark på Optimus.

Övrigt: Mail Från Mark Bishop till Emil x2, mail från Emil till Close Brothers, Memorandum från Mark till Emil och mail från Ulf Deckmark till Close Brothers.

Kommentar.

ABS I G köpte SAIL från marknaden via mäklare i juli 2013 för \$6 646 302. Därefter, fortfarande i juli 2013, sålde ABS I G den till SVC för \$19 722 155. SVC sålde den vidare via mäklare till Optimus för \$21 934 055.

SVC.s vinst på affären uppgick till \$2 160 000.

Zeptunus fick provision om 889 687 kr.

Optimus sålde SAIL i april 2014 för \$6 018 750 innebärande en förlust om \$15 915 305 (exklusive erhållna utbetalningar under innehavstiden).

En chart över affären finns intagen bland bilagorna.

7.16 MBS affär 17 MANA.

1. **2013-08-09** (settlement) köpte ABS I G 24 354 840 MANA från mäklarfirman Mesirow Financial till priset 13,5 lika med **\$2 379 519,65**. Mesirow hade samma dag köpt MBS.en från Whitebox Advisors till priset 12,6875 lika med \$2 236 524,1.

Underlag

Ticket från Mesirow avseende deras köp.

Ticket från Mesirow avseende deras försäljning till ABS I G.

Affären framgår av ett mail från Mesirow till ABS I G med en VCON Ticket.

ABS I G överför 2013-08-06 \$2 400 000 från sitt konto 0070 i CommerceWest till sitt klientkonto i LPL Financial. Överföringen finns utprintad och inlagd av Bishop i hans mapp för MANA. På mappen anges att MANA är såld från Mesirow till LPL Directed Trade vidare till SVC och sen till Optimus. Betalningen syns även på kontoutdraget för konto 0070. Insättningen på ABS I G.s klientkonto i LPL Financial framgår av dess kontoutdrag s 6. Köpet av 24 354 840 MANA framgår av samma kontoutdrag s 4. (Av kontoutdraget framgår även att ABS I G 2013-08-02 köpte 20 000 000 MANA till priset 0,13375.)

Ticket från Mesirow avseende deras försäljning.

2. **2013-08-16** (settlement) sålde ABS I G MANA till SVC för **\$4 862 719,53**.

Underlag

Transaktionen framgår av Trade Ticket från Mark Bishop och från SVC.s bokföring.

Betalningen framgår av bankhandlingar återfunna hos Bishop som visar betalning om 4 862 719,53 \$ till ABS I G.s konto 0070 i CommerceWest. Denna överensstämmer med kontoutdrag för SVC i Close Brothers och ABS I G i CommerceWest.

3. **2013-08-16** (settlement) sålde SVC MANA till Close Brothers för **6\$ 052 726,98**. Vinsten uppgår till 1 190 007,45 \$ för SVC.

Underlag

Trade Ticket utan bankavgiften finns i SVC.s bokföring. Skiss som beskriver SVC.s försäljning som ger SVC \$6 052 726,93 och som kostar Optimus \$6 083 154 erhållen genom rättshjälp från Close Brothers. Betalningen stämmer överens med SVC.s kontoutdrag från Close Brothers.

4. **2013-08-16** (settlement) sålde Close Brothers MANA till Optimus H Y för **\$6 083 153,63**. Vinsten för Close Brothers uppgår till \$6 083 153,63 - \$ 6 052 726,98= \$30 426,65

Underlag

Skiss som beskriver SVC.s försäljning som ger SVC \$6 052 726,98 och som kostar Optimus \$6 083 154 erhållen genom rättshjälp från Close Brothers. Optimus inköp framgår av handling från Swedbank.

5. MANA fanns kvar i Optimus vid fusionen med Falcon Funds i mars 2015.
6. **2015-09-08** sålde Falcon Funds MANA till Mesirow Finance för **1 895 359 \$**.

Underlag

*Transaktionen framgår av Trade Ticket från Temple till Bank of Valetta.
Ticket från Mesirow avseende deras köp*

Övrigt: Mail från Mark Bishop till LPL Financial.
Memorandum från Mark Bishop till Emil Ingmanson.
Mail från Emil Amir Ingmanson till Close Brothers.
Mail från Emil Amir Ingmanson till Mark Bishop.
Mail från Ulf Deckmark till Close Brothers.

Kommentar.

ABS I G köpte MANA från okänd via mäklare i augusti 2013 för \$2 379 519.
Därefter, fortfarande i augusti 2013, sålde ABS I G den till SVC för \$4 862 719.
SVC sålde den vidare via mäklare till Optimus för \$6 083 153.

SVC.s vinst på affären uppgick till \$1 190 007.

Zeptunus fick provision om 493 763 kr.

Falcon sålde SAIL i september 2015 för \$1 895 359 innebärande en förlust om \$4 187 794 (exklusive erhållna utbetalningar under innehavstiden).

En chart över affären finns intagen bland bilagorna.

**7.17 MBS affär 18 – 19 CBASS 2007-CB1 AF6 (GAP) och
CBASS 2007-CB1 AF4 (GAM)**

Detta är egentligen MBS affär 10 och 11 räknat i kronologisk ordning.

SVC fick provision från ABS I G om £79 584 + £84 920 = £164 504 eller \$265 927.

Zeptunus fick provision för de båda CBASS affärerna om 204 999 kr.

De båda affärerna med CBASS innebar en sammanlagd förlust för Optimus om \$374 648.


Lars-Inge Johansson
Ekorevisor

Bilageförteckning

Från

Avser

Kap. 6.2 ABSIG

Bilaga A 1. Finansinspektionen Chart över ägandet av ABSIG och ABS I G

Kap. 6.4 SVC

Bilaga A 2 Companies House Utdrag från Companies House avseende företrädare för SVC

Bilaga A 3 EBM Sammanställning av EBM över SVC.s finders fee fakturor 2012/2013.

Bilaga A 4 SVC.s bokföring SVC.s fakturor till ABS I G för finders fee (11 MBS.affärer, 8 betalningar).

Bilaga A 5 Barclays Bank ABS I G.s betalningar till SVC för finders fee (11 MBS.affärer, 8 betalningar).

Bilaga A 6 Close Brothers Bankkontoutdrag för SVC.a konto i Oddo Close Brothers.

Kap.6.5 Zeptunus Ltd

Bilaga A 7 Rättshjälp Isle of Man Utdrag från Zeptunus Ltd.s årsredovisning som anger att SVC betalat registreringsavgiften för Zeptunus

Bilaga A 8 Rättshjälp Isle of Man Handlingar som anger att Ulf Deckmark var ägare till Zeptunus, kopia av hans pass, körkort och svensk elräkning samt Beneficial owner questionnaire.

Bilaga A 9 Rättshjälp Isle of Man Handling där Ulf Deckmark angavs till banken vara beneficial owner (B.O.)

Bilaga A 10 Rättshjälp Isle of Man Zeptunus 3 fakturor till ABS I G.

Bilaga A 11 Mark Bishop Memorandum skriven av Mark Bishop daterad 2013-04-24.

Bilaga A 12 Mark Bishop Konsultavtal daterat 2013-04-19 mellan ABS I G och Zeptunus Ltd.

Bilaga A 13 Mark Bishop Konsultavtal daterat 2013-04-19 mellan Solid Venture Capital Limited och Zeptunus Ltd.

Bilaga A 14 Mark Bishop Mail mellan Mark Bishop och företrädare för det företag som handhar Zeptunus förvaltning.

Bilaga A 15 Mark Bishop Zeptunus Payable Schedule.xlsx.

Bilaga A 16 Mark Bishop/EBM Zeptunus Payable Schedule.xlsx med EBM.s uppgifter om vilka MBS.er som provisionen avser.

Bilaga A 17 Mark Bishop Tre bankbetalningar till Zeptunus Ltd.

Bilaga A 18 Mark Bishop Faktura från ABS I G daterad 2013-09-06.

Kap. 7 Genomförda MBS-affärer

Bilaga B 1 EBM Sammanfattning i Excel över de 17 + 2 MBS affärerna.

Kap. 7.3.1 CMLTI - Bilaga C

Chart

Från

Avser

Bilaga C 1 EBM Sammanställning i Excel av köp och försäljningar.

Bilaga C 2 Mark Bishop Trade Ticket

Bilaga C 3 Pensionsmyndigheten Kontoutdrag ABS I G

Bilaga C 4 Mark Bishop Trade Ticket

Bilaga C 5 Mark Bishop Omniarch Bond Inventory Fund IV Jan2013

Bilaga C 6 Mark Bishop Trade Ticket

Bilaga C 7 Pensionsmyndigheten Kontoutdrag ABS I G

Bilaga C 8 Mark Bishop Summary – MAB Settlement Aug 15, 2012.xlsx

Bilaga C 9 Mark Bishop Trade Ticket

Bilaga C 10	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga C 11	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga C 12	Mark Bishop	Trade Ticket
Bilaga C 13	Mark Bishop	Mail från Auriga till Optimus
Bilaga C 14	Mark Bishop	Mail från Auriga till Optimus
Bilaga C15	Swedbank	Kontoutdrag Optimus

Kap. 7.3.2 CWL - Bilaga D

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga D 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga D 2	Mark Bishop	Trade Ticket
Bilaga D 3	Mark Bishop	Inventory for purchase
Bilaga D 4	Mark Bishop	Trade Ticket
Bilaga D 5	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga D 6	Mark Bishop	Traded Bond summary fund IV
Bilaga D 7	Mark Bishop	Summary – MAB Settlement Aug 15, 2012.xlsx
Bilaga D 8	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga D 9	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga D 10	Mark Bishop	Mail från Auriga till Mark Bishop
Bilaga D 11	Mark Bishop	Trade Ticket
Bilaga D 12	Swedbank	Kontoutdrag Optimus
Bilaga D 13	Mark Bishop	Trade Ticket

Kap 7.3.3 GSAMP - Bilaga E

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga E 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga E 2	Mark Bishop	Trade Ticket
Bilaga E 3	Mark Bishop	Inventory for purchase.xlsx
Bilaga E 4	Mark Bishop	Trade Ticket
Bilaga E 5	Mark Bishop	Traded Bond summary fund IV
Bilaga E 6	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga E 7	Mark Bishop	Summary - MAB - Settlement Aug 15, 2012.xlsx
Bilaga E 8	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga E 9	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga E 10	Mark Bishop	Mail från Auriga till Mark Bishop
Bilaga E 11	Swedbank	Kontoutdrag Optimus
Bilaga E12	Wahlstedt	Trade Ticket

Kap. 7.3.4 PCHLT Bilaga F

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga F 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga F 2	Mark Bishop	Trade Ticket
Bilaga F 3	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga F 4	Mark Bishop	Traded Bond summary fund IV
Bilaga F 5	Mark Bishop	Trade Ticket
Bilaga F 6	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga F 7	Mark Bishop	Summary - MAB - Settlement Aug 15, 2012.xlsx
Bilaga F 8	Mark Bishop	Trade Ticket
Bilaga F 9	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga F 10	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga F 11	Mark Bishop	Mail från Auriga till Optimus och Mark Bishop.

Bilaga F 12	Swedbank	Kontoutdrag Optimus
Bilaga F 13	Wahlstedt	Trade Ticket
Bilaga F 14	Mark Bishop	Trade Ticket

Kap. 7.4 LMT 2005 - Bilaga G

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga G 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga G 2	Mark Bishop	Trade Ticket
Bilaga G 3	Mark Bishop	Omniarch Inventory Fund IV Jan2013/Traded Bond Summary
Bilaga G 4	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga G 5	Mark Bishop	Trade Ticket
Bilaga G 6	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga G 7	Mark Bishop	Trade Ticket
Bilaga G 8	Mark Bishop	Trade Ticket
Bilaga G 9	Mark Bishop	Betalningsinstruktion från Mark Bishop till Auriga
Bilaga G10	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga G 11	Wahlstedt	Trade Ticket
Bilaga G 12	Swedbank	Kontoutdrag Optimus
Bilaga G 13	Wahlstedt	VCON Ticket
Bilaga G 14	Mark Bishop	Trade Ticket

Kap. 7.5 MARM - Bilaga H

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga H 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga H 2	Mark Bishop	Trade Ticket
Bilaga H 3	Mark Bishop	Trade Ticket
Bilaga H 4	Mark Bishop	Betalningsinstruktion från Mark Bishop
Bilaga H 5	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga H 6	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga H 7	Finansinspektionen	Vcon Ticket
Bilaga H 8	Swedbank	Kontoutdrag Optimus
Bilaga H 9	Wahlstedt	Trade Ticket
Bilaga H 10	SVC.s bokföring	Faktura från SVC till ABS I G
Bilaga H 11	Commerce West Bank	Överföring från ABS I G till SVC.

Kap. 7.6 CFAB - Bilaga I

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga I 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga I 2	Mark Bishop	Trade Ticket
Bilaga I 3	Mark Bishop	Trade Ticket
Bilaga I 4	Mark Bishop	Betalningsinstruktion från Mark Bishop
Bilaga I 5	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga I 6	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga I 7	Finansinspektionen	Trade Ticket
Bilaga I 8	Swedbank	Kontoutdrag
Bilaga I 9	Wahlstedt	Trade Ticket

Kap. 7.7 CWALT - Bilaga J

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga J 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga J 2	Mark Bishop	Trade Ticket
Bilaga J 3	Mark Bishop	Trade Ticket
Bilaga J 4	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga J 5	Mark Bishop	Mail från Mark till Emil
Bilaga J 6	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga J 7	Barclays Bank	Kontoutdrag SVC
Bilaga J 8	SVC.s bokföring	Faktura
Bilaga J 9	Mark Bishop	Trade Ticket
Bilaga J 10	Finansinspektionen	Trade Ticket
Bilaga J 11	Wahlstedt	Trade Ticket
Bilaga J 12	Swedbank	Kontoutdrag
Bilaga J 13	Mark Bishop	Mail mellan Mark och Emil
Bilaga J 14	SVC.s bokföring	Faktura

Kap. 7.8 INDX/IndyMac - Bilaga K

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga K 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga K 2	Mark Bishop	Trade Ticket
Bilaga K 3	Mark Bishop	Trade Ticket
Bilaga K 4	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
Bilaga K 5	Mark Bishop	Mail från Mark till Emil
Bilaga K 6	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga K 7	Barclays Bank	Kontoutdrag SVC
Bilaga K 8	SVC.s bokföring	Faktura
Bilaga K 9	Mark Bishop	Trade Ticket
Bilaga K 10	Wahlstedt	Trade Ticket
Bilaga K 11	Swedbank	Kontoutdrag Optimus

Kap. 7.9 BOAMS - Bilaga L

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga L 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga L 2	Mesirow Financial	Ticket Mesirows köp av BOAMS
Bilaga L 3	Mesirow Financial	Ticket Mesirows försäljning av BOAMS
Bilaga L 4	Mark Bishop	Trade Ticket
Bilaga L 5	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga L 6	Close Brothers	Kontoutdrag SVC
Bilaga L 7	Rättshjälp Tyskland	Sammanställning
Bilaga L 8	Rättshjälp Tyskland	Settlement Confirm
Bilaga L 9	Finansinspektionen	Trade Confirmation
Bilaga L 10	Close Brothers	Handlingar från Close Brothers
Bilaga L 11	Swedbank	Kontoutdrag
Bilaga L 12	Wahlstedt	VCON Ticket
Bilaga L 13	Mark Bishop	Mail mellan Mark och Emil.

Kap 7.10 MSAC - Bilaga M

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga M 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga M 2	Mark Bishop	Trade Ticket
Bilaga M 3	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga M 4	Mark Bishop	Bond Valuation for 12-31-2011" för Fund IV
Bilaga M 5	Mark Bishop	Omniarch Inventory Fund IV WORKSHEET
Bilaga M 6	Mark Bishop	Omniarch Bond Inventory Fund IV Jul2013
Bilaga M 7	Mark Bishop	Trade Ticket
Bilaga M 8	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga M 9	Mark Bishop	Trade Ticket
Bilaga M 10	Close Brothers	Kontoutdrag SVC
Bilaga M 11	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga M 12	SVC.s bokföring	Trade Ticket
Bilaga M 13	Close Brothers	Sammanställning
Bilaga M 14	Close Brothers	Settlement confirm
Bilaga M 15	Finansinspektionen	Trade Confirmation
Bilaga M 16	Wahlstedt	Trade Ticket
Bilaga M 17	Swedbank	Kontoutdrag

Kap. 7.11 LMT 2008 - Bilaga N

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga N 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga N 2	Mesirow Financial	Ticket Mesirow köper LMT 2008
Bilaga N 3	Mesirow Financial	Ticket Mesirow säljer LMT 2008 till ABS I G
Bilaga N 4	Mark Bishop	Trade Ticket
Bilaga N 5	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga N 6	Mark Bishop	Trade Ticket
Bilaga N 7	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga N 8	Mark Bishop	Omniarch Bond Inventory Fund IV Jul2013
Bilaga N 9	Mark Bishop	Trade Ticket
Bilaga N 10	Close Brothers	Kontoutdrag SVC
Bilaga N 11	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga N 12	Wahlstedt	Trade Confirmation
Bilaga N 13	Close Brothers	Sammanställning från Close Brothers
Bilaga N 14	Close Brothers	Settlement confirm
Bilaga N 15	Close Brothers	Kontoutdrag SVC
Bilaga N 16	Wahlstedt	Trade Ticket
Bilaga N 17	Swedbank	Trade instructions
Bilaga N 18	Mark Bishop	Mail mellan Mark och Emil
Bilaga N 19	Close Brothers	Mail mellan Emil och Close Brothers

Kap. 7.12 BAFC - Bilaga O

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga O 1	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga O 2	Mesirow Financial	Ticket Mesirows köp av BAFC
Bilaga O 3	Mesirow Financial	Ticket Mesirows försäljning av BAFC
Bilaga O 4	LPL Financial	ABS I G.s kontoutdrag juni 2013
Bilaga O 5	Mark Bishop	Trade Ticket
Bilaga O 6	Mark Bishop	Trade Ticket

Bilaga O 7	Close Brothers	Trade Ticket
Bilaga O 8	Close Brothers	Kontoutdrag SVC
Bilaga O 9	Pensionsmyndigheten	Kontoutdrag ABS I G
Bilaga O 10	Close Brothers	Trade Ticket
Bilaga O 11	Wahlstedt	Trade Confirmation
Bilaga O 12	Close Brothers	Trade Confirmation
Bilaga O 13	Close Brothers	Sammanställning
Bilaga O 14	Swedbank	Kontoutdrag
Bilaga O 15	Mark Bishop	Mail från Auriga till Mark Bishop
Bilaga O 16	Wahlstedt	Trade Ticket
Bilaga O 17	Mark Bishop	Mail från Mark till Craig Chang
Bilaga O 18	Mark Bishop	Mail mellan Mark och Emil

Kap. 7.13 FNLC - Bilaga P

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga P 1	EBM	Chart över köp och försäljning av FNLC
Bilaga P 2	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga P 3	Mesirow Financial	Ticket från Mesirow avseende inköp av FNLC 2012-08-14
Bilaga P 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av FNLC 2012-08-14 till ABS I G
Bilaga P 5	Mark Bishop	T T avseende ABS I G.s försäljning 2012-08-09 till American RMBS Equity Fund IV
Bilaga P 6	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
Bilaga P 7	Mark Bishop	T T avseende American RMBS Equity Fund IV.s försäljning till ABS I G
Bilaga P 8	SVC.s bokföring	T T avseende SVC.s köp från ABS I G
Bilaga P 9	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
Bilaga P 10	Mark Bishop	T T avseende ABS I G.s försäljning till SVC
Bilaga P 11	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
Bilaga P 12	SVC.s bokföring	T T avseende SVC.s försäljning till Close Brothers. Denna saknar bankavgiften om \$7 000
Bilaga P 13	Rättshjälps Tyskland	Sammanställning av Close Brothers köp och försäljning.
Bilaga P 14	Rättshjälps Tyskland	Handlingar rörande köp och försäljning av FNLC del 1 (Anlage 5)
Bilaga P 15	Rättshjälps Tyskland	Handlingar rörande köp och försäljning av FNLC del 2 (Anlage 6)
Bilaga P 16	Rättshjälps Tyskland	Settlement confirm från Close Brothers
Bilaga P 17	Swedbank	Trade instruction
Bilaga P 18	Wahlstedt	Trade confirmation
Bilaga P 19	Rättshjälps Tyskland	Settlement confirm från Close Brothers
Bilaga P 20	Swedbank	Trade instructions
Bilaga P 21	SVC.s bokföring	T T avseende SVC återköp från Close Brothers/Optimus av 1 000
Bilaga P 22	SVC.s bokföring	T T avseende SVC.s återförsäljning till ABS I G av 1 000
Bilaga P 23	Mark Bishop	T T avseende ABS I G.s återförsäljning av 1 000
Bilaga P 24	Mark Bishop	Kontoutdrag från LPL Financial för ABS I G
Bilaga P 25	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
Bilaga P 26	Swedbank	Trade instructions avseende Optimus försäljning 2014-08-18
Bilaga P 27	Wahlstedt	Mail från Auriga till Optimus
Bilaga P 28	Swedbank	Optimus köp och försäljning av FNLC
Bilaga P 29	Mark Bishop	Mail från Mark Bishop till Ron Chamberlin 2013-07-01
Bilaga P 30	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-03
Bilaga P 31	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-05
Bilaga P 32	Mark Bishop	Mail från Emil Amir Ingmanson till Mark Bishop 2013-07-10
Bilaga P 33	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-10
Bilaga P 34	Mark Bishop	DTC Request
Bilaga P 35	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV

Kap. 7.14 GSAA - Bilaga Q

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga Q 1	EBM	Chart över köp och försäljning av GSAA

Bilaga Q 2	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga Q 3	Mark Bishop	T T avseende Omniarch - RMBS Eq. Fund IV.s köp av GSAA.
Bilaga Q 4	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV.
Bilaga Q 5	Mark Bishop	T T avseende ABS I G.s köp av GSAA.
Bilaga Q 6	Bokföring SVC	T T avseende SVC.s köp av GSAA.
Bilaga Q 7	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers.
Bilaga Q 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
Bilaga Q 9	Bokföring SVC	T T avseende SVC.s försäljning av GSAA exkl bankavgift.
Bilaga Q 10	Rättshjälps Tyskland	Settlement confirm angående SVC.s försäljning.
Bilaga Q 11	Rättshjälps Tyskland	Sammanställning av Close Brothers köp och försäljning av GSAA.
Bilaga Q 12	Rättshjälps Tyskland	Settlement confirm angående Close Brothers försäljning av GSAA.
Bilaga Q 13	Wahlstedt	Trade confirmation avseende Optimus köp av GSAA.
Bilaga Q 14	Swedbank	Trade instructions avseende Optimus köp av GSAA.
Bilaga Q 15	Swedbank	Optimus köp och försäljning av GSAA.
Bilaga Q 16	Swedbank	Trade instructions avseende Optimus försäljning av GSAA.
Bilaga Q 17	Mark Bishop	Mail från Mark Bishop till medarbetare 2013-06-07.
Bilaga Q 18	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV.
Bilaga Q 19	Mark Bishop	Mail från Mark Bishop till Craig Chang 2013-06-07.

Kap. 7.15 SAIL - Bilaga R

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga R 1	EBM	Chart över köp och försäljning av SAIL
Bilaga R 2	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga R 3	Mesirow Financial	Ticket från Mesirow avseende inköp av SAIL 2013-07-16
Bilaga R 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av SAIL 2013-07-16 till ABS I G
Bilaga R 5	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
Bilaga R 6	Mark Bishop	Mail med T T från Mesirow Fin. 2013-07-09
Bilaga R 7	Mark Bishop	T T avseende ABS I G.s köp 2013-07-09
Bilaga R 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
Bilaga R 9	Mark Bishop	T T avseende SVC.s köp 2013-07-19
Bilaga R 10	Mark Bishop	Mail från Mark Bishop till Ron Chamberlain 2013-07-18
Bilaga R 11	Mark Bishop	DTC Request
Bilaga R 12	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
Bilaga R 13	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
Bilaga R 14	SVC.s bokföring	T T avseende SVC.s köp av SAIL
Bilaga R 15	SVC.s bokföring	T T avseende SVC.s försäljning av SAIL exkl bankavgiften
Bilaga R 16	Rättshjälps Tyskland	Settlement confirmation från Close Brothers
Bilaga R 17	Rättshjälps Tyskland	Sammanställning av Close Brothers köp och försäljning av SAIL.
Bilaga R 18	Rättshjälps Tyskland	Settlement confirmation från Close Brothers
Bilaga R 19	Finansinspektionen	Trade Confirmation avseende Optimus köp av SAIL.
Bilaga R 20	Swedbank	Trade instructions avseende Optimus köp av SAIL.
Bilaga R 21	Swedbank	Trade instructions avseende Optimus försäljning av SAIL.
Bilaga R 22	Wahlstedt	Mail från Auriga till Ulf Deckmark med VCON ticket
Bilaga R 23	Swedbank	Optimus köp och försäljning av SAIL.
Bilaga R 24	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-19
Bilaga R 25	Mark Bishop	Mail från Emil Amir Ingmanson till Mark Bishop 2013-07-19
Bilaga R 26	Rättshjälps Tyskland	Mail från Emil Amir Ingmanson till Close Brothers 2013-07-22
Bilaga R 27	Rättshjälps Tyskland	Mail från Ulf Deckmark till Close Brothers 2013-07-22
Bilaga R 28	Mark Bishop	Memorandum från Mark Bishop till Emil Amir Ingmanson 2013-07-19

Kap. 7.16 MANA - Bilaga S

Chart

	<u>Från</u>	<u>Avser</u>
Bilaga S 1	EBM	Chart över köp och försäljning av MANA
Bilaga S 2	EBM	Sammanställning i Excel av köp och försäljningar.
Bilaga S 3	Mesirow Financial	Ticket från Mesirow avseende inköp av SAIL 2013-07-16
Bilaga S 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av SAIL 2013-07-16 till ABS I G

Bilaga S 5	Mark Bishop	VCON-ticket från Mesirow Fin.
Bilaga S 6	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
Bilaga S 7	Mark Bishop	Wire transfer av \$ 2 400 000 från ABS I G
Bilaga S 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för augusti 2013.
Bilaga S 9	Mark Bishop	Kontoutdrag från LPL Financial för ABS I G
Bilaga S 10	Mark Bishop	T T avseende SVC.s köp från ABS I G 2013-08-13
Bilaga S 11	SVC.s bokföring	T T avseende SVC.s köp från ABS I G 2013-08-13
Bilaga S 12	Mark Bishop	Banköverföring till ABS I G.s bankkonto 2013-08-19
Bilaga S 13	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
Bilaga S 14	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
Bilaga S 15	SVC.s bokföring	T T avseende SVC.s försäljning till Close Brothers/Optimus 2013-08-14
Bilaga S 16	Rättshjälps Tyskland	Sammanställning av Close Brothers köp och försäljning av MANA.
Bilaga S 17	Rättshjälps Tyskland	Settlement confirm från Close Brothers
Bilaga S 18	Wahlstedt	Trade confirmation avseende Optimus köp av MANA
Bilaga S 19	Swedbank	Trade instruction avseende Optimus köp av MANA
Bilaga S 20	Swedbank	Optimus köp av MANA
Bilaga S 21	Rättshjälps Malta	Mail från Barbaros Okten till Kevin Portelli med T T
Bilaga S 22	Mesirow Finance	Ticket från Mesirow avseende inköp av MANA från Falcon
Bilaga S 23	Mark Bishop	Mail från Mark Bishop till Ron Chamberlin
Bilaga S 24	Mark Bishop	DTC Request
Bilaga S 25	Mark Bishop	Memorandum från Mark Bishop till Emil Ingmanson
Bilaga S 26	Rättshjälps Tyskland	Mail från Emil Amir Ingmanson 2013-08-12
Bilaga S 27	Rättshjälps Tyskland	Mail från Emil Amir Ingmanson 2013-08-14
Bilaga S 28	Rättshjälps Tyskland	Mail från Emil Amir Ingmanson 2013-08-14
Bilaga S 29	Rättshjälps Tyskland	Mail från Emil Amir Ingmanson 2013-08-14
Bilaga S 30	Mark Bishop	Mail från Emil Amir Ingmanson 2013-08-14
Bilaga S 31	Rättshjälps Tyskland	Mail från Ulf Deckmark 2013-08-14

Kap. 7.17 CBASS - Bilaga T

Chart

Bilaga A och B till PM om MBS.er köpta av Optimus.

Bilageförteckning

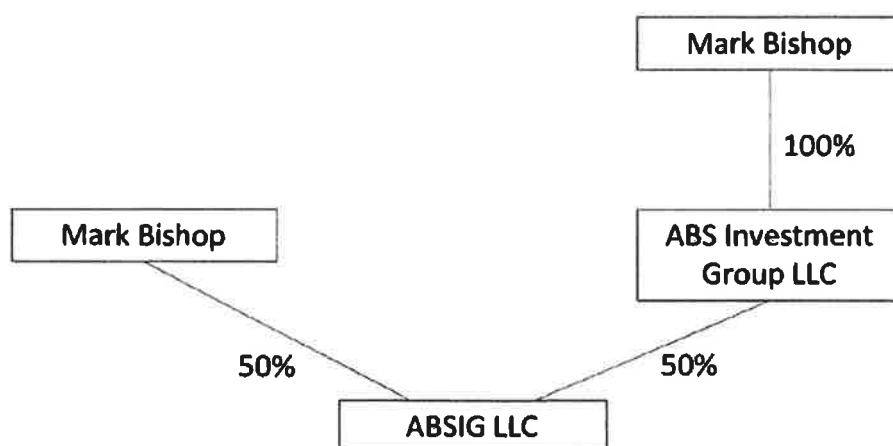
<u>Sid</u>		<u>Från</u>	<u>Avser</u>
1	Underlag till PM		
2 - 3	Innehållsförteckning		
4	<u>Kap. 6.2 ABSIG</u>		
5	Bilaga A 1.	Finansinspektionen	Chart över ägandet av ABSIG och ABS I G
6	<u>Kap. 6.4 SVC</u>		
7 - 10	Bilaga A 2	Companies House	Utdrag från Companies House avseende företrädare för SVC
11	Bilaga A 3	EBM	Sammanställning av EBM över SVC.s finders fee fakturor 2012/2013.
12 - 19	Bilaga A 4	SVC.s bokföring	SVC.s fakturor till ABS I G för finders fee (11 MBS.affärer, 8 betalningar).
20	Bilaga A 5	Barclays Bank	ABS I G.s betalningar till SVC för finders fee (11 MBS.affärer, 8 betalningar).
21	Bilaga A 6	Close Brothers	Bankkontoutdrag för SVC.a konto i Oddo Close Brothers.
22	<u>Kap.6.5 Zeptunus Ltd</u>		
23	Bilaga A 7	Rättshjälp Isle of Man	Utdrag från Zeptunus Ltd.s årsredovisning som anger att SVC betalat registreringsavgiften för Zeptunus
24 - 37	Bilaga A 8	Rättshjälp Isle of Man	Handlingar som anger att Ulf Deckmark var ägare till Zeptunus, kopia av hans pass, körkort och svensk elräkning samt Beneficial owner questionnaire.
38 - 42	Bilaga A 9	Rättshjälp Isle of Man	Handling där Ulf Deckmark angavs till banken vara beneficial owner (B.O.)
43 - 45	Bilaga A 10	Rättshjälp Isle of Man	Zeptunus 3 fakturor till ABS I G.
46 - 47	Bilaga A 11	Mark Bishop	Memorandum skriven av Mark Bishop daterad 2013-04-24.
48 - 56	Bilaga A 12	Mark Bishop	Konsultavtal daterat 2013-04-19 mellan ABS I G och Zeptunus Ltd.
57 - 65	Bilaga A 13	Mark Bishop	Konsultavtal daterat 2013-04-19 mellan Solid Venture Capital Limited och Zeptunus Ltd.
66 - 68	Bilaga A 14	Mark Bishop	Mail mellan Mark Bishop och företrädare för det företag som handhar Zeptunus förvaltning.
69	Bilaga A 15	Mark Bishop	Zeptunus Payable Schedule.xlsx.
70	Bilaga A 16	Mark Bishop/EBM	Zeptunus Payable Schedule.xlsx med EBM.s uppgifter om vilka MBS.er som provisionen avser.
71 - 73	Bilaga A 17	Mark Bishop	Tre bankbetalningar till Zeptunus Ltd.
74	Bilaga A 18	Mark Bishop	Faktura från ABS I G daterad 2013-09-06.

75 **Kap. 7 Genomförda MBS-affärer**

76 Bilaga B 1 EBM Sammanfattning i Excel över de 17 + 2 MBS affärerna.

ABS I G

Ownership structure



FINANSINSPEKTIONEN
Int. 2012-07-20
Dnr.

Appendix 5

SVC

Companies House

Bilaga 172

Companies House does not verify the accuracy of the information filed
Search for companies or officers

SOLID VENTURE CAPITAL LTD

Company number **05179108**

- [Officers](#)
- [Persons with significant control \(https://beta.companieshouse.gov.uk/company/05179108/persons-with-significant-control\)](https://beta.companieshouse.gov.uk/company/05179108/persons-with-significant-control)

Filter officers

☐

Current officers

Apply filter

12 officers / 11 resignations

RATTRAY, Simon, Dr

Correspondence address

Southgate Office Village 286a, Chase Road, Southgate, London, England, N14 6HF

Role **Director**

Date of birth **March 1961**

Appointed on **22 February 2018**

Nationality **British**

Country of residence **Medical Doctor**

Occupation **Malta**

AL JAMALI, Ibtisam

Correspondence address

34 Susan Constant Court, Newport Avenue, London, Greater London, E14 2DL

Role Resigned **Secretary**

Appointed on **14 July 2004**

Resigned on **27 September 2005**

318

RADOSAVLJEVIC, Ivan

Correspondence address **Vranvagen 18, Tullinge, 14560, Sweden**

Role Resigned **Secretary**

Appointed on **27 September 2005**

Resigned on **3 April 2009**

AL JAMALI, Ibtisam

Correspondence address **34 Susan Constant Court, Newport Avenue, London, Greater London, E14 2DL**

Role Resigned **Director**

Date of birth **December 1976**

Appointed on **14 July 2004**

Resigned on **25 July 2005**

Nationality **British**

Occupation **Business Administrator**

ALCRAFT, Joss Burrell

Correspondence address **Southgate Office Village, 286a Chase Road, Southgate, London, United Kingdom, N14 6HF**

Role Resigned **Director**

Date of birth **October 1972**

Appointed on **7 October 2015**

Resigned on **21 December 2017**

Nationality **British**

Country of residence **United Kingdom**

Occupation **Solicitor**

GERGEO, Afram

Correspondence address **869 High Road, London, United Kingdom, N12 8QA**

Role Resigned **Director**

Date of birth **February 1977**

Appointed on **12 December 2011**

Resigned on **6 October 2017**

Nationality **Swedish**

Country of residence **Sweden**

Occupation **Ceo**

GREGORIOU, Andros

Correspondence address

Southgate Office Village, 286a Chase Road, Southgate, London, United Kingdom, N14 6HF

Role Resigned **Director**

Date of birth **July 1977**

Appointed on **20 October 2015**

Resigned on **16 January 2018**

Nationality **British**

Country of residence **United Kingdom**

Occupation **Director**

INGMANSON, Axel Bernt

Correspondence address **Stralgatan 2, 11263 Stockholm, Sweden**

Role Resigned **Director**

Date of birth **December 1980**

Appointed on **3 April 2009**

Resigned on **12 December 2011**

Nationality **Swedish**

Country of residence **Sweden**

Occupation **Manager**

INGMANSON, Emil Amir

Correspondence address **16 Hammarby Kaj, Stockholm, Sweden, 12030**

Role Resigned **Director**

Date of birth **October 1977**

Appointed on **12 December 2011**

Resigned on **7 October 2015**

Nationality **Sweden**

Country of residence **Malta**

Occupation **Cfo**

RADOSAVLJEVIC, Ivan

Correspondence address **Vranvagen 18, Tullinge, 14560, Sweden**

Role Resigned **Director**

Date of birth **May 1978**

Appointed on **27 September 2005**

Resigned on **3 April 2009**

Nationality **Swedish**

Occupation **None**

STERN, Fredrik

Correspondence address **Strandvagen 46, Sollentuna, 19135, Sweden**

Role Resigned **Director**

Date of birth **May 1978**

Appointed on **27 September 2005**

Resigned on **3 April 2009**

Nationality **Swedish**

Occupation **None**

SVENSSON, Ingemar Priono

Correspondence address
Apartment 213, New Providence Wharf, 1 Fairmont Avenue, London, E14 9PF

Role Resigned **Director**

Date of birth **April 1977**

Appointed on **14 July 2004**

Resigned on **27 September 2005**

Nationality **Swedish**

Country of residence **England**

Occupation **Consultant**

Is there anything wrong with this page?

Bilaga A3

Finders fee till SVC från försäljningar till Optimus.

Datum	Fnr	Belopp	Belopp i £	Motpart	Anm	Värdepapper
2012-08-23	6	£59 979,07	£59 979,07	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	CMLTI, CWL,
2012-08-24	7	£79 223,20	£79 223,20	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	GSAMP och PCHLT
2012-09-25	8	£80 255,10	£80 255,10	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	LMT 2005
2012-10-18	9	£31 582,80	£31 582,80	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	MARM
2012-11-23	13	£59 832,07	£59 832,07	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	CFAB
2012-12-13	14	£151 722,14	£151 722,14	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	IndyMac+CWALT
2012-12-20	11	£3 016,90	£3 016,90	CBSB	Sales Commission for a corporate bond trade between CBSB and a fund company in Sweden.	Homann
2013-02-05	18	£79 584,05	£79 584,05	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	CBASS 2007 CB1
2013-02-05	19	£84 920,06	£84 920,06	ABS I G	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	CBASS 2007 CB1
2013-02-11	12	£15 848,10	£15 848,10	CBSB	Sales Commission for a corporate bond trade between CBSB and a fund company in Sweden.	Scholz Zeidung
2013-03-19	15	£25 674,00	£25 674,00	CBSB	Sales Commission for a mortgage backed security deal between ABS Investment Group LLC and a fund company in the EU.	ADLER Real Estate
2013-04-16	16	£12 800,40	£12 800,40	CBSB	Sales Commission for a corporate bond trade between CBSB and a fund company in Sweden.	Constantin Medien
2013-05-08	17	£28 450,90	£28 450,90	CBSB	Sales Commission for a corporate bond trade between CBSB and a fund company in Sweden.	PNE Wind
2013-07-08	21	£38 759,40	£38 759,40	CBSB	Sales Commission for a corporate bond trade between CBSB and a fund company in Sweden.	Rickmers Holding
2013-07-09	22	£38 768,00	£38 768,00	Baader Bank	Sales Commission for a corporate bond trade between Baader Bank and a fund company in Sweden.	DIC Asset
2013-07-17	23	€ 15 000	£13 005,71	CBSB	Sales Commission for a corporate bond deal between SVC anc CBSB	Rickmers Holding
2013-08-20	24	€ 100 000	£87 920,59	CBSB	Sales Commission for a corporate bond deal between SVC anc CBSB	Homann + Scholz Hold
2013-08-22	25	€ 35 000	£30 844,61	CBSB	Re: Rickmers. Sales commission for a corporate bond deal between SVC and CBSB.	Rickmers Holding
			£922 187,10			

Bilaga A4

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
Mobile phone +4673-293 99 99
Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 6
Invoice/Tax Date 23/08/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details**Tax Rate**
£**Net Amount**
£

Sales Commission for a mortgage backed security deal
between ABS Investment Group LLC and a fund company
in the EU.

- 59,979.07

Settlement is due within 28 days. We reserve the right to charge
interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 59,979.07**Tax Amount** -**Invoice Total** 59,979.07

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 7
Invoice/Tax Date 24/08/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-

79,223.20

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount

79,223.20

Tax Amount

-

Invoice Total

79,223.20

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email cai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 8
Invoice/Tax Date 25/09/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

- 80,255.10

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 80,255.10

Tax Amount -

Invoice Total 80,255.10

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 9
Invoice/Tax Date 18/10/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-

31,582.80

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount

31,582.80

Tax Amount

-

Invoice Total

31,582.80

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 13
Invoice/Tax Date 23/11/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-

59,832.07

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 59,832.07

Tax Amount -

Invoice Total 59,832.07

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
 707 Broadway 18th Floor
 San Diego, CA
 US, 92101

Invoice No. 14
 Invoice/Tax Date 13/12/2012
 Customer Ref Mark Bishop
 Our Ref Emil Amir Igmanson

Service Details

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

Tax Rate	Net Amount
£	£

-	151,722.14
---	------------

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount	151,722.14
-------------------	------------

Tax Amount	-
-------------------	---

Invoice Total	151,722.14
----------------------	------------

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 18
Invoice/Tax Date 05/02/2013
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate	Net Amount
£	£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-	79,584.05
---	-----------

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount	79,584.05
-------------------	-----------

Tax Amount	-
-------------------	---

Invoice Total	79,584.05
----------------------	-----------

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email cai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
 707 Broadway 18th Floor
 San Diego, CA
 US, 92101

Invoice No. 19
Invoice/Tax Date 05/02/2013
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

Tax Rate	Net Amount
£	£

-	84,920.06
---	-----------

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount	84,920.06
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Tax Amount	-
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Invoice Total	84,920.06
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Bilaga A5

Datum	Description	Valuta	INSATT Receipts
2012-08-23	ABS INVESTMENT G * 678641*BOND SALE * TFR	GBP	59 979,07
2012-08-24	ABS INVESTMENT G * 209059*BOND SALE * TFR	GBP	79 223,20
2012-09-25	ABS INVESTMENT G * 211028*LMT BOND. * TFR	GBP	80 255,10
2012-10-18	ABS INVESTMENT G * 215315*MARM BOND.* TFR	GBP	31 582,80
2012-11-23	ABS INVESTMENT G * 187475*CFAB BOND.* TFR	GBP	59 832,07
2012-12-13	INCLUDING COMMISSION £55.43 ABS INVESTMENT G * 689182*ARB CWALT * TFR	GBP	151 722,14
2013-02-05	ABS INVESTMENT G * 187760*COMMERCEWE* TFR	GBP	79 584,05
2013-02-05	ABS INVESTMENT G * 187728 * COMMERCEWE* TFR	GBP	84 920,06

SVC.s bankkonto i den tyska banken Oddo Close Brothers

Bilaga A6

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar

Datum	Text	Belopp	Saldo	KOMMENTAR	Värdepapper
2013-02-26	Försäljning värdepapper	\$4 318 170,10	\$4 318 170,10	SVC säljer till Close Brothers/Optimus	BOAMS
2013-02-28	Överföring	-\$45 000,00	\$4 273 170,10	Till Solid Equity Nordic AB	
2013-02-28	Överföring	-\$125 000,00	\$4 148 170,10	Till Strategi Placering AB	
2013-03-01	Överföring	-\$3 463 317,66	\$684 852,44	SVC köper från ABS I G	BOAMS
2013-03-05	Överföring	-\$105 944,00	\$578 908,44	Till ABS I G	LMT 2008-6
2013-03-05	Överföring	-\$450 000,00	\$128 908,44	Till SVC Malta	
2013-04-11	Försäljning värdepapper	\$1 296 251,04	\$1 425 159,48	SVC säljer till Close Brothers/Optimus	MSAC
2013-04-12	Överföring	-\$1 040 190,08	\$384 969,40	SVC köper från ABS I G	MSAC
2013-04-12	Överföring	-\$100 000,00	\$284 969,40	Till Solid Equity Nordic AB	
2013-04-18	Överföring	-\$175 000,00	\$109 969,40	Till Solid Equity Nordic AB	
2013-04-18	Överföring	-\$8 000,00	\$101 969,40	Till Solid Music. Sydney Michael Alston	
2013-05-03	Överföring	-\$100 000,00	\$1 969,40	Till Solid Equity Nordic AB	
2013-05-16	Försäljning värdepapper	\$3 762 750,00	\$3 764 719,40	SVC säljer till Close Brothers/Optimus	LMT 2008
2013-05-21	Överföring	-\$250 000,00	\$3 514 719,40	Till Solid Equity Nordic AB	
2013-05-21	Överföring	-\$450 000,00	\$3 064 719,40	Till SVC Malta	
2013-05-21	Överföring	-\$3 015 803,57	\$48 915,83	SVC köper från ABS I G	LMT 2008
2013-06-18	Försäljning värdepapper	\$2 712 910,14	\$2 761 825,97	SVC säljer till Close Brothers/Optimus	BAFC
2013-06-21	Överföring	-\$250 000,00	\$2 511 825,97	Till Solid Equity Nordic AB	
2013-06-21	Överföring	-\$2 169 886,94	\$341 939,03	SVC köper från ABS I G	BAFC
2013-07-01	Överföring	-\$340 000,00	\$1 939,03	Till SVC Malta	
2013-07-12	Försäljning värdepapper	\$2 638 375,61	\$2 640 314,64	SVC säljer till Close Brothers/Optimus	GSAA
2013-07-12	Försäljning värdepapper	\$4 966 773,78	\$7 607 088,42	SVC säljer till Close Brothers/Optimus	FNLC
2013-07-15	Överföring	-\$4 476 773,78	\$3 130 314,64	SVC köper från ABS I G	FNLC
2013-07-15	Överföring	-\$2 379 115,61	\$751 199,03	SVC köper från ABS I G	GSAA
2013-07-15	Överföring	-\$9 966,98	\$741 232,05	Bankavgifter	
2013-07-17	Överföring	-\$250 000,00	\$491 232,05	Till SVC Malta	
2013-07-17	Överföring	-\$250 000,00	\$241 232,05	Till Boardwalk Co Ltd	
2013-07-17	Överföring	-\$200 000,00	\$41 232,05	Till Solid Equity Nordic AB	
2013-07-24	Försäljning värdepapper	\$21 882 155,83	\$21 923 387,88	SVC säljer till Close Brothers/Optimus	SAIL
2013-07-26	Överföring	-\$750 000,00	\$21 173 387,88	Till SVC Malta	
2013-07-26	Överföring	-\$750 000,00	\$20 423 387,88	Till Boardwalk Co Ltd	
2013-07-26	Överföring	-\$200 000,00	\$20 223 387,88	Till Solid Equity Nordic AB	
2013-07-26	Överföring	-\$19 722 155,83	\$501 232,05	SVC köper från ABS I G	SAIL
2013-07-31	Överföring	-\$225 000,00	\$276 232,05	Till SVC Malta	
2013-07-31	Överföring	-\$225 000,00	\$51 232,05	Till Boardwalk Co Ltd	
2013-08-08	Överföring	\$639 403,34	\$690 635,39	SVC säljer tillbaka till ABS I G	FNLC
2013-08-08	Överföring	\$25 000,00	\$715 635,39	Från SVC Malta	
2013-08-08	Inköp värdepapper	-\$710 504,18	\$5 131,21	SVC köper tillbaka från Optimus	FNLC
2013-08-15	Försäljning värdepapper	\$6 052 726,98	\$6 057 858,19	SVC säljer till Close Brothers/Optimus	MANA
2013-08-19	Överföring	-\$4 862 719,53	\$1 195 138,66	SVC köper från ABS I G	MANA
2013-08-19	Överföring	-\$210 000,00	\$985 138,66	Till SVC Malta	
2013-08-19	Överföring	-\$200 000,00	\$785 138,66	Till Solid Equity Nordic AB	
2013-08-26	Överföring	-\$385 000,00	\$400 138,66	Till SVC Malta	
2013-08-26	Överföring	-\$385 000,00	\$15 138,66	Till Boardwalk Co Ltd	
2014-07-03	Överföring	-\$15 000,00	\$138,66	Till SVC.s konto i Barclays Bank	
2016-05-11	Kontot avslutas	-\$138,66	\$0,00	Kontot avslutas	

Zeptunus Ltd

ZEPTUNUS LIMITED
Accounts Year Ended 31 Dec 2013**Creditors consist of:****Trade Creditors:**

Description	£
Solid Venture Capital Ltd - Incorporate fee paid	1,995.00
EA Associates	1,566.00
	3,561.00

Accruals:

Description	£
Accountancy fee for Dec 2013	3,000.00
Bookkeeping and VAT prep. For Q/E Dec 2013	145.00
Professional fee - Equiom (part of invoice)	895.00
	4,040.00

Creditors Total	7,601.00
------------------------	-----------------

CITY TRUST LIMITED
First Floor, Ragnall House, Peel Road, Douglas, Isle of Man, IM1 4LZ
Tel: 01624 661881 Fax: 01624 611423

BENEFICIAL OWNER QUESTIONNAIRE

INTRODUCTION

Thank you for instructing City Trust to incorporate your new Company.

In order to comply with our Anti Money Laundering regulations it is necessary to obtain certain information and documentation from you. This also serves to protect the assets of your Company because once we hold this information and documentation no one can seek to usurp your position as the beneficial owner of the Company.

This information is strictly confidential and is maintained by us separate to the Company files in a safe.

If there is any matter you would like to discuss in more detail or clarify please contact one of our Directors or Managers and we will be happy to assist.

INFORMATION REQUIRED

1a	Full Name:	Ulf Deckmark
1b	Any previous names (if applicable):	
2a	Permanent residential address: (Note: this will be deemed to be the country in which you are resident for tax purposes)	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2b	Any previous full time residential addresses in the last 5 years:	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2c	Country of domicile if different from above (please state reason for claiming domicile i.e. by birth)	
2d	Contact Address (if different from 2a)	

2e	Contact numbers:	Office: +46 (0)8 502 556 03
		Mobile: +46 (0)70 530 93 31
		Fax:
		Other:
		Email 1: Uffe470@hotmail.com
		Email 2: Uffe470@gmail.com
2f	Please list any additional person who we may take instructions from (NB a separate letter of authority will be required)	
3	Nationality:	Swedish
4	Business Occupation:	CEO, Traction Fonder AB
5	Brief background on the nature and type of business carried on in the past:	Manager and CEO in the financial market
6	Source of wealth (e.g. sale of business, inheritance, trading profits). Please be as detailed as possible. (This is as distinct from source of funds)	Sale of business and trading commissions
7	Academic Qualifications (i.e. diploma / degree level or similar)	Collage education in Sweden, se the annex
8	Professional Qualifications (including the year they were obtained):	Se the annex
9	List any memberships of Professional Body/ies (including year of admittance):	
10	Detail all experience relevant to the	

	activity of the proposed new Company:	25 years of experience in the financial market in EU
11	List all other companies of which you own 50% or more	Solid Venture Capital Ltd
12	Have you at any time been convicted of any offence? If so, give full particulars of the court by which you were convicted, the offence and penalty imposed and the date of conviction. This does not apply to (a) an offence committed when you were under 18 years unless the same was committed within the last ten years, (b) an offence in connection with the use or ownership of a motor vehicle which was tried in a court of summary jurisdiction.	NO
13	Have you ever been disciplined by any court or professional body or association to which you have belonged or do belong or been the subject of a regulatory order or been refused or had revoked a license to practice law, accounting or other profession or deal in securities? If so explain the circumstances thereof.	NO
14	Have you been judged bankrupt by a Court?	NO
15	Have you failed to satisfy any debt adjudged due and payable by you as a judgment debtor under an order of court?	NO
16	Have you in connection with the formation or management of any body corporate partnership or un-incorporated institution been adjudged by a court civilly liable for any fraud misfeasance or other misconduct by you towards such a body or company or towards any members thereof? If so, give full particulars.	NO
17	Has any body corporate, partnership or un-incorporated institution with which you have been associated been compulsorily wound up or made an arrangement with its creditors or ceased trading in circumstances where its creditors did not receive or have not received full settlement of their claims, either while you were associated with it or within one year thereof? If so, give full particulars.	NO
18a	Do you hold any political office in any	NO

	country? (if so please provide details)	
18b	Does any member of your family (including extended family) hold any political office in any country? (if so please provide details)	NO
18c	Do any of your associates hold any political office in any country? (if so please provide details)	NO
18d	Do you or your family or associates act as an official or assistant or carry out any work for any political individual (if so please provide details)	NO
19	Please provide any other information which you believe is relevant to the setting up and operation of the Company.	


Signed _____2012-11-26 _____
Dated



Faktura 12 november 2012
Faktura-/OCR-nummer 425 065 932 526
Kundnummer 3083971



Ulf Deckmark
 Kometvägen 17 8 tr
 183 48 TABY



3305463100042898

Kometvägen 17 / Anläggnings id 735 999 102 108 011 509

Kostnad för perioden 1 augusti 2012 t o m 31 oktober 2012

Elhandel

Elnät

1 377,78 kr

798,67 kr

Att betala

2 176,00 kr

HES!

*Toppen om Du kan
 Sända mina papper ihop
 med Dina.
 Om jag förstod rätt skall
 det ena till Trusten och
 den andra till Ernas.*

M b h

Oss tillhanda senast	Momsgrundande	Moms 25%	Ej momsgrundande	Öresutjämning	Att betala
4 december 2012	1 741,16 kr	435,29 kr	0,00 kr	-0,45 kr	2 176,00 kr

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PASSPORT
PASSEPORT**

SVERIGE SWEDEN SUÈDE

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Edgarnan/Surname
DECKMARK

2 Fornamn/Given names
ULF ROLAND

3. Nationalitet/Nationality
SVENSK SWEDISH

SVENSK SWEDISH
4. Födelsedatum/Date of birth
30 MAR/MAR 41

30 MAR/MAR 61
3 Kariak
M/M

M/M
6. Uchida
42

12 FEB/FEB 16
7 Sixty-eight days/Date of e

12 FEB/FEB 21
B. Fodsworth Place of birth

SPANGA

9 Myndighet/Authority
POLISMYNDIGHETEN

3a Personnr/Pers. id. No
610330-0171

4a Lingd/Height
182 CM

182 CM

10. Natwreckung/Signature

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90943781<5SWE6103305M2102126196103300171<<06

(original destroyed)

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http://www.documentchecker.com/rdo.dll/checkmrz?username=bachmann,serge&poolid=

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90943781<5SWE6103305M2102126196103300171<<06

(optional)

☒ Extra check on date of birth

☒ Extra check on date of expiry

30 03 1961

12 02 2021

Check

The MRZ code is correct

The dates of birth match

The expiry dates match

? *If you have any further questions, please contact our helpdesk*

Go to the Quick MRZ Check

Vi säljer bara ren el från de förnybara energikällorna sol, vind och vatten!



Faktura: 510473653
Kundnummer: 2153086
Fakturadatum: 2016-02-15

Ulf Deckmark
Kometvägen 17 lgh 1802
183 48 Täby

COPY

Januari

Ditt avtal: sol, vind & vatten

Rörligt avtal
Gäller tills vidare



Din användning: +55%

Januari 2016: 2766 kWh
Januari 2015: 1784 kWh



Ditt klimatbidrag: CO₂ -953 kg

Tack för att du tillsammans med oss bidrar till fortsatt minskat koldioxidutsläpp. Ditt klimatbidrag är beräknat på din förbrukning hittills i år.

Information

2016-01-01 justerades kvotplikten för elcertifikat enl. beslut av riksdagen. Justeringen innebär att kostnad för elcertifikat ökar. Samtidigt sker en sänkning av energiskatten. Förändringarna berör alla elkunder i Sverige. Läs mer i allmänna avtalsvillkor och på telgeenergi.se/elcertifikat-kvotplikt

Tips!

Du kan minska din förbrukning av el och minska dina koldioxidutsläpp med ca 150 kg per år genom att sänka temperaturen hemma med en grad.

Din insats!

Förnybar energi i bostaden gör stor skillnad. Det är en av de största och enklaste insatserna du som privatperson kan göra för klimatet. Tack för att du gör skillnad!

Webb: telgeenergi.se
E-post: kundtjanst@telgeenergi.se
Telefon: 020-34 40 40, må-to 8-17, fr 8-15.

Har du frågor, klagomål eller vill ha information om dina rättigheter som kund. Besök telgeenergi.se rubrik Konsumenträtt eller kontakta vår kundtjänst.

Mer information på nästa sida >

22982

Summa att betala 2 392,00 kr

Oss tillhanda senast: 2016-02-29

OCR-nr: 51047365310

Plusgiro: 94 31 02-4

Bankgiro: 5391-5849

Kontakta oss om du i fortsättningen vill ha ett inbetalningskort

This is a true copy of the document presented to me today.

Stockholm, February 22, 2016

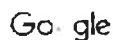
Ex officio:

Notary Public

Kungsgatan 3, 111 43,
Stockholm, Sweden

Phone: +46 8 555 699 60





mikaël bratt notarius public stockholm

* certifier *

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Advokat Mikael Bratt Notarius Publicus Kungsgatan, Stockholm - hitta.se
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Grünberger Advokater - Notarius Publicus

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 eller hindrad alt 102 42 STOCKHOLM Bratt, Mikael

Mikael Bratt | Caravansarai

<https://caravansarainews.wordpress.com/tag/mikael-bratt/>
 26 Sep 2013 - Posts about Mikael Bratt written by Iursislava I found myself in the Turkish
 Embassy in Stockholm a mere 20 hours after I left Turkey Law and thank you Maria Lindkvist and
 Mikael Bratt, Notaries Public, for providing you

Apostille - WN

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 publicus Advokat Mikael Bratt Bitr notarius

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 18 Aug 2016 - Notarius Publicus Stockholm Mikael Bratt logs in to digital brokerages, similar to
 ETrade, stolen at public laptop terminals in motels and extra

Gamla Riksarkivet P Riddarholmen I Stockholm

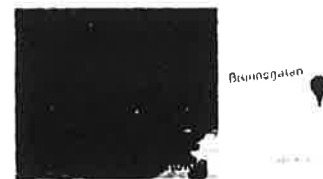
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Notary Public Stockholm

Ad www.notariuspublicus.se/
 We attest signatures, copies of documents and issue apostilles
 Birger Jarlsgatan 34, Stockholm +46 8 654 36 20

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**Advokat Mikael Bratt AB**

Address: Kungsgatan 3, 111 43 Stockholm, Sw
 Phone: +46 8 555 699 60

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CITY TRUST LIMITED
First Floor, Ragnall House, Peel Road, Douglas, Isle of Man, IM1 4LZ
Tel: 01624 661881 Fax: 01624 611423

BENEFICIAL OWNER QUESTIONNAIRE

INTRODUCTION

Thank you for instructing City Trust to incorporate your new Company.

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INFORMATION REQUIRED

1a	Full Name:	Ulf Deckmark
1b	Any previous names (if applicable):	
2a	Permanent residential address: (<i>Note: this will be deemed to be the country in which you are resident for tax purposes</i>)	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2b	Any previous full time residential addresses in the last 5 years:	Kometvägen 17, 8fl 183 48 TÄBY Sweden
2c	Country of domicile if different from above (please state reason for claiming domicile i.e. by birth)	
2d	Contact Address (if different from 2a)	

2e	Contact numbers:	Office: +46 (0)8 502 556 03
		Mobile: +46 (0)70 530 93 31
		Fax:
		Other:
		Email 1: Uffe470@hotmail.com
		Email 2: Uffe470@gmail.com
2f	Please list any additional person who we may take instructions from (NB a separate letter of authority will be required)	
3	Nationality:	Swedish
4	Business Occupation:	CEO, Traction Fonder AB
5	Brief background on the nature and type of business carried on in the past:	Manager and CEO in the financial market
6	Source of wealth (e.g. sale of business, inheritance, trading profits). Please be as detailed as possible. (This is as distinct from source of funds)	Sale of business and trading commissions
7	Academic Qualifications (i.e. diploma / degree level or similar)	Collage education in Sweden, se the annex
8	Professional Qualifications (including the year they were obtained):	Se the annex
9	List any memberships of Professional Body/ies (including year of admittance):	
10	Detail all experience relevant to the	

	activity of the proposed new Company:	25 years of experience in the financial market in EU
11	List all other companies of which you own 50% or more	Solid Venture Capital Ltd
12	Have you at any time been convicted of any offence? If so, give full particulars of the court by which you were convicted, the offence and penalty imposed and the date of conviction. This does not apply to (a) an offence committed when you were under 18 years unless the same was committed within the last ten years, (b) an offence in connection with the use or ownership of a motor vehicle which was tried in a court of summary jurisdiction.	NO
13	Have you ever been disciplined by any court or professional body or association to which you have belonged or do belong or been the subject of a regulatory order or been refused or had revoked a license to practice law, accounting or other profession or deal in securities? If so explain the circumstances thereof.	NO
14	Have you been judged bankrupt by a Court?	NO
15	Have you failed to satisfy any debt adjudged due and payable by you as a judgment debtor under an order of court?	NO
16	Have you in connection with the formation or management of any body corporate partnership or un-incorporated institution been adjudged by a court civilly liable for any fraud misfeasance or other misconduct by you towards such a body or company or towards any members thereof? If so, give full particulars.	NO
17	Has any body corporate, partnership or un-incorporated institution with which you have been associated been compulsorily wound up or made an arrangement with its creditors or ceased trading in circumstances where its creditors did not receive or have not received full settlement of their claims, either while you were associated with it or within one year thereof? If so, give full particulars.	NO
18a	Do you hold any political office in any	NO

	country? (if so please provide details)	
18b	Does any member of your family (including extended family) hold any political office in any country? (if so please provide details)	NO
18c	Do any of your associates hold any political office in any country? (if so please provide details)	NO
18d	Do you or your family or associates act as an official or assistant or carry out any work for any political individual (if so please provide details)	NO
19	Please provide any other information which you believe is relevant to the setting up and operation of the Company.	



Signed _____

2012-11-26 _____
Dated



Equivalent Intermediary / Eligible Introducer Company, LP, LLP Form

Bilaga A9

— International —

Please note – when filling out this form please use the tab and arrow keys to move between the relevant fields. **Ensure you do not use the return or enter keys.**

1. Customer information profile (summary sheet)

Name of intermediary/introducer	CITY TRUST LIMITED
Address of intermediary/introducer	1 ST FLOOR, RAGNALL HOUSE 18 PEEL ROAD DOUGLAS ISLE OF MAN
Postcode	IM1 4LZ
Name of intermediary/introducer's regulator	ISLE OF MAN FINANCIAL SUPERVISION COMMISSION

Identification information

Entity name (in full)*	ZEPTUNUS LIMITED
Entity identification information*	009110V
Entity registered address*	1 ST FLOOR, RAGNALL HOUSE PEEL ROAD DOUGLAS ISLE OF MAN
Postcode	IM1 4LZ
Type of entity*	ISLE OF MAN 2006 COMPANY

Relationship information

Purpose / intended nature of business relationship including geographical sphere*	WILL PROVIDE CONSULTANCY SERVICES TO A UK LLP
Type, volume and value of activity expected*	CURRENTLY UNDER DISCUSSION
Source of funds*	LIKELY TO BE FROM CONSULTANCY SERVICES TO UK LLP
Source of Wealth* (Jersey, Guernsey – High Risk & PEP Relationships only, IoM and Gibraltar input for all instances)	AS ABOVE
Details of associated relationships* (if connected to a fund, please provide a structure chart)	

*Refer to Guidance

RBSI68521 17/01/2013

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06685211

349

2. Risk factors*

Is the entity associated with a PEP?*

Yes ☐No ☒

Is the entity part of a complex structure? (If yes, please provide a full structure chart)

Yes ☐No ☒

Is the entity connected with a high-risk jurisdiction?*

Yes ☐No ☒

Are bearer shares in issue?

Yes ☐No ☒

Is the entity involved in trading?*

Yes ☐No ☒**If Yes**

Can you confirm that the bearer shares are fully controlled by the Introducer and will not be moved or transferred during the life of

Yes ☐No ☐

Are commission/consultancy fees a source of wealth?

Yes ☐No ☒

Risk factors identified (provide details)

LOW RISK

3. Beneficial Owners and Principals

Are all the directors/partners employees of the Intermediary?

Yes ☒No ☐

If No, please provide:

Name of directors/partners/trustees or equivalent* (use additional copies of this sheet as required)

Full name

RODNEY LEONARD MARGOT

Full name

STEPHEN GERARD DOYLE

Full name

Full name

Full name

Details of Beneficial Owners and Principals* (Detail each beneficial owner [25% or more controlling interest] and use additional copies of this sheet as required)**Beneficial Owner or Principal**

Legal name, and former names and any other names used

ULF DECKMARK

Status* of beneficial owner or principal

% ownership

100%

Principal residential address (including country)

KOMETVAGEN 17, 8FL, 18348 TABY SWEDEN

Postcode

Date of birth (DD/MM/YYYY)

30/03/1961

Place of birth

SWEDEN

Nationality

SWEDISH

Gender

MALE

Government issued personal identification number or other government issued unique identifier (e.g. Passport, Driving Licence etc.)

81976147 PASSPORT NUMBER

*Refer to Guidance

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Beneficial Owner or Principal

Legal name and former names and any other names used	
Status* of beneficial owner or principal	
% ownership	
Principal residential address (including country)	
Postcode	
Date of birth (DD/MM/YYYY)	
Place of birth	
Nationality	
Gender	
Government issued personal identification number or other government issued unique identifier (e.g. Passport, Driving Licence etc.)	

Beneficial Owner or Principal

Legal name and former names and any other names used	
Status* of beneficial owner or principal	
% ownership	
Principal residential address (including country)	
Postcode	
Date of birth (DD/MM/YYYY)	
Place of birth	
Nationality	
Gender	
Government issued personal identification number or other government issued unique identifier (e.g. Passport, Driving Licence etc.)	

Beneficial Owner or Principal

Legal name and former names and any other names used	
Status* of beneficial owner or principal	
% ownership	
Principal residential address (including country)	

*Refer to Guidance

Postcode

Date of birth (DD/MM/YYYY)

Place of birth

Nationality

Gender

Government issued personal
identification number or other
government issued unique identifier (e.g. Passport, Driving Licence etc.)

Beneficial Owner or Principal

Legal name and former names and
any other names used

Status* of beneficial owner or
principal

% ownership

Principal residential address
(including country)

Postcode

Date of birth (DD/MM/YYYY)

Place of birth

Nationality

Gender

Government issued personal
identification number or other
government issued unique identifier (e.g. Passport, Driving Licence etc.)

4. Statement requirements

Customers typically receive monthly statements. What is your preferred statement date?

01

If you would prefer an alternative, please tell us your preferred statement
frequency (e.g. quarterly)

5. Your banking requirements

Type of account

Business Multi Currency Account

☒

Business Current Account (sterling only)

☐

Fixed Deposit Account

☐

Other (please specify)

6. Cheque book and paying-in book requirements

A standard cheque book contains 60 cheques and a standard paying-in book contains 50 credit slips. Please tell us the
number of books you will need initially require (maximum of 3 each for security reasons).

Cheque books

1

Paying-in books

*Refer to Guidance

RBSI68521 17/01/2013

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7. Your agreement

The terms of your accounts and services and a summary of how we use personal information and our regulatory details are available online for you to read and print. These are important. Please read them.

Please go to www.rbsint.com/terms and enter **RBSICAT** as the product code.

Alternatively, please ask your Relationship Manager for a copy of the Terms and the personal information summary.

By signing

- You agree to the Terms for accounts and services selected in this form
- You confirm the details provided are correct and agree to notify us of any changes
- You confirm that the information provided in this account opening form accurately reflects the customer due diligence information held by you.

Nature: Director/Partner

Signature: Director/Partner





Name (in full)

RODNEY L MARGOT

Name (in full)

BARRY SMITH

Position

DIRECTOR

Position

DIRECTOR

Date (DD/MM/YYYY)

14/01/2013

Date (DD/MM/YYYY)

14/01/2013

8. Administrator Details

Please provide a contact name, number and email address, in case of referral.

Name

STEPHEN G DOYLE

Telephone number

01624 661881

Email address

STEVE@CITY-TRUST.COM

The Royal Bank of Scotland International Limited (RBS International). Registered Office: P.O. Box 64, Royal Bank House, 71 Bath Street, St. Helier, Jersey JE4 6PJ. Regulated by the Jersey Financial Services Commission for carrying on deposit-taking business under the Banking Business (Jersey) Law 1991 and for carrying on investment and insurance business under the Financial Services (Jersey) Law 1998. Guernsey business address: P.O. Box 62, Royal Bank Place, 1 Gategny Esplanade, St. Peter Port, Guernsey, GY1 4BQ. Regulated by the Guernsey Financial Services Commission and licensed under the Banking Supervision (Bailiwick of Guernsey) Law, 1994, as amended, the Insurance Managers and Insurance Intermediaries (Bailiwick of Guernsey) Law, 2002, and the Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended. Isle of Man business address: Royal Bank House, 2 Victoria Street, Douglas, Isle of Man IM99 1NJ. Licensed by the Financial Supervision Commission of the Isle of Man to take deposits and carry on investment business and registered with the Insurance and Pensions Authority in respect of general business. The Royal Bank of Scotland International (RBS International) is the registered business name of The Royal Bank of Scotland (Gibraltar) Limited (RBS Gibraltar) under the Business Names Registration Act. Registered office: PO Box 766, 1 Corral Road, Gibraltar. Registration No 32094. Licensed under the Gibraltar Financial Services (Banking) Act 1992, as amended and the Gibraltar Financial Services (Investment and Fiduciary) Act 1989, as amended (Licence No. FSC 00159B) and licensed under the Insurance Ordinance 1987. Copies of our most recent audited accounts are available for inspection on request. RBS International Isle of Man branch is a member of the Depositors' Compensation Scheme as set out in the Compensation of Depositors Regulations 2008. RBS International is a participant in the Gibraltar Deposit Guarantee Scheme Act 1998 ("the Act"). Payments under the scheme are limited to 90% of a depositor's total deposits which qualify for compensation under the Act subject to a maximum payment to any one depositor of £18,000 (or €20,000 if greater). Most deposits denominated in currencies of the EEA are covered. The Royal Bank of Scotland International Limited is a participant in the Guernsey Banking Deposit Compensation Scheme; details are available from our office on request.

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Zeptunus Limited

First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Telephone: +44 (0) 1624-661881 Facsimile: +44 (0) 1624-611423

Our Reference: SD/CL11015

ABS INVESTMENT GROUP

707 Broadway,
San Diego,
California 92101
USA

31 July 2013

INVOICE NO. 1

VAT REGISTRATION NO. GB 003 8940 88

	\$
For consulting services provided.	305,350.00
VAT	0.00
Total	<u>305,350.00</u> =====

Please forward your remittance to the following bank account:

Bank: The Royal Bank of Scotland International Limited
PO Box 151, 2 Victoria Street, Douglas, Isle of Man, IM99 1NJ
Sort Code: 16-58-80
BIC: RBOSIMDX
Account Name: Zeptunus Limited
Account No.: 58608545
IBAN: GB60 RBOS 1658 8058 6085 45

Zeptunus Limited

First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Telephone: +44 (0) 1624-661881 Facsimile: +44 (0) 1624-611423

Our Reference: SD/CL11015

ABS INVESTMENT GROUP
707 Broadway,
San Diego,
California 92101
USA

20 August 2013

INVOICE NO. 2

VAT REGISTRATION NO. GB 003 8940 88

	\$
For consulting services provided.	175,464.00
VAT	0.00
Total	<u>175,464.00</u> =====

Please forward your remittance to the following bank account:

Bank:	The Royal Bank of Scotland International Limited PO Box 151, 2 Victoria Street, Douglas, Isle of Man, IM99 1NJ
Sort Code:	16-58-80
BIC:	RBOSIMDX
Account Name:	Zeptunus Limited
Account No.:	58608545
IBAN:	GB60 RBOS 1658 8058 6085 45

Directors: R.L. Margot, S.G.M. Doyle (Irish). Secretary: R.L. Margot
Registered Office: First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Registered in the Isle of Man Company No. 009110V

Zeptunus Limited

First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Telephone: +44 (0) 1624-661881 Facsimile: +44 (0) 1624-611423

Our Reference: SD/CL11015

ABS INVESTMENT GROUP
707 Broadway,
San Diego,
California 92101
USA

15 October 2013

INVOICE NO. 3

VAT REGISTRATION NO. GB 003 8940 88

	\$
For consulting services provided.	87,732.00
VAT	0.00
Total	<u>87,732.00</u> =====

Please forward your remittance to the following bank account:

Bank:	The Royal Bank of Scotland International Limited
	PO Box 151, 2 Victoria Street, Douglas, Isle of Man, IM99 1NJ
Sort Code:	16-58-80
BIC:	RBOSIMDX
Account Name:	Zeptunus Limited
Account No.:	58608545
IBAN:	GB60 RBOS 1658 8058 6085 45

Directors: R.L. Margot, S.G.M. Doyle (Irish). Secretary: R.L. Margot
Registered Office: First Floor, Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Registered in the Isle of Man Company No. 009110V



MEMORANDUM

DATE: April 24, 2013

TO: To Whom This May Concern

FROM: Mark Bishop, Manager
ABS Investment Group

RE: Consulting relationship with Zeptunus Limited

This memorandum will put into writing the intent of the relationship between ABS Investment Group and Zeptunus Limited (together, the "Parties").

While ABS Investment Group (the "Company") offers private placements of limited partnership units, in North America collateralized by residential mortgage-backed securities and high-yield corporate bonds, and the high-yield marketplace has become increasingly competitive (difficult to source acceptable investments, underwrite, and value), ABS Investment Group has found it necessary to enter into agreements with consultancy groups to assist it in its business pursuits. Zeptunus is such a consultancy firm, with expertise in sourcing, managing, underwriting, and valuing bonds, and the Parties have entered into a consultancy for services dated April 19, 2013.

Furthermore, ABS Investment Group has similar agreements with vendors in the United States, Canada, and Sweden. As the agreements are only for services, our accountants (Baker & McKenzie) have determined that none of our payments for services are subject to any US withholding taxes.

Finally, Under FATCA, U.S. taxpayers with specified foreign financial assets that exceed certain thresholds must report those assets to the IRS. However ABS Investment Group has no "specified foreign financial assets", or foreign "financial accounts", and is therefore not subject to FATCA reporting in its federal income tax return.

We look forward to our growth throughout 2013, and are happy to have Zeptunus as a part of our consultancy group.

If you have any further questions or comments, please feel free to contact me at (949)678-9574, or mbishop@absig.com.

A handwritten signature in black ink, appearing to read "Mark Bishop". The signature is stylized with a large, sweeping "M" and "B".

(1) ABS INVESTMENT GROUP

(2) ZEPTUNUS LIMITED

CORPORATE CONSULTANCY AGREEMENT

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THIS AGREEMENT, is made as of 19th April 2013 **BETWEEN**

- (1) **ABS INVESTMENT GROUP**, a limited liability company incorporated under the laws of California having its principal place of business at 707 Broadway, San Diego, California 92101 (the “Company”)

AND

- (2) **ZEPTUNUS LIMITED**, a company incorporated under the laws of the Isle of Man having its principal place of business at First Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ (the “Consultant”).

WHEREAS

- A. The Consultant has certain skills and abilities and provides consultancy services which the Company wishes to avail of on a non-exclusive “as required” basis.
- B. The Consultant as an independent contractor is willing and hereby agrees to provide services to the Company as set out herein:-

NOW IT IS HEREBY AGREED as follows:

1 DEFINITIONS AND INTERPRETATION

1.1 Definitions

In this Agreement unless the context otherwise requires or unless otherwise specified:-

“**Business Day**” means a day (other than a Saturday or Sunday) on which clearing banks are generally open for business in the United Kingdom;

“**Confidential Information**” means any proprietary information, whether or not protectible as a trade secret which provides an advantage to a competitor or which a party wishes to designate as confidential for a valid business reason or, without prejudice to the generality of the foregoing, which concerns the business, finance or organisation of the Company, its subsidiaries whether direct or indirect of which it holds a majority percentage of the voting equity capital, and its joint ventures, its suppliers or customers which shall have come to the Consultant’s knowledge during the course of this Agreement. By way of illustration only and not limitation information will prima facie be confidential if it relates to trade secrets, research and developments, information relating to the Intellectual Property, software (object or source codes), suppliers and their production and delivery capabilities, customers and details of their particular business and requirements, costings, profit margins, discounts, rebates and other financial information, marketing and selling strategies and tactics, current activities and current and future plans relating to all or any of development or sales including the timing of all or any such matters, the development of new products, or technical design or specifications of the products of the Company;

“**Intellectual Property**” means discoveries, concepts, ideas and improvements to existing technology whether or not written down or otherwise converted to tangible form, patents, designs, trade marks, trade names, goodwill, copyrights, all rights in inventions, designs, processes, formulae, notations, improvements, know-how, goodwill, reputation, moulds, get-up, computer programmes and analogous property,

plans, models, literary, dramatic, musical and artistic works and all other forms of industrial or intellectual property (in each case in any part of the world and whether or not registered or registerable and to the fullest extent thereof and for the full period thereof and all extensions and renewals thereof) and all applications for registration thereof and all rights and interests, present and future, thereto and therein.

“**Services**” means the consultancy services and any other services to be provided by the Consultant as agreed with the Company from time to time.

“**Territory**” means worldwide .

1.2 Interpretation

In this Agreement unless the context otherwise requires or unless otherwise specified:-

- 1.2.1 any reference to any statutory provision, or to any order or regulation shall be construed as a reference to that provision, order or regulation as extended, modified, replaced or re-enacted from time to time (whether before or after the date of this Agreement) and all statutory instruments, regulations and orders from time to time made thereunder or deriving validity therefrom (whether before or after the date of this Agreement);
- 1.2.2 words denoting any gender include all genders and words denoting the singular include the plural and vice versa;
- 1.2.3 headings are for convenience only and shall not affect the construction or interpretation of this Agreement; and
- 1.2.4 if any action or duty to be taken or performed under any of the provisions of this Agreement would fall to be taken or performed on a day which is not a Business Day such action or duty shall be taken or performed on the Business Day next following such day.

2 CONSULTANCY SERVICES

The Company engages the Consultant to provide the Services in or for the Territory and the Consultant agrees to provide the Services upon the terms and conditions set out herein.

3 DURATION

This Agreement shall be deemed to have commenced on the 1st January 2013 notwithstanding the date of signature hereof and shall continue until terminated by either party giving to the other not less than one month's notice in writing or until terminated by satisfactory completion of the Services.

4 CONSULTANT'S OBLIGATIONS

- 4.1 For the purpose of this Agreement and the provision of the Services, the Consultant shall procure and provide and make available to the Company, as required, the services, skills and expertise of suitable person(s) and any additional or substitute person(s) as may be agreed between the parties hereto (“the Consultant's Representative(s)”).

- 4.2 The Consultant shall provide, and shall procure that the Consultant's Representative(s) shall on behalf of the Consultant provide, the Services with reasonable care and skill and to the best of its and their skill and ability.
- 4.3 The Consultant is retained on a non-exclusive "as required" basis to provide the Services to the Company at such times and at such locations as the Company and the Consultant shall agree from time to time.
- 4.4 The Consultant shall forthwith withdraw any Consultant's Representative in respect of whom an objection is notified for any reason by the Company.

5. FEE

The Company will pay to the Consultant a fee to be agreed from time to time, such fee to be exclusive of Valued Added Tax (if applicable) and subject to review from time to time with any resulting change in fee not vitiating or otherwise affecting the terms of this Agreement. The Company shall pay the Consultant all amounts due and owing within 7 days of presentation of invoice by the Consultant or its nominated collection agent. Consultant shall use its best efforts to present such invoice on a monthly basis on or near the 1st day of each month following the provision of services.

6. USE OF CONFIDENTIAL INFORMATION

6.1 Acknowledgements by the Consultant

The Consultant acknowledges:-

- 6.1.1. that the Company is in a unique and highly specialised business involving products/services which are highly sophisticated and technical in nature;
- 6.1.2. that the Company market is national and international in scope with a limited number of competitors;
- 6.1.3. that the Company possesses a valuable body of Confidential Information; and
- 6.1.4. that the Company will give the Consultant access to Confidential Information in order to facilitate the proper provision of the Services.

- 6.2 The Consultant, its servants or agents (including the Consultant's Representative(s)) shall keep secret and shall not at any time either during the term of this Agreement, or after its termination for whatever reason, use, communicate, reveal, or cause any unauthorised disclosure whether through any failure to exercise all due care and diligence or otherwise, to any person for its own or another's benefit, any of the Confidential Information of the Company by their suppliers or customers which shall have come to its knowledge during the course of this Agreement. The Consultant shall also use its best endeavours to prevent the publication or disclosure of any such Confidential Information.

- 6.3 All notes, memoranda, documents, records and writing made, received or obtained by the Consultant or the Consultant's Representative(s) on any matters relating to the organisation, business, finance, customers, suppliers, dealings, transactions or affairs of the Company shall be treated as confidential and shall be and remain the property of the Company and shall be delivered by the Consultant to the Company forthwith upon request.

- 6.4 The restrictions contained in this clause shall not apply to any disclosure authorised by the Company required in the ordinary and proper course of the provision of the Services under this Agreement or as required by the order of a court of competent jurisdiction or an appropriate regulatory authority or any information which the Consultant can demonstrate was known to the Consultant prior to the commencement of this Agreement or is in the public domain otherwise than as a result of a breach of this clause.
- 6.5 Upon termination of this Agreement for whatever reason the Consultant shall, and shall procure that the Consultant's Representative(s) shall, deliver up to the Company all working papers, computer discs, object and source codes, and other materials and copies provided to it or him pursuant to this Agreement or prepared by the Consultant in pursuance of this Agreement.
- 6.6 The Consultant shall not at any time, and shall procure that the Consultant's Representative(s) shall not, without the prior consent in writing of the Company, remove from the Company's premises any papers, materials or copies provided to it pursuant to this Agreement or prepared by the Consultant in pursuance of this Agreement.
- 6.7 The terms and existence of this Agreement and the fact that the Consultant is providing or has provided the services for the Company shall be and remain secret and confidential unless otherwise agreed in writing by the Company.

7. STATUS AND INDEMNITY

- 7.1 It is hereby declared that the Consultant's Representative(s) is/are not and will not become employees or agents of the Company and shall not be entitled to any fee, salary, pension, bonus, or other fringe benefits from the Company and it is agreed that the Consultant shall be responsible for all payments to the Consultant's Representative(s) for (their) services to the Consultant for the purposes of this Agreement and will be responsible for, if applicable, the deduction of income tax liabilities and national insurance or similar contributions in respect of any payments to the Consultant's Representative(s) and the Consultant hereby agrees to indemnify and hold harmless the Company against any claims or demands that may be made by the relevant authorities in respect of income tax, National Insurance, penalties or interest relating to payments to the Consultant's Representative(s) in respect of their services to the Consultant for the purposes of this Agreement.
- 7.2 It is further alleged and declared that this Agreement shall not constitute or create a partnership between the parties or between either party and any other person with whom the Company may enter into a contract for services or of service.
- 7.3 It is acknowledged by the Company that the Consultant will be free to undertake activities and offer the same or other services (including the services of the Consultant's Representative(s)) at the same time or otherwise to other parties.

8. INTELLECTUAL PROPERTY RIGHTS

- 8.1 This clause 8 applies to any Intellectual Property produced invented or discovered by the Consultant, its servants or agents, including the Consultant's Representative(s), whether alone or with any other person at any time during the term of this Agreement which relates directly to the business of the Company or which may in the opinion of the Company be capable of being used or adapted for use therein.

8.2 All Intellectual Property to which this clause 8 applies shall to the fullest extent permitted by law belong to, vest in and be the absolute sole and unencumbered property of the Company.

8.3 The Consultant hereby:

8.3.1 undertakes to notify and disclose to the Company in writing full details of all Intellectual Property to which this clause applies forthwith upon the production, invention or discovery of the same, and promptly whenever requested by the Company and in any event upon the termination of this Agreement deliver up to the Company all correspondence and other documents papers and records and all copies thereof in its possession, custody or power relating to any Intellectual Property;

8.3.2 undertakes to hold on trust for the benefit of the Company any Intellectual Property to the extent that the same may not be, and until the same is, vested absolutely in the Company;

8.3.3 assigns by way of present assignment of future copyright all copyright in all Intellectual Property to which this clause applies;

8.3.4 acknowledges that, save as provided in this Agreement no further remuneration or compensation is or may become due to the Consultant, its servants or agents, including the Consultant's Representative(s), in respect of the performance of its obligations under this clause;

8.3.5 undertakes at the expense of the Company to execute all such documents, make such applications, give such assistance and do such acts and things as may in the opinion of the Company be necessary or desirable to vest in and register or obtain letters patent in the name of the Company and otherwise to protect and maintain the Intellectual Property;

8.3.6 irrevocably appoints the Company or its nominee as its attorney to execute and sign as the Consultant's act and deed in its name and on its behalf all documents as the Company may consider requisite for the perfection of the pledge hereby evidenced.

8.3.7 to the extent that by law any Intellectual Property or the rights therein do not, or are not permitted to or cannot, vest in or belong to the Company the Consultant agrees immediately upon the same coming into existence to offer the Company a right of first refusal to acquire the same on arms length terms to be agreed between the parties and in the absence of agreement within thirty days of such offer to be decided upon by an arbitrator to be appointed by the President for the time being of the Law Society of the Isle of Man (whose decision shall be final and binding on the parties and whose costs shall be borne equally by the parties).

9. FACILITIES

The Consultant shall provide the Services either from its principal place of business in the Isle of Man or in the Territory, as necessary and shall, provide all materials, equipment and facilities necessary for the proper performance of the Services.

10. SEVERABILITY

In the event that any of these terms, conditions or provisions, or any part thereof, should be determined to be invalid, unlawful or unenforceable, such term, condition or provision, or any part thereof, shall be severed from the remaining terms, conditions and provisions which will continue to be valid to the fullest extent permitted by law.

11. BINDING ON SUCCESSORS AND ASSIGNMENTS

- 11.1 This Agreement shall enure for the benefit of and be binding upon the respective parties hereto and their respective assigns and successors.
- 11.2 Neither party may assign or transfer this Agreement or any of the rights arising hereunder without the prior written consent of the other party..

12. VARIATION

No variation of this Agreement shall be valid unless it is in writing and signed by or on behalf of each of the parties hereto.

13. WHOLE AGREEMENT

This Agreement contains the whole agreement between the parties hereto relating to the transactions provided for in this Agreement and supersedes all previous agreements (if any) between such parties in respect of such matters and each of the parties to this Agreement acknowledges that in agreeing to enter into this Agreement it has not relied on any representations or warranties except for those contained in this Agreement.

14. WAIVER, RELEASE AND REMEDIES

- 14.1 A waiver by the Company of any breach by the Consultant of any of the terms, provisions or conditions of this Agreement or the acquiescence of the Company in any act (whether of commission or omission) which but for such acquiescence would be a breach as aforesaid shall not constitute a general waiver of such term, provision or condition or an acquiescence to any subsequent act contrary thereto.
- 14.2 Any remedy or right conferred upon the Company for breach of this Agreement shall be in addition to and without prejudice to all other rights and remedies available to it whether pursuant to this Agreement or otherwise provided for by law.
- 14.3 No failure or delay by the Company in exercising any claim, remedy, right, power or privilege under this Agreement shall operate as a waiver nor shall a single or partial exercise of any claim, remedy, right, power or privilege preclude any further exercise thereof or exercise of any other claim, remedy, right, power or privilege.

15. NOTICES

Any notice or other communication whether required or permitted to be given hereunder shall be given in writing and shall be deemed to have been duly given if delivered by hand against receipt of the addressee or if transmitted by fax or sent by prepaid registered post addressed to the party to whom such notice is to be given at the address set out for such party herein (or such other address as such party may from time to time designate in writing to the other party hereto in accordance with the

provisions of this clause 15). Any such notice shall be deemed to have been duly given if delivered at the time of delivery, if transmitted by fax at the time of termination of the transmission and if sent by prepaid registered post as aforesaid forty eight hours after the same shall have been posted.

16. EXECUTION

This Agreement shall first be executed by the Company, but will have no legal effect whatsoever until it is executed, in duplicate, by the Consultant at its principal place of business in the Isle of Man.

IN WITNESS whereof this Agreement has been duly executed as of the date shown at the beginning of this Agreement.

**EXECUTED AS A DEED ON BEHALF OF
ABS INVESTMENT GROUP:**



.....
Mark Bishop
Manager

**EXECUTED AS A DEED ON BEHALF OF
ZEPTUNUS LIMITED:**
in the presence of:

.....
Director

.....
Director

(1) SOLID VENTURE CAPITAL LIMITED

(2) ZEPTUNUS LIMITED

CORPORATE CONSULTANCY AGREEMENT

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THIS AGREEMENT, is made as of 19th April 2013 **BETWEEN**

- (1) **SOLID VENTURE CAPITAL LIMITED**, a company incorporated under the laws of Malta having its principal place of business at Abacus Suite,1, Old Railway Track, Santa Venera, Malta. (the “Company”)

AND

- (2) **ZEPTUNUS LIMITED**, a company incorporated under the laws of the Isle of Man having its principal place of business at First Floor, Ragnall House, 18 Peel Road, Douglas, Isle of Man, IM1 4LZ (the “Consultant”).

WHEREAS

- A. The Consultant has certain skills and abilities and provides consultancy services which the Company wishes to avail of on a non-exclusive “as required” basis.
- B. The Consultant as an independent contractor is willing and hereby agrees to provide services to the Company as set out herein:-

NOW IT IS HEREBY AGREED as follows:

1 DEFINITIONS AND INTERPRETATION

1.1 Definitions

In this Agreement unless the context otherwise requires or unless otherwise specified:-

“**Business Day**” means a day (other than a Saturday or Sunday) on which clearing banks are generally open for business in the United Kingdom;

“**Confidential Information**” means any proprietary information, whether or not protectible as a trade secret which provides an advantage to a competitor or which a party wishes to designate as confidential for a valid business reason or, without prejudice to the generality of the foregoing, which concerns the business, finance or organisation of the Company, its subsidiaries whether direct or indirect of which it holds a majority percentage of the voting equity capital, and its joint ventures, its suppliers or customers which shall have come to the Consultant’s knowledge during the course of this Agreement. By way of illustration only and not limitation information will prima facie be confidential if it relates to trade secrets, research and developments, information relating to the Intellectual Property, software (object or source codes), suppliers and their production and delivery capabilities, customers and details of their particular business and requirements, costings, profit margins, discounts, rebates and other financial information, marketing and selling strategies and tactics, current activities and current and future plans relating to all or any of development or sales including the timing of all or any such matters, the development of new products, or technical design or specifications of the products of the Company;

“**Intellectual Property**” means discoveries, concepts, ideas and improvements to existing technology whether or not written down or otherwise converted to tangible form, patents, designs, trade marks, trade names, goodwill, copyrights, all rights in inventions, designs, processes, formulae, notations, improvements, know-how, goodwill, reputation, moulds, get-up, computer programmes and analogous property,

plans, models, literary, dramatic, musical and artistic works and all other forms of industrial or intellectual property (in each case in any part of the world and whether or not registered or registerable and to the fullest extent thereof and for the full period thereof and all extensions and renewals thereof) and all applications for registration thereof and all rights and interests, present and future, thereto and therein.

“Services” means the consultancy services and any other services to be provided by the Consultant as agreed with the Company from time to time.

“Territory” means worldwide .

1.2 Interpretation

In this Agreement unless the context otherwise requires or unless otherwise specified:-

- 1.2.1 any reference to any statutory provision, or to any order or regulation shall be construed as a reference to that provision, order or regulation as extended, modified, replaced or re-enacted from time to time (whether before or after the date of this Agreement) and all statutory instruments, regulations and orders from time to time made thereunder or deriving validity therefrom (whether before or after the date of this Agreement);
- 1.2.2 words denoting any gender include all genders and words denoting the singular include the plural and vice versa;
- 1.2.3 headings are for convenience only and shall not affect the construction or interpretation of this Agreement; and
- 1.2.4 if any action or duty to be taken or performed under any of the provisions of this Agreement would fall to be taken or performed on a day which is not a Business Day such action or duty shall be taken or performed on the Business Day next following such day.

2 CONSULTANCY SERVICES

The Company engages the Consultant to provide the Services in or for the Territory and the Consultant agrees to provide the Services upon the terms and conditions set out herein.

3 DURATION

This Agreement shall be deemed to have commenced on the 1st January 2013 notwithstanding the date of signature hereof and shall continue until terminated by either party giving to the other not less than one month's notice in writing or until terminated by satisfactory completion of the Services.

4 CONSULTANT'S OBLIGATIONS

- 4.1 For the purpose of this Agreement and the provision of the Services, the Consultant shall procure and provide and make available to the Company, as required, the services, skills and expertise of suitable person(s) and any additional or substitute person(s) as may be agreed between the parties hereto (“the Consultant's Representative(s)").

- 4.2 The Consultant shall provide, and shall procure that the Consultant's Representative(s) shall on behalf of the Consultant provide, the Services with reasonable care and skill and to the best of its and their skill and ability.
- 4.3 The Consultant is retained on a non-exclusive "as required" basis to provide the Services to the Company at such times and at such locations as the Company and the Consultant shall agree from time to time.
- 4.4 The Consultant shall forthwith withdraw any Consultant's Representative in respect of whom an objection is notified for any reason by the Company.

5. FEE

The Company will pay to the Consultant a fee to be agreed from time to time, such fee to be exclusive of Valued Added Tax (if applicable) and subject to review from time to time with any resulting change in fee not vitiating or otherwise affecting the terms of this Agreement. The Company shall pay the Consultant all amounts due and owing within 7 days of presentation of invoice by the Consultant or its nominated collection agent. Consultant shall use its best efforts to present such invoice on a monthly basis on or near the 1st day of each month following the provision of services.

6. USE OF CONFIDENTIAL INFORMATION

6.1 Acknowledgements by the Consultant

The Consultant acknowledges:-

- 6.1.1. that the Company is in a unique and highly specialised business involving products/services which are highly sophisticated and technical in nature;
- 6.1.2. that the Company market is national and international in scope with a limited number of competitors;
- 6.1.3. that the Company possesses a valuable body of Confidential Information; and
- 6.1.4. that the Company will give the Consultant access to Confidential Information in order to facilitate the proper provision of the Services.
- 6.2 The Consultant, its servants or agents (including the Consultant's Representative(s)) shall keep secret and shall not at any time either during the term of this Agreement, or after its termination for whatever reason, use, communicate, reveal, or cause any unauthorised disclosure whether through any failure to exercise all due care and diligence or otherwise, to any person for its own or another's benefit, any of the Confidential Information of the Company by their suppliers or customers which shall have come to its knowledge during the course of this Agreement. The Consultant shall also use its best endeavours to prevent the publication or disclosure of any such Confidential Information.
- 6.3 All notes, memoranda, documents, records and writing made, received or obtained by the Consultant or the Consultant's Representative(s) on any matters relating to the organisation, business, finance, customers, suppliers, dealings, transactions or affairs of the Company shall be treated as confidential and shall be and remain the property of the Company and shall be delivered by the Consultant to the Company forthwith upon request.

- 6.4 The restrictions contained in this clause shall not apply to any disclosure authorised by the Company required in the ordinary and proper course of the provision of the Services under this Agreement or as required by the order of a court of competent jurisdiction or an appropriate regulatory authority or any information which the Consultant can demonstrate was known to the Consultant prior to the commencement of this Agreement or is in the public domain otherwise than as a result of a breach of this clause.
- 6.5 Upon termination of this Agreement for whatever reason the Consultant shall, and shall procure that the Consultant's Representative(s) shall, deliver up to the Company all working papers, computer discs, object and source codes, and other materials and copies provided to it or him pursuant to this Agreement or prepared by the Consultant in pursuance of this Agreement.
- 6.6 The Consultant shall not at any time, and shall procure that the Consultant's Representative(s) shall not, without the prior consent in writing of the Company, remove from the Company's premises any papers, materials or copies provided to it pursuant to this Agreement or prepared by the Consultant in pursuance of this Agreement.
- 6.7 The terms and existence of this Agreement and the fact that the Consultant is providing or has provided the services for the Company shall be and remain secret and confidential unless otherwise agreed in writing by the Company.

7. STATUS AND INDEMNITY

- 7.1 It is hereby declared that the Consultant's Representative(s) is/are not and will not become employees or agents of the Company and shall not be entitled to any fee, salary, pension, bonus, or other fringe benefits from the Company and it is agreed that the Consultant shall be responsible for all payments to the Consultant's Representative(s) for (their) services to the Consultant for the purposes of this Agreement and will be responsible for, if applicable, the deduction of income tax liabilities and national insurance or similar contributions in respect of any payments to the Consultant's Representative(s) and the Consultant hereby agrees to indemnify and hold harmless the Company against any claims or demands that may be made by the relevant authorities in respect of income tax, National Insurance, penalties or interest relating to payments to the Consultant's Representative(s) in respect of their services to the Consultant for the purposes of this Agreement.
- 7.2 It is further alleged and declared that this Agreement shall not constitute or create a partnership between the parties or between either party and any other person with whom the Company may enter into a contract for services or of service.
- 7.3 It is acknowledged by the Company that the Consultant will be free to undertake activities and offer the same or other services (including the services of the Consultant's Representative(s)) at the same time or otherwise to other parties.

8. INTELLECTUAL PROPERTY RIGHTS

- 8.1 This clause 8 applies to any Intellectual Property produced invented or discovered by the Consultant, its servants or agents, including the Consultant's Representative(s), whether alone or with any other person at any time during the term of this Agreement which relates directly to the business of the Company or which may in the opinion of the Company be capable of being used or adapted for use therein.

8.2 All Intellectual Property to which this clause 8 applies shall to the fullest extent permitted by law belong to, vest in and be the absolute sole and unencumbered property of the Company.

8.3 The Consultant hereby:

8.3.1 undertakes to notify and disclose to the Company in writing full details of all Intellectual Property to which this clause applies forthwith upon the production, invention or discovery of the same, and promptly whenever requested by the Company and in any event upon the termination of this Agreement deliver up to the Company all correspondence and other documents papers and records and all copies thereof in its possession, custody or power relating to any Intellectual Property;

8.3.2 undertakes to hold on trust for the benefit of the Company any Intellectual Property to the extent that the same may not be, and until the same is, vested absolutely in the Company;

8.3.3 assigns by way of present assignment of future copyright all copyright in all Intellectual Property to which this clause applies;

8.3.4 acknowledges that, save as provided in this Agreement no further remuneration or compensation is or may become due to the Consultant, its servants or agents, including the Consultant's Representative(s), in respect of the performance of its obligations under this clause;

8.3.5 undertakes at the expense of the Company to execute all such documents, make such applications, give such assistance and do such acts and things as may in the opinion of the Company be necessary or desirable to vest in and register or obtain letters patent in the name of the Company and otherwise to protect and maintain the Intellectual Property;

8.3.6 irrevocably appoints the Company or its nominee as its attorney to execute and sign as the Consultant's act and deed in its name and on its behalf all documents as the Company may consider requisite for the perfection of the pledge hereby evidenced.

8.3.7 to the extent that by law any Intellectual Property or the rights therein do not, or are not permitted to or cannot, vest in or belong to the Company the Consultant agrees immediately upon the same coming into existence to offer the Company a right of first refusal to acquire the same on arms length terms to be agreed between the parties and in the absence of agreement within thirty days of such offer to be decided upon by an arbitrator to be appointed by the President for the time being of the Law Society of the Isle of Man (whose decision shall be final and binding on the parties and whose costs shall be borne equally by the parties).

9. FACILITIES

The Consultant shall provide the Services either from its principal place of business in the Isle of Man or in the Territory, as necessary and shall, provide all materials, equipment and facilities necessary for the proper performance of the Services.

10. SEVERABILITY

In the event that any of these terms, conditions or provisions, or any part thereof, should be determined to be invalid, unlawful or unenforceable, such term, condition or provision, or any part thereof, shall be severed from the remaining terms, conditions and provisions which will continue to be valid to the fullest extent permitted by law.

11. BINDING ON SUCCESSORS AND ASSIGNMENTS

11.1 This Agreement shall enure for the benefit of and be binding upon the respective parties hereto and their respective assigns and successors.

11.2 Neither party may assign or transfer this Agreement or any of the rights arising hereunder without the prior written consent of the other party..

12. VARIATION

No variation of this Agreement shall be valid unless it is in writing and signed by or on behalf of each of the parties hereto.

13. WHOLE AGREEMENT

This Agreement contains the whole agreement between the parties hereto relating to the transactions provided for in this Agreement and supersedes all previous agreements (if any) between such parties in respect of such matters and each of the parties to this Agreement acknowledges that in agreeing to enter into this Agreement it has not relied on any representations or warranties except for those contained in this Agreement.

14. WAIVER, RELEASE AND REMEDIES

14.1 A waiver by the Company of any breach by the Consultant of any of the terms, provisions or conditions of this Agreement or the acquiescence of the Company in any act (whether of commission or omission) which but for such acquiescence would be a breach as aforesaid shall not constitute a general waiver of such term, provision or condition or an acquiescence to any subsequent act contrary thereto.

14.2 Any remedy or right conferred upon the Company for breach of this Agreement shall be in addition to and without prejudice to all other rights and remedies available to it whether pursuant to this Agreement or otherwise provided for by law.

14.3 No failure or delay by the Company in exercising any claim, remedy, right, power or privilege under this Agreement shall operate as a waiver nor shall a single or partial exercise of any claim, remedy, right, power or privilege preclude any further exercise thereof or exercise of any other claim, remedy, right, power or privilege.

15. NOTICES

Any notice or other communication whether required or permitted to be given hereunder shall be given in writing and shall be deemed to have been duly given if delivered by hand against receipt of the addressee or if transmitted by fax or sent by prepaid registered post addressed to the party to whom such notice is to be given at the address set out for such party herein (or such other address as such party may from time to time designate in writing to the other party hereto in accordance with the

provisions of this clause 15). Any such notice shall be deemed to have been duly given if delivered at the time of delivery, if transmitted by fax at the time of termination of the transmission and if sent by prepaid registered post as aforesaid forty eight hours after the same shall have been posted.

16. EXECUTION

This Agreement shall first be executed by the Company, but will have no legal effect whatsoever until it is executed, in duplicate, by the Consultant at its principal place of business in the Isle of Man.

IN WITNESS whereof this Agreement has been duly executed as of the date shown at the beginning of this Agreement.

EXECUTED AS A DEED ON BEHALF OF	)	
SOLID VENTURE CAPITAL LIMITED	)	
in the presence of:	)	Director
	)	
	)	
	)	Director

EXECUTED AS A DEED ON BEHALF OF	)	
ZEPTUNUS LIMITED	)	
in the presence of:	)	Director
	)	
	)	
	)	Director

[Mark Bishop <mbishop@absig.com>]

Sun, 08/04/2013 07:41 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [StephenDoyle@equiom.im]**Cc:** [Jenny Mackintosh <JennyMackintosh@equiom.im>]**Subject:** Re: RE: RE: Zeptunus Limited...

Stephen -

We have answered all of your questions which we feel do not compromise our confidentiality with our client relationships. Furthermore, we have no relationship, non-disclosure agreement, or confidentiality agreement with your firm.

We are very happy with our relationship with Zeptunus, and look forward to ongoing and a mutually beneficial relationship with them. However, if you require additional information, please contact Zeptunus directly.

Regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

On Sat, 08/03/2013 12:58 PM, Stephen Doyle <StephenDoyle@equiom.im> wrote:

Dear Mike

Thanks for the email.

Do you have some form of accounts reconciliation so that we can tie in the sum to the services provided and how the amount is calculated and agree what is owing? Also going forward what you expect in terms of services and amounts payable? Can you let me know too what the nature of the asset backed securities is and to whom they are being marketed/sold?

I am away from the office until 15th August. I will pick up emails periodically and Jenny Mackintosh will deal with any queries here in my absence.

Kind regards

Steve

Stephen Doyle
Senior Manager - Fiduciary Services

Equiom

Equiom (Isle of Man) Limited

Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH

Tel: +44 (0) 1624 699111 Main: +44 (0) 1624 699000 Fax: +44 (0) 1624 699001 Mob: +44 (0) 7624 487556

stephendoyle@equiom.imwww.equiom.im

Equiom (Isle of Man) Limited is licensed by the Isle of Man Financial Supervision Commission.

Registered Office: Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH. Registered in the Isle of Man: No 011582C

You are advised to view our [email disclaimer](#)**From:** Mark Bishop [mailto:mbishop@absig.com]**Sent:** 31 July 2013 15:32

To: Stephen Doyle
Subject: Re: RE: Zeptunus Limited...

Steve -

Payables to Zeptunus are at SEK 3.787.272, representing transaction fees for services provided on approximately SEK 280.646.000 volume of purchased asset-backed securities. The current payment of approximately SEK 2.000.000 is partial payment on total payables. Balance is to be paid 8-30-2013.

Regards,

Mark

=====
Mark Bishop
mbishop@absiq.com
+1 949 678 9574

On Wed, 07/31/2013 09:55 AM, Stephen Doyle <StephenDoyle@equiom.im> wrote:
Dear Mark

Are you able to let me know how the sum is calculated?

Kind regards

Steve

Stephen Doyle
Senior Manager - Fiduciary Services

Equiom

Equiom (Isle of Man) Limited
Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH
Tel: +44 (0) 1624 699111 Main: +44 (0) 1624 699000 Fax: +44 (0) 1624 699001 Mob: +44 (0) 7624 487556
stephendoyle@equiom.im
www.equiom.im

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Registered Office: Jubilee Buildings, Victoria Street, Douglas, Isle of Man, IM1 2SH. Registered in the Isle of Man: No 011582C
You are advised to view our [email disclaimer](#)

From: Mark Bishop [<mailto:mbishop@absiq.com>]
Sent: 31 July 2013 04:43
To: Stephen Doyle
Subject: Zeptunus Limited...

Stephen -

Please be advised that a wire in the amount of USD 305,350 is sent to Zeptunus Limited (account number 58608545) on July 31, 2013, for partial transaction fees due.

If you have any questions, please contact me.

Regards,

Mark

=====
Mark Bishop
mbishop@absiq.com
+1 949 678 9574

This e-mail message has been scanned and cleared by **MailMarshal**



Accounts Payable Schedule

Month	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	TOTAL
Solid Arbitrage	0 kr	497 830 kr	863 553 kr	339 834 kr	643 799 kr	1 632 545 kr	1 639 991 kr	5 504 740 kr	4 954 777 kr	3 653 761 kr	9 589 385 kr	3 950 102 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	34 968 994 kr
Zeptunus Receivable	0 kr	62 229 kr	107 944 kr	42 479 kr	80 475 kr	204 068 kr	204 999 kr	688 083 kr	619 347 kr	456 720 kr	1 198 673 kr	493 763 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	4 371 124 kr
* Adjustments	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	169 937 kr
Total Earned Fees																			4 541 061 kr
Zeptunus per cent (%) 12.500%																			
Payments	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	2 000 000 kr	1 140 517 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	3 400 536 kr
Current Balance Payable	0 kr	62 229 kr	170 173 kr	212 652 kr	293 127 kr	497 195 kr	702 194 kr	1 390 287 kr	2 221 968 kr	2 588 598 kr	1 787 272 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	

* JULY 2013 NOTES: SVC arb @ 5% of; SAIL @1,095,000; FNLC @248,500; GSAA @131,790.

* AUG 2013 NOTES: SVC arb @ 10% of; MANA @ 3,950.102

* SEPT 2013 NOTES: SVC arb @ 10% of;

* OCT 2013 NOTES: SVC arb @ 10% of;

* NOV 2013 NOTES: SVC arb @ 10% of;

* DEC 2013 NOTES: SVC arb @ 10% of;

Bilaga 1715

Zeptunus

Accounts Payable Schedule

Month	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	TOTAL
Solid Arbitrage	0 kr	497 830 kr	863 553 kr	339 834 kr	643 799 kr	1 632 545 kr	1 639 991 kr	5 504 740 kr	0 kr	1 698 676 kr	4 954 777 kr	3 653 761 kr	9 589 385 kr	3 950 102 kr	0 kr	0 kr	0 kr	0 kr	34 968 994 kr
Zeptunus Receivable	0 kr	62 229 kr	107 944 kr	42 479 kr	80 475 kr	204 068 kr	204 999 kr	688 093 kr	0 kr	212 395 kr	619 347 kr	456 720 kr	1 198 673 kr	493 763 kr	0 kr	0 kr	0 kr	0 kr	4 371 124 kr
* Adjustments	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	169 932 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	169 932 kr
Total Earned Fees																			169 932 kr
Zeptunus per cent (%)	12,500%																		4 541 055,24
Payments	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	0 kr	260 022 kr	2 000 000 kr	1 140 517 kr	0 kr	0 kr	0 kr	0 kr	3 400 539 kr
Current Balance Payable	0 kr	62 229 kr	170 173 kr	212 652 kr	293 127 kr	497 195 kr	702 194 kr	1 590 287 kr	1 390 287 kr	1 602 621 kr	2 221 968 kr	2 588 598 kr	1 787 272 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr	1 140 517 kr

Avser enligt EBM:

* JULY 2013 NOTES: SVC arb @ 5% of; SAIL @1,095,000, FNLC @248,500, GSAA @131,790. * AUG 2013 NOTES: SVC arb @ 10% of; MANA @ 3,950.102 * SEPT 2013 NOTES: SVC arb @ 10% of;	* OCT 2013 NOTES: SVC arb @ 10% of; * NOV 2013 NOTES: SVC arb @ 10% of; * DEC 2013 NOTES: SVC arb @ 10% of;
SAIL	MANA
FNLC	
GSAA	

Bilag 1716

Cash Management

Print Single Wire Transfer

Ref #: 682526**Created by:** ABS Investment Group LLC - 07/30/2013 08:37 pm PDT**Approved by:** ABS Investment Group LLC - 07/30/2013 08:37 pm PDT**SENDER****Name:** ABS Investment Group LLC**Tax ID #:** 262119490**Address:** 707 BROADWAY 18TH FLOOR**City:** San Diego**State:** California**ZIP/Postal Code:** 92101**Phone Number:** 6192360444**Account to Debit:** Checking:*0070**Amount:** \$ \$305,350.00**Submit date:** 07/31/2013**BENEFICIARY****INTERMEDIARY INSTITUTION(optional)**

If data is entered in a single field in this section all fields in this section become required.

Beneficiary's Full Name: Zeptunus Limited**Beneficiary's Address 1:** 1st Flr, Jubilee Bldgs, Victoria St**Beneficiary's Address 2:****Beneficiary's City:** Douglas**Beneficiary's Region/State:****Beneficiary's Country:** ISLE OF MAN**ZIP/Postal Code:** IM1 2SH**Beneficiary's Account:** IBAN GB60RBOS16588058608545**Special Instructions for the Beneficiary:** TRANSACTION FEES.**Code Type:****Local Clearing Code:****Institution Name:****Institution Address:****Institution City:****Institution
Region/State:****Institution Country:****BENEFICIARY INSTITUTION****Code Type:** BIC/SWIFT Code RBOSIMDX**Local Clearing Code:** 16 58 80**Institution Name:** Royal Bank of Scotland Intl. Ltd.**Institution Address:** PO Box 151, 2 Victoria St**Institution City:** Douglas**Institution Region/State:****Institution Country:** ISLE OF MAN**ZIP/Postal Code:** IM99 1NJ

Cash Management

Print Single Wire Transfer

Ref #: 695377**Created by:** A.B.S.I.G. LLC - 08/20/2013 04:28 am PDT**Approved by:** A.B.S.I.G. LLC - 08/20/2013 04:28 am PDT

SENDER

Name: A.B.S.I.G. LLC**Tax ID #:** 262582511**Address:** 707 BROADWAY 18TH FLOOR**City:** SAN DIEGO**State:** California**ZIP/Postal Code:** 92101**Phone Number:** 9492516959**Account to Debit:** ABSIG 1217728:*7728**Amount:** \$ \$175,464.00**Submit date:** 08/20/2013

BENEFICIARY

INTERMEDIARY INSTITUTION *(optional)*

If data is entered in a single field in this section all fields in this section become required.

Beneficiary's Full Name: Zeptunus Limited**Beneficiary's Address 1:** 1st Flr, Jubilee Bldgs, Victoria St**Beneficiary's Address 2:****Beneficiary's City:** Douglas**Beneficiary's Region/State:****Beneficiary's Country:** ISLE OF MAN**ZIP/Postal Code:** IM1 2SH**Beneficiary's Account:** IBAN GB60RBOS16588058608545**Special Instructions for the Beneficiary:** Transaction and Service Fees due.**Code Type:****Local Clearing Code:****Institution Name:****Institution Address:****Institution City:****Institution
Region/State:****Institution Country:**

BENEFICIARY INSTITUTION

Code Type: BIC/SWIFT Code RBOSIMDX**Local Clearing Code:** 16 58 80**Institution Name:** Royal Bank of Scotland Intl. Ltd.**Institution Address:** PO Box 151, 2 Victoria St**Institution City:** Douglas**Institution Region/State:****Institution Country:** ISLE OF MAN**ZIP/Postal Code:** IM99 1NJ

Print Single Wire Transfer

Ref #: 729631

Created by: ABS Investment Group LLC - 10/15/2013 02:35 am PDT

Approved by: ABS Investment Group LLC - 10/15/2013 02:35 am PDT

SENDER

Name: ABS Investment Group LLC

Tax ID #: 262119490

Address: 707 BROADWAY 18TH FLOOR

City: San Diego

State: California

ZIP/Postal Code: 92101

Phone Number: 6192360444

Account to Debit: ABS - Consulting Fees & Exp:*0070

Amount: \$ \$87,732.00

Submit date: 10/15/2013

BENEFICIARY

INTERMEDIARY INSTITUTION(optional)

If data is entered in a single field in this section all fields in this section become required.

Beneficiary's Full Name: Zeptunus Limited

Beneficiary's Address 1: 1st Flr, Jubilee Bldgs, Victoria St

Beneficiary's Address 2:

Beneficiary's City: Douglas

Beneficiary's Region/State:

Beneficiary's Country: ISLE OF MAN

ZIP/Postal Code: IM1 2SH

Beneficiary's Account: IBAN GB60RBOS16588058608545

Special Instructions for the Beneficiary: TRANSACTION AND SERVICE FEES DUE ZEPTUNUS ON 10-15-2013.

Code Type:

Local Clearing Code:

Institution Name:

Institution Address:

Institution City:

Institution
Region/State:

Institution Country:

BENEFICIARY INSTITUTION

Code Type: BIC/SWIFT Code RBOSIMDX

Local Clearing Code: 16 58 80

Institution Name: Royal Bank of Scotland Intl. Ltd.

Institution Address: PO Box 151, 2 Victoria St

Institution City: Douglas

Institution Region/State:

Institution Country: ISLE OF MAN

ZIP/Postal Code: IM99 1NJ

INVOICE

Invoice regarding remaining fees to Zeptunus Ltd

Date: 9/6/13

To: Solid Venture Capital Ltd
869 High Road
London, England N12 8QA, N22 8HH
+356-99-938-099

From: ABS Investment Group
707 Broadway, 18th Floor
San Diego, CA 92101
+1-949-678-9574

Ref: July 2013 Outstanding Zeptunus Ltd Fees

Invoice Amount	1,140,517 kr
-----------------------	---------------------

CALCULATION FOR ZEPTUNUS LTD FEES AS OF JULY 2013

	summary
Total fees earned - Zeptunus	4,541,056 kr
Fees paid by SVC	260,022 kr
Fees paid by ABS	3,140,517 kr
Remaining fees to be paid	1,140,517 kr
 SVC portion of Zeptunus Fee for July 2013:	 1,140,517 kr

Please wire "invoice Amount" to ABS Investment Group as follows:

Wire Transfer - International

Swift Code: WFBIUS6S

Bank Name: Wells Fargo Bank

Bank Address: San Francisco, CA USA

Beneficiary Acct. #: 122243334

Beneficiary Acct. Name: Commerce West Bank

Further Credit: ABS Investment Group, LLC

Acct. # 92200070

(Wire description)

MBS.er

Sammanfattning

Sammanfattning		Skillnad																	
		ABS i G.s köp																	
		Köpt av	Pris för	Sålt av	Köpt av	Pris för	Optimus köp	Sålt av	Förspris	Optimus	Vinst/förlust	Optimus	Vinst/förlust	ABS i G	Vinst/förlust	SVC £	Vinst/förlust	SVC \$	Provision
Instrument		ABS i G	ABS i G	ABS i G	ABS i G	ABS i G	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus	Optimus
1 CMLTI		2012-08-15	\$391 056,63	2012-08-15	\$483 921,09	2012-08-15	\$492 306,88	\$101 250	2013-01-30	\$626 770	\$134 463	\$92 864	\$56 793	\$92 864	\$56 793				
2 CWL		2012-08-10	\$650 440,63	2012-08-15	\$801 029,88	2012-08-15	\$826 029,88	\$175 589	2013-01-29	\$1 581 434	\$755 404	\$150 589	\$91 875	\$150 589	\$91 875				
3 GSAMP		2012-08-10	\$86 244,80	2012-08-15	\$105 029,92	2012-08-15	\$106 419,23	\$20 174	2013-02-13	\$87 620	-\$18 800	\$18 785	\$10 095	\$18 785	\$10 095				62 229 kr
4 PCHLT		2012-08-10	\$676 903,12	2012-08-15	\$819 420,72	2012-08-15	\$830 163,06	\$153 260	2013-02-15	\$588 107	-\$242 056	\$142 518	\$77 774	\$142 518	\$77 774				
5 LMT 2005		2012-09-19	\$1 287 355,26	2012-09-19	\$1 529 035,17	2012-09-19	\$1 561 370,05	\$274 015	2013-06-19	\$812 344	-\$749 026	\$241 680	\$133 989	\$241 680	\$133 989				107 944 kr
6 MARM		2012-10-19	\$295 799,85	2012-10-23	\$347 847,66	2012-10-23	\$362 528,85	\$66 729	2015-09-08	\$18 139	-\$344 389	\$52 048	\$52 391	\$52 048	\$52 391				42 479 kr
7 CFAB		2012-11-06	\$599 987,06	2012-11-13	\$705 384,80	2012-11-20	\$720 002,89	\$120 016	2013-08-19	\$393 448	-\$326 554	\$105 398	\$197 799	\$105 398	\$197 799				80 475 kr
8 CWALT		2012-12-18	\$801 471,41	2012-12-18	\$993 746,21	2012-12-18	\$1 038 830,47	\$237 359	2013-08-19	\$490 203	-\$548 627	\$192 275	\$160 595 kr	\$192 275	\$160 595 kr				
9 INDX		2012-12-18	\$308 293,52	2012-12-18	\$361 837,58	2012-12-18	\$375 223,60	\$66 930	2013-02-13	\$315 215	-\$60 009	\$53 544	\$53 544	\$53 322	\$53 544				
10 BOAMS		2013-02-14	\$2 242 591,21	2013-02-26	\$3 463 317,66	2013-02-26	\$4 326 776,28	\$2 084 185	2013-12-06	\$2 303 878	-\$2 022 898	\$1 220 726	\$854 867	\$1 220 726	\$854 867				688 093 kr
11 MSAC		2013-04-02	\$892 953,94	2013-04-15	\$1 040 190,08	2013-04-12	\$1 298 848,85	\$405 895	2014-08-21	\$480 235	-\$818 614	\$147 236	\$212 335 kr	\$147 236	\$212 335 kr				
12 LMT 2008		2013-05-08	\$2 443 859,90	2013-05-17	\$3 015 803,57	2013-05-17	\$3 770 256,99	\$1 326 397	2014-08-21	\$606 497	-\$3 163 760	\$571 944	\$619 347 kr	\$571 944	\$619 347 kr				
13 BAFC		2013-06-07	\$1 390 935,00	2013-06-21	\$2 169 886,94	2013-06-20	\$2 720 961,05	\$1 330 026	2014-09-09	\$910 396	-\$1 810 565	\$778 952	\$456 720 kr	\$778 952	\$456 720 kr				
14 FNLC		2013-07-11	\$1 403 773,00	2013-07-11	\$4 476 773,78	2013-07-12	\$4 978 743,78	\$3 574 971	2013-08-09	\$710 504			\$201 906 kr						
15 GSAA		2013-08-06	\$639 403,34	2013-08-27	\$209 983,45	2013-07-12	\$2 645 332,42	\$1 625 288	2014-08-21	\$1 625 288	-\$2 642 952	\$2 643 581	\$418 899	\$2 643 581	\$418 899				
16 SAIL		2013-07-11	\$1 249 174,11	2013-07-11	\$2 379 115,61	2013-07-12	\$2 645 332,42	\$1 396 158	2014-04-15	\$916 637	-\$1 728 695	\$1 129 942	\$107 079 kr	\$1 129 942	\$107 079 kr				
17 MANA		2013-07-16	\$6 646 302,50	2013-07-24	\$19 722 155,83	2013-07-25	\$21 934 055,83	\$15 287 753	2014-04-25	\$6 018 750	-\$15 915 306	\$13 075 853	\$889 687 kr	\$13 075 853	\$889 687 kr				
		2013-08-09	\$2 379 519,65	2013-08-16	\$4 857 449,15	2013-08-16	\$6 083 153,63	\$3 703 634	2015-09-08	\$1 895 359	-\$4 187 795	\$2 477 930	\$493 763 kr	\$2 477 930	\$493 763 kr				
			\$23 746 661,59		\$47 271 945,65		\$54 071 003,74	\$30 324 342		\$20 380 824	-\$33 690 180	\$23 095 864	\$4 166 124 kr	\$23 095 864	\$4 166 124 kr				
18 CBASS-GAP					2013-02-05	\$1 310 722		2013-04-25	\$1 149 505	-\$161 217			\$128 651						
19 CBASS-GAM					2013-02-05	\$1 397 707		2013-04-25	\$1 184 276	-\$213 431	\$243 454		\$204 999 kr						
						\$56 779 433			\$22 714 605	-\$34 064 828									

Upprättad av EBM.

Bilaga C till

CMLTI

2005-WF

M1

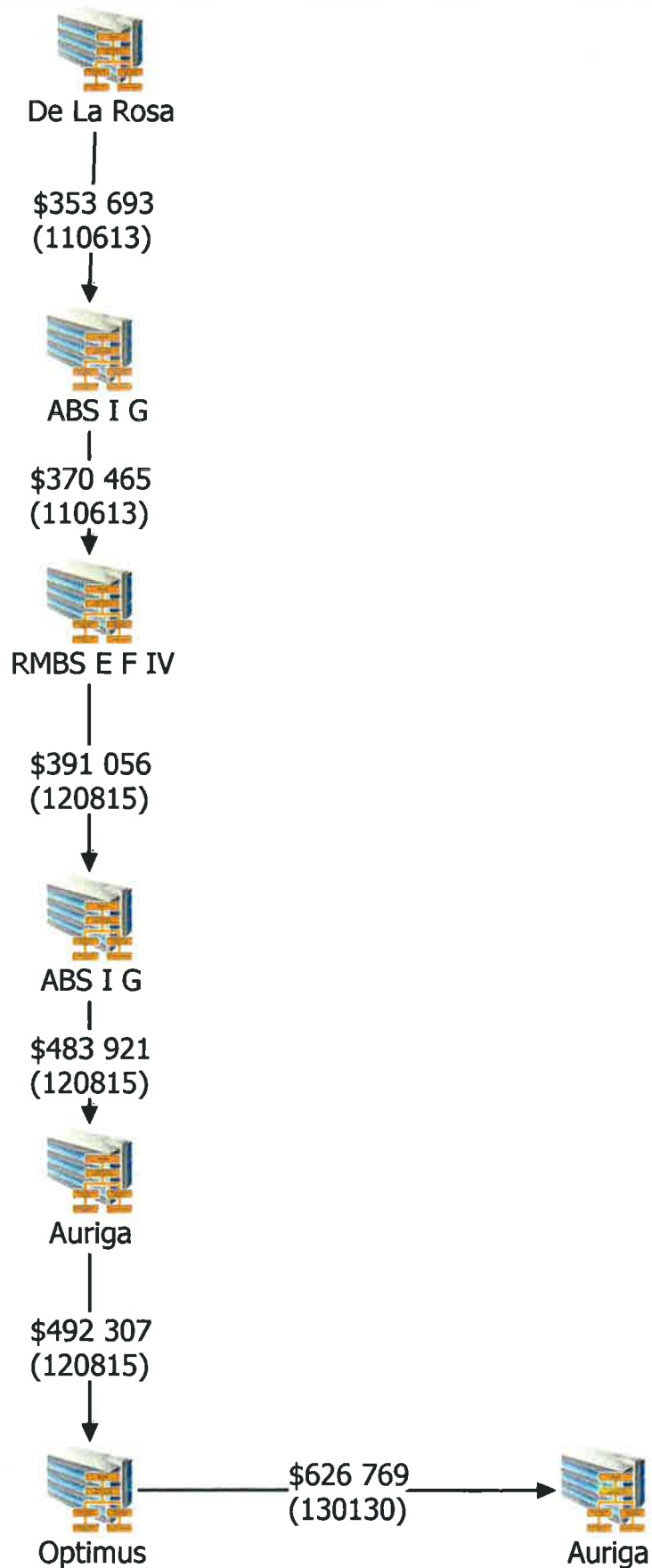
Sid**1 Kap. 7.3.1 CMLTI - Bilaga C**

2 Innehållsförteckning

3 Chart över köp och försäljning av CMLTI

		<u>Från</u>	<u>Avser</u>
4	Bilaga C 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga C 2	Mark Bishop	Trade Ticket
6	Bilaga C 3	Pensionsmyndigheten	Kontoutdrag ABS I G
7	Bilaga C 4	Mark Bishop	Trade Ticket
8 - 9	Bilaga C 5	Mark Bishop	Omniarch Bond Inventory Fund IV Jan2013
10	Bilaga C 6	Mark Bishop	Trade Ticket
11	Bilaga C 7	Pensionsmyndigheten	Kontoutdrag ABS I G
12	Bilaga C 8	Mark Bishop	Summary – MAB Settlement Aug 15, 2012.xlsx
13	Bilaga C 9	Mark Bishop	Trade Ticket
14	Bilaga C 10	Pensionsmyndigheten	Kontoutdrag ABS I G
15	Bilaga C 11	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
16	Bilaga C 12	Mark Bishop	Trade Ticket
17	Bilaga C 13	Mark Bishop	Mail från Auriga till Optimus
18	Bilaga C 14	Mark Bishop	Mail från Auriga till Optimus
19	Bilaga C 15	Swedbank	Kontoutdrag Optimus

Försäljning av MBS "CMLTI"



CMLTI 2005-WF M1

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Säjlare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Settlement Summa
1	US17307GPG72	17307GPG7	925 000	2011-06-08	2011-06-13	CMLTI 2005-WF M1	De La Rosa	ABS I G	0,906571852	\$838 578,96	0,42	\$352 203,16	\$1 489,88	\$353 693,04
2	US17307GPG72	17307GPG7	925 000	2011-06-08	2011-06-13	CMLTI 2005-WF M1	ABS I G	RMBS E F IV	0,906571852	\$838 578,96	0,44	\$368 974,74	\$1 489,88	\$370 464,62
3	US17307GPG72	17307GPG7	925 000	2012-08-07	2012-08-15	CMLTI 2005-WF M1	RMBS E F IV	ABS I G	0,906571850	\$838 578,96	0,465	\$389 939,22	\$1 117,41	\$391 056,63
4	US17307GPG72	17307GPG7	925 000	2012-08-07	2012-08-15	CMLTI 2005-WF M1	ABS I G	Auriga	0,906571850	\$838 578,96	0,575	\$482 182,90	\$1 738,19	\$483 921,09
5	US17307GPG72	17307GPG7	925 000	2012-08-07	2012-08-15	CMLTI 2005-WF M1	Auriga	Optimus	0,906571850	\$838 578,96	0,585	\$490 568,69	\$1 738,19	\$492 306,88
6	US17307GPG72	17307GPG7	925 000	2013-01-24	2013-01-30	CMLTI 2005-WF M1	Optimus	Auriga	0,906571850	\$838 578,96	0,743125	\$623 168,99	\$3 600,53	\$626 769,52

M1 omfattar \$9 135 600

Prospektet finns (343 s)

Resultat för Optimus = \$134 462,64 (Vinst)

Resultat för SVC, UK = \$56 792,00

Resultat för ABS I G = \$92 864,46

186721-3

Search

Settings, Address Book, and Help

Type here to search

This Folder

Address Book

Options

Log Off

Toolbar

Reply Reply to All Forward Move Delete Junk Close

Content Area

Fw: ID : 17307GPG7 *Trade Ticket* As of Date: 6/8/2011

maarvig@absig.com [maarvig@absig.com]

Sent: Thursday, June 09, 2011 06:57

To: Mark Bishop

Attachments:

Sent from my Verizon Wireless BlackBerry

-----Original Message-----

From: Arielle Jacobs <ajacobs@ejdelarosa.com>

Date: Wed, 8 Jun 2011 07:47:33

To: Mark Aarvig <MAarvig@absig.com>

Subject: FW: ID : 17307GPG7 *Trade Ticket* As of Date: 6/8/2011

Mark - here is your trade ticket.
Please let me know which account this is going to.

Thanks,
Arielle

ID : 17307GPG7 *Trade Ticket* As of Date: 6/8/2011
TRDR/SLS : ARIELLE JACOBS Settlement : 6/13/2011
SELLS : 925 (M) ISSUER: CITIGROUP MORTGAGE LOAN T
Security : CMLTI 2005-WF1 M1 5.33%
Price : 42 Yield: 100.423875 Yield to: 10/25/2014 at
Assumed coupon : 5.330000% Prepay speed : 14.0 HEP Factor : 0.906571852

Notes :

Principal	USD	352,203.16	{17307GPG7 MTGE DES <GO>}
Accrued (12 days)		1,489.88	
Transaction Costs		0.00	
Total	USD	353,693.04	

Miscellaneous Fields:

Original Face	: 925 (M)	Current Face	: 838,578.96
Issue Date	: 02/01/05	Maturity Date	: 11/25/34
Average Life	: 3.37	Factor Date	: May11
Accrual Start Date	: 06/01/11	Accrual End Date	: 06/30/11
Next Payment Date	: 07/25/11	Delay	: 24 DAYS

** THIS TICKET GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
To learn more about the BLOOMBERG Professional(r) service,
<http://www.bloomberg.com>

Arielle Jacobs, CFA
Institutional Sales

De La Rosa & Co.
10866 Wilshire Boulevard
Penthouse Suite 1650
Los Angeles, CA 90024
Tel: (310) 207-3616
Fax: (310) 775-8202
<http://www.ejdelarosa.com>

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Paging and Bottom
Toolbar

Connected to Microsoft Exchange

Bilaga C3

Date 6/30/11
Account Number
EnclosuresPage 1
0070

*****EXCLUDE-StreetNotRecogn
1632 0.9060 EX 0.000 9 4 45
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

Funds Availability Policy Changes Effective July 21, 2011
If we delay the availability of your deposit,
the first \$200 will be available to you on the next business day

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	0
Account Number	0070	Statement Dates	6/01/11 thru 6/30/11
Previous Balance	8,260.34	Days in the statement period	30
4 Deposits/Credits	1,114,839.49	Average Ledger	171,877
16 Checks/Debits	1,119,246.99	Average Collected	171,877
Service Charge	329.51		
Interest Paid	.00		
Ending Balance	3,523.33		

Deposits and Additions

Date	Description	Amount
6/08	DDA CREDIT	793,444.00
6/14	DDA CREDIT	137,272.75
6/16	WIRE-IN 20111670009900	793.05
6/20	INTERNAL TRF 2011171000280	183,329.69

Checks and Withdrawals

Date	Description	Amount
6/01	LEASE PMT T.M.C.C	785.66
	6953775816 06/01/11	
	TRACE #-122000032586731	
6/02	PAYMENT AT&T	860.60
	9991300000 06/02/11	
	TRACE #-028000089858022	
6/06	ONLINE PMT 1ST BANKCARD CTR	3,770.91
	W104000010 06/06/11	
	TRACE #-104000014567459	
6/08	WIRE-OUT 20111590002300	5,440.26
6/08	WIRE-OUT 20111590003900	58,918.00
6/13	WIRE-OUT 20111640002300	353,693.04
6/15	WIRE-OUT 20111660001700	459,187.71

*Bilaga C4**** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	08-jun-11	
TRADER:	DE LA ROSA	
CUSIP:	17307GPG7	
BOND NAME:	CMLTI 2005-WF1 M1	
PRICE:	44,0000000	[44-00]
SETTLE:	13-jun-11	[MONDAY]
DAYS ACCRUAL:	12 DYS ACC	
ACCRUAL PAID:	1 489,88	
NEXT PAYMENT:	2011-07-25	[24 DAY DELAY]
SELLS:	925 000,00	
FACTOR:	0,906571852	
CURRENT FACE:	838 578,96	
PRINCIPAL VALUE:	368 974,74	
TOTAL FUNDS:	370 464,62	

TRADED BOND SUMMARY

TRADED BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int	Annualized Cash-on-Cash Return (@ pur dt)	Annualized Cash-on-Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)
2011-07-14	TBW 2007-1 A3	87222EAC2	41.500	1.000	\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007		5.880%	
2012-02-07	GSAMP 2003-HIE1 M	36228FSV9	59.000	0.127509287	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%	
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500	1.000000000	\$2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%	
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750	0.236825420	\$1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.554%	
2011-06-13	CMLTI 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%	
2012-09-11	LMT 2005-2 1A4	5250MBR3	32.500	0.767397731	\$7 558 867.65		\$2 456 631.99		\$ 12 073.19	17.69%		2005	AAA	5.750%	

BOND SOLD DATE	SALE PRICE	FACTOR ON SALE DATE	CURR FACE ON SALE DATE	ACCRUED INTEREST	TOTAL FUNDS	COMMENTS @ PURCHASE	COMMENTS @ SALE
february 17, 2012	46,500%	1,0000000	\$188 000,00	\$491,31	\$87 911,31	Sold to Traction, achieved goal return and recapture Omni sales load.	
17-aug-12	62	0,115776	138931,2	107,46	86244,81	Sold to Auriga, achieved goal return and recapture Omni sales load. Sale will improve weighted aver total return of port.	
17-aug-12	26	1	2500000	440,63	650440,63	Sold to Auriga, achieved goal return and recapture Omni sales load. Sale will improve weighted aver total return of port.	
20-aug-12	63	0,2297827	1074234,12	135,62	676903,12	Sold to Auriga, achieved goal return and recapture Omni sales load. Sale will improve weighted aver total return of port.	
20-aug-12	46,5	0,90657185	838578,96	1117,41	391056,62	Sold to Auriga, achieved goal return and recapture Omni sales load. Sale will improve weighted aver total return of port.	
2012-09-14	36	0,767397731	3318995,19	9542,11	1204380,38	Sold to Auriga, with immediate 11% profit, same month. Still leaves \$4,239,872.46 current face of bond in Portfolio.	



* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE: 07-aug-12
TRADER: ABS Investment Group
CUSIP: 17307GPG7
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: CMLTI 2005-WF1 M1
PRICE: 46,5000000 [46-16]
SETTLE: 10-aug-12 [FRIDAY]
BOND COUPON: 5,330%
DAYS ACCRUAL: 9 DYS ACC
ACCRUAL PAID: 1 117,41
NEXT PAYMENT: 25-sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 925 000,00
FACTOR: 0,906571850
CURRENT FACE: 838 578,96
PRINCIPAL VALUE: 389 939,22
TOTAL FUNDS: 391 056,62

COMMENTS: American RMBS Equity Fund IV/ABSIG sells
 and Auriga USA buys 925,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
 Auriga USA, LLC
 546 Fifth Avenue, 9th Floor
 New York, New York 10036
 +1 (646)998-6430 (office)
 +1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
 ABS Investment Group
 1176 Main Street, Suite 300
 Irvine, California 92614
 +1(877)560-6637 (office)
 +1(949)678-9574 (mobile)
mbishop@absig.com

*Bilaga C 7*Date 8/31/12
Account Number
EnclosuresPage 3
0070
1

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and Withdrawals

Date	Description	Amount
8/23	IB Transfer from D *****070 to D *****005	86,244.81
8/23	IB Transfer from D *****070 to D *****005	391,056.62 ←
8/23	IB Transfer from D *****070 to D *****005	650,440.63
8/23	IB Transfer from D *****070 to D *****005	676,903.12
8/23	OUTGOING WIRES 08.23.12	129,510.83
8/23	WIRE-OUT 20122360009400	20,000.00
8/24	WIRE-OUT 20122370002100	12,000.00
8/27	WIRE-OUT 20122400001400	20,000.00
8/27	WIRE-OUT 20122400002100	1,000.00
8/27	WIRE-OUT 20122400001700	2,000.00
8/27	WIRE-OUT 20122400002200	3,000.00
8/27	WIRE-OUT 20122400001600	4,000.00
8/27	PAID SDGE SD GAS ELEC 5951184800 08/27/12	96.42
8/27	TRACE #-122000494648950	
8/27	Online Pmt HSBC CARD SRVCS 2000000510 08/27/12	5,884.74
8/29	TRACE #-021001081844709	
8/29	WIRE-OUT 20122420002100	20,000.00
8/30	INTERNAL TRF 2012243000200	51,800.00
8/30	WIRE-OUT 20122430002100	18,500.00
8/31	WIRE-OUT 20122440002600	20,000.00
8/31	SERVICE CHARGE	785.58

Checks in Serial Number Order

Date	Check No.	Amount
8/21		10,000.00

*Indicates skip in Check Number

BG 721-3
Bilaga C8

MAB

FUND IV to ABS		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	46,500,000	26,000,000	62,000,000	63,000,000	63,000,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	389 939,22	650 000,00	86 137,19	676 767,50	676 767,50
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	391 677,41	651 029,88	86 447,91	677 084,70	677 084,70

\$109 261,19

ABS to AURIGA		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Additional arb to ABS	23060,9214000	37500,0000000	5730,9016875	42969,3648000	42969,3648000

ABS TO COUNTERPARTIES		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	49,250,000	27,500,000	66,125,000	67,000,000	67,000,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	413 000,14	687 500,00	91 868,09	719 736,86	719 736,86
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	414 738,33	688 529,88	92 178,81	720 054,06	720 054,06

\$1 915 501,08

AURIGA TO ABS		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	57,500,000	32,000,000	75,375,000	76,250,000	76,250,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	482 182,90	800 000,00	104 719,20	819 103,52	819 103,52
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	483 921,09	801 029,88	105 029,92	819 420,72	819 420,72

\$2 209 401,61

TRACTION TO AURIGA		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	58,500,000	33,000,000	76,375,000	77,250,000	77,250,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	490 568,69	825 000,00	106 108,51	829 845,86	829 845,86
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	492 306,88	826 029,88	106 419,23	830 163,06	830 163,06

\$2 254 919,05

\$1 912 105,09

17,75101%

\$339 417,97

3,00000%

\$57 363,15

12,37052%

\$236 537,38

2,38049%

\$45 517,44

\$166 624,34

[total abs investment group arb]

Bilaga C 9

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 07-aug-12
TRADER: ABS Investment Group
CUSIP: 17307GPG7
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: CMLTI 2005-WF1 M1
PRICE: 57,5000000 [57-16]
SETTLE: 15-aug-12 [WEDNESDAY]
BOND COUPON: 5,330%
DAYS ACCRUAL: 14 DYS ACC
ACCRUAL PAID: 1 738,19
NEXT PAYMENT: 25-sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 925 000,00
FACTOR: 0,906571850
CURRENT FACE: 838 578,96
PRINCIPAL VALUE: 482 182,90
TOTAL FUNDS: 483 921,09

COMMENTS: ABSIG sells
and Auriga USA buys 925,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga C 10

Date 8/31/12 Page 1
 Account Number 0070
 Enclosures 1

*****EXCLUDE-Cycle
 1870 1.0090 EX 0.000 3 2 1327
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates	8/01/12 thru 8/31/12
Previous Balance	963,503.15	Days in the statement period	31
11 Deposits/Credits	5,970,846.43	Average Ledger	436,090
51 Checks/Debits	6,807,040.61	Average Collected	436,090
Service Charge	785.58		
Interest Paid	.00		
Ending Balance	126,523.39		

Deposits and Additions

Date	Description	Amount
8/01	WIRE-IN 20122140013600	46,949.01
8/02	IB Transfer from D *****005 to D *****070	241,794.67
8/09	IB Transfer from D *****005 to D *****070	533,563.68
8/10	IB Transfer from D *****005 to D *****070	1,098,674.11
8/14	IB Transfer from D *****005 to D *****070	112,560.89
8/14	IB Transfer from D *****005 to D *****070	312,928.77
8/14	IB Transfer from D *****005 to D *****070	333,971.29
8/14	IB Transfer from D *****005 to D *****070	746,647.72
8/16	IB Transfer from D *****005 to D *****070	334,697.29
8/23	WIRE-IN 20122360001600	906,059.00
8/23	WIRE-IN 20122360005600	1,303,000.00 ←

Checks and withdrawals

Date	Description	Amount
8/01	WIRE-OUT 20122140002500	881,891.01

Bilaga C 11

**AURIGA USA**546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 07/01/2012 - 08/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	2,693,322.71	2,793,383.20
Total Securities	\$2,693,322.71	\$2,793,383.20
Cash		
Deposits	0.00	99,446.36
Total Cash	\$0.00	\$99,446.36
Additional Transactions		
Dividends and Interest	3.40	773.98
Total Additional Transactions	\$3.40	\$773.98
Total Credits	\$2,693,326.11	\$2,893,603.54

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-483,921.09	-683,427.94
Total Securities	-\$483,921.09	-\$683,427.94
Cash		
Withdrawals	-2,209,400.62	-2,209,400.62
Total Cash	-\$2,209,400.62	-\$2,209,400.62
Additional Transactions		
Dividends and Interest	0.00	-42.38
Total Additional Transactions	\$0.00	-\$42.38
Total Debits	-\$2,693,321.71	-\$2,892,870.94

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
08/15/12	08/08/12	SOLD	CWABS INC 2005-16 ASSET BKD CTF CL MV-1 0.706% 04/25/36 B/E DTD 12/28/05 VARIABLE RATE FACTOR 1.00000000 REM BAL 2500000.00	-2,500,000.000	32.0000	1,029.88	801,029.88	USD
08/15/12	08/08/12	SOLD	CITIGROUP MTG LN TR 2005-WF1 MTG PASSTHRU CTF CL M-1 5.330% 12/25/34 B/E DTD 02/01/05 VARIABLE RATE FACTOR .90657185 REM BAL 838578.96	-925,000.000	57.5000	1,738.19	483,921.09	USD
18/15/12	08/08/12	SOLD	GS MTG SECS CORP 2003-HE1 MTG PASSTHRU CTF CL M-2 VAR RATE 2.146% 06/20/33 B/E DTD 05/28/03 VARIABLE RATE FACTOR .11577579 REM BAL 138930.95	-1,200,000.000	75.3750	310.72	105,029.93	USD
08/15/12	08/08/12	SOLD	PEOPLES CHOICE HOME LN SECS TR 2005-4 MTG PASSTHRU CTF CL 1A2 ADJUSTABLE RATE 0.506% 12/25/35 B/E DTD 10/26/05 VARIABLE RATE FACTOR .22978270 REM BAL 1074234.12	-4,675,000.000	76.2500	317.20	819,420.72	USD

Page 3 of 7

A0026327CESF10013

PAR-02-ROLL

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.

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Ask about e-delivery

 #1 Brokerage Statement,
2009, 2010
DAUBERATED
FOR COMMUNICATION

 Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member SIPC NYSE SIPC

AURIGA USA, LLC*** TRADE TICKET *****Auriga USA LLC**

TRADE DATE: 07-aug-12
TRADER: Auriga
CUSIP: 17307GPG7
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: CMLTI 2005-WF1 M1
PRICE: 58,5000000 [58-16]
SETTLE: 15-aug-12 [WEDNESDAY]
BOND COUPON: 5,330%
DAYS ACCRUAL: 14 DYS ACC
ACCRUAL PAID: 1 738,19
NEXT PAYMENT: 25-sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 925 000,00
FACTOR: 0,906571850
CURRENT FACE: 838 578,96
PRINCIPAL VALUE: 490 568,69
TOTAL FUNDS: 492 306,88

COMMENTS: Auriga USA sells and Traction Fonder AB buys 925,000 orig face.

Contacts

Seller: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Purchaser: Ulf Deckmark, COO
Traction Fonder AB
Hammarby Kaj 16
12030 Stockholm, Sweden
+46 850255604 (office)
+46 705309331 (mobile)
ulf.dekmark@tractionfonder.se

FW: CMLTI 2005-WF1 M1 – Outlook Web Access Light

8/15/12 8:28 AM

Office Outlook Web Access Type here to search This Folder Address Book Options Log Off

FW: CMLTI 2005-WF1 M1
Alex Hendrickson [alex@aurigaussallc.com]

Sent: Wednesday, August 08, 2012 10:23 AM
To: Mark Bishop

Alex Hendrickson
Managing Director
AURIGA USA, LLC
546 Fifth Ave, 9th Floor, New York, NY 10036
Ph: (646) 998-6430 M: (646) 303-5051
Email - Alex@aurigaussallc.com

Deleted Items (19)
Drafts
Inbox (113)
Junk E-Mail (197)
Sent Items

Click to view all folders

Deleted Messages (1161)
SAVE (2)
Manage Folders...

-----Original Message-----
From: Alex Hendrickson [mailto:alex@aurigaussallc.com]
Sent: Wednesday, August 08, 2012 1:20 PM
To: 'Ulf Deckmark'; 'traction.backoffice@ws.se'
Subject: CMLTI 2005-WF1 M1

Ulf - Auriga sells to the Traction High Yield Fund

Thank you very much for the trade..

Auriga Settlement Instructions:
EUROCLEAR #: 98730

- DTC INSTRUCTIONS: DTC #0443
- NSCC COMPARISONS: #0443 ("ARGA") (MPID has CHANGED)
- Physical Deliveries: NSCC/Envelope Participant #0443

ID CONFIRMS:
Institutional ID # 62900
Agent Bank # 00443

ID : 17307GPG7 *Trade Ticket* As of Date: 8/8/2012
TRDR/SLS : ALEX HENDRICKSON Settlement : 8/15/2012
SELLS : 925 (M) ISSUER:CITIGROUP MORTGAGE
LOAN T
Security : CMLTI 2005-WF1 M1 5.33%
Price : 58-16 Yield:12.166370 Yield to: 11/2/2024 at
Assumed coupon : 5.330000% Prepay speed : 10.0 HEP Factor : 0.906571850

Notes :

{17307GPG7 MTGE DES <GO>}
ISIN: US17307GPG72

Principal	USD	490,568.69
Accrued (14 days)		1,738.19
Transaction Costs		0.00
Total	USD	492,306.88

Miscellaneous Fields:
Original Face : 925 (M) Current Face : 838,578.96
Issue Date : 02/01/05 Maturity Date : 11/25/34
Average Life : 12.21 Factor Date : Jul12
Accrual Start Date : 08/01/12 Accrual End Date : 08/31/12
Next Payment Date : 09/25/12 Delay : 24 DAYS

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Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Connected to Microsoft Exchange

Bilaga C14

Birgitta Palm-Fredriksson

From: Alex Hendrickson [alex@aurigausallo.com]
Sent: den 24 januari 2013 18:31
To: Ulf Deckmark; traction.backoffice
Subject: CMLTI 2005-WF1 M1

Ulf - Auriga buys from Traction

Auriga DTC 0443
 ISIN: US17307GPG72
 CUSIP: 17307GPG7
 Settlement date is January 30th, 2013

TRADER: ALEX HENDRICKSON CUSIP: 17307GPG7
 BUYS: 925,000 of CMLTI 2005-WF1 M1 5.33% 11/25/34
 PRICE: 74.3125000 assuming HEP= 10.0 YTM: 9.155 AL=11.83YR
 SETTLE: 01/30/13 [Wed] Accrual Pd: 01/01/13 - 01/31/13 Monthly
 Next Payment: 02/25/13 (24 day delay)
 ** Curr Face \$ 838,578.96 <Dec12 Factor 0.9065718519>
 PRINCIPAL VAL \$ 623,168.99
 + 29 Days ACC \$ 3,600.53 !ASSUMED: Jan13 coupon unknown
 = TOTAL FUNDS \$ 626,769.52 **

Thanks for the trade..

--

Our detailed disclaimer can be seen at <https://console.mxlogic.com/redirect/?1s0sjhKyyrhv7nud79I05Hsp4trFFVZKnN21b7X9nUCXYyMCY-ehojd79KVLj3MlHsp4trFFVZKndIT7cCQmnPhPtXVN5MSyedygS5gi6Cyle2T0U2yW9Ew6Qjs3VUIvIE6Qjq9J5MsqekhNEVvdF3vs>

Bilaga C 15

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	DTCYID/00443	Buy	2012-08-08	2012-08-15	US17307GPG72	CONFIRMED	901901350628	925000	492306,88	USD	DTCYUS33XXX	UNITED STATES	30859
OPTIMUS FONDER AB	DTCYID/00443	Sell	2013-01-24	2013-01-30	US17307GPG72	CONFIRMED	901901350628	925000	626769,52	USD	DTCYUS33	UNITED STATES	31827

Bilaga D till

CWL

2005-16

MV1

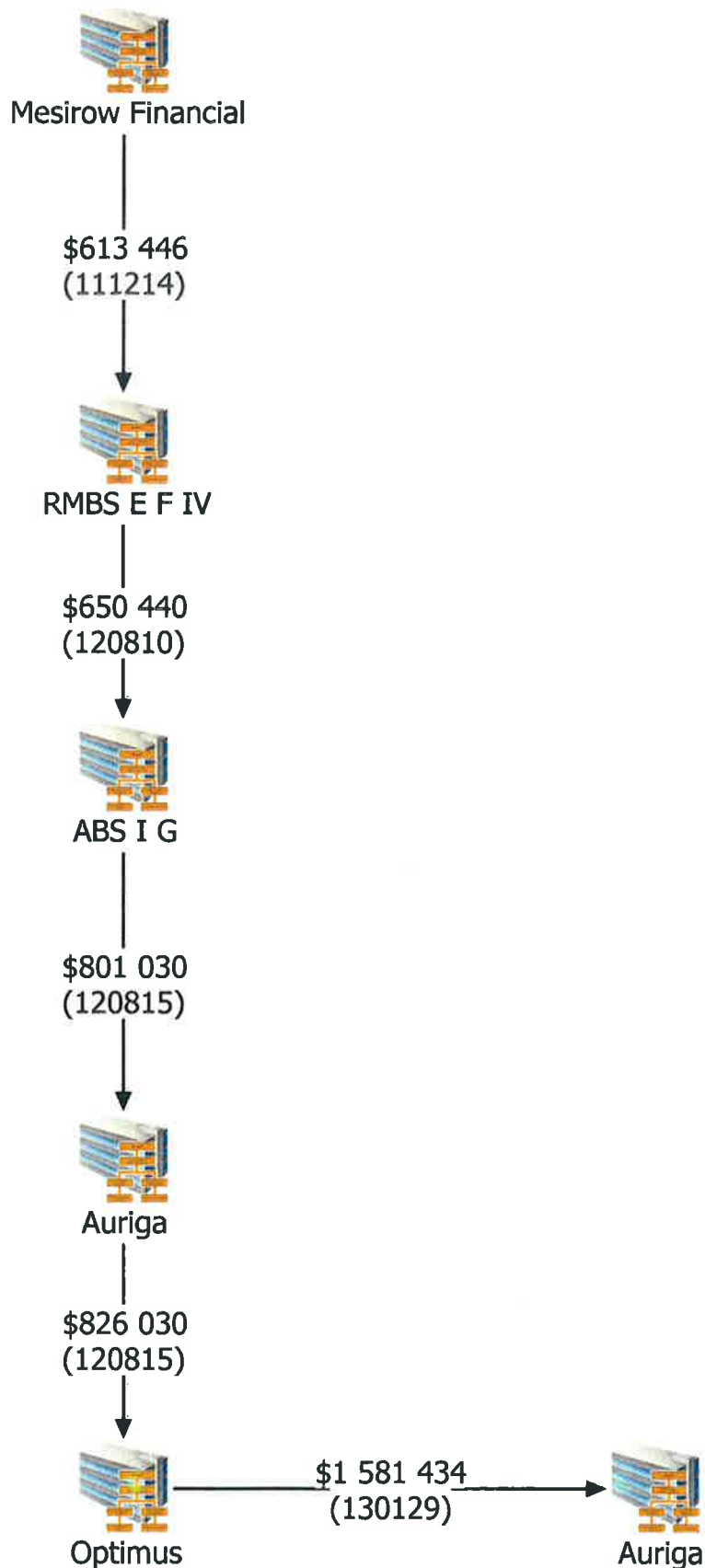
1 **Kap. 7.3.2 CWL - Bilaga D**

2 Innehållsförteckning

3 Chart över köp och försäljning av CWL

		<u>Från</u>	<u>Avser</u>
4	Bilaga D 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga D 2	Mark Bishop	Trade Ticket
6	Bilaga D 3	Mark Bishop	Inventory for purchase
7	Bilaga D 4	Mark Bishop	Trade Ticket
8	Bilaga D 5	Pensionsmyndigheten	Kontoutdrag ABS I G
9 - 10	Bilaga D 6	Mark Bishop	Traded Bond summary fund IV
11	Bilaga D 7	Mark Bishop	Summary – MAB Settlement Aug 15, 2012.xlsx
12	Bilaga D 8	Pensionsmyndigheten	Kontoutdrag ABS I G
13	Bilaga D 9	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
14	Bilaga D 10	Mark Bishop	Mail från Auriga till Mark Bishop
15	Bilaga D 11	Mark Bishop	Trade Ticket
16	Bilaga D 12	Swedbank	Kontoutdrag Optimus
17	Bilaga D 13	Mark Bishop	Trade Ticket

Försäljning av MBS "CWL"



CWL 2005-16 MV1

						</												

MV1 omfattar \$43 200 000

Prospektet finns (466 s)

Resultat för Optimus = \$755 404,48 (Vinst)

Resultat för SVC, UK = \$91 875,00

Resultat för ABS I G = \$150 589,25

136721-3
Trade Ticket CWL 2005-16
Bilaga D2

*** TRADE TICKET ***

ABS INVESTMENT GROUP

TRADE DATE:	9-Dec-11	
TRADER:	MESIROW FINANCIAL	
CUSIP:	126670PH5	
BOND NAME:	CWL 2005-16 MV1	
PRICE:	24.5000000	[24-16]
SETTLE:	14-Dec-11	[WEDNESDAY]
DAYS ACCRUAL:	19 DYS ACC	
ACCRUAL PAID:	946.33	
NEXT PAYMENT:	12/25/11	[0 DAY DELAY]
SELLS:	2,500,000.00	
FACTOR:	1.000000000	
CURRENT FACE:	2,500,000.00	
PRINCIPAL VALUE:	612,500.00	
TOTAL FUNDS:	613,446.33	

OMNIARCH - AMERICAN RMBS EQUITY FUND IV

CURRENT BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ par dt)	Curr. Face - Notional Amount (@ par dt)	Notional Amount (current)	Market Value (@ par dt)	Current Basis	Accrued Int	Annualized Cash Return (@ par dt)	Annualized Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ par dt)	Class Coupon Rate (current)	Subordination, Current Percent (ex OC), Notes
2010-04-28	BOAMS 2004-11 30U	05949AD98	19.625		\$ 4,075,030.82		\$ 799,724.80		\$ 16,809.50	28.03%		2004	AAA	5.500%		0.00%
2010-05-28	WFMBS 2005-17 1A2	94982SAE3	50.000		\$ 71,305.06		\$ 35,654.03		\$ 294.15	11.09%		2005	AAA	5.500%		3.72%
2010-05-28	GSR 2006-1F 5A2	362341BA0	35.000		\$ 30,993.49		\$ 10,844.22		\$ 139.43	17.14%		2006	AAA	6.000%		1.67%
2010-05-28	CVHL 2006-1 A3	126694XD5	35.000		\$ 21,945.55		\$ 7,691.99		\$ 98.77	17.14%		2006	AAA	6.000%		4.33%
2010-06-02	BAFC 07472 1A2	05953YAU5	46.750		\$ 1,566,280.12		\$ 732,235.96		\$ 239.29	11.76%		2007	AAA	5.500%		1.71%
2010-09-22	WFALT 2007-PAS 2A2	949922AU2	48.750		\$ 579,787.91		\$ 282,646.61		\$ 2,029.28	12.31%		2007	AAA	6.000%		2.7%, Prin. Pay
2010-10-21	JPAL T 2006-A1 4A2	46827MDR0	25.000		\$ 5,260,775.24		\$ 1,315,193.81		\$ 17,318.29	23.70%		2006	AAA	5.926%		1.8%, Prin. Pay
2010-11-05	SASC 2005-WF4 B2	863576DR2	2.500		\$ 4,186,222.50		\$ 104,655.56		\$ 3,525.58	110.25%		2005	BBB	2.756%		Pamplona, 24 mo, 200% total return
2011-01-19	CVHL 2006-1 A3	126694XD5	34.000		\$ 69,985.22		\$ 23,794.29		\$ 209.95	17.85%		2006	AAA	6.000%		Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-01-25	RAST 2003-A11 B3	45860NVF9	42.625		\$ 1,333,551.29		\$ 558,426.24		\$ 5,111.95	13.49%		2003	AA	5.750%		Bullet proof, 2003 vintage, prin pay
2011-02-25	RALI 2004-QA4 M2	76110HZ05	26.375		\$ 871,148.99		\$ 229,765.55		\$ 2,285.82	14.92%		2004	AAA	3.935%		Bullet proof, 2004 vintage, prin pay
2011-03-23	HVMT 2005-3 X2	41161PNK5	7.250		\$ 4,011,894.46		\$ 280,862.35		\$ 5,196.41	32.55%		2005	AAA	2.360%		Purchased with GSAMP 06 HE7 M2, blended COC return of 10.5%
2011-04-04	CVMLT 04-HYB4 1M	17307GMP0	49.000		\$ 443,747.63		\$ 217,438.34		\$ 157.92	8.72%		2004	AAA	4.271%		Solid core bond, curr prin pay, 2004 vintage, 4% subordination, 25% ROI
2011-04-19	GSAMP 2006-HE7 M2	36245EAG3	29.500		\$ 2,305,000.00		\$ 679,975.00		\$ 778.85	1.98%		2006	AAA	0.552%		Purchased with HVMT 0503 X2, blended COC return of 10.5% (ARM, big upside)
2011-05-04	RAST 2003-A4 B3	45660NPV1	47.500		\$ 1,123,282.71		\$ 533,559.29		\$ 538.24	12.11%		2003	AAA	5.750%		Solid core bond, curr prin pay, 2003 vintage, 2% subordination, 28% ROI
2011-06-13	CVMLT 2005-WF1 M1	17307GP07	44.000		\$ 838,578.95		\$ 368,974.74		\$ 1,469.88	12.11%		2005	AA	5.330%		Solid pay, principal protection, 10% growing subordination
2011-06-15	CXHE 2002-A MF2	152314EN6	45.250		\$ 1,158,705.61		\$ 524,314.74		\$ 2,945.98	14.45%		2002	A	5.540%		2002 vintage, solid pay, prin protection, 9.2% growing subordination, 23% ROI
2011-10-26	HSAC 2003-NC8 B3	617456DS7	37.000		\$ 2,008,538.07		\$ 742,419.09		\$ 326.60	15.84%		2003	AAA	5.880%		Solid core bond, holds up in ABSIG model, exceptional COC and total return.
2011-10-26	CHEC 2004-1 M3	162765AF8	51.000		\$ 903,745.08		\$ 460,908.99		\$ 23.72	1.85%		2004	AAA	0.945%		Solid "float" with fast pre-pay of principal, and 20%+ total return. Bullet proof.
2011-11-02	BOAMS 2004-8 151C	05949ATT7	9.938		\$ 289,087.09		\$ 28,728.03		\$ 42.16	52.93%		2004	AAA	5.250%		Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-11-08	GSA 2006-6 AF7	362334MZ4	25.000		\$ 4,321,000.00		\$ 1,080,250.00		\$ 4,919.89	23.42%		2006	AAA	5.855%		Solid core bond, holds up in ABSIG model, exceptional COC and total return.
2011-12-05	MSM 2007-14AR 3A	617551AV1	1.250		\$ 34,161,499.26		\$ 427,019.74		\$ 1,897.86	40.00%		2007	AAA	0.500%		Solid performance, long term cash flow, exceptional cash-on-cash return.
2011-12-07	CWL 2005-1 MF2	126673WF5	36.125		\$ 2,331,528.32		\$ 842,264.61		\$ 2,139.18	15.24%		2005	AA	5.505%		Solid core bond, holds up in ABSIG model, good COC return, 4.3% OC, 20.4% sub.
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500		\$ 2,500,000.00		\$ 612,500.00		\$ 946.33	2.86%		2005	AA	0.700%		Solid core bond, holds up in ABSIG model, exceptional total return, 38% subordination.
2012-01-03	NAA 2005-AR5 1A2	65535VQM3	24.000		\$ 1,327,068.46		\$ 318,498.43		\$ 218.11	12.33%		2005	AAA	2.960%		Solid performance, 40%+ total return, 7%+ subordination, curr. prin. pay.
2012-01-19	SAST 2003-1 M2	805564NC1	59.625		\$ 383,817.88		\$ 228,732.16		\$ 696.94	4.71%		2003	A	2.810%		Can't be broken, 15% total return, curr. prin. pay, 14% credit support, 03 vintage, upside.
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750		\$ 1,107,158.84		\$ 661,527.41		\$ 459.69	0.93%		2005	AAA	0.554%		Solid performance, 20%+ total return, 39%+ subordination, curr. prin. pay.
2012-02-06	RALI 2002-QS2 B1	76110GWJ6	50.750		\$ 624,870.14		\$ 317,121.60		\$ 585.82	13.30%		2002	AAA	6.750%		Core bond, 30%+ total return, subordination, curr. prin. 2002 vintage.
2012-02-08	RALI 2002-QS2 B1	76110GWJ6	50.750		\$ 283,542.13		\$ 143,897.63		\$ 372.15	13.30%		2002	AAA	6.750%		Smaller "matcher". Core bond, 30%+ total return, subordination, curr. prin. 2002 vintage.
2012-02-07	GSAMP 2003-HE1 M	36228BFSV9	59.000		\$ 153,011.14		\$ 90,275.57		\$ 239.53	5.31%		2003	AAA	3.131%		Core bond, 21%+ total return, 12% subordination, curr. prin. pay, 2003 vintage.
2012-02-15	HMBT 2004-2 M2	43739EAM9	35.500		\$ 1,722,670.47		\$ 611,548.02		\$ 1,433.28	4.02%		2004	A	1.426%		Solid performance, holds up in ABSIG model, 35%+ total return, '04 vintage, curr. prin. pay.
2011-07-14	TBW 2007-1 A3	87222EAC2	41.500		\$ 198,000.00		\$ 78,020.00		\$ 389.18	14.17%		2007		5.880%		Solid core bond, curr prin pay, 28% ROI
2010-06-25	CWL 2005-17 1AF4	126870QU5	38.000		\$ 1,218,271.00		\$ 462,942.98		\$ 4,810.23	15.58%		2005	AAA	5.922%		2.4% + AMBAC
2010-06-23	SAIL 03BC11M4	86358EE05	85.000		\$ 1,130,574.17		\$ 960,988.04		\$ 3,655.52	5.71%		2003	BBB+	4.850%		7.59%, Prin. Pay, Rev. Seq.
2011-07-12	LBMLT 2003-4 M5F	542514EP5	36.250		\$ 786,747.42		\$ 285,195.94		\$ 1,493.93	17.14%		2003	A	6.214%		2003 vintage, holds up against 6X loss model, 6% subordination, 35% ROI.
2011-07-19	CBASS 2007-CB1 AF	1248MGAP9	46.563		\$ 2,380,691.01		\$ 1,113,165.50		\$ 7,007.62	12.59%		2007	AAA	5.862%		Principal pay, pro rata bond, increasing OC at 4.1%
2011-07-22	WAMU 2004-AR14 B	939336W66	27.500		\$ 2,658,720.32		\$ 730,598.09		\$ 3,995.25	9.38%		2004	AAA	2.580%		2004 vintage, holds up against 6X loss model, float w/ big upside, curr prin pay, 23% ROI
2011-08-03	CBASS 2007-CB1 AF	1248MGAM6	48.375		\$ 2,459,058.38		\$ 1,169,569.49		\$ 789.37	12.22%		2007	AAA	5.910%		Principal pay, pro rata bond, resilient to credit stress, 20+ return, increasing OC at 4.1%.
2011-10-11	LBMLT 2003-3 M3	542514EC4	35.000		\$ 1,728,237.50		\$ 604,883.13		\$ 3,949.43	15.67%		2003	AAA	5.485%		Principal pay, pro rata bond, resilient to credit stress, 20+ return, OC.
2011-11-02	BOAMS 2006-3 1A1	05950LAN2	20.500		\$ 1,098,312.48		\$ 224,744.06		\$ 190.33	30.49%		2006	AAA	6.250%		Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-12-15	POPLR 2005-2 M4	73316PCN8	17.500		\$ 1,634,794.10		\$ 286,088.97		\$ 5,231.20	34.65%		2005	AAA	6.063%		77% 2004 collateral, 10% credit enhancement, solid total return.
					\$ 95,352,673.82		#####		\$ 105,001.60	14.91%						

Bilaga D4

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 7-Aug-12
TRADER: ABS Investment Group
CUSIP: 126670PH5
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: CWL 2005-16 MV1
PRICE: 26.0000000 [26-00]
SETTLE: 10-Aug-12 [FRIDAY]
BOND COUPON: 0.705%
DAYS ACCRUAL: 9 DYS ACC
ACCRUAL PAID: 440.63
NEXT PAYMENT: 25-Sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 2,500,000.00
FACTOR: 1.000000000
CURRENT FACE: 2,500,000.00
PRINCIPAL VALUE: 650,000.00
TOTAL FUNDS: 650,440.63

COMMENTS: American RMBS Equity Fund IV/ABSIG sells
and Auriga USA buys 2,500,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausa.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga D 5

Case 2:17-cv-04155-SVW-KES Document 12-5 Filed 06/05/17 Page 127 of 175 Page ID #:423

Date 8/31/12
Account Number
EnclosuresPage 3
0070
1

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
8/23	IB Transfer from D *****070 to D *****005	86,244.81
8/23	IB Transfer from D *****070 to D *****005	391,056.62
8/23	IB Transfer from D *****070 to D *****005	650,440.63 ←
8/23	IB Transfer from D *****070 to D *****005	676,903.12
8/23	OUTGOING WIRES 08.23.12	129,510.83
8/23	WIRE-OUT 20122360009400	20,000.00
8/24	WIRE-OUT 20122370002100	12,000.00
8/27	WIRE-OUT 20122400001400	20,000.00
8/27	WIRE-OUT 20122400002100	1,000.00
8/27	WIRE-OUT 20122400001700	2,000.00
8/27	WIRE-OUT 20122400002200	3,000.00
8/27	WIRE-OUT 20122400001600	4,000.00
8/27	PAID SDGE SD GAS ELEC 5951184800 08/27/12	96.42
8/27	TRACE #-122000494648950	
8/27	Online Pmt HSBC CARD SRVCS 2000000510 08/27/12	5,884.74
8/29	TRACE #-021001081844709	
8/30	WIRE-OUT 20122420002100	20,000.00
8/30	INTERNAL TRF 2012243000200	51,800.00
8/30	WIRE-OUT 20122430002100	18,500.00
8/31	WIRE-OUT 20122440002600	20,000.00
8/31	SERVICE CHARGE	785.58

Checks in Serial Number Order

Date	Check No.	Amount
8/21		10,000.00

*Indicates Skip in Check Number

Bilaga D6

TRADED BOND SUMMARY

TRADED BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int	Annualized Cash-on-Cash Return (@ pur dt)	Annualized Cash-on-Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)
2011-07-14	TBW 2007-1 A3	87222EAC2	41.500	1,000	\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007		5.860%	
2012-02-07	GSAMP 2003-HE1 M	36228FSV9	59.000	0.127509287	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%	
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500	1,000000000	\$ 2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%	
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750	0.236825420	\$ 1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.564%	
2011-06-13	CMLTI 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%	
2012-09-11	LMT 2005-2 1A4	5250MBR3	32.500	0.767397731	\$ 7 558 867.65		\$ 2 456 631.99		\$ 12 073.19	17.69%		2005	AAA	5.750%	

BOND SOLD DATE	SALE PRICE	FACTOR ON SALE DATE	CURR FACE ON SALE DATE	ACCRUED INTEREST	TOTAL FUNDS	COMMENTS @ PURCHASE	COMMENTS @ SALE
february 17, 2012	46.500%	1.0000000	\$188 000.00	\$491.31	\$87 911.31	Sold to Tracton, achieved goal return and recapture Omni sales load.	
17-aug-12	62	0.115776	138931.2	107.46	86244.81	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
17-aug-12	26	1	2500000	440.63	650440.63	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	63	0.2297827	1074234.12	135.62	876903.12	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	46.5	0.90657185	838578.96	1117.41	391056.62	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
2012-09-14	36	0.767397731	3318995.19	9542.11	1204380.38	Sold to Auriga, with immediate 11% profit, same month. Still leaves \$4,239,872.46 current face of bond in Portfolio.	

MAB

FUND IV to ABS		CMLTI 2005-WF1 M CWL 2005-16 MV: GSAMP 2003-HE1 M: PCHLT 2005-4 1A2	
Price	46,500,000	26,000,000	62,000,000
Curr Face	838 578,96	2 500 000,00	138 930,95
Prin	389 939,22	650 000,00	86 137,19
Int	1 738,19	1 029,88	310,72
Settlement	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	391 677,41	651 029,88	86 447,91
ABS to AURIGA		CMLTI 2005-WF1 M CWL 2005-16 MV: GSAMP 2003-HE1 M: PCHLT 2005-4 1A2	
Additional arb to ABS	23060,9214000	37500,0000000	5730,9016875
		\$109 261,19	

ABS TO COUNTERPARTIES		CMLTI 2005-WF1 M CWL 2005-16 MV: GSAMP 2003-HE1 M: PCHLT 2005-4 1A2	
Price	49,250,000	27,500,000	66,125,000
Curr Face	838 578,96	2 500 000,00	138 930,95
Prin	413 000,14	687 500,00	91 868,09
Int	1 738,19	1 029,88	310,72
Settlement	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	414 738,33	688 529,88	92 178,81
AURIGA TO ABS		CMLTI 2005-WF1 M CWL 2005-16 MV: GSAMP 2003-HE1 M: PCHLT 2005-4 1A2	
Price	57,500,000	32,000,000	75,375,000
Curr Face	838 578,96	2 500 000,00	138 930,95
Prin	482 182,90	800 000,00	104 719,20
Int	1 738,19	1 029,88	310,72
Settlement	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	483 921,09	801 029,88	105 029,92
		\$2 209 401,61	

\$1 915 501,08

\$2 209 401,61

TRACTION TO AURIGA		CMLTI 2005-WF1 M CWL 2005-16 MV: GSAMP 2003-HE1 M: PCHLT 2005-4 1A2	
Price	58,500,000	33,000,000	76,375,000
Curr Face	838 578,96	2 500 000,00	138 930,95
Prin	490 568,69	825 000,00	106 108,51
Int	1 738,19	1 029,88	310,72
Settlement	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	492 306,88	826 029,88	106 419,23
		\$2 254 919,05	

\$2 254 919,05

Total Principal \$1 912 105,09

TOTAL ARB \$339 417,97 17,75101%

NET ARB TO ABS \$57 363,15 3,00000%

NET ARB TO SOLID EQUITY \$236 537,38 12,37052%

TOTAL ARB TO AURIGA \$45 517,44 2,38049%

[total abs investment group arb]

\$166 624,34

BG 721-3

Bilaga 07

Date 8/31/12 Page 1
Account Number 0070
Enclosures 1

*****EXCLUDE-Cycle
1870 1.0090 EX 0.000 3 2 1327
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates	8/01/12 thru 8/31/12
Previous Balance	963,503.15	Days in the statement period	31
11 Deposits/Credits	5,970,846.43	Average Ledger	436,090
51 Checks/Debits	6,807,040.61	Average Collected	436,090
Service Charge	785.58		
Interest Paid	.00		
Ending Balance	126,523.39		

Deposits and Additions		
Date	Description	Amount
8/01	WIRE-IN 20122140013600	46,949.01
8/02	IB Transfer from D *****005 to D *****070	241,794.67
8/09	IB Transfer from D *****005 to D *****070	533,563.68
8/10	IB Transfer from D *****005 to D *****070	1,098,674.11
8/14	IB Transfer from D *****005 to D *****070	112,560.89
8/14	IB Transfer from D *****005 to D *****070	312,928.77
8/14	IB Transfer from D *****005 to D *****070	333,971.29
8/14	IB Transfer from D *****005 to D *****070	746,647.72
8/16	IB Transfer from D *****005 to D *****070	334,697.29
8/23	WIRE-IN 20122360001600	906,059.00 ←
8/23	WIRE-IN 20122360005600	1,303,000.00

Checks and withdrawals		
Date	Description	Amount
8/01	WIRE-OUT 20122140002500	881,891.01

Bilaga D9



AURIGA USA
546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 07/01/2012 - 08/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	2,693,322.71	2,793,383.20
Total Securities	\$2,693,322.71	\$2,793,383.20
Cash		
Deposits	0.00	99,446.36
Total Cash	\$0.00	\$99,446.36
Additional Transactions		
Dividends and Interest	3.40	773.98
Total Additional Transactions	\$3.40	\$773.98
Total Credits	\$2,693,326.11	\$2,893,603.54

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-483,921.09	-683,427.94
Total Securities	-\$483,921.09	-\$683,427.94
Cash		
Withdrawals	-2,209,400.62	-2,209,400.62
Total Cash	-\$2,209,400.62	-\$2,209,400.62
Additional Transactions		
Dividends and Interest	0.00	-42.38
Total Additional Transactions	\$0.00	-\$42.38
Total Debits	-\$2,693,321.71	-\$2,892,870.94

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
08/15/12	08/08/12	SOLD BAC330596	CWABS INC 2005-16 ASSET BKD CTF CL MV-1 0.706% 04/25/35 B/E DTD 12/28/05 VARIABLE RATE FACTOR 1.00000000 REM BAL 2500000.00	-2,500,000.000	32.0000	1,029.88	801,029.88	USD
08/15/12	08/08/12	SOLD C3295653	CITIGROUP MTG LN TR 2005-WF1 MTG PASSTHRU CTF CL M-1 5.330% 12/25/34 B/E DTD 02/01/05 VARIABLE RATE FACTOR .90657185 REM BAL 838578.96	-925,000.000	57.5000	1,738.19	483,921.09	USD
08/15/12	08/08/12	SOLD GS3469369	GS MTG SECS CORP 2003-HE1 MTG PASSTHRU CTF CL M-2 VAR RATE 2.146% 06/20/33 B/E DTD 05/28/03 VARIABLE RATE FACTOR .11577579 REM BAL 138930.95	-1,200,000.000	75.3750	310.72	105,029.93	USD
08/15/12	08/08/12	SOLD PCHO34926	PEOPLES CHOICE HOME LN SECS TR 2005-4 MTG PASSTHRU CTF CL 1A2 ADJUSTABLE RATE 0.506% 12/25/35 B/E DTD 10/26/05 VARIABLE RATE FACTOR .22978270 REM BAL 1074234.12	-4,675,000.000	76.2500	317.20	819,420.72	USD

Page 3 of 7

A0026327CSP30013

PAP-02-ROLL

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.

go paperless
Ask about e-delivery



BT Brokerage Statement
2009, 2010
DALLAS RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC is a member of FINRA, SIPC

BG 721-3
Bilaga D10
8/25/12 8:20 AM

CWL 2005-16 MV1 - Outlook Web Access Light

Microsoft Office Outlook Web Access

Type here to search This Folder Address Book Options Log Off

Mail Calendar Contacts

Deleted Items (87) Drafts [1] Inbox (128) Junk E-Mail [383] Sent Items

Click to view all folders

Deleted Messages (1312) SAVE (2) Manage Folders...

CWL 2005-16 MV1
Alex Hendrickson [alex@aurigaussallc.com]
Sent: Wednesday, August 08, 2012 8:06 AM
To: Mark Bishop

Mark this work ?? these are the tickets produced by TOMS (Bloomberg trade system we use)

Ulf - Auriga sells to the Traction High Yield Fund

Thank you very much for the trade..

Auriga Settlement Instructions:
 EUROCLEAR #: 98730

- DTC INSTRUCTIONS: DTC #0443
- NSCC COMPARISONS: #0443 ("ARGA") (MPID has CHANGED)
- Physical Deliveries: NSCC/Envelope Participant #0443

ID CONFIRMS:
 Institutional ID # 62900
 Agent Bank # 00443

TRDR/SLS : ALEX HENDRICKSON Settlement : 8/15/2012
 SELLS : 2500 (M) ISSUER:COUNTRYWIDE
 ASSET-BACKED
 Security : CWL 2005-16 MV1 0.7062%
 Price : 33 Yield:32.656554 Yield to: 5/30/2016 at
 Coupon : 0.706200% Prepay speed : 15.0 CPR Factor : 1.000000000

Notes :

{126670PH5 MTGE DES

<GO>}

Principal	USD	825,000.00
Accrued (21 days)		1,029.88
Transaction Costs		0.00
Total	USD	826,029.88

Miscellaneous Fields:

Original Face	: 2500 (M)	Current Face	: 2,500,000.00
Issue Date	: 12/28/05	Maturity Date	: 05/25/36
Average Life	: 3.79	Factor Date	: Jul12
Accrual Start Date	: 07/25/12	Accrual End Date	: 08/26/12
Next Payment Date	: 08/27/12	Delay	: 0 DAYS

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Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Connected to Microsoft Exchange

AURIGA USA, LLC*** TRADE TICKET *****Auriga USA LLC**

TRADE DATE: 07-aug-12
TRADER: Auriga
CUSIP: 126670PH5
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: CWL 2005-16 MV1
PRICE: 33,0000000 [33-00]
SETTLE: 15-aug-12 [WEDNESDAY]
BOND COUPON: 0,706%
DAYS ACCRUAL: 21 DYS ACC
ACCRUAL PAID: 1 029,88
NEXT PAYMENT: 27-aug-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 2 500 000,00
FACTOR: 1,000000000
CURRENT FACE: 2 500 000,00
PRINCIPAL VALUE: 825 000,00
TOTAL FUNDS: 826 029,88

COMMENTS: Auriga USA sells and Traction Fonder AB buys
2,500,000 orig face.

Contacts

Seller: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Purchaser: Ulf Deckmark, COO
Traction Fonder AB
Hammarby Kaj 16
12030 Stockholm, Sweden
+46 850255604 (office)
+46 705309331 (mobile)
ulf.dekmark@tractionfonder.se

Bilaga D 12

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB		Buy	2012-08-08	2012-08-15	US126670PH55	CONFIRMED	901901350628	2500000	826029,88	USD	MGTCBEBE	EUROCLEAR	30860
OPTIMUS FONDER AB	ECLR/98730	Sell	2013-01-23	2013-01-29	US126670PH55	CONFIRMED	901901350628	2500000	1581434,36	USD	MGTCBEBEXX	EUROCLEAR	31826

fr. Wahlstedt
USO Bilaga D13

Birgitta Palm-Fredriksson

From: Alex Hendrickson [alex@aurigausa11c.com]
Sent: den 23 januari 2013 21:51
To: Ulf Deckmark; traction.backoffice
Subject: CWL 2005-16 MV1

Ulf - Auriga Buys from Traction -

Auriga DTC is 0443

ISIN: US126670PH55

CUSIP: 126670PH5

Settlement date is January 29, 2013

TRADER: ALEX HENDRICKSON CUSIP: 126670PH5
 BUYS: 2,500,000 of CWL 2005-16 MV1 0.6637% 05/25/36
 PRICE: 63.2500000 assuming CPR= 15.0 YTM: 13.057 AL= 3.83YR
 SETTLE: 01/29/13 [Tue] Accrual Pd: 01/25/13 - 02/24/13 Monthly
 Next Payment: 02/25/13 (0 day delay)
 *Formula: (1xLIBOR01M)+46BP. CAP=n/a % FLOOR= 0.46%
 PRINCIPAL VAL \$ 1,581,250.00
 + 4 Days ACC \$ 184.36 ☐ actual current coupon
 = TOTAL FUNDS \$ 1,581,434.36 **

Thanks for the trade

Our detailed disclaimer can be seen at https://console.mxlogic.com/redirect/22UMUCzt54Sy-eKYqejo0bmUO8WTjjPXsLy4GmfSiLNdTV5xdVYsyMCqejtPuD-7wHmUO8WTjjPXsKrphspdEILL6zAsMCUyrhvdyg2xkQg9TK-nhsd40S6kjs_3VUIvIE6Qjq9J5MsqekhNEVvdUTttznoV93wm

0,2037
+0,46

Bilaga E till

GSAMP

2003-HE1

M2

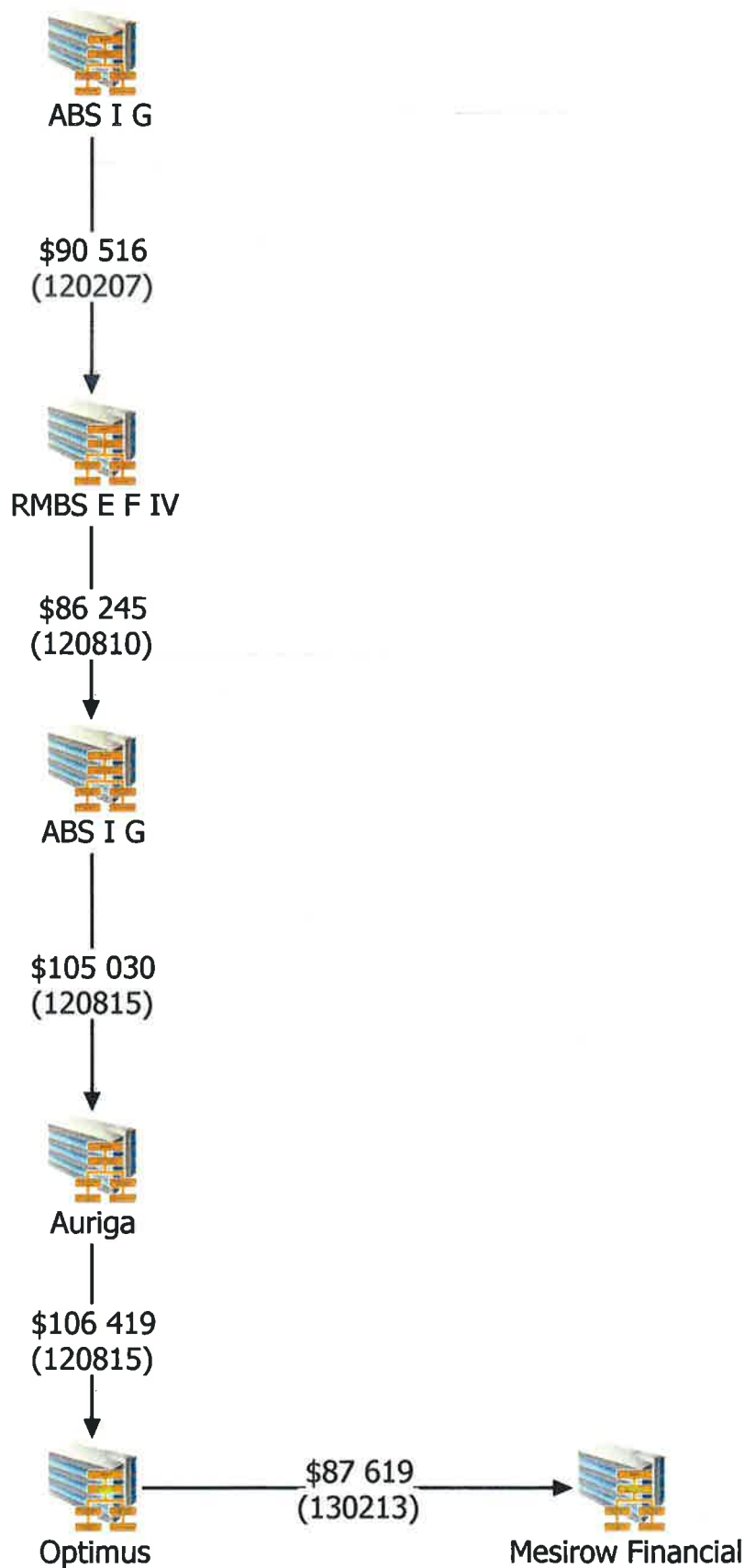
Sid**1 Kap 7.3.3 GSAMP - Bilaga E**

2 Innehållsförteckning

3 Chart över köp och försäljning av GSAMP

		<u>Från</u>	<u>Avser</u>
4	Bilaga E 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga E 2	Mark Bishop	Trade Ticket
6	Bilaga E 3	Mark Bishop	Inventory for purchase.xlsx
7	Bilaga E 4	Mark Bishop	Trade Ticket
8 - 9	Bilaga E 5	Mark Bishop	Traded Bond summary fund IV
10	Bilaga E 6	Pensionsmyndigheten	Kontoutdrag ABS I G
11	Bilaga E 7	Mark Bishop	Summary - MAB - Settlement Aug 15, 2012.xlsx
12	Bilaga E 8	Pensionsmyndigheten	Kontoutdrag ABS I G
13	Bilaga E 9	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
14	Bilaga E 10	Mark Bishop	Mail från Auriga till Mark Bishop
15	Bilaga E 11	Swedbank	Kontoutdrag Optimus
16	Bilaga E12	Wahlstedt	Trade Ticket

Försäljning av MBS "GSAMP"



GSAMP 2003-HE1 M2

	<u>Instrument</u>	<u>Cusip</u>	<u>Original</u>	<u>Trade</u>	<u>Settlement</u>	<u>Namn</u>	<u>Sällare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current</u>	<u>Deal</u>	<u>Deal</u>	<u>Upplupen</u>	<u>Settlement</u>
			<u>Face</u>	<u>date</u>	<u>date</u>					<u>Face</u>	<u>price</u>	<u>Belopp</u>	<u>ränta</u>	<u>summa</u>
1	US36228FSV93	36228FSV9	1 200 000	2012-02-02	2012-02-07	GSAMP 2003-HE1 M2	ABS I G	RMBS E F IV	0,127509287	\$153 011,14	0,59	\$90 276,57	\$239,53	\$90 516,10
2	US36228FSV93	36228FSV9	1 200 000	2012-08-07	2012-08-10	GSAMP 2003-HE1 M2	RMBS E F IV	Auriga/ABS I G	0,115776	\$138 931,20	0,62	\$86 137,34	\$107,46	\$86 244,80
3	US36228FSV93	36228FSV9	1 200 000	i.u.	2012-08-15	GSAMP 2003-HE1 M2	ABSIG	Auriga		\$138 930,95	0,75375	\$104 719,20	\$310,72	\$105 029,92
4	US36228FSV93	36228FSV9	1 200 000	2012-08-08	2012-08-15	GSAMP 2003-HE1 M2	Auriga	Optimus	0,115775794	\$138 930,95	0,76375	\$106 108,51	\$310,72	\$106 419,23
5	US36228FSV93	36228FSV9	1 200 000	2013-02-08	2013-02-13	GSAMP 2003-HE1 M2	Optimus	Mesirow Financial	0,112011033	\$134 413,24	0,65	\$87 368,61	\$250,92	\$87 619,53

M2 omfattar \$23 957 000

Prospektet finns (78 s)

Resultat för Optimus =

-\$18 799,70 (Förlust)

Resultat för SVC, UK =

\$10 095,00

Resultat för ABS I G =

\$18 785,12

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 02-feb-12
TRADER: Mesirow Financial
CUSIP: 36228FSV9
BOND NAME: GSAMP 2003-HE1 M2
PRICE: 59,0000000 [59-00]
SETTLE: 07-feb-12 [TUESDAY]
BOND COUPON: 3,1309%
DAYS ACCRUAL: 18 DYS ACC
ACCRUAL PAID: 239,53
NEXT PAYMENT: 25-feb-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 1 200 000,00
FACTOR: 0,127509287
CURRENT FACE: 153 011,14
PRINCIPAL VALUE: 90 276,57
TOTAL FUNDS: 90 516,10

Owner: American RMBS Equity Fund IV, LP

Settlement: Ron Chamberlin
LPL Financial
Commerce West Bank Investment Management
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(949)302-2204 (mobile)
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Trading: Mark Bishop
ABS Investment Group
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OMNIARCH - AMERICAN RMBS EQUITY FUND IV

CURRENT BOND SUMMARY:																	
Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int (@ pur dt)	Annualize d Cash-on Cash Return (@ pur dt)	Annualize d Cash-on Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)	Subordination, Current Percent (ex OC), Notes	
2010-04-28	BOAMS 2004-11 30IO	05949AD98	19.625		\$ 4 075 030.82		\$ 799 724.80		\$ 16 809.50	28.03%		2004	AAA	5.500%		0.00%	
2010-05-28	WFMBS 2005-17 1A2	949629AE3	50.000		\$ 71 308.06		\$ 35 654.03		\$ 284.15	11.00%		2005	AAA	5.500%		3.72%	
2010-05-28	GSR 2006-1F 5A2	3623418A0	35.000		\$ 30 983.49		\$ 10 844.22		\$ 139.43	17.14%		2006	AAA	6.000%		1.67%	
2010-05-28	CWHL 2006-1 A3	126694XD5	35.000		\$ 21 948.55		\$ 7 891.99		\$ 98.77	17.14%		2006	AAA	6.000%		4.33%	
2010-06-02	BAFC 074712 1A2	059533AU5	46.750		\$ 1 565 280.12		\$ 732 235.96		\$ 239.28	11.76%		2007	AAA	5.500%		1.71%	
2010-09-22	WFALT 2007-PAS 2A2	949922AU2	48.750		\$ 579 787.91		\$ 282 646.61		\$ 2 029.26	12.31%		2007	AAA	6.000%		2.7%	Prin. Pay
2010-10-21	JPALT 2006-A1 4A2	46627MD89	25.000		\$ 5 260 775.24		\$ 1 315 193.81		\$ 17 318.29	23.70%		2006	AAA	5.926%		1.8%	Prin. Pay
2010-11-05	SASC 2005-WF4 B2	963576DR2	2.500		\$ 4 186 222.50		\$ 104 655.56		\$ 3 525.58	110.25%		2005	BBB	2.756%			Pamplona, 24 mo, 200% total return
2011-01-19	CWHL 2006-1 A3	126694XD5	34.000		\$ 69 983.22		\$ 23 794.29		\$ 209.95	17.65%		2006	AAA	6.000%			Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-01-25	RAST 2003-A11 B3	45660NVP9	42.625		\$ 1 333 551.29		\$ 588 426.24		\$ 5 111.95	13.49%		2003	AA	5.750%			Bullet proof, 2003 vintage, prin pay
2011-02-25	RAIL 2004-QA4 M2	76110HZD5	26.375		\$ 871 148.99		\$ 229 765.55		\$ 2 285.82	14.92%		2004	AAA	3.935%			Bullet proof, 2004 vintage, prin pay
2011-03-23	HVMTL 2005-3 X2	41161PNK5	7.250		\$ 4 011 894.46		\$ 280 862.35		\$ 5 196.41	32.55%		2005	AAA	2.360%			Purchased with GSAMP 06 HE7 M2, blended COC return of 10.5%
2011-04-04	CMLTI 04-HYB4 1M	17307GMP0	49.000		\$ 443 747.63		\$ 217 436.34		\$ 157.92	8.72%		2004	AAA	4.271%			Solid core bond, curr prin pay, 2004 vintage, 4% subordination, 25% ROI
2011-04-19	GSAMP 2008-HE7 M2	36245EAG3	29.500		\$ 2 305 000.00		\$ 679 975.00		\$ 778.85	1.86%		2008	AAA	0.552%			Purchased with HVMTL 0503 X2, blended COC return of 10.5% (ARM, big upside)
2011-05-04	RAST 2003-A4 B3	45660NVP1	47.500		\$ 1 123 282.71		\$ 533 559.29		\$ 538.24	12.11%		2003	AAA	5.750%			Solid core bond, curr prin pay, 2003 vintage, 2% subordination, 28% ROI
2011-06-13	CWHL 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%			Solid pay, principal protection, 10% growing subordination
2011-06-15	CXHE 2002-A MF2	152314EN6	45.250		\$ 1 158 706.61		\$ 524 314.74		\$ 2 846.98	14.45%		2002	A	6.540%			2002 vintage, solid pay, prin protection, 9.2% growing subordination, 23% ROI
2011-10-26	MSAC 2003-NCB B3	61746RDS7	37.000		\$ 2 006 598.07		\$ 742 419.09		\$ 326.60	15.84%		2003	AAA	5.860%			Solid core bond, holds up in ABSIG model, exceptional COC and total return.
2011-10-26	CHEC 2004-1 M3	162765AF8	51.000		\$ 903 745.08		\$ 460 909.99		\$ 23.72	1.85%		2004	AAA	0.945%			Solid "floater" with fast pre-pay of principal, and 20%+ total return. Bullet proof.
2011-11-02	BOAMS 2004-8 15IO	05949AT77	9.938		\$ 289 087.09		\$ 28 728.03		\$ 42.16	52.83%		2004	AAA	5.250%			Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-11-08	GSAA 2006-6 AF7	362334M24	25.000		\$ 4 321 000.00		\$ 1 080 250.00		\$ 4 919.89	23.42%		2006	AAA	5.555%			Solid core bond, holds up in ABSIG model, exceptional COC and total return.
2011-12-05	MSW 2007-14AR 3A4	61756VAV1	1.250	0.533940282	\$ 34 161 499.28		\$ 427 018.74		\$ 1 897.96	40.00%		2007	AAA	0.500%			Solid performance, long term cash flow, exceptional cash-on-cash return.
2011-12-07	CWL 2005-1 MF2	126673WF5	36.125	0.883824229	\$ 2 331 529.32		\$ 842 264.61		\$ 2 139.18	15.24%		2005	AA	5.505%			Solid core bond, holds up in ABSIG model, good COC return, 4.3% OC, 20.4% sub.
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500	1.000000000	\$ 2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%			Solid core bond, holds up in ABSIG model, exceptional total return, 38% subordination.
2012-01-03	NAA 2005-AR5 1A2	65535VQM3	24.000	0.307049620	\$ 1 327 088.46		\$ 318 496.43		\$ 218.11	12.33%		2005	AAA	2.960%			Solid performance, 40%+ total return, 7%+ subordination, curr. prin. pay
2012-01-19	SAST 2003-1 M2	805564NCL	59.625	0.127872628	\$ 383 617.88		\$ 228 732.16		\$ 686.94	4.71%		2003	A	2.810%			Can't be broken, 15% total return, curr. prin. pay, 14% credit support, 03 vintage, upside
2012-01-23	PCHLT 2005-4 1A2	71085PD22	59.750	0.236828420	\$ 1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.554%			Solid performance, 20%+ total return, 38%+ subordination, curr. prin. pay.
2012-02-06	RAIL 2002-Q52 B1	76110GWJ6	50.750	0.567084250	\$ 624 870.14		\$ 317 121.60		\$ 585.82	13.30%		2002	AAA	6.750%			Core bond, 30%+ total return, subordination, curr. prin., 2002 vintage.
2012-02-06	RAIL 2002-Q52 B1	76110GWJ6	50.750	0.567084250	\$ 283 542.13		\$ 143 897.63		\$ 372.15	13.30%		2002	AAA	6.750%			Smaller "matcher" Core bond, 30%+ total return, subordination, curr. prin., 2002 vintage.
2012-02-07	GSAMP 2003-HE1 M2	36228FSV9	59.000	0.127509287	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%			Core bond, 21%+ total return, 12% subordination, curr. prin. pay, 2003 vintage.
2012-02-15	HWBT 2004-2 M2	43739EAM9	35.500	0.191407830	\$ 1 722 670.47		\$ 611 549.02		\$ 1 433.28	4.02%		2004	A	1.426%			Solid performance, holds up in ABSIG model, 35%+ total return, 04 vintage, curr. prin. pay.
2011-07-14	TBW 2007-1 A3	87222EAC2	41.500		\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007		5.880%			Solid core bond, curr prinl pay, 28% ROI
2010-06-25	CWL 2005-17 1AF4	126670QU5	38.000		\$ 1 218 271.00		\$ 462 942.98		\$ 4 810.23	15.58%		2005	AAA	5.922%			2.4% + AMBAC
2010-08-23	SAIL 03BC1M4	86358EEQ5	85.000		\$ 1 130 574.17		\$ 960 989.04		\$ 3 655.52	5.71%		2003	BBB+	4.850%			7.59%, Prin. Pay, Rev. Seq.
2011-07-12	LBMTL 2003-A M6F	542514EP5	36.250		\$ 786 747.42		\$ 285 195.94		\$ 1 493.93	17.14%		2003	A	6.214%			2003 vintage, holds up against 6X loss model, 6% subordination, 35% ROI
2011-07-19	CBASS 2007-CB1 AF6	1248MGA9P	46.563		\$ 2 390 691.01		\$ 113 165.50		\$ 7 007.82	12.59%		2007	AAA	5.852%			Principal pay, pro rata bond, increasing OC at 4.1%
2011-07-22	WAMU 2004-AR14 B2	939336W65	27.500		\$ 2 895 720.32		\$ 730 598.09		\$ 3 995.25	9.38%		2004	AAA	2.580%			2004 vintage, holds up against 6X loss model, floater w big upside, curr prin pay, 23% ROI.
2011-08-03	CBASS 2007-CB1 AF4	1248MGA9P	48.375		\$ 2 459 058.38		\$ 1 189 559.49		\$ 799.37	12.22%		2007	AAA	5.910%			Principal pay, pro rata bond, resilient to credit stress, 20+ return, increasing OC at 4.1%
2011-10-11	LBMTL 2003-3 M3	542514EC4	35.000		\$ 1 728 237.50		\$ 604 883.13		\$ 3 949.43	15.67%		2003	AAA	5.485%			Principal pay, pro rata bond, resilient to credit stress, 20+ return, OC.
2011-11-02	BOAMS 2006-3 1A13	05950LAN2	20.500		\$ 1 096 312.48		\$ 224 744.06		\$ 190.33	30.49%		2006	AAA	6.250%			Solid core bond, holds up in ABSIG model, exceptional COC return.
2011-12-15	COLR 2005-2 M4	73316PCN8	17.500	0.817397050	\$ 1 634 794.10		\$ 286 089.97		\$ 5 231.20	34.65%		2005	AAA	6.063%			77% 2004 collateral, 10% credit enhancement, solid total return.
					\$ 95 352 872.82		#####		\$ 105 001.60	14.91%							

BG 721-3
Bilaga E4*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 7-Aug-12
TRADER: ABS Investment Group
CUSIP: 36228FSV9
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: GSAMP 2003-HE1 M2
PRICE: 62.0000000 [62-00]
SETTLE: 10-Aug-12 [FRIDAY]
BOND COUPON: 3.094%
DAYS ACCRUAL: 9 DYS ACC
ACCRUAL PAID: 107.46
NEXT PAYMENT: 25-Sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 1,200,000.00
FACTOR: 0.115776000
CURRENT FACE: 138,931.20
PRINCIPAL VALUE: 86,137.34
TOTAL FUNDS: 86,244.81

COMMENTS: American RMBS Equity Fund IV/ABSIG sells
and Auriga USA buys 1,200,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
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alex@aurigausa.com

Seller: Mark Bishop
ABS Investment Group
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Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

TRADED BOND SUMMARY

TRADED BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int	Annualized Cash-on-Cash Return (@ pur dt)	Annualized Cash-on-Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)
2011-07-14	TBW 2007-1 A3	87222EAC2	41.500	1.000	\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007	AAA	5.860%	
2012-02-07	GSAMP 2003-HE1 M	36228FSV9	59.000	0.127509287	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%	
2011-12-14	CWL 2005-16 MV1	12667OPH5	24.500	1.000000000	\$ 2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%	
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750	0.236825420	\$ 1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.554%	
2011-06-13	CMLTI 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%	
2012-09-11	LMT 2005-2 1A4	5250MBR3	32.500	0.767397731	\$ 7 558 867.65		\$ 2 456 631.99		\$ 12 073.19	17.69%		2005	AAA	5.750%	

BOND SOLD DATE	SALE PRICE	FACTOR ON SALE DATE	CURR FACE ON SALE DATE	ACCRUED INTEREST	TOTAL FUNDS	COMMENTS @ PURCHASE	COMMENTS @ SALE
februari 17, 2012	46,500%	1,0000000	\$188 000,00	\$491,31	\$87 911,31	Sold to Traction, achieved goal return and recapture Omni sales load.	
17-aug-12	62	0,115776	138931,2	107,46	86244,81	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
17-aug-12	26	1	2500000	440,63	650440,63	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	63	0,2297827	1074234,12	135,62	676903,12	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	46,5	0,90657185	838578,96	1117,41	391056,62	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
2012-09-14	36	0,767397731	3318995,19	9542,11	1204380,38	Sold to Auriga, with immediate 11% profit, same month.	Still leaves \$4,239,872.46 current face of bond in Portfolio.

B.1984 E6

Date 8/31/12
Account Number
EnclosuresPage 3
0070
1

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
8/23	IB Transfer from D *****070 to D *****005	86,244.81 ←
8/23	IB Transfer from D *****070 to D *****005	391,056.62
8/23	IB Transfer from D *****070 to D *****005	650,440.63
8/23	IB Transfer from D *****070 to D *****005	676,903.12
8/23	OUTGOING WIRES 08.23.12	129,510.83
8/23	WIRE-OUT 20122360009400	20,000.00
8/24	WIRE-OUT 20122370002100	12,000.00
8/27	WIRE-OUT 20122400001400	20,000.00
8/27	WIRE-OUT 20122400002100	1,000.00
8/27	WIRE-OUT 20122400001700	2,000.00
8/27	WIRE-OUT 20122400002200	3,000.00
8/27	WIRE-OUT 20122400001600	4,000.00
8/27	PAID SDGE SD GAS ELEC 5951184800 08/27/12	96.42
8/27	TRACE #-122000494648950 Online Pmt HSBC CARD SRVCS 2000000510 08/27/12	5,884.74
8/29	TRACE #-021001081844709 WIRE-OUT 20122420002100	20,000.00
8/30	INTERNAL TRF 2012243000200	51,800.00
8/30	WIRE-OUT 20122430002100	18,500.00
8/31	WIRE-OUT 20122440002600	20,000.00
8/31	SERVICE CHARGE	785.58

Checks in Serial Number Order

Date	Check No.	Amount
8/21		10,000.00

*Indicates skip in Check Number

MAB

FUND IV to ABS		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	46,500,000	26,000,000	62,000,000	63,000,000	63,000,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	389 939,22	650 000,00	86 137,19	676 767,50	676 767,50
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	391 677,41	651 029,88	86 447,91	677 084,70	677 084,70
ABS to AURIGA		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Additional arb to ABS	23060,9214000	37500,0000000	5730,9016875	42969,3648000	
		\$109 261,19			

ABS TO COUNTERPARTIES		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	49,250,000	27,500,000	66,125,000	67,000,000	67,000,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	413 000,14	687 500,00	91 868,09	719 736,86	719 736,86
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	414 738,33	688 529,88	92 178,81	720 054,06	720 054,06
AURIGA TO ABS		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	57,500,000	32,000,000	75,375,000	76,250,000	76,250,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	482 182,90	800 000,00	104 719,20	819 103,52	819 103,52
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	483 921,09	801 029,88	105 029,92	819 420,72	819 420,72
		\$2 209 401,61			

\$1 915 501,08

\$2 209 401,61

TRACTION TO AURIGA		CMLTI 2005-WF1 M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price	58,500,000	33,000,000	76,375,000	77,250,000	77,250,000
Curr Face	838 578,96	2 500 000,00	138 930,95	1 074 234,12	1 074 234,12
Prin	490 568,69	825 000,00	106 108,51	829 845,86	829 845,86
Int	1 738,19	1 029,88	310,72	317,20	317,20
Settlement	15-aug-12	15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price	492 306,88	826 029,88	106 419,23	830 163,06	830 163,06

\$2 254 919,05

\$1 912 105,09

Total Principal

\$339 417,97

TOTAL ARB

\$57 363,15

NET ARB TO ABS

\$236 537,38

NET ARB TO SOLID EQUITY

\$45 517,44

TOTAL ARB TO AURIGA

\$166 624,34

[total abs investment group arb]

Bilaga E 7

Bilaga E 7

Bilaga E8

Date 8/31/12
 Account Number [REDACTED]0070
 Enclosures 1

*****EXCLUDE-Cycle
 1870 1.0090 EX 0.000 3 2 1327
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	[REDACTED] 0070	Statement Dates	8/01/12 thru 8/31/12
Previous Balance	963,503.15	Days in the statement period	31
11 Deposits/Credits	5,970,846.43	Average Ledger	436,090
51 Checks/Debits	6,807,040.61	Average Collected	436,090
Service Charge	785.58		
Interest Paid	.00		
Ending Balance	126,523.39		

Deposits and Additions

Date	Description	Amount
8/01	WIRE-IN 20122140013600	46,949.01
8/02	IB Transfer from D *****005 to D *****070	241,794.67
8/09	IB Transfer from D *****005 to D *****070	533,563.68
8/10	IB Transfer from D *****005 to D *****070	1,098,674.11
8/14	IB Transfer from D *****005 to D *****070	112,560.89
8/14	IB Transfer from D *****005 to D *****070	312,928.77
8/14	IB Transfer from D *****005 to D *****070	333,971.29
8/14	IB Transfer from D *****005 to D *****070	746,647.72
8/16	IB Transfer from D *****005 to D *****070	334,697.29
8/23	WIRE-IN 20122360001600	906,059.00 ←
8/23	WIRE-IN 20122360005600	1,303,000.00

Checks and withdrawals

Date	Description	Amount
8/01	WIRE-OUT 20122140002500	881,891.01

Bilaga E 9



AURIGA USA
546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 07/01/2012 - 08/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	2,693,322.71	2,793,383.20
Total Securities	\$2,693,322.71	\$2,793,383.20
Cash		
Deposits	0.00	99,446.36
Total Cash	\$0.00	\$99,446.36
Additional Transactions		
Dividends and Interest	3.40	773.98
Total Additional Transactions	\$3.40	\$773.98
Total Credits	\$2,693,326.11	\$2,893,603.54

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-483,921.09	-683,427.94
Total Securities	-\$483,921.09	-\$683,427.94
Cash		
Withdrawals	-2,209,400.62	-2,209,400.62
Total Cash	-\$2,209,400.62	-\$2,209,400.62
Additional Transactions		
Dividends and Interest	0.00	-42.38
Total Additional Transactions	\$0.00	-\$42.38
Total Debits	-\$2,693,321.71	-\$2,892,870.94

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
08/15/12	08/08/12	SOLD	CWABS INC 2005-16 ASSET BKD CTF CL MV-1 0.706% 04/25/36 B/E DTD 12/28/05 VARIABLE RATE FACTOR 1.00000000 REM BAL 2500000.00	-2,500,000.000	32.0000	1,029.88	801,029.88	USD
08/15/12	08/08/12	SOLD	CITIGROUP MTG LN TR 2005-WF1 MTG PASSTHRU CTF CL M-1 5.330% 12/25/34 B/E DTD 02/01/05 VARIABLE RATE FACTOR .90657185 REM BAL 838578.96	-925,000.000	57.5000	1,738.19	483,921.09	USD
08/15/12	08/08/12	SOLD	GS MTG SECS CORP 2003-HE1 MTG PASSTHRU CTF CL M-2 VAR RATE 2.146% 06/20/33 B/E DTD 05/28/03 VARIABLE RATE FACTOR .11577579 REM BAL 138930.95	-1,200,000.000	75.3750	310.72	105,029.93	USD
08/15/12	08/08/12	SOLD	PEOPLES CHOICE HOME LN SECS TR 2005-4 MTG PASSTHRU CTF CL 1A2 ADJUSTABLE RATE 0.506% 12/25/35 B/E DTD 10/26/05 VARIABLE RATE FACTOR .22978270 REM BAL 1074234.12	-4,675,000.000	76.2500	317.20	819,420.72	USD

Page 3 of 7

A0026327C5F30013

PAPERLESS

Account Number: NFE-077456
ABS INVESTMENT GROUP LLC.

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Ask about e delivery



21st Brokerage Statement
2012-2013
DAILY RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, SIPC, SEC

186781-6
8-20-2012 TT
Bilaga E 10

FW: GSAMP 2003-HE1 M2 – Outlook Web Access Light

8/15/12 8:39 AM

Office Outlook Web Access Type here to search This Folder Address Book Options

FW: GSAMP 2003-HE1 M2
Alex Hendrickson [alex@aurigaussallc.com]

Sent: Wednesday, August 08, 2012 10:23 AM
To: Mark Bishop

Alex Hendrickson
Managing Director
AURIGA USA, LLC
546 Fifth Ave, 9th Floor, New York, NY 10036
Ph: (646) 998-6430 M: (646) 303-5051
Email - Alex@aurigaussallc.com

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Deleted Items (19)
Drafts
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Junk E-Mail (119)
Sent Items

Deleted Messages (1162)
SAVE (2)
Manage Folders...

-----Original Message-----
From: Alex Hendrickson [mailto:alex@aurigaussallc.com]
Sent: Wednesday, August 08, 2012 1:22 PM
To: 'Ulf Deckmark'; 'traction.backoffice@ws.se'
Subject: GSAMP 2003-HE1 M2

Ulf - Auriga sells to the Traction High Yield Fund

Thank you very much for the trade..

Auriga Settlement Instructions:
EUROCLEAR #: 98730
• DTC INSTRUCTIONS: DTC #0443
• NSCC COMPARISONS: #0443 ("ARGA") (MPID has CHANGED)
• Physical Deliveries: NSCC/Envelope Participant #0443
ID CONFIRMS:
Institutional ID # 62900
Agent Bank # 00443

ID : 36228FSV9 *Trade Ticket* As of Date: 8/8/2012
TRDR/SLS : ALEX HENDRICKSON Settlement : 8/15/2012
SELLS : 1200 (M) ISSUER:GSAMP TRUST
Security : GSAMP 2003-HE1 M2 3.09%
Price : 76-12 Yield:10.876043 Yield to: 8/27/2016 at
Coupon : 3.096750% Prepay speed : 10.0 CPR Factor : 0.115775794

Notes :

36228FSV9 MTGE DES <GO>
ISIN: US36228FSV93

Principal	USD	106,108.52
Accrued	(26 days)	310.72
Transaction Costs		0.00
Total	USD	106,419.24

Miscellaneous Fields:
Original Face : 1200 (M) Current Face : 138,930.95
Issue Date : 05/28/03 Maturity Date : 06/20/33
Average Life : 4.03 Factor Date : Jul12
Accrual Start Date : 07/20/12 Accrual End Date : 08/19/12
Next Payment Date : 08/20/12 Delay : 0 DAYS

--

Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Connected to Microsoft Exchange

Bilaga E 11

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB OPTIMUS FONDER AB		Buy	2012-08-08	2012-08-15	US36228FSV93	CONFIRMED	901901350628	1200000	106419,24	USD	MGTCBEBE	UNITED STATES	30857
		Sell	2013-02-08	2013-02-13	US36228FSV93	CONFIRMED	901901350628	1200000	87619,53	USD	DTCYUS33XXX	UNITED STATES	31948

from: "FONDA MANOUS (MESIROW FINANCIAL IN)"
 <fmanous1@bloomberg.net>
sent: 2013-02-08 14:48:06 +0000
to: traction.backoffice <traction.backoffice@ws.se>
subject: ID : 36228FSV9 *Trade Ticket* As of Date: 2/8/2013 9:6:55 Cusip: 36228FSV9

ID : 36228FSV9 *Trade Ticket* As of Date: 2/8/2013 9:6:55 Cusip: 36228FSV9
 RDR/SLS : ROBERT GREENWOOD Settlement : 2/13/2013
 UYS : 1200 (M) ISSUER:GSAMP TRUST
 Security : GSAMP 2003-HE1 M2 3.05%
 Price : 65.000000000 Yield:11.224555 Yield to: 10/1/2019 at
 coupon : 3.054700% Prepay speed : 4.0 CPR Factor : 0.112011033

Notes :EUROCLEAR 23859

		{36228FSV9 MTGE DES <GO>}
Principal	USD	87,368.61
Accrued	(22 days)	250.92
Transaction Costs		0.00
Total	USD	87,619.53

Dissemination Fields:

Original Face : 1200 (M) Current Face : 134,413.24
 Issue Date : 05/28/03 Maturity Date : 06/20/33
 Average Life : 6.63 Factor Date : Jan13
 Accrual Start Date : 01/22/13 Accrual End Date : 02/19/13
 Next Payment Date : 02/20/13 Delay : 0 DAYS
 THIS TICKET GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
 To learn more about the BLOOMBERG Professional® service,

https://console.mxlogic.com/redir/?n674QrEECQnNRTzhOr0143WRI8v4zOVK_nd7bwUsMyqemnPtPrGKMGT4543WRI8v4zMddIfcQmnbllIfff6NMUS6xNEwid43byqrMKc1AQsCy0Qg6y0Qg051ctgYQg0ClyLP0aq812xEwGWq80Xp-Aq84YuNeWb9Ewd7ajyjXVEwWimid43byqrMKc1AQsCV-7PNo_pgdECQjqbwUQsEzzhO-ruAZVJYG

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Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m016.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Fri, 8 Feb 2013 15:46:45 +0100
 Authentication-Results: p02c11m016.mxlogic.net; spf=pass
 Received: from unknown [69.191.244.21] (EHLO mgnj3.bloomberg.net) by p02c11m016.mxlogic.net (mxl_mta-7.0.0-0) over TLS secured channel
 with ESMTP id 82015115.0.533655.00-2083.905014.p02c11m016.mxlogic.net (envelope-from <fmanous1@bloomberg.net>);
 Fri, 08 Feb 2013 07:48:08 -0700 (MST)
 X-BB-Reception-Complete: 08 Feb 2013 09:48:06 -0500
 X-IP-Listener: Outgoing Mail
 X-IP-MID: 808174141
 Received: from p135.bloomberg.com (HELO p135) ([10.126.152.31]) by mgnj3.bloomberg.net with SMTP; 08 Feb 2013 09:48:06 -0500

Bilaga F till

PCHLT

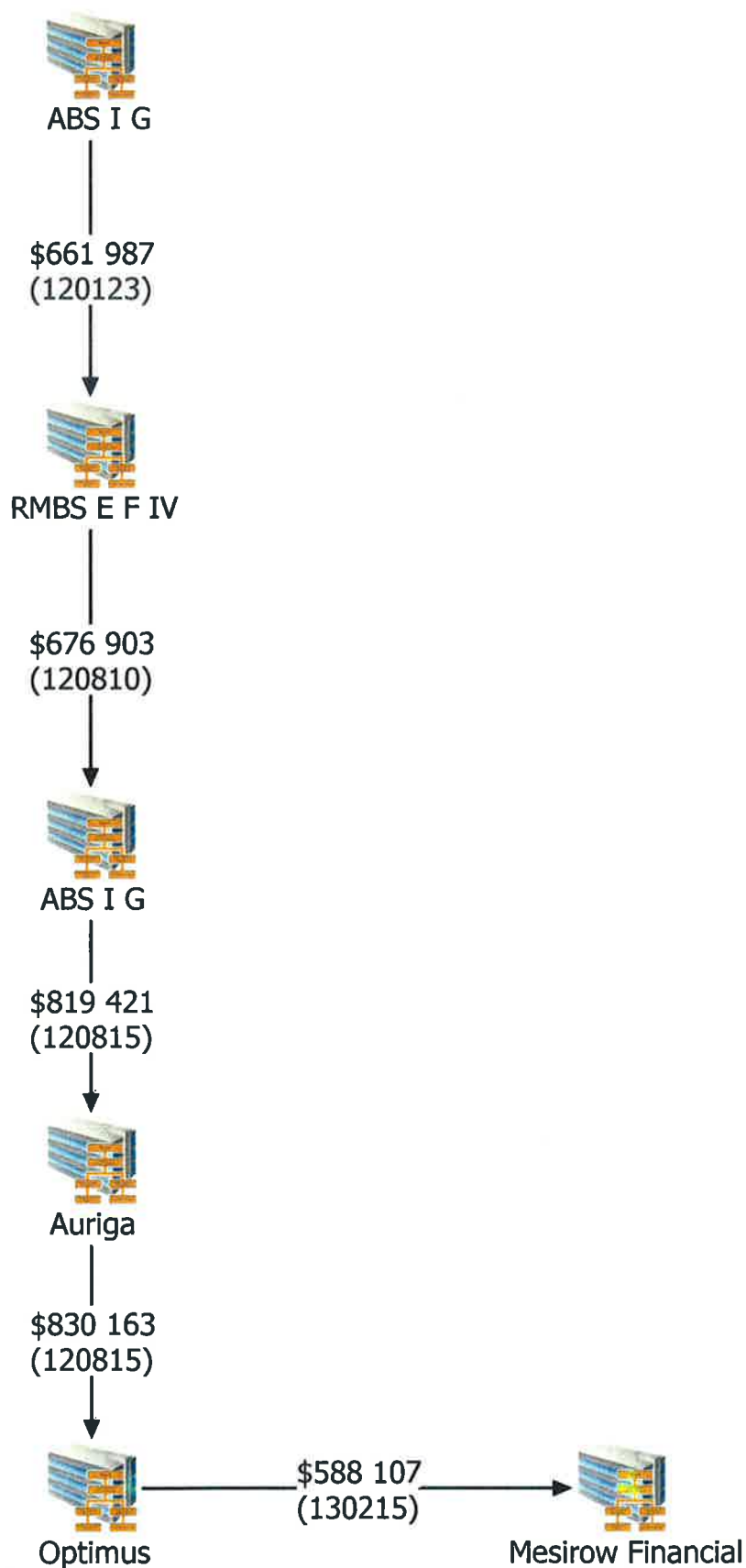
2005-4

1A2

Sid**1 Kap. 7.3.4 PCHLT Bilaga F****2** Innehållsförteckning**3** Chart över köp och försäljning av PCHLT

		<u>Från</u>	<u>Avser</u>
4	Bilaga F 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga F 2	Mark Bishop	Trade Ticket
6	Bilaga F 3	Pensionsmyndigheten	Kontoutdrag ABS I G
7 - 8	Bilaga F 4	Mark Bishop	Traded Bond summary fund IV
9	Bilaga F 5	Mark Bishop	Trade Ticket
10	Bilaga F 6	Pensionsmyndigheten	Kontoutdrag ABS I G
11	Bilaga F 7	Mark Bishop	Summary - MAB - Settlement Aug 15, 2012.xlsx
12	Bilaga F 8	Mark Bishop	Trade Ticket
13	Bilaga F 9	Pensionsmyndigheten	Kontoutdrag ABS I G
14	Bilaga F 10	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
15	Bilaga F 11	Mark Bishop	Mail från Auriga till Optimus och Mark Bishop.
16	Bilaga F 12	Swedbank	Kontoutdrag Optimus
17	Bilaga F 13	Wahlstedt	Trade Ticket
18	Bilaga F 14	Mark Bishop	Trade Ticket

Försäljning av MBS "PCHLT"



PCHLT 2005-4 1A2

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Säliare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Settlement Summa
1	US71085PDD24	71085PDD2	4 675 000	2012-01-18	2012-01-23	PCHLT	ABS I G	RMBS E F IV	0,23682542	\$1 107 158,84	0,5975	\$661 527,41	\$459,69	\$661 987,10
2	US71085PDD24	71085PDD2	4 675 000	2012-08-07	2012-08-10	PCHLT	RMBS E F IV	Auriga/ABS I G	0,2297827	\$1 074 234,12	0,63	\$676 767,50	\$135,62	\$676 903,12
3	US71085PDD24	71085PDD2	4 675 000	2012-08-08	2012-08-15	PCHLT	ABSIG	Auriga	0,2297827	\$1 074 234,12	0,7625	\$819 103,52	\$317,20	\$819 420,72
3	US71085PDD24	71085PDD2	4 675 000	2012-08-07	2012-08-15	PCHLT	ABS/G	Auriga	0,2297827	\$1 074 234,12	0,7625	\$819 103,52	\$210,97	\$819 314,49
4	US71085PDD24	71085PDD2	4 675 000	2012-08-08	2012-08-15	PCHLT	Auriga	Optimus		\$1 074 234,12	0,7725	\$829 845,86	\$317,20	\$830 163,06
5	US71085PDD24	71085PDD2	4 675 000	2013-02-12	2013-02-15	PCHLT	Optimus	Mesirow Financial	0,22604437	\$1 056 757,43	0,55625	\$587 821,32	\$285,84	\$588 107,16
Ö	US71085PDD24	71085PDD2	800 000	2012-11-29	2012-12-04	PCHLT	ABS I G	RMBS E F IV	0,22751046	\$182 008,37	0,600	\$109 205,02	\$18,91	\$109 223,93

1A2 omfattar \$202 284 000

Prospektet saknas

Resultat för Optimus = -\$242 055,90 (Förlust)

Resultat för SVC, UK = \$77 774,00

Resultat för ABS I G = \$142 517,60

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	18-jan-12
TRADER:	MESIROW FINANCIAL
CUSIP:	71085PDD2
BOND NAME:	PCHLT 2005-4 1A2
PRICE:	59,7500000 [59-24]
SETTLE:	23-jan-12 [MONDAY]
DAYS ACCRUAL:	27 DYS ACC
ACCRUAL PAID:	459,69
NEXT PAYMENT:	2012-01-25 [24 DAY DELAY]
SELLS:	4 675 000,00
FACTOR:	0,236825420
CURRENT FACE:	1 107 158,84
PRINCIPAL VALUE:	661 527,41
TOTAL FUNDS:	661 987,10

13.7696 F3

Date 1/31/12
Account Number
EnclosuresPage 1
0070

*****EXCLUDE-Cycle
1034 0.6580 EX 0.000 4 2 477
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	0
Account Number	0070	Statement Dates	1/01/12 thru 1/31/12
Previous Balance	282,111.62	Days in the statement period	31
7 Deposits/Credits	977,223.70	Average Ledger	144,526
17 Checks/Debits	1,243,048.30	Average Collected	144,526
Service Charge	446.55		
Interest Paid	.00		
Ending Balance	15,840.47		

Deposits and Additions

Date	Description	Amount
1/04	WIRE-IN 20120040014100	157.91
1/04	WIRE-IN 20120040013700	5,286.85
1/17	IB Transfer from D *****005 to D *****070	229,429.10
1/18	IB Transfer from D *****005 to D *****070	661,987.10 ←
1/25	IB Transfer from D *****005 to D *****070	64,400.00
1/30	WIRE-IN 20120300013600	10,675.89
1/31	WIRE-IN 20120310010900	5,286.85

Checks and withdrawals

Date	Description	Amount
1/03	WIRE-OUT 20120030002700	250,370.51
1/05	WIRE-OUT 20120050010100	8,922.00
1/09	Online Pmt HSBC CARD SRVCS 2000000510 01/09/12 TRACE #-021001087436628	13,848.55
1/12	WIRE-OUT 20120120002300	6,056.75
1/17	INTERNAL TRF 2012017000400	7,600.36
1/17	WIRE-OUT 20120170003000	4,347.75
1/19	INTERNAL TRF 2012019000250	65,429.00
1/19	WIRE-OUT 20120190002900	221,756.74
1/23	WIRE-OUT 20120230002200	595,557.57

13.14.14 F4

TRADED BOND SUMMARY

TRADED BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int	Annualized Cash-on-Cash Return (@ pur dt)	Annualized Cash-on-Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)
2011-07-14	TBW 2007-1 A3	872222EAC2	41.500	1.000	\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007		5.880%	
2012-02-07	GSAMP 2003-HE1 M	36228FSV9	59.000	0.127509287	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%	
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500	1.000000000	\$ 2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%	
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750	0.236825420	\$ 1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.554%	
2011-06-13	CMLT1 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%	
2012-09-11	LMT 2005-2 1A4	5250MBR3	32.500	0.767397731	\$ 7 558 867.65		\$ 2 456 631.99		\$ 12 073.19	17.69%		2005	AAA	5.750%	

BOND SOLD DATE	SALE PRICE	FACTOR ON SALE DATE	CURR FACE ON SALE DATE	ACCRUED INTEREST	TOTAL FUNDS	COMMENTS @ PURCHASE	COMMENTS @ SALE
februari 17, 2012	46,500%	1,000000	\$188,000.00	\$491,31	\$87,911.31	Sold to Traction, achieved goal return and recapture Omni sales load.	
17-aug-12	62	0,115776	138931,2	107,46	86244,81	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
17-aug-12	26	1	2500000	440,63	650440,63	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	63	0,2297827	1074234,12	135,62	676903,12	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	46,5	0,90657185	838578,96	1117,41	391056,62	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
2012-09-14	36	0,767397731	3318995,19	9542,11	1204380,38	Sold to Auriga, with immediate 11% profit, same month. Still leaves \$4,239,872.46 current face of bond in Portfolio.	

Bilaga F5

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 07-aug-12
TRADER: ABS Investment Group
CUSIP: 71085PDD2
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: PCHLT 2005-4 1A2
PRICE: 63,0000000 [63-00]
SETTLE: 10-aug-12 [FRIDAY]
BOND COUPON: 0,505%
DAYS ACCRUAL: 9 DYS ACC
ACCRUAL PAID: 135,62
NEXT PAYMENT: 25-sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 675 000,00
FACTOR: 0,229782700
CURRENT FACE: 1 074 234,12
PRINCIPAL VALUE: 676 767,50
TOTAL FUNDS: 676 903,12

COMMENTS: American RMBS Equity Fund IV/ABSIG sells
and Auriga USA buys 4,675,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausalic.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga F6

Date 8/31/12
Account Number
EnclosuresPage 3
0070
1

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
8/23	IB Transfer from D *****070 to D *****005	86,244.81
8/23	IB Transfer from D *****070 to D *****005	391,056.62
8/23	IB Transfer from D *****070 to D *****005	650,440.63
8/23	IB Transfer from D *****070 to D *****005	676,903.12 ←
8/23	OUTGOING WIRES 08.23.12	129,510.83
8/23	WIRE-OUT 20122360009400	20,000.00
8/24	WIRE-OUT 20122370002100	12,000.00
8/27	WIRE-OUT 20122400001400	20,000.00
8/27	WIRE-OUT 20122400002100	1,000.00
8/27	WIRE-OUT 20122400001700	2,000.00
8/27	WIRE-OUT 20122400002200	3,000.00
8/27	WIRE-OUT 20122400001600	4,000.00
8/27	PAID SDGE SD GAS ELEC 5951184800 08/27/12	96.42
8/27	TRACE #-122000494648950 Online Pmt HSBC CARD SRVCS 2000000510 08/27/12	5,884.74
8/29	TRACE #-021001081844709 WIRE-OUT 20122420002100	20,000.00
8/30	INTERNAL TRF 2012243000200	51,800.00
8/30	WIRE-OUT 20122430002100	18,500.00
8/31	WIRE-OUT 20122440002600	20,000.00
8/31	SERVICE CHARGE	785.58

Checks in Serial Number Order

Date	Check No.	Amount
8/21		10,000.00

*Indicates Skip in Check Number

MAB

FUND IV to ABS		CMLTI 2005-WFI M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price		46,500,000	26,000,000	62,000,000	63,000,000
Curr Face		838,578,96	2,500,000,00	138,930,95	1,074,234,12
Prin		389,939,22	650,000,00	86,137,19	676,767,50
Int		1,738,19	1,029,88	310,72	317,20
Settlement		15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price		391,677,41	651,029,88	86,447,91	677,084,70
ABS to AURIGA		CMLTI 2005-WFI M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Additional arb to ABS		23060,9214000	37500,0000000	5730,9016875	42969,3648000
		\$109,261,19			

ABS TO COUNTERPARTIES		CMLTI 2005-WFI M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price		49,250,000	27,500,000	66,125,000	67,000,000
Curr Face		838,578,96	2,500,000,00	138,930,95	1,074,234,12
Prin		413,000,14	687,500,00	91,868,09	719,736,86
Int		1,738,19	1,029,88	310,72	317,20
Settlement		15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price		414,738,33	688,529,88	92,178,81	720,054,06
		\$1,915,501,08			

AURIGA TO ABS		CMLTI 2005-WFI M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price		57,500,000	32,000,000	75,375,000	76,250,000
Curr Face		838,578,96	2,500,000,00	138,930,95	1,074,234,12
Prin		482,182,90	800,000,00	104,719,20	819,103,52
Int		1,738,19	1,029,88	310,72	317,20
Settlement		15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price		483,921,09	801,029,88	105,029,92	819,420,72
		\$2,209,401,61			

TRACTION TO AURIGA		CMLTI 2005-WFI M	CWL 2005-16 MV	GSAMP 2003-HE1 M	PCHLT 2005-4 1A2
Price		58,500,000	33,000,000	76,375,000	77,250,000
Curr Face		838,578,96	2,500,000,00	138,930,95	1,074,234,12
Prin		490,568,69	825,000,00	106,108,51	829,845,86
Int		1,738,19	1,029,88	310,72	317,20
Settlement		15-aug-12	15-aug-12	15-aug-12	15-aug-12
Total Pur Price		492,306,88	826,029,88	106,419,23	830,163,06
		\$2,254,919,05			

Total Principal

\$1,912,105,09

TOTAL ARB

\$339,417,97

17,75101%

NET ARB TO ABS

\$57,363,15

3,00000%

NET ARB TO SOLID EQUITY

\$236,537,38

12,37052%

TOTAL ARB TO AURIGA

\$45,517,44

2,38049%

[total abs investment group arb]

\$166,624,34

186721-3
18/1/499 FF

Bilaga F8

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 07-aug-12
TRADER: ABS Investment Group
CUSIP: 71085PDD2
ISIN:
EUROCLEAR ELIGIBLE: Yes
BOND NAME: PCHLT 2005-4 1A2
PRICE: 76,2500000 [76-08]
SETTLE: 15-aug-12 [WEDNESDAY]
BOND COUPON: 0,505%
DAYS ACCRUAL: 14 DYS ACC
ACCRUAL PAID: 210,97
NEXT PAYMENT: 25-sep-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 675 000,00
FACTOR: 0,229782700
CURRENT FACE: 1 074 234,12
PRINCIPAL VALUE: 819 103,52
TOTAL FUNDS: 819 314,49

COMMENTS: ABSIG sells
and Auriga USA buys 4,675,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausallic.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga F9

Date 8/31/12 Page 1
 Account Number 0070
 Enclosures 1

*****EXCLUDE-Cycle
 1870 1.0090 EX 0.000 3 2 1327
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates	8/01/12 thru 8/31/12
Previous Balance	963,503.15	Days in the statement period	31
11 Deposits/Credits	5,970,846.43	Average Ledger	436,090
51 Checks/Debits	6,807,040.61	Average Collected	436,090
Service Charge	785.58		
Interest Paid	.00		
Ending Balance	126,523.39		

Deposits and Additions		Amount
Date	Description	
8/01	WIRE-IN 20122140013600	46,949.01
8/02	IB Transfer from D *****005 to D *****070	241,794.67
8/09	IB Transfer from D *****005 to D *****070	533,563.68
8/10	IB Transfer from D *****005 to D *****070	1,098,674.11
8/14	IB Transfer from D *****005 to D *****070	112,560.89
8/14	IB Transfer from D *****005 to D *****070	312,928.77
8/14	IB Transfer from D *****005 to D *****070	333,971.29
8/14	IB Transfer from D *****005 to D *****070	746,647.72
8/16	IB Transfer from D *****005 to D *****070	334,697.29
8/23	WIRE-IN 20122360001600	906,059.00
8/23	WIRE-IN 20122360005600	1,303,000.00 ←

Checks and withdrawals		Amount
Date	Description	
8/01	WIRE-OUT 20122140002500	881,891.01

Bilaga F10



Brokerage Account Statement

Statement Period: 07/01/2012 - 08/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	2,693,322.71	2,793,383.20
Total Securities	\$2,693,322.71	\$2,793,383.20
Cash		
Deposits	0.00	99,446.36
Total Cash	\$0.00	\$99,446.36
Additional Transactions		
Dividends and Interest	3.40	773.98
Total Additional Transactions	\$3.40	\$773.98
Total Credits	\$2,693,326.11	\$2,893,603.54

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-483,921.09	-683,427.94
Total Securities	-\$483,921.09	-\$683,427.94
Cash		
Withdrawals	-2,209,400.62	-2,209,400.62
Total Cash	-\$2,209,400.62	-\$2,209,400.62
Additional Transactions		
Dividends and Interest	0.00	-42.38
Total Additional Transactions	\$0.00	-\$42.38
Total Debits	-\$2,693,321.71	-\$2,892,870.94

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
08/15/12	08/08/12	SOLD BAC330596	CWABS INC 2005-16 ASSET BKD CTF CL MV-1 0.706% 04/25/36 B/E DTD 12/28/05 VARIABLE RATE FACTOR 1.00000000 REM BAL 2500000.00	-2,500,000.000	32.0000	1,029.88	801,029.88	USD
08/15/12	08/08/12	SOLD C3295653	CITIGROUP MTG LN TR 2005-WF1 MTG PASSTHRU CTF CL M-1 5.330% 12/25/34 B/E DTD 02/01/05 VARIABLE RATE FACTOR .90657185 REM BAL 838578.96	-925,000.000	57.5000	1,738.19	483,921.09	USD
08/15/12	08/08/12	SOLD GS3469369	GS MTG SECS CORP 2003-HE1 MTG PASSTHRU CTF CL M-2 VAR RATE 2.146% 06/20/33 B/E DTD 05/28/03 VARIABLE RATE FACTOR .11577579 REM BAL 138930.95	-1,200,000.000	75.3750	310.72	105,029.93	USD
08/15/12	08/08/12	SOLD PCHO34926	PEOPLES CHOICE HOME LN SECS TR 2005-4 MTG PASSTHRU CTF CL 1A2 ADJUSTABLE RATE 0.506% 12/25/35 B/E DTD 10/26/05 VARIABLE RATE FACTOR .22978270 REM BAL 1074234.12	-4,675,000.000	76.2500	317.20	819,420.72	USD

Page 3 of 7

A0026327CSP0013

FAR-02-ROLL

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.

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41 Brokerage Statement
2009, 2010
DASBART
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, SIPC

BG 7216
8-20-2012 Trade Ticket
Bilaga F 11

8/15/12 8:28 AM

FW: PCHLT 2005-4 1A2 - Outlook Web Access Light

Office Outlook Web Access Type here to search This Folder Address Book Options Log Off

FW: PCHLT 2005-4 1A2
Alex Hendrickson [alex@aurigaussallc.com]

Sent: Wednesday, August 08, 2012 10:23 AM
To: Mark Bishop

Alex Hendrickson
Managing Director
AURIGA USA, LLC
546 Fifth Ave, 9th Floor, New York, NY 10036
Ph: (646) 998-6430 M: (646) 303-5051
Email - Alex@aurigaussallc.com

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Deleted Items (19)
Drafts
Inbox (113)
Junk E-Mail (197)
Sent Items

Deleted Messages (1161)
SAVE (2)
Manage Folders...

-----Original Message-----
From: Alex Hendrickson [mailto:alex@aurigaussallc.com]
Sent: Wednesday, August 08, 2012 1:23 PM
To: 'Ulf Deckmark'; 'traction.backoffice@ws.se'
Subject: PCHLT 2005-4 1A2

Ulf - Auriga sells to the Traction High Yield Fund

Thank you very much for the trade..

Auriga Settlement Instructions:
EUROCLEAR #: 98730

- DTC INSTRUCTIONS: DTC #0443
- NSCC COMPARISONS: #0443 ("ARGA") (MPID has CHANGED)
- Physical Deliveries: NSCC/Envelope Participant #0443

ID CONFIRMS:
Institutional ID # 62900
Agent Bank # 00443

ID : 71085PDD2 *Trade Ticket* As of Date: 8/8/2012
TRDR/SLS : ALEX HENDRICKSON Settlement : 8/15/2012
SELLS : 4675 (M) ISSUER:PEOPLE'S CHOICE HOME
LOAN
Security : PCHLT 2005-4 1A2 0.506%
Price : 77-08 Yield:13.886193 Yield to: 9/10/2014 at
Coupon : 0.506200% Prepay speed : 8.0 CPR Factor : 0.229782700

Notes :

{71085PDD2 MTGE DES <GO>}
ISIN: US71085PDD24

Principal	USD	829,845.86
Accrued (21 days)		317.20
Transaction Costs		0.00
Total	USD	830,163.06

Miscellaneous Fields:
Original Face : 4675 (M) Current Face : 1,074,234.12
Issue Date : 10/26/05 Maturity Date : 12/25/35
Average Life : 2.07 Factor Date : Jul12
Accrual Start Date : 07/25/12 Accrual End Date : 08/26/12
Next Payment Date : 08/27/12 Delay : 0 DAYS

--

Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Connected to Microsoft Exchange

Bilaga F12

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	DTCYID/00443	Buy	2012-08-08	2012-08-16	US71085PDD24	CONFIRMED	901901350628	4675000	830163,06	USD	DTCYUS33XXX	UNITED STATES	30858
OPTIMUS FONDER AB	DTCYID/0727	Sell	2013-02-12	2013-02-15	US71085PDD24	CONFIRMED	901901350628	4675000	588107,2	USD	DTCYUS33	UNITED STATES	31970

fr. Wahlstedt
Bilaga F13

From: "FONDA MANOUS (MESIROW FINANCIAL IN)"
ent: <fmanous1@bloomberg.net>
nt: 2013-02-12 16:05:39 +0000
o: traction.backoffice <traction.backoffice@ws.se>
subject: ID : 71085PDD2 *Trade Ticket* As of Date: 2/12/2013 10:59:13
 Cusip: 71085PDD2

ID : 71085PDD2 *Trade Ticket* As of Date: 2/12/2013 10:59:13 Cusip: 71085PDD2
 RDR/SLS : ROBERT GREENWOOD Settlement : 2/15/2013
 UYS : 4675 (M) ISSUER:PEOPLE'S CHOICE HOME LOAN
 Security : PCHLT 2005-4 1A2 0.463%
 Price : 55.625000000 Yield:33.993840 Yield to: 3/23/2015 at
 coupon : 0.463700% Prepay speed : 10.0 CPR Factor : 0.226044370

Notes :ISIN # US71085PDD24 DTC DELIVERY

		{71085PDD2 MTGE DES <GO>}
Principal	USD	587,821.32
Accrued	(21 days)	285.84
Transaction Costs		0.00
Total	USD	588,107.16

Isseeraneous Fields:

Original Face : 4675 (M) Current Face : 1,056,757.43
 Issue Date : 10/26/05 Maturity Date : 12/25/35
 Average Life : 2.10 Factor Date : Jan13
 Accrual Start Date : 01/25/13 Accrual End Date : 02/24/13
 Next Payment Date : 02/25/13 Delay : 0 DAYS
 THIS TICKET GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
 To learn more about the BLOOMBERG Professional® service,

https://console.mxlogic.com/redir/?Kce9EThhDELzHL6zAS0287RGGg-97BPt-Kqen1MVx4QsILCXCTltxIKy8a87RGGg-97wqrouosDEnsuvhoKruKr3gUQg96y0sztVYb1MsCq83h0q83h00k4NR3Ph02pma_c0FEw4a6y2HFEw3JDWhEwjN5f4XEICy16WjVEVihipd40AThEw78Tuv2Ms79CV-7PNo_pgdECQjqbwUQsEzzhO-rj-mKg32qAG5C

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Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m072.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Tue, 12 Feb 2013 17:04:16 +0100
 Authentication-Results: p02c11m072.mxlogic.net; spf=pass
 Received: from unknown [69.191.192.13] (EHLO mgny5.bloomberg.net) by p02c11m072.mxlogic.net(mxlmmta-7.0.0-0) over TLS secured channel
 with ESMTP id 3586a115.0.635872.00-1802.926474.p02c11m072.mxlogic.net (envelope-from <fmanous1@bloomberg.net>);
 Tue, 12 Feb 2013 09:05:40 -0700 (MST)
 X-BB-Reception-Complete: 12 Feb 2013 11:05:39 -0500
 X-IP-Listener: Outgoing Mail
 X-IP-MID: 773057619
 Received: from n285.bloomberg.com (HELO n285) ([10.126.23.33]) by mgny5.bloomberg.net with SMTP; 12 Feb 2013 11:05:39 -0500

13/1999 F14



* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE: 29-nov-12
TRADER: Mesirow Financial
CUSIP: 71085PDD2
BOND NAME: PCHLT 2005-4 1A2
PRICE: 60,0000000 [60-00]
SETTLE: 04-dec-12 [TUESDAY]
BOND COUPON: 0,468%
DAYS ACCRUAL: 8 [8 DAYS ACCRUED]
ACCRUAL PAID: 18,91
NEXT PAYMENT: 25-dec-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 800 000,00
FACTOR: 0,2275104600
CURRENT FACE: 182 008,37
PRINCIPAL VALUE: 109 205,02
TOTAL FUNDS: 109 223,93

COMMENTS: Amer. RMBS Equity Fund IV buys, and ABS Investment Group sells \$800,000 original face.

Contacts

Purchaser: Jay Modi, Limited Partner
 American RMBS Equity Fund IV, LP
 Suite 104, 320 - 23 Ave. SW
 Calgary, AB T2S 0J2
 +1 (403)984-6240 (office)
 +1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Seller: Mark Bishop
 ABS Investment Group
 1176 Main Street, Suite 300
 Irvine, California 92614
 +1(877)560-6637 (office)
 +1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga G till

LMT

2005-2

1A4

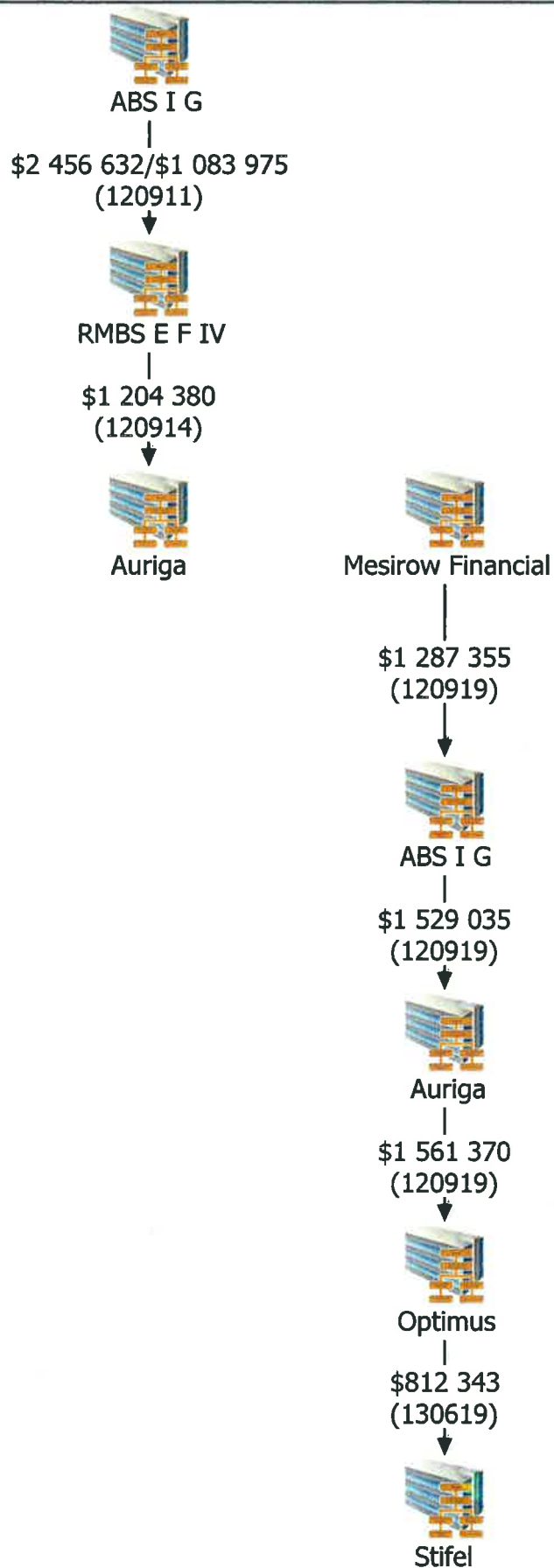
Sid**1 Kap. 7.4 LMT 2005 - Bilaga G**

2 Innehållsförteckning

3 Chart över köp och försäljning av LMT 2005

		<u>Från</u>	<u>Avser</u>
4	Bilaga G 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga G 2	Mark Bishop	Trade Ticket
6 - 7	Bilaga G 3	Mark Bishop	Omniarch Inventory Fund IV Jan2013/Traded Bond Summary
8	Bilaga G 4	Pensionsmyndigheten	Kontoutdrag ABS I G
9	Bilaga G 5	Mark Bishop	Trade Ticket
10	Bilaga G 6	Pensionsmyndigheten	Kontoutdrag ABS I G
11	Bilaga G 7	Mark Bishop	Trade Ticket
12	Bilaga G 8	Mark Bishop	Trade Ticket
13	Bilaga G 9	Mark Bishop	Betalningsinstruktion från Mark Bishop till Auriga
14	Bilaga G10	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
15	Bilaga G 11	Wahlstedt	Trade Ticket
16	Bilaga G 12	Swedbank	Kontoutdrag Optimus
17	Bilaga G 13	Wahlstedt	VCON Ticket
18	Bilaga G 14	Mark Bishop	Trade Ticket

Försäljning av MBS "LMT 2005"



LMT 2005-2 1A4

<u>Instrument</u>	<u>Cusip</u>	<u>Original Face</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Namn</u>	<u>Säljare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current Face</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Settlement summa</u>	
1	US52520MBR34	52520MBR3	9 850 000	2012-09-04	2012-09-11	LMT 2005-2 1A4	ABS I G	RMBS E F IV	0,767397731	\$7 558 867,65	0,325	\$2 456 631,99	\$12 073,19	\$2 468 705,18
1			Inköpspris för de \$4 325 000				ABS I G	RMBS E F IV		\$3 318 995,19	0,325	\$1 078 673,44	\$5 301,17	\$1 083 974,61
2	US52520MBR34	52520MBR3	4 325 000	2012-09-14	2012-09-14	LMT 2005-2 1A4	RMBS E F IV	Auriga	0,767397731	\$3 318 995,19	0,36	\$1 194 838,27	\$9 542,11	\$1 204 380,38
3	US52520MBR34	52520MBR3	4 325 000	2012-09-14	2012-09-19	LMT 2005-2 1A4	Mesirow	ABS I G	0,767397731	\$3 318 995,19	0,385	\$1 277 813,15	\$9 542,11	\$1 287 355,26
4	US52520MBR34	52520MBR3	4 325 000	2012-09-14	2012-09-19	LMT 2005-2 1A4	ABS I G	Auriga	0,74762705	\$3 233 486,99	0,47	\$1 519 738,89	\$9 296,28	\$1 529 035,17
5	US52520MBR34	52520MBR3	4 325 000	2012-09-14	2012-09-19	LMT 2005-2 1A4	Auriga	Optimus	0,747627059	\$3 233 487,03	0,48	\$1 552 073,77	\$9 296,28	\$1 561 370,05
6	US52520MBR34	52520MBR3	4 325 000	2013-06-17	2013-06-19	LMT 2005-2 1A4	Optimus	Stifel	0,600319989	\$2 596 383,95	0,31	\$804 879,03	\$7 464,60	\$812 343,63
7	US52520MBR34	52520MBR3	5 525 000	2013-11-20	2013-11-25	LMT 2005-2 1A4	RMBS E F IV	Auriga	0,461719846	\$2 551 002,15	0,33	\$841 830,71	\$9 778,84	\$851 609,55
1A4 omfattar \$27 665 000														
Prospektet finns (340 s)														
Resultat för Optimus =														
-\$749 026,43 (Förlust)														
Resultat för SVC, UK =														
\$133 989,00 (£80 255,10)														
Resultat för ABS I G =														
\$241 679,91														
Resultat för SVC och ABS I G:														
\$375 668,91														
Zeptunus =														
12.5% av SVC														
107 944 kr														

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	04-sep-12	
TRADER:	Mesirow Financial	
CUSIP:	52520MBR3	
BOND NAME:	LMT 2005-2 1A4	
PRICE:	32,5000000	[32-16]
SETTLE:	11-sep-12	[TUESDAY]
BOND COUPON:	5,750%	
DAYS ACCRUAL:	10	[10 DAYS ACCRUED]
ACCRUAL PAID:	12 073,19	
NEXT PAYMENT:	25-okt-12	[24 DAY DELAY]
SELLS (ORIG. FACE):	9 850 000,00	
FACTOR:	0,767397731	
CURRENT FACE:	7 558 867,65	
PRINCIPAL VALUE:	2 456 631,99	
TOTAL FUNDS:	2 468 705,18	
COMMENTS:	Amer. RMBS Equity Fund IV buys, and ABS Investrn Group sells \$9,850,000 original face.	

Contacts

Purchaser: Jay Modi, Limited Partner
American RMBS Equity Fund IV, LP
Suite 104, 320 - 23 Ave. SW
Calgary, AB T2S 0J2
+1 (403)984-6240 (office)
+1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

TRADED BOND SUMMARY

TRADED BOND SUMMARY:

Purchase Date	Description	CUSIP	Price	Factor (@ pur dt)	Curr. Face - Notional Amount (@ pur dt)	Notional Amount (current)	Market Value (@ pur dt)	Current Basis	Accrued Int (@ pur dt)	Annualized Cash-on-Cash Return (@ pur dt)	Annualized Cash-on-Cash Return (current)	Vintage	Original S and P	Class Coupon Rate (@ pur dt)	Class Coupon Rate (current)
2011-07-14	TBW 2007-1 A3	872222EAC2	41.500	1.000	\$ 188 000.00		\$ 78 020.00		\$ 399.19	14.17%		2007		5.880%	
2012-02-07	GSAMP 2003-HE1 M	36228FSV9	59.000	0.127509267	\$ 153 011.14		\$ 90 276.57		\$ 239.53	5.31%		2003	AAA	3.131%	
2011-12-14	CWL 2005-16 MV1	126670PH5	24.500	1.000000000	\$2 500 000.00		\$ 612 500.00		\$ 946.33	2.86%		2005	AA	0.700%	
2012-01-23	PCHLT 2005-4 1A2	71085PDD2	59.750	0.236825420	\$1 107 158.84		\$ 661 527.41		\$ 459.69	0.93%		2005	AAA	0.554%	
2011-06-13	CMLTI 2005-WF1 M1	17307GPG7	44.000		\$ 838 578.96		\$ 368 974.74		\$ 1 489.88	12.11%		2005	AA	5.330%	
2012-09-11	LMT 2005-2 1A4	5250MBR3	32.500	0.767397731	\$7 558 867.65		\$2 456 631.99		\$ 12 073.19	17.69%		2005	AAA	5.750%	

BOND SOLD DATE	SALE PRICE	FACTOR ON SALE DATE	CURR FACE ON SALE DATE	ACCRUED INTEREST	TOTAL FUNDS	COMMENTS @ PURCHASE	COMMENTS @ SALE
februari 17, 2012	46,500%	1,0000000	\$188 000,00	\$491,31	\$87 911,31	Sold to Traction, achieved goal return and recapture Omni sales load.	
17-aug-12	62	0,115776	138931,2	107,46	86244,81	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
17-aug-12	26	1	2500000	440,63	650440,63	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	63	0,2297827	1074234,12	135,62	676903,12	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
20-aug-12	46,5	0,90657185	838578,96	1117,41	391056,62	Sold to Auriga, achieved goal return and recapture Omni sales load.	Sale will improve weighted aver total return of port.
2012-09-14	36	0,767397731	3318995,19	9542,11	1204380,38	Sold to Auriga, with immediate 11% profit, same month.	Still leaves \$4,239,872.46 current face of bond in Portfolio.

*Bilaga G4*Date 9/28/12
Account Number
EnclosuresPage 1
0070*****EXCLUDE-Cycle
1130 0.8490 EX 0.000 3 2 572
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	0
Account Number	0070	Statement Dates	9/01/12 thru 9/30/12
Previous Balance	126,523.39	Days in the statement period	30
15 Deposits/Credits	12,189,718.83	Average Ledger	335,971
49 Checks/Debits	11,834,905.42	Average Collected	335,971
Service Charge	930.34		
Interest Paid	.00		
Ending Balance	480,406.46		

Deposits and Additions

Date	Description	Amount
9/04	IB Transfer from D *****005 to D *****070	124,910.45
9/04	IB Transfer from D *****005 to D *****070	242,615.11
9/04	IB Transfer from D *****005 to D *****070	436,130.28
9/04	IB Transfer from D *****005 to D *****070	751,608.67
9/04	IB Transfer from D *****005 to D *****070	1,050,000.00
9/05	IB Transfer from D *****005 to D *****070	241,883.87
9/05	IB Transfer from D *****005 to D *****070	1,724,673.50
9/11	IB Transfer from D *****005 to D *****070	2,468,705.18 ←
9/11	WIRE-IN 20122550012700	6,630.82
9/12	WIRE-IN 20122560015100	250,000.00
9/13	IB Transfer from D *****005 to D *****070	459,684.97
9/24	IB Transfer from D *****005 to D *****070	278,500.00
9/24	WIRE-IN 20122680002100	1,569,469.85 ←
9/25	IB Transfer from D *****005 to D *****070	2,252,086.67

Bilaga 65

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 14-sep-12
TRADER: ABS Investment Group
CUSIP: 52520MBR3
ISIN:
BOND NAME: LMT 2005-2 1A4
PRICE: 36,0000000 [36-00]
SETTLE: 14-sep-12 [FRIDAY]
BOND COUPON: 5,750%
DAYS ACCRUAL: 18 [18 DAYS ACCRUED]
ACCRUAL PAID: 9 542,11
NEXT PAYMENT: 25-okt-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 325 000,00
FACTOR: 0,767397731
CURRENT FACE: 3 318 995,19
PRINCIPAL VALUE: 1 194 838,27
TOTAL FUNDS: 1 204 380,38

COMMENTS: American RMBS Equity Fund IV/ABSIG sells
and Auriga USA buys 4,325,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga G 6

Date 9/28/12
Account Number
EnclosuresPage 3
0070

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and Withdrawals

Date	Description	Amount
9/24	IB Transfer from D *****070 to D *****005	1,204,380.38 ←
9/24	INTERNAL TRF 2012268001440	32,775.00
9/24	WIRE-OUT 20122680002500	20,000.00
9/24	WIRE-OUT 20122680002800	25,000.00
9/24	WIRE-OUT 20122680002900	53,500.00
9/24	WIRE-OUT 20122680003000	100,000.00
9/24	WIRE-OUT 20122680013900	133,989.16
9/24	WIRE-OUT 20122680003600	1,000.00
9/24	WIRE-OUT 20122680003500	2,000.00
9/24	WIRE-OUT 20122680003700	2,000.00
9/24	WIRE-OUT 20122680015200	3,000.00
9/24	WIRE-OUT 20122680003400	4,000.00
9/24	WIRE-OUT 20122680014900	8,193.75
9/24	WIRE-OUT 20122680014700	8,193.75
9/24	WIRE-OUT 20122680015100	12,000.00
9/24	WIRE-OUT 20122680015000	13,000.00
9/24	WIRE-OUT 20122680014300	65,550.00
9/24	WIRE-OUT 20122680003300	100,000.00
9/24	Online Pmt HSBC CARD SRVCS 2000000510 09/24/12	14,802.84
	TRACE #-021001088889576	
9/25	OUTGOING WIRE 09-25-2012	2,002,086.67
9/26	WIRE-OUT 20122700002000	20,000.00
9/26	WIRE-OUT 20122700002800	31,250.00
9/26	WIRE-OUT 20122700002900	31,250.00
9/26	WIRE-OUT 20122700003000	125,000.00
9/28	WIRE-OUT 20122720002200	20,000.00
9/30	SERVICE CHARGE	930.34

Daily Balance Information

Date	Balance	Date	Balance	Date	Balance
9/01	126,523.39	9/10	174,275.69	9/18	201,432.37
9/04	1,032,274.86	9/11	274,823.65	9/20	181,432.37
9/05	2,169,289.84	9/12	253,282.65	9/24	126,017.34
9/06	214,275.69	9/13	701,117.34	9/25	376,017.34
9/07	194,275.69	9/14	221,432.37	9/26	168,517.34

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 14-sep-12
TRADER: Mesirow Financial
CUSIP: 52520MBR3
ISIN:
BOND NAME: LMT 2005-2 1A4
PRICE: 38,5000000 [38-16]
SETTLE: 19-sep-12 [WEDNESDAY]
BOND COUPON: 5,750%
DAYS ACCRUAL: 18 [18 DAYS ACCRUED]
ACCRUAL PAID: 9 542,11
NEXT PAYMENT: 25-okt-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 325 000,00
FACTOR: 0,767397731
CURRENT FACE: 3 318 995,19
PRINCIPAL VALUE: 1 277 813,15
TOTAL FUNDS: 1 287 355,26

COMMENTS: Mesirow sells and ABSIG buys
4,325,000 orig face.

Contacts

Seller: Robert Greenwood
Mesirow Financial
350 North Clark Street
Chicago, Illinois 60610
+1(800)-733-5933 (office)
+1(561)352-7609 (mobile)
rgreenwood@mesirowfinancial.com

Purchaser: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga G8

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 14-sep-12
TRADER: ABS Investment Group
CUSIP: 52520MBR3
ISIN:
BOND NAME: LMT 2005-2 1A4
PRICE: 47,0000000 [47-00]
SETTLE: 19-sep-12 [WEDNESDAY]
BOND COUPON: 5,750%
DAYS ACCRUAL: 18 [18 DAYS ACCRUED]
ACCRUAL PAID: 9 542,11
NEXT PAYMENT: 25-okt-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 325 000,00
FACTOR: 0,767397731
CURRENT FACE: 3 318 995,19
PRINCIPAL VALUE: 1 559 927,74
TOTAL FUNDS: 1 569 469,85

COMMENTS: ABSIG sells and Auriga USA buys
4,325,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

BG 721-3
LOA 9-20-2012
Bilaga G 9



MEMORANDUM

DATE: September 20, 2012

TO: Robert Batista, Head of Operations
Auriga USA

FROM: Mark Bishop, Manager
ABS Investment Group

RE: LOA - Authorization for cash wire

Wire cash transfer authorization:

From account NFE017456 the amount of \$1,569,469.85.
(Consisting of bond proceeds from LMT 2005-2 1A4, cusip 52520MBR3)

The wire instructions are as follows:

Commerce West Bank
2111 Business Center Drive, Irvine, CA 92612
ABA: 122 243 334
Credit: ABS Investment Group, acct. no. 92200070

2009
Thank you
Porterfield
Puente Street
A 92821
Mark Bishop, Manager

ABS Investments Group LLC.
mbishop@absig.com
949 678 9574

**AURIGA USA**546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 09/01/2012 - 09/30/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	3,098,505.03	5,891,888.23
Total Securities	\$3,098,505.03	\$5,891,888.23
Cash		
Deposits	0.00	99,446.36
Total Cash	\$0.00	\$99,446.36
Additional Transactions		
Dividends and Interest	0.01	773.99
Total Additional Transactions	\$0.01	\$773.99
Total Credits	\$3,098,505.04	\$5,992,108.58

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-1,569,469.85	-2,252,897.79
Total Securities	-\$1,569,469.85	-\$2,252,897.79
Cash		
Withdrawals	-1,569,469.85	-3,778,870.47
Total Cash	-\$1,569,469.85	-\$3,778,870.47
Additional Transactions		
Dividends and Interest	0.00	-42.38
Total Additional Transactions	\$0.00	-\$42.38
Total Debits	-\$3,138,939.70	-\$6,031,810.64

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
09/19/12	09/14/12	CORRECTED SELL LEHM34810	LEHMAN MTG TR 2005-2 MTG PASSTHRU CTF CL 1-A4 5.750% 12/25/35 B/E DTD 11/01/05 FACTOR .76739773 REM BAL 3318995.19 CORRECTED CONFIRM	-4,325,000.000	47.0000	9,542.11	1,569,469.85	USD
09/26/12	09/14/12	CANCELLED SELL LEHM34810	LEHMAN MTG TR 2005-2 MTG PASSTHRU CTF CL 1-A4 5.750% 12/25/35 B/E DTD 11/01/05 FACTOR .76739773 REM BAL 3318995.19 CANCELLED TRADE	4,325,000.000	47.0000	-9,542.11	-1,569,469.85	USD
09/26/12	09/14/12	CORRECTED SELL LEHM34810	LEHMAN MTG TR 2005-2 MTG PASSTHRU CTF CL 1-A4 5.750% 12/25/35 B/E DTD 11/01/05 FACT/CPN CORRECTION FACTOR .74762705 REM BAL 3233487.03 CORRECTED CONFIRM	-4,325,000.000	47.0000	9,296.28	1,529,035.18	USD
Total Securities Bought and Sold						\$9,296.28	\$1,529,035.18	
Cash Withdrawals and Deposits								
09/24/12		FEDERAL FUNDS SENT USD999997	COMMERCEWEST BANK, N				-1,569,469.85	USD
Total Cash Withdrawals and Deposits						\$0.00	-\$1,569,469.85	

Page 3 of 7

A0007629CSF30019

PAR-02-ROLL

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.go paperless
Ask about e-delivery#1 Brokerage Statement
2009, 2010
DALBAR RATED
FOR COMMUNICATIONClearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE, SIPC

From: Ulf Deckmark <ulf.deckmark@tractionfonder.se>
Sent: 2012-09-26 13:24:44 +0000
To: traction.backoffice <traction.backoffice@ws.se>
Subject: VB: ID : 52520MBR3 *Trade Ticket* As of Date: 9/14/2012 10:36:10

Ulf Deckmark, VD
 Traction Fonder AB

-----Ursprungligt meddelande-----

Från: ROBERT BATISTA (AURIGA USA LLC) [mailto:rbatista5@bloomberg.net]
 Skickat: den 26 september 2012 14:26
 Till: Ulf Deckmark
 Ämne: ID : 52520MBR3 *Trade Ticket* As of Date: 9/14/2012 10:36:10

ID : 52520MBR3 *Trade Ticket* As of Date: 9/14/2012 10:36:10
 TRDR/SLS : ROBERT BATISTA Settlement : 9/19/2012
 SFR'S : 4325 (M) ISSUER:LEHMAN MORTGAGE TRUST
 Seniority : LMT 2005-2 1A4 5 ¾%
 Price : 48 Yield:26.012382 Yield to: 9/23/2019 at
 Coupon : 5.750000% Prepay speed : 10.5 CPR Factor : 0.747627059

Notes :factor updated. these are the new totals

		{52520MBR3 MTGE DES <GO>}
Principal	USD	1,552,073.77
Accrued	(18 days)	9,296.28
Transaction Costs		0.00
Total	USD	1,561,370.05

Miscellaneous Fields:

Original Face : 4325 (M) Current Face : 3,233,487.03
 Issue Date : 11/01/05 Maturity Date : 12/25/35
 Average Life : 7.01 Factor Date : Sep12
 Accrual Start Date : 09/01/12 Accrual End Date : 09/30/12
 Next Payment Date : 10/25/12 Delay : 24 DAYS
 ** THIS TICKET GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
 To learn more about the BLOOMBERG Professional® service,

<https://console.mxlogic.com/redir/?5NxNd6Wa9J5YttUQsCM0h0-Jli7N8YKrLotXTLuZXTdTdJDKYxZyGKNawGstJeloeRwxYuFVJxAQsECQmm7D77TDxNJMSr38OAq80Qed42hEwvjvKdz8bpd41Ewd41Ew0a2oWxVEw1cH5vC0kQg253h1IQQg1SPZ8Qg6zD4mcjKyCq84rFcK98TdPYfDyN-OwrhdECQn1NEVh76zBYSQOjGOxUwvte>

Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m015.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Wed, 26 Sep 2012 15:24:27 +0200
 Authentication-Results: p02c11m015.mxlogic.net; spf=none
 Received: from unknown [94.246.96.43] (EHLO smtp1-3.ilait.se) by p02c11m015.mxlogic.net(mx1_mta-6.15.0-1) over TLS secured channel
 with ESMTP id d1203605.0.7451.00-2386.12699.p02c11m015.mxlogic.net (envelope-from <ulf.deckmark@tractionfonder.se>);
 Wed, 26 Sep 2012 07:24:46 -0600 (MDT)
 Received: from ex-hub1.hosting.local (ex-hub1.ilait.se [82.99.18.59]) (using TLSv1 with cipher RC4-MD5 (128/128 bits))

Bilaga G 12

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	Buy	2012-09-14	2012-09-19	US52520MBR34	CONFIRMED	901901350628	4325000	1561370,05	USD	DTCYUS33	UNITED STATES	31049	
OPTIMUS FONDER AB	Sell	2013-06-17	2013-06-19	US52520MBR34	CONFIRMED	901901350628	4325000	812343,63	USD	DTCYUS33	UNITED STATES	32889	

Bilaga G13

From: Jan Eriksson <jan.eriksson@optimusfonder.se>
Sent: 2013-06-18 09:20:53 +0000
To: optimus.backoffice <optimus.backoffice@ws.se>
Cc: Robert Ekström <Robert.Ekstrom@ws.se>
Subject: VB: * VCON TICKET *

ej,
 är en ticket på LMT.
 vh
 an

---Ursprungligt meddelande----

Ämne: Vlogianitis, James [mailto:Vlogianitisj@stifel.com]
Skickat: den 17 juni 2013 14:21
Ämne: Sheena, Bradley (New York Park Ave)
Ämne: 'Ulf Deckmark'; 'info@optimusfonder.se'
Ämne: * VCON TICKET *

* VCON TICKET *

RADER: JIM VLOGIANITIS **CUSIP:** 52520MBR3
UYS: 4,325,000 of LMT 2005-2 1A4 **5.75%** 12/25/35
RIC 31.0000000 assuming CPR= 15.0 **YTM:** 59.451 **AL=** 4.91YR
ETTL: 06/19/13 [Wed] **Accrual Pd:** 06/01/13 - 06/30/13 Monthly
Next Payment: 07/25/13 (24 day delay)
Curr Face \$ 2,596,383.95 <May13 **Factor** 0.6003199892>
PRINCIPAL VAL \$ 804,879.03
18 Days ACC \$ 7,464.60
TOTAL FUNDS \$ 812,343.63 **

NOTES>:

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 Headquarters: 501 N. Broadway, St. Louis, MO 63102
 314-342-2000

Stifel Nicolaus Canada Inc.
 Member IIROC & CIPF
 Headquarters: 79 Wellington St W, 21st Floor, Toronto, ON M5K 1B7
 416-813-0888

Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m063.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Tue, 18 Jun 2013 11:21:14 +0200
 Authentication-Results: p02c11m063.mxlogic.net; spf=none
 Received: from unknown [217.75.103.74] (EHLO mail.itpas.se) by p02c11m063.mxlogic.net(mxlmmta-7.1.0-3) over TLS secured channel
 with ESMTP id 78620c15.0.6360706.00-1535.9186601.p02c11m063.mxlogic.net

13.1446 614

**SALE TRADE TICKET****Amer. RMBS Equity Fund IV sells, and Auriga USA LLC buys...***** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	20-nov-13	
TRADER:	Auriga USA LLC	
CUSIP:	52520MBR3	
BOND NAME:	LMT 2005-2 1A4	
PRICE:	33,0000000	[33-00]
SETTLE:	25-nov-13	[MONDAY]
BOND COUPON:	5,75000%	
DAYS ACCRUAL:	24	[24 DAYS ACCRUED]
ACCRUAL PAID:	9 778,84	
NEXT PAYMENT:	25-dec-13	[24 DAY DELAY]
SELLS (ORIG. FACE):	5 525 000,00	
FACTOR:	0,461719846	
CURRENT FACE:	2 551 002,15	
PRINCIPAL VALUE:	841 830,71	
TOTAL FUNDS:	851 609,55	
COMMENTS:	Amer. RMBS Equity Fund IV sells, and Auriga USA L buys \$5,525,000 original face.	

Contacts

Seller: Jay Modi, Limited Partner
 American RMBS Equity Fund IV, LP
 Suite 104, 320 - 23 Ave. SW
 Calgary, AB T2S 0J2
 +1 (403)984-6240 (office)
 +1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Bilaga H till

MARM

2005-06

4A2

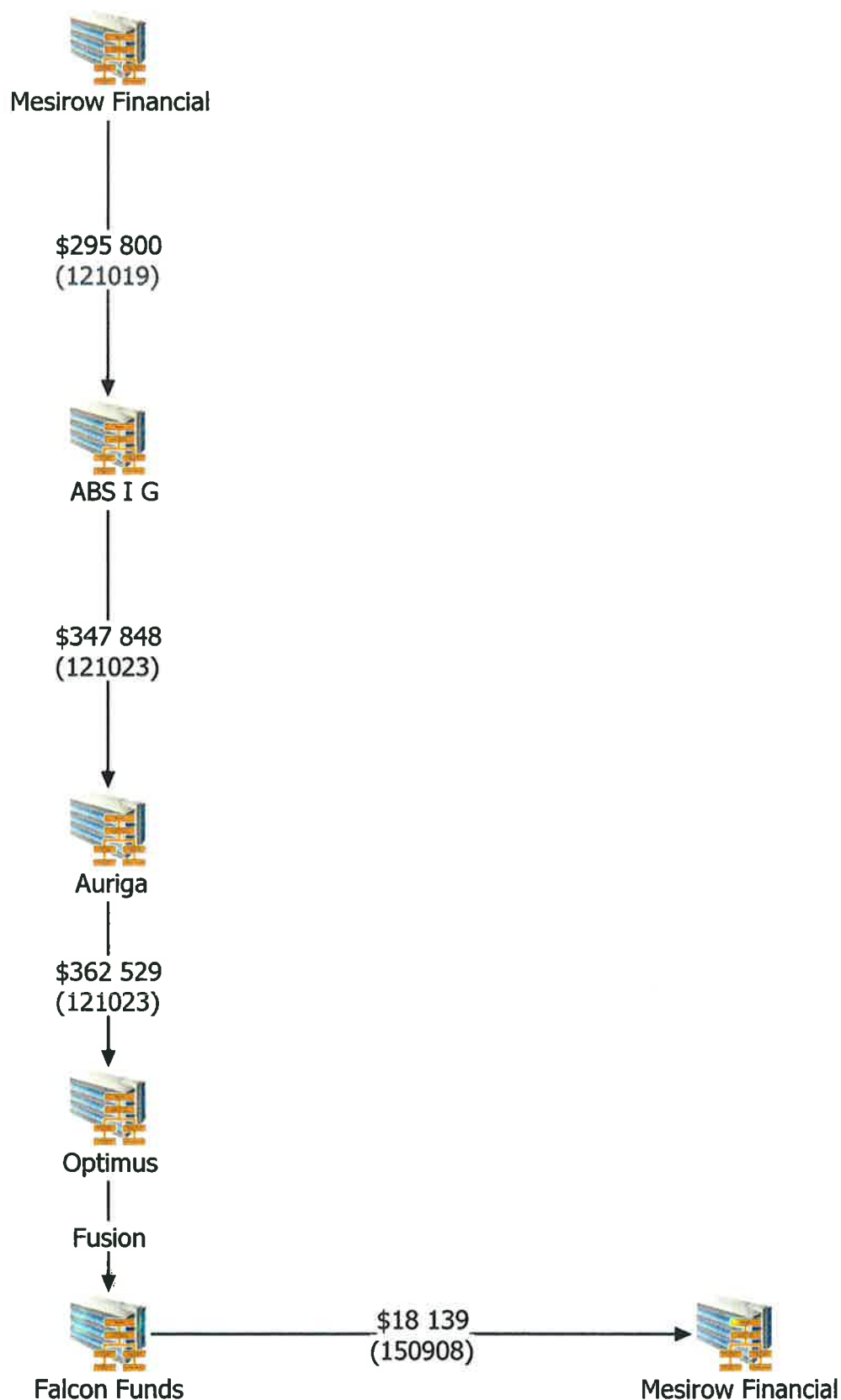
Sid**1 Kap. 7.5 MARM - Bilaga H**

2 Innehållsförteckning

3 Chart över köp och försäljning av MARM

		<u>Från</u>	<u>Avser</u>
4	Bilaga H 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga H 2	Mark Bishop	Trade Ticket
6	Bilaga H 3	Mark Bishop	Trade Ticket
7	Bilaga H 4	Mark Bishop	Betalningsinstruktion från Mark Bishop
8	Bilaga H 5	Pensionsmyndigheten	Kontoutdrag ABS I G
9	Bilaga H 6	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
10	Bilaga H 7	Finansinspektionen	Vcon Ticket
11	Bilaga H 8	Swedbank	Kontoutdrag Optimus
12	Bilaga H 9	Wahlstedt	Trade Ticket
13	Bilaga H 10	SVC.s bokföring	Faktura från SVC till ABS I G
14	Bilaga H 11	Commerce West Bank	Överföring från ABS I G till SVC

Försäljning av MBS "MARM"



MARM 2005-06 4A2

	<u>Instrument</u>	<u>Cusip</u>	<u>Original Face</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Namn</u>	<u>Sällare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current Face</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Settlement Summa</u>
1	US576433A632	576433A63	3 187 000	2012-10-15	2012-10-19	MARM 2005-06 4A2	Mesirow Fin	ABS I G	0,449901	\$1 433 834,81	0,205	\$293 936,14	\$1 863,71	\$295 799,85
2	US576433A632	576433A63	3 187 000	2012-10-17	2012-10-23	MARM 2005-06 4A2	ABS I G	Auriga	0,449458	\$1 432 422,93	0,24125	\$345 572,03	\$2 275,63	\$347 847,66
3	US576433A632	576433A63	3 187 000	2012-10-17	2012-10-23	MARM 2005-06 4A2	Auriga	Optimus	0,449901	\$1 433 834,81	0,25125	\$360 250,99	\$2 277,86	\$362 528,85
4	US576433A632	576433A63	3 187 000	2015-03-09	2015-03-11	MARM 2005-06 4A2	Optimus	Falcon						
5	US576433A632	576433A63	3 187 000	2015-09-02	2015-09-08	MARM 2005-06 4A2	Falcon	Mesirow Financial	0,14044	\$447 582,00	0,04	\$17 903,28	\$236,15	\$18 139,43

4A2 omfattar \$3 187 000

Prospektet finns (303 s)

Resultat för Optimus/Falcon =

-\$344 389,42 (Förlust)

Resultat för ABS I G =

\$52 047,82

Resultat för SVC, UK =

\$52 391 (€31 582,80)

Zeptunus = 12,5% av SVC

42 479 kr

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 15-okt-12
TRADER: Mesirow Financial
CUSIP: 576433A63
ISIN:
BOND NAME: MARM 2005-6 4A2
PRICE: 20,5000000 [20-16]
SETTLE: 19-okt-12 [FRIDAY]
BOND COUPON: 2,600%
DAYS ACCRUAL: 18 [18 DAYS ACCRUED]
ACCRUAL PAID: 1 863,71
NEXT PAYMENT: 25-nov-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 3 187 000,00
FACTOR: 0,449901100
CURRENT FACE: 1 433 834,81
PRINCIPAL VALUE: 293 936,14
TOTAL FUNDS: 295 799,84

COMMENTS: Mesirow sells and ABSIG buys
3,187,000 orig face.

Contacts

Seller: Robert Greenwood
Mesirow Financial
350 North Clark Street
Chicago, Illinois 60610
+1(800)-733-5933 (office)
+1(561)352-7609 (mobile)
rgreenwood@mesirowfinancial.com

Purchaser: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

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Mail Calendar Contacts Deleted Items (5) Drafts Inbox (221) Junk E-Mail [44] Sent Items

Click to view all folders

Deleted Messages SAVE (2) Manage Folders...

MARM 2005-6 4A2
 Alex Hendrickson [alex@aurigaussallc.com]
 You replied on 10/17/2012 9:10 AM.

Sent: Wednesday, October 17, 2012 7:01 AM
To: Mark Bishop

Mark - Auriga buys from ABS.. For Value 10/23/12

Please have delivery into Pershing by Friday the 19th..

Many thanks

TRDR/SLS : ALEX HENDRICKSON Settlement : 10/23/2012
 BUYS : 3187 (M) ISSUER:MASTR ADJUSTABLE RATE
 MOR
 Security : MARM 2005-6 4A2 2.5996%
 Price : 24-04 Yield:36.012779 Yield to: 4/3/2022 at
 Assumed coupon : 2.599612% Prepay speed : 4.0 CPR Factor : 0.449901100

Notes :

{576433A63 MTGE DES

<GO>
 Principal USD 345,912.65
 Accrued (22 days) 2,277.86
 Transaction Costs 0.00
 Total USD 348,190.51

Miscellaneous Fields:
 Original Face : 3187 (M) Current Face : 1,433,834.81
 Issue Date : 06/01/05 Maturity Date : 07/25/35
 Average Life : 9.45 Factor Date : Sep12
 Accrual Start Date : 10/01/12 Accrual End Date : 10/31/12
 Next Payment Date : 11/25/12 Delay : 24 DAYS

--

Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Connected to Microsoft Exchange

1367813
LOA 10-29-2012
Bilaga H4



MEMORANDUM

DATE: October 29, 2012

TO: Robert Batista, Head of Operations
Auriga USA

FROM: Mark Bishop, Manager
ABS Investment Group

RE: LOA - Authorization for cash wire

Wire cash transfer authorization:

From account NFE017456 the amount of \$348,190.51
(Consisting of bond proceeds from MARM 2005-6 4A2, cusip 576433A63)

The wire instructions are as follows:

Commerce West Bank
2111 Business Center Drive, Irvine, CA 92612
ABA: 122 243 334
Credit: ABS Investment Group, acct. no. 92200070

7, 2009
Thank you
Porterfield
Puente Street
A 92821
Mark Bishop, Manager

ABS Investments Group LLC.
mbishop@absig.com
949 678 9574

13.1499 H5

Date 11/30/12
Account Number 0070
Enclosures 1

*****EXCLUDE-Cycle
1888 0.8180 EX 0.000 5 2 1185
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates 11/01/12 thru 11/30/12	
Previous Balance	487,005.05	Days in the statement period	30
12 Deposits/Credits	6,785,333.23	Average Ledger	548,875
32 Checks/Debits	6,694,971.38	Average Collected	548,875
Service Charge	446.29		
Interest Paid	.00		
Ending Balance	576,920.61		

Deposits and Additions

Date	Description	Amount
11/01	WIRE-IN 20123060007300	348,190.51
11/05	IB Transfer from D *****005 to D *****070	566,392.68
11/06	IB Transfer from D *****005 to D *****070	213,684.74
11/06	WIRE-IN 20123110002000	41,526.00
11/07	WIRE-IN 20123120004100	200,000.00
11/08	IB Transfer from D *****005 to D *****070	2,000,000.00
11/20	IB Transfer from D *****005 to D *****070	121,956.52
11/20	IB Transfer from D *****005 to D *****070	276,246.93
11/23	WIRE-IN 20123280007900	705,396.63
11/26	IB Transfer from D *****005 to D *****070	1,351,077.22
11/28	IB Transfer from D *****005 to D *****070	334,320.00
11/28	IB Transfer from D *****005 to D *****070	626,542.00

Checks and withdrawals

Date	Description	Amount
------	-------------	--------

Bilaga H6

**AURIGA USA**546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 10/01/2012 - 10/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	696,038.17	6,587,926.40
Total Securities	\$696,038.17	\$6,587,926.40
Cash		
Deposits	80,136.73	179,583.09
Total Cash	\$80,136.73	\$179,583.09
Additional Transactions		
Dividends and Interest	0.87	774.86
Total Additional Transactions	\$0.87	\$774.86
Total Credits	\$776,175.77	\$6,768,284.35

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-348,190.51	-2,601,088.30
Total Securities	-\$348,190.51	-\$2,601,088.30
Cash		
Withdrawals	-39,702.06	-3,818,572.53
Total Cash	-\$39,702.06	-\$3,818,572.53
Additional Transactions		
Dividends and Interest	-50.46	-92.84
Total Additional Transactions	-\$50.46	-\$92.84
Total Debits	-\$387,943.03	-\$6,419,753.67

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
10/23/12	10/17/12	SOLD MSRZ34844	MASTR ADJ RATE MTGS TR 2005-6 MTG PASSTHRU CTF CL 4-A-2 VAR RATE 2.599% 07/25/35 B/E DTD 06/01/05 VARIABLE RATE FACTOR .44990110 REM BAL 1433834.81	-3,187,000.000	24.1250	2,277.86	348,190.51	USD
10/26/12	10/17/12	CANCELLED SELL MSRZ34844	MASTR ADJ RATE MTGS TR 2005-6 MTG PASSTHRU CTF CL 4-A-2 VAR RATE 2.599% 07/25/35 B/E DTD 06/01/05 VARIABLE RATE FACTOR .44990110 REM BAL 1433834.81 CANCELLED TRADE	3,187,000.000	24.1250	-2,277.86	-348,190.51	USD
10/26/12	10/17/12	CORRECTED SELL MSRZ34844	MASTR ADJ RATE MTGS TR 2005-6 MTG PASSTHRU CTF CL 4-A-2 VAR RATE 2.599% 07/25/35 B/E DTD 06/01/05 FACT/CPN CORRECTION VARIABLE RATE FACTOR .44945809 REM BAL 1432422.93 CORRECTED CONFIRM	-3,187,000.000	24.1250	2,275.63	347,847.66	USD
Total Securities Bought and Sold						\$2,275.63	\$347,847.66	

Page 3 of 6

A0029623CSP30014

BANK OF AMERICA

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.Go paperless
ASK ABOUT E-DELIVERYRated Excellent
Six Years in a Row
DALBAR RATED COMMUNICATIONS
EXCELLENCEClearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC is member FINRA, NYSE, SIPC.

13-1769-48.14

Bilaga H7

Robert Ekström

From: Robert Batista [robert.batista@aurigaussallc.com]
Sent: den 17 oktober 2012 16:13
To: Alex Hendrickson; Ulf Deckmark
Cc: traction.backoffice
Subject: RE: MARM 2005-6 4A2

Will this be for the traction high yield account

-----Original Message-----

From: Alex Hendrickson [mailto:alex@aurigaussallc.com]
Sent: Wednesday, October 17, 2012 9:59 AM
To: Ulf Deckmark
Cc: traction.backoffice@ws.se; Robert Batista
Subject: MARM 2005-6 4A2

Ulf - Auriga Sells to Traction for value October 23, 21012

ISIN US576433A632
DTC - 0443

Please let me know if you need anything else-

All the best -
Alex

* VCON TICKET *

TRADER: ALEX HENDRICKSON CUSIP: 576433A63
SELLS: 3,187,000 of MARM 2005-6 4A2 2.59961% 07/25/35
PRICE: 25.1250000 assuming CPR= 4.0 YTM: 34.298 AL= 9.45YR
SETTLE: 10/23/12 [Tue] Accrual Pd: 10/01/12 - 10/31/12 Monthly
Next Payment: 11/25/12 (24 day delay)
** Curr Face \$ 1,433,834.81 <Sep12 Factor 0.4499011000>
PRINCIPAL VAL \$ 360,250.99
+ 22 Days ACC \$ 2,277.86 !ASSUMED: Oct12 coupon unknown
= TOTAL FUNDS \$ 362,528.85 **
< TRADE NOTES: AURIGA SELLS TO TRACTION >

Disclaimer available at https://console.mxlogic.com/redir/?Kce9ETHhdELzHL6zAS02RKcyeJQQY-TbUxaBzZAHYjt-hojuv7819CzAtst6F3UPUXIVkDEaRKcyeJQQY-TbCSns4jqbbzS7Ckn3q9EVdwlqid40S80Ird42tXlBT3h0M89CV-7PNo_pgDECQPqbwUQsEzzho-rPLjR

3122485

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	Buy	2012-10-17	2012-10-23	US576433A632	CONFIRMED	901901350628	3187000	362528,86	USD	DTCYUS33	UNITED STATES	31227	
OPTIMUS FONDER AB	DF	2015-03-09	2015-03-11	US576433A632	CONFIRMED	901901350628	3187000			DTCYUS33	UNITED STATES	47	

Bilaga H9

To learn more about the BLOOMBERG Professional® service,
<http://www.bloomberg.com>

--- Original Sender: ROBERT GREENWOOD, MESIROW FINANCIAL IN ---

----- Original Message -----

From: ROBERT GREENWOOD (MESIROW FINANCIAL IN)
 At: Sep 2 2015 16:16:17

ID : 576433A63 *Trade Ticket* As of Date: 09/02/2015 10:04:32 Cusip: 576433A6
 TRDR/SLS : ROBERT GREENWOOD Settlement : 9/8/2015
 BUYS : 3187 (M) ISSUER:MASTR ADJUSTABLE RATE MOR
 Security : MARM 2005-6 4A2 2.7134%
 Price : 4.000000000 Yield:309.075438 Yield to: 2/14/2024 at
 Assumed coupon : 2.713420% Prepay speed : 4.0 CPR Factor : 0.140439912

Notes :

			(576433A63 MTGE DES <GO>)
Principal	USD	17,903.28	
Accrued	(7 days)	236.15	
Transaction Costs		0.00	
Total	USD	18,139.43	

BAL 087/15

Miscellaneous Fields:

Original Face	: 3187 (M)	Current Face	: 447,582.00
Issue Date	: 06/01/05	Maturity Date	: 07/25/35
Average Life	: 8.43	Factor Date	: Aug15
Accrual Start Date	: 09/01/15	Accrual End Date	: 09/30/15
Next Payment Date	: 10/25/15	Delay	: 24 DAYS

** THIS TICKET (#3689171) GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **

To learn more about the BLOOMBERG Professional® service,
<http://www.bloomberg.com>

Thanks,
 Barbaros

9
Bilaga H 10

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email cai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
 707 Broadway 18th Floor .
 San Diego, CA
 US, 92101

Invoice No. 9
 Invoice/Tax Date 18/10/2012
 Customer Ref Mark Bishop
 Our Ref Emil Amir Igmanson

Service Details

Tax Rate	Net Amount
£	£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-	31,582.80
---	-----------

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount	31,582.80
------------	-----------

Tax Amount	-
------------	---

Invoice Total	31,582.80
---------------	-----------

GFX/PAYplus Connect Message Print - Message Inquiry Display Dialog Box

User: thua Bank: COMMERCEWEST BANK Date: 05/06/19 11:42:11

Message Status: PNRM

Seq Num: 20122910004000 Related Seq Num: 20122910004600

Pay Method: FED Output Message ID: FTI0811

Date Recvd: 10/17/2012 09:55:42 Value Date: 10/17/2012

Sender: 122243334, Receiver: 121000248

Amount: \$52,391.00

Debit info --

Account: 92200070 Inst: 01 Br: 01 Type: D8

Name: ABS INVESTMENT GROUP LLC

Addr1: 707 BROADWAY 18TH FLOOR

Addr2: SAN DIEGO CA 92101

Addr3:

Addr4:

Credit info --

Rcvr: 121000248

Name: WELLS FARGO BANK, NA

Addr1:

Addr2:

Addr3:

Addr4:

Advice: Dept: DEPT1 Trancode: INTERNTL
Category: Linesheet: Create Template:

Message Text:

Sndr Info {1500}30 P
 Msg Type {1510}1000
 IMAD {1520}20121017L1LFB75C000003
 Amount {2000}000005239100
 Sender DI {3100}122243334
 Sndr Ref {3320}20122910004000*
 Rcvr DI {3400}121000248
 Bus Func {3600}CTR
 BBK {4100}BBARCB22*
 BARCLAYS BANK*
 1250 HIGH ROAD*
 LONDON*
 UNITED KINGDOM , N20 0PB*
 BNF {4200}DGB04BARC20956133313980*
 SOLID VENTURE CAPITAL LTD*
 869 HIGH ROAD*
 LONDON , LONDON*
 UNITED KINGDOM , N22 8HH*
 ORG {5000}D92200070*
 ABS INVESTMENT GROUP LLC*
 707 BROADWAY 18TH FLOOR , *
 SAN DIEGO , CA*
 US , 92101*
 OBI {6000}MARM BOND.*
 BBK Info {6300}209561*

Bilaga I till

CFAB

2003-5 1B

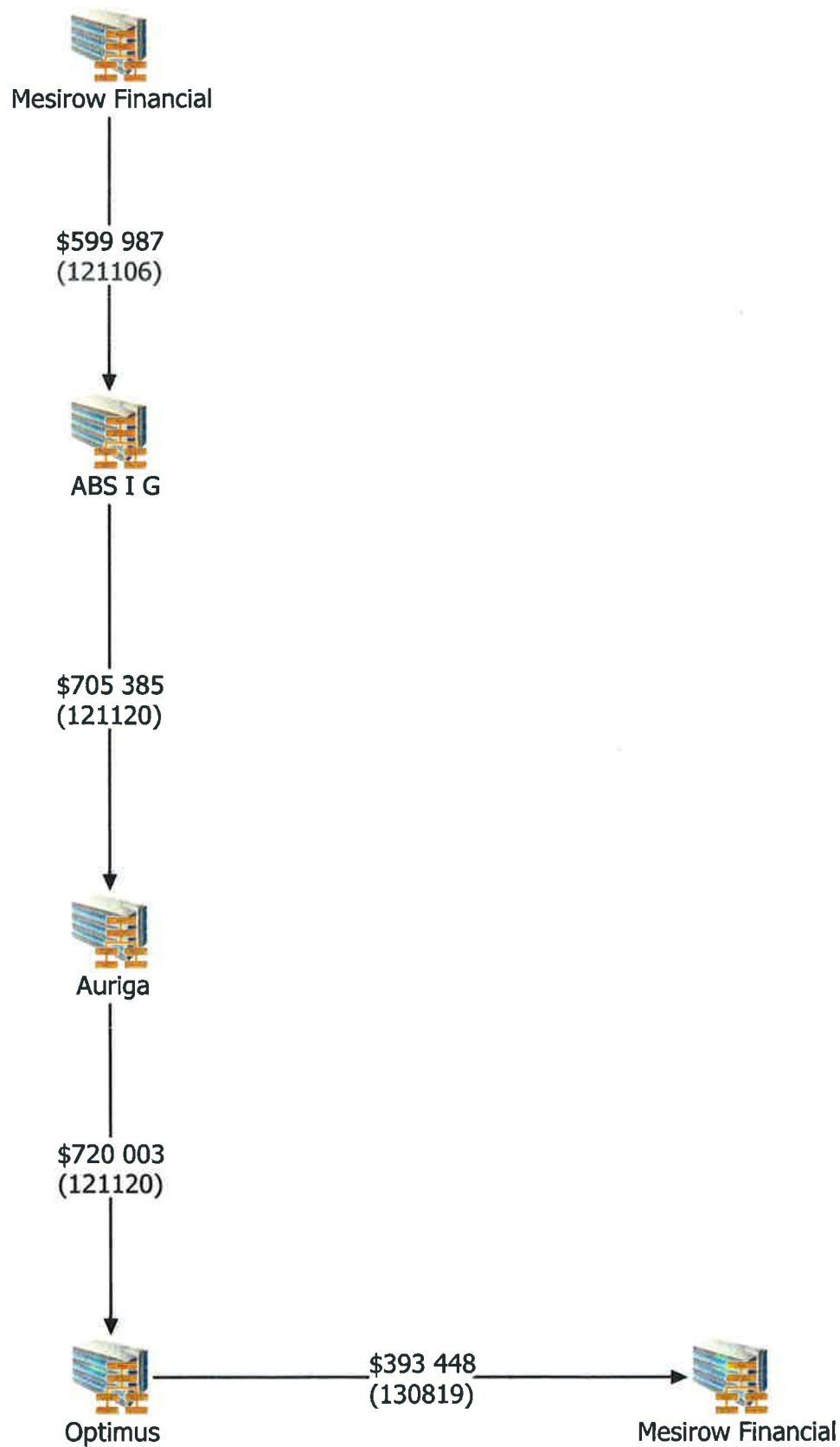
Sid1 **Kap. 7.6 CFAB - Bilaga I**

2 Innehållsförteckning

3 Chart över köp och försäljning av CFAB

		<u>Från</u>	<u>Avser</u>
4	Bilaga I 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga I 2	Mark Bishop	Trade Ticket
6	Bilaga I 3	Mark Bishop	Trade Ticket
7	Bilaga I 4	Mark Bishop	Betalningsinstruktion från Mark Bishop
8	Bilaga I 5	Pensionsmyndigheten	Kontoutdrag ABS I G
9	Bilaga I 6	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
10	Bilaga I 7	Finansinspektionen	Trade Ticket
11	Bilaga I 8	Swedbank	Kontoutdrag
12	Bilaga I 9	Wahlstedt	Trade Ticket

Försäljning av MBS "CFAB"



Bilaga 11

CFAB 2003-5 1B

	Instrument	Cusip	Original		Trade		Settlement		Namn	Sällare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Settlement Summa
			Face	date	date	date											
1	US161546GP55	161546GP5	3 000 000	2012-11-01	2012-11-06	CFAB 2003-5 1B	Mesirow Financial	ABS I G	0,486875	\$1 460 625,42	0,41	\$598 856,42	\$1 130,64	\$599 987,06			
2	US161546GP55	161546GP5	3 000 000	2012-11-08	2012-11-13	CFAB 2003-5 1B	ABS I G	Auriga	0,486875	\$1 460 625,42	0,48	\$701 100,20	\$2 713,54	\$703 813,74			
2	US161546GP55	161546GP5	3 000 000	2012-11-13	2012-11-28	CFAB 2003-5 1B	ABS I G	Auriga	0,486875	\$1 460 625,42	0,48	\$701 100,20	\$4 284,60	\$705 384,80			
3	US161546GP55	161546GP5	3 000 000	2012-11-13	2012-11-20	CFAB 2003-5 1B	Auriga	Optimus	0,486875	\$1 460 625,42	0,49	\$715 706,46	\$4 296,43	\$720 002,89			
	US161546GP55	161546GP5	3 000 000	2013-08-14	2013-08-19	CFAB 2003-5 1B	Optimus	Mesirow Financial	0,419388	\$1 258 164,36	0,31	\$390 030,95	\$3 417,51	\$393 448,46			

1B omfattar \$14 800 000

Prospektet finns (219 s)

Resultat för Optimus = -\$326 554,43

Resultat för SVC, UK = \$98 154 (£59 832,07)

Resultat för ABS I G = \$105 397,74

Zeptunus = 12,5% av SVC 80 475 kr

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 01-nov-12
TRADER: Mesirow Financial
CUSIP: 161546GP5
ISIN:
BOND NAME: CFAB 2003-5 1B
PRICE: 41,0000000 [41-00]
SETTLE: 06-nov-12
BOND COUPON: 5,57337%
DAYS ACCRUAL: 5 [5 DAYS ACCRUED]
ACCRUAL PAID: 1 130,64
NEXT PAYMENT: 25-dec-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 3 000 000,00
FACTOR: 0,4868751412
CURRENT FACE: 1 460 625,42
PRINCIPAL VALUE: 598 856,42
TOTAL FUNDS: 599 987,06

COMMENTS: Mesirow sells and ABSIG buys
3,187,000 orig face.

Contacts

Seller: Robert Greenwood
Mesirow Financial
350 North Clark Street
Chicago, Illinois 60610
+1(800)-733-5933 (office)
+1(561)352-7609 (mobile)
rgreenwood@mesirowfinancial.com

Purchaser: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 08-nov-12
TRADER: ABS Investment Group
CUSIP: 161546GP5
ISIN:
BOND NAME: CFAB 2003-5 1B
PRICE: 48,0000000 [48-00]
SETTLE: 13-nov-12 [TUESDAY]
BOND COUPON: 5,57337%
DAYS ACCRUAL: 12 [12 DAYS ACCRUED]
ACCRUAL PAID: 2 713,54
NEXT PAYMENT: 25-dec-12 [24 DAY DELAY]
SELLS (ORIG. FACE): 3 000 000,00
FACTOR: 0,4868751412
CURRENT FACE: 1 460 625,42
PRINCIPAL VALUE: 701 100,20
TOTAL FUNDS: 703 813,74

COMMENTS: ABSIG sells and Auriga USA buys
3,000,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com



MEMORANDUM

DATE: November 21, 2012

TO: Robert Batista, Head of Operations
Auriga USA

FROM: Mark Bishop, Manager
ABS Investment Group

RE: LOA - Authorization for cash wire

Wire cash transfer authorization:

From account NFE017456 the amount of \$705,396.63
(Consisting of bond proceeds from CFAB 2003-5 1B, cusip 161546GP5)

The wire instructions are as follows:

Commerce West Bank
2111 Business Center Drive, Irvine, CA 92612
ABA: 122 243 334
Credit: ABS Investment Group, acct. no. 92200070

Thank you

Porterfield
Puente Street
A 92821

Mark Bishop, Manager

ABS Investments Group LLC.
mbishop@absig.com
949 678 9574

Bilaga 15

Date 11/30/12
Account Number
EnclosuresPage 1
0070
1

*****EXCLUDE-Cycle
 1888 0.8180 EX 0.000 5 2 1185
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates 11/01/12 thru 11/30/12	
Previous Balance	487,005.05	Days in the statement period	30
12 Deposits/Credits	6,785,333.23	Average Ledger	548,875
32 Checks/Debits	6,694,971.38	Average Collected	548,875
Service Charge	446.29		
Interest Paid	.00		
Ending Balance	576,920.61		

Deposits and Additions		Amount
Date	Description	
11/01	WIRE-IN 20123060007300	348,190.51
11/05	IB Transfer from D *****005 to D *****070	566,392.68
11/06	IB Transfer from D *****005 to D *****070	213,684.74
11/06	WIRE-IN 20123110002000	41,526.00
11/07	WIRE-IN 20123120004100	200,000.00
11/08	IB Transfer from D *****005 to D *****070	2,000,000.00
11/20	IB Transfer from D *****005 to D *****070	121,956.52
11/20	IB Transfer from D *****005 to D *****070	276,246.93
11/23	WIRE-IN 20123280007900	705,396.63 ←
11/26	IB Transfer from D *****005 to D *****070	1,351,077.22
11/28	IB Transfer from D *****005 to D *****070	334,320.00
11/28	IB Transfer from D *****005 to D *****070	626,542.00

Checks and Withdrawals		Amount
Date	Description	

**AURIGA USA**546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 11/01/2012 - 11/30/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	1,410,781.43	7,998,707.83
Total Securities	\$1,410,781.43	\$7,998,707.83
Additional Transactions		
Dividends and Interest	0.39	775.25
Total Additional Transactions	\$0.39	\$775.25
Cash		
Deposits	0.00	179,583.09
Total Cash	\$0.00	\$179,583.09
Total Credits	\$1,410,781.82	\$8,179,066.17

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-705,396.63	-3,306,484.93
Total Securities	-\$705,396.63	-\$3,306,484.93
Additional Transactions		
Dividends and Interest	0.00	-92.84
Total Additional Transactions	\$0.00	-\$92.84
Cash		
Withdrawals	-1,053,587.14	-4,872,159.67
Total Cash	-\$1,053,587.14	-\$4,872,159.67
Total Debits	-\$1,758,983.77	-\$8,178,737.44

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
11/20/12	11/13/12	SOLD	CHASE FDG MTG LN 2003-5 ASSET BKD CTF CL IB 5.850% 02/25/32 B/E DTD 09/01/03 FACTOR .48687514 REM BAL 1460625.42	-3,000,000.000	48.0000	4,296.43	705,396.63	USD
11/28/12	11/13/12	CANCELLED SELL	CHASE FDG MTG LN 2003-5 ASSET BKD CTF CL IB 5.850% 02/25/32 B/E DTD 09/01/03 FACTOR .48687514 REM BAL 1460625.42 CANCELLED TRADE	3,000,000.000	48.0000	-4,296.43	-705,396.63	USD
11/28/12	11/13/12	CORRECTED SELL	CHASE FDG MTG LN 2003-5 ASSET BKD CTF CL IB 5.850% 02/25/32 B/E DTD 09/01/03 FACT/CPN CORRECTION FACTOR .48687514 REM BAL 1460625.42 CORRECTED CONFIRM	-3,000,000.000	48.0000	4,284.60	705,384.80	USD
Total Securities Bought and Sold						\$4,284.60	\$705,384.80	USD
Securities Withdrawals and Deposits								
11/19/12		YOUR ASSET TRANSFERRED	CHASE FDG MTG LN 2003-5 ASSET BKD CTF CL IB 5.850% 02/25/32 B/E DTD 09/01/03 0075 LPL FINANCIAL CORPORATION A/C 13604701	3,000,000.000			0.00	USD
Total Securities Withdrawals and Deposits						\$0.00	\$0.00	USD

Page 3 of 6

A0065116CSP30013

PUB-02-0101

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.Go paperless
ASK ABOUT E-DELIVERYBLOOMBERG
SIX YEARS IN A ROW
DALBAR RATED COMMUNICATIONS
EXCELLENCEClearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE, SIPC

13-1769-48.11
B. 1999 17**Birgitta Palm-Fredriksson****From:** Alex Hendrickson [alex@aurigaussallc.com]**Sent:** den 13 november 2012 14:44**To:** Ulf Deckmark; traction.backoffice**Subject:** CFAB 2003-5 1B

Ulf - I tried to call you but got voicemail.. If you have any questions, please call me at my desk (646) 998-6430..

I have a trade for you..

TRADER: ALEX HENDRICKSON

Auriga DTC 0443

CUSIP: 161546GP5

ISIN: US161546GP55

SELLS: 3,000,000 of CFAB 2003-5 1B? 5.57337% 02/25/32 ?

PRICE: 49.0000000 assuming HEP= 10.0 YTM: 37.823 AL= 3.21YR

SETTLE: 11/20/12 [Tue] Accrual Pd: 11/01/12 - 11/30/12 Monthly

Next Payment: 12/25/12 (24 day delay)

** Curr Face \$ 1,460,625.42 Oct12 Factor 0.4868751412> WAR

PRINCIPAL VAL \$ 715,706.46

+ 19 Days ACC \$ 4,296.43 ASSUMED: Nov12 coupon unknown

= TOTAL FUNDS \$ 720,002.89 ** ?

Alex Hendrickson

Managing Director

AURIGA USA, LLC

546 Fifth Ave, 9th Floor, New York, NY 10036

Ph: (646) 998-6430 C: (646) 303-5051 Fax: (646) 738-6560

Email - Alex@aurigaussallc.com

MBS

Obl.

Our detailed disclaimer can be seen at <http://www.aurigaussallc.com/disclaimer>

2012-11-14

Se 2013/1424

31376
31377

499

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	DTCYID/00443	Buy	2012-11-13	2012-11-20	US161546GP55	CONFIRMED	901901350628	3000000	720002,89	USD	DTCYUS33	UNITED STATES	31376
OPTIMUS FONDER AB	DTC0443	Buy	2012-11-13	2012-11-20	US161546GP55	DELETED	901901350628	3000000	720002,89	USD	DTCYUS33	UNITED STATES	31376
OPTIMUS FONDER AB	DTCYID/0727	Sell	2013-08-14	2013-08-19	US161546GP55	CONFIRMED	901901350628	3000000	393448,46	USD	DTCYUS33	UNITED STATES	33310

from: Ulf Deckmark <ulf.deckmark@optimusfonder.se>
sent: 2013-08-19 07:16:38 +0000
to: optimus.backoffice <optimus.backoffice@ws.se>
subject: VB: ID : 161546GP5 *Trade Ticket* As of Date: 8/15/2013 10:15:21
 Cusip: 161546GP5

---Ursprungligt meddelande----

från: FONDA MANOUS (MESIROW FINANCIAL IN) [mailto:fmanous1@bloomberg.net]
skickat: den 16 augusti 2013 17:17
ämne: ID : 161546GP5 *Trade Ticket* As of Date: 8/15/2013 10:15:21 Cusip: 161546GP5

ID : 161546GP5 *Trade Ticket* As of Date: 8/15/2013 10:15:21 Cusip: 161546GP5
RDR/SLS : FONDA MANOUS **Settlement :** 8/19/2013
UYS : 3M (M) **ISSUER:**CHASE FUNDING MORTGAGE LO
æcu : CFAB 2003-5 1B 5.4325%
Price : 31.000000000 **Yield:**109.819216 **Yield to:** 11/22/2015 at
assumed coupon : 5.432539% **Prepay speed :** 12.0 HEP **Factor :** 0.419388119

Notes : **CORRECTING TICKET S/D**

			{161546GP5 MTGE DES <GO>}
Principal	USD	390,030.95	
Accrued	(18 days)	3,417.51	
Transaction Costs		0.00	
Total	USD	393,448.46	

Miscellaneous Fields:

Original Face : 3M (M) **Current Face :** 1,258,164.36
Sue Date : 09/01/03 **Maturity Date :** 02/25/32
Average Life : 2.26 **Factor Date :** Jul13
Accrual Start Date : 08/01/13 **Accrual End Date :** 08/31/13
Next Payment Date : 09/25/13 **Delay :** 24 DAYS
 THIS TICKET (#2910449) GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
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Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m004.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Mon, 19 Aug 2013 09:17:01 +0200
 Authentication-Results: p02c11m004.mxlogic.net; spf=none
 Received: from unknown [217.75.103.74] (EHLO mail.itpas.se) by p02c11m004.mxlogic.net(mxlm-ta-7.1.0-4) over TLS secured channel
 with ESMTP id

Bilaga J till

CWALT

2005-28CB

1A11

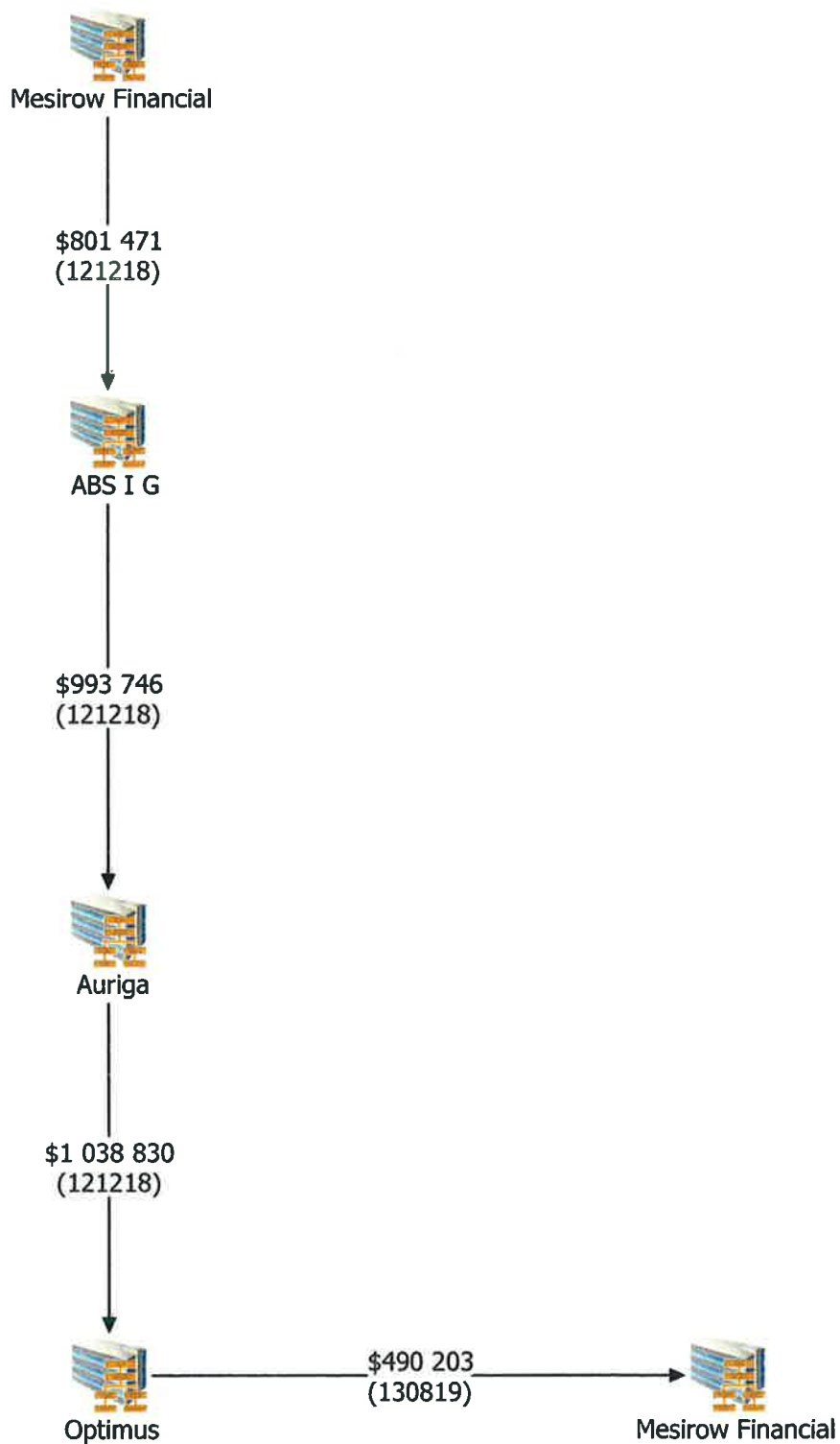
Sid**1 Kap. 7.7 CWALT - Bilaga J**

2 Innehållsförteckning

3 Chart över köp och försäljning av CWALT

		<u>Från</u>	<u>Avser</u>
4	Bilaga J 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga J 2	Mark Bishop	Trade Ticket
6	Bilaga J 3	Mark Bishop	Trade Ticket
7	Bilaga J 4	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
8 - 9	Bilaga J 5	Mark Bishop	Mail från Mark till Emil
10	Bilaga J 6	Pensionsmyndigheten	Kontoutdrag ABS I G
11	Bilaga J 7	Barclays Bank	Kontoutdrag SVC
12	Bilaga J 8	SVC.s bokföring	Faktura
13	Bilaga J 9	Mark Bishop	Trade Ticket
14	Bilaga J 10	Finansinspektionen	Trade Ticket
15	Bilaga J 11	Wahlstedt	Trade Ticket
16	Bilaga J 12	Swedbank	Kontoutdrag
17 - 25	Bilaga J 13	Mark Bishop	Mail mellan Mark och Emil
26	Bilaga J 14	SVC.s bokföring	Faktura

Försäljning av MBS "CWALT"



CWALT 2005-28CB 1A11

	<u>Instrument</u>	<u>Cusip</u>	<u>Original Face</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Namn</u>	<u>Säljare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current Face</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Settlement Summa</u>
1	US12667GE829	12667GE82	4 395 400	2012-12-11	2012-12-18	CWALT 2005-28CB	Mesirow Fin	ABS I G	0,900028261	\$3 955 984,22	0,2	\$791 196,84	\$10 274,57	\$801 471,41
2	US12667GE829	12667GE82	4 395 400	2012-12-11	2012-12-18	CWALT 2005-28CB	ABS I G	Auriga	0,8950525	\$3 934 113,76	0,25	\$983 528,44	\$10 217,77	\$993 746,21
3	US12667GE829	12667GE82	4 395 400	2012-12-11	2012-12-18	CWALT 2005-28CB	Auriga	Optimus	0,900028261	\$3 955 984,22	0,26	\$1 028 555,90	\$10 274,57	\$1 038 830,47
4	US12667GE829	12667GE82	4 395 400	2013-08-14	2013-08-19	CWALT 2005-28CB	Optimus	Mesirow Financial	0,509252917	\$2 238 370,27	0,21625	\$484 047,57	\$6 155,52	\$490 203,09

1A11 omfattar \$4 395 400

Prospektet finns (358 s)

Resultat för Optimus =

-\$548 627,38

Resultat för SVC, UK =

\$197 799,22

Resultat för ABS I G =

\$192 274,80

Zeptunus = 12,5% av SVC

160 595 kr

Bilaga J 1

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 11-dec-12
TRADER: Mesirow Financial
CUSIP: 12667GE82
ISIN:
BOND NAME: CWALT 2005-28CB 1A11
PRICE: 20,0000000 [20-00]
SETTLE: 18-dec-12
BOND COUPON: 5,50000%
DAYS ACCRUAL: 17 [17 DAYS ACCRUED]
ACCRUAL PAID: 10 274,57
NEXT PAYMENT: 25-jan-13 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 395 400,00
FACTOR: 0,9000282614
CURRENT FACE: 3 955 984,22
PRINCIPAL VALUE: 791 196,84
TOTAL FUNDS: 801 471,41

COMMENTS: Mesirow sells and ABSIG buys
4,395,400 orig face.

Contacts

Seller: Robert Greenwood
Mesirow Financial
350 North Clark Street
Chicago, Illinois 60610
+1(800)-733-5933 (office)
+1(561)352-7609 (mobile)
rgreenwood@mesirowfinancial.com

Purchaser: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga 33*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 11-dec-12
TRADER: ABS Investment Group
CUSIP: 122667GE82
ISIN:
BOND NAME: CWALT 2005-28CB 1A11
PRICE: 25,0000000 [25-00]
SETTLE: 18-dec-12 [TUESDAY]
BOND COUPON: 5,50000%
DAYS ACCRUAL: 17 [17 DAYS ACCRUED]
ACCRUAL PAID: 10 274,57
NEXT PAYMENT: 25-jan-13 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 395 400,00
FACTOR: 0,9000282614
CURRENT FACE: 3 955 984,22
PRINCIPAL VALUE: 988 996,06
TOTAL FUNDS: 999 270,63

COMMENTS: ABSIG sells and Auriga USA buys
4,395,400 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com



Brokerage Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	2,354,854.41	10,353,562.24
Total Securities	\$2,354,854.41	\$10,353,562.24
Additional Transactions		
Dividends and Interest	2.71	777.96
Total Additional Transactions	\$2.71	\$777.96
Cash		
Deposits	0.00	179,583.09
Total Cash	\$0.00	\$179,583.09
Total Credits	\$2,354,857.12	\$10,533,923.29

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-999,270.62	-4,305,755.55
Total Securities	-\$999,270.62	-\$4,305,755.55
Additional Transactions		
Dividends and Interest	0.00	-92.84
Total Additional Transactions	\$0.00	-\$92.84
Cash		
Withdrawals	-1,355,583.70	-6,227,743.37
Total Cash	-\$1,355,583.70	-\$6,227,743.37
Total Debits	-\$2,354,854.32	-\$10,533,591.76

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
12/18/12	12/11/12	SOLD	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 CLB FACTOR .90002826 REM BAL 3955984.22	-4,395,400.000	25.0000	10,274.57	999,270.62	USD
12/18/12	12/11/12	SOLD	INDYMAC MBS INC 2006-AR4 MTG PASSTHRU CTF CL A-1B VAR RATE 0.507% 05/25/46 B/E DTD 03/25/06 VARIABLE RATE FACTOR .25020590 REM BAL 1338601.59	-5,350,000.000	27.0000	415.15	361,837.58	USD
12/26/12	12/11/12	CANCELLED SELL	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 CLB FACTOR .90002826 REM BAL 3955984.22 CANCELLED TRADE	4,395,400.000	25.0000	-10,274.57	-999,270.62	USD
12/26/12	12/11/12	CORRECTED SELL	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 FACT/CPN CORRECTION FACTOR .89505250 REM BAL 3934113.76 CORRECTED CONFIRM	-4,395,400.000	25.0000	10,217.77	993,746.21	USD
Total Securities Bought and Sold						\$10,632.92	\$1,355,583.79	USD

Page 3 of 7

A9007414CSF30019

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.

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From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: Re: Arb for CWALT and INDX...
Date: 22 December 2012 at 00:24
To: Mark Bishop mailtobishop@gmail.com

OK,

I think I understand, but the volume on the trade ticket is confusing. I thought the volume was \$3,955 984.22 on the CWALT but it's much lower, right?

Please teach me how this work when we see each other next time.

Merry Christmas!

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: +4685-172 07 00
Mobile phone: +4673-293 99 99
eai@solidequity.se
www.solidequity.se

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Från: Mark Bishop <mailtobishop@gmail.com>
Datum: fredag 21 december 2012 16:39
Till: Emil Amir Ingmanson <eai@solidequity.se>
Ämne: Re: Arb for CWALT and INDX...

EMIL -

INDX: WE ASKED TO TRADE THE INDX BOND AT A PRICE OF 30-00 TO TRACTION AND 29-00 TO US, WHICH WOULD HAVE ALLOWED A 20% ARB TO SOLID. AURIGA COMPLIANCE GAVE US A TOP SALES PRICE OF 28-00 TO TRACTION AND 27-00 TO US,,, WHICH NARROWED THE ARB TO 14.814%, OR USD 53.544,06.

CWALT: WE TRADED THE CWALT AT A PRICE OF 26-00 TO TRACTION AND 25-00 TO US, WHICH IS AN ARB OF 20%, OR USD 197.799,22.

A TOTAL WIRE OF USD 251.343,28 WAS SENT TO SOLID AT BARCLAYS ON DECEMBER 12, 2012 (SEE ATTACHED WIRE TRANSACTION ADVICE).

BEST REGARDS,

MARK

=====

On Fri, Dec 21, 2012 at 10:06 AM, Emil Amir Ingmanson

<eai@solidequity.se> wrote:

Hi Mark,

We have received the arbitrage for the INDX bond, but not for the CWALT. When do we get that?

Also, the arbitrage was 18,78% on the INDX bond, not 20% as agreed, why is that? I need to understand how this is calculated.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: [+4685-172 07 00](tel:+4685-172 07 00)
Mobile phone: [+4673-293 99 99](tel:+4673-293 99 99)
eai@solidequity.se
www.solidequity.se

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*Bilaga J6*Date 12/31/12
Account Number
EnclosuresPage 3
0070

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and Withdrawals

Date	Description	Amount
12/12	TRACE #-104910797098094	
12/12	WIRE-OUT 20123470002900	20,000.00
12/12	WIRE-OUT 20123470002800	251,343.28
12/12	WIRE-OUT 20123470003000	7,000.00
12/12	Online Pmt HSBC CARD SRVCS 2000000510 12/12/12	7,512.84
12/14	TRACE #-021001082181767	
12/14	outgoing-wires 12.14.12	799,853.83
12/14	WIRE-OUT 20123490003500	20,000.00
12/14	WIRE-OUT 20123490004300	7,000.00
12/18	INTERNAL TRF 2012353000360	61,037.00
12/18	WIRE-OUT 20123530003000	20,000.00
12/18	WIRE-OUT 20123530003300	6,000.00
12/18	WIRE-OUT 20123530003700	30,518.00
12/19	WIRE-OUT 20123540011600	77,964.00
12/20	WIRE-OUT 20123550003200	143,105.79
12/24	Outgoing-wires 12.24.12	1,078,351.43
12/24	Online Pmt HSBC CARD SRVCS 2000000510 12/24/12	2,989.34
12/26	TRACE #-021001084739142	
12/26	Online Pmt HSBC CARD SRVCS 2000000510 12/26/12	15,221.78
12/27	TRACE #-021001086236044	
12/28	WIRE-OUT 20123620003600	1,078,351.43
12/28	IB Transfer from D *****070 to D *****005	361,837.58
12/28	IB Transfer from D *****070 to D *****005	999,270.63
12/28	WIRE-OUT 20123630004000	14,500.00
12/31	WIRE-OUT 20123660005000	13,000.00
12/31	WIRE-OUT 20123660004500	100,000.00
12/31	WIRE-OUT 20123660022500	121,194.00
12/31	WIRE-OUT 20123660006200	363,504.00
12/31	SERVICE CHARGE	854.06

Bilaga J7

DATE	DETAILS	RECEIPTS	PAYMENTS	STATEMENT BALANCE
2012-12-04	Reference 1016 * 007965*Emil Amir * TFR	GBP	-7 243,02	
2012-12-04	Invoice 201214 * 008292*Solid Equi* TFR	GBP	-9 657,36	
2012-12-04	FCHG*Reference 101 6 *007965* TFR	GBP	-34,00	
2012-12-04	FCHG*Invoice 20121 4 *008292* TFR	GBP	-34,00	2 553,55
2012-12-05	SVENSK FONDSERVI * 886259*5 * TFR	GBP	23 289,80	25 843,35
2012-12-06	Reference 1017 * 008081*Solid Equi* TFR	GBP	-10 000,00	
2012-12-06	FCHG*Reference 101 7 *008081* TFR	GBP	-25,00	15 818,35
2012-12-12	THE MET/NOBU REST REF 345 0000000000 BDC	GBP	-316,68	
2012-12-12	19:0611DECLKRAB000 AMEX LHR T5 Zone C ATM	GBP	-500,00	
2012-12-12	HMRC VAT REPAY 140 6939 10 BGC	GBP	1 070,86	16 072,53
2012-12-13	GOLDEN DRAGON REF 346 0000000000 BDC	GBP	-57,90	
2012-12-13	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-369,00	
2012-12-13	METROPOLITAN HOTEL REF 345 0000000000 BDC	GBP	-447,20	
2012-12-13	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-553,43	
2012-12-13	BRITISH A 125249 REF 342 0000000000 BDC	GBP	-2 070,91	
	INCLUDING COMMISSION £55.43 ABS INVESTMENT G * 689182*ARB			
2012-12-13	CWALT * TFR	GBP	151 722,14 ←	164 296,23
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-15,50	
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-382,80	
2012-12-14	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-392,80	
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-652,80	
2012-12-14	Invoice 201215 * 006518*Solid Equi* TFR	GBP	-35 000,00	
2012-12-14	FCHG*Invoice 20121 5 *006518* TFR EA ASSOCIATES	GBP	-25,00	
2012-12-14	IOM COMPANY 3 BBP	GBP	-3 600,00	124 227,33
2012-12-17	Reference 1017 * 007449*Emil Amir * TFR	GBP	-3 019,21	
2012-12-17	Invoice 12 * 045061*Strategi P* TFR	GBP	-10 000,00	
2012-12-17	Invoice 201216 * 036932*Solid Equi* TFR	GBP	-40 000,00	
	FCHG*Invoice 20121	GBP		
2012-12-17	6 *036932* TFR	GBP	-25,00	
2012-12-17	FCHG*Invoice 12 *045061* TFR	GBP	-25,00	
2012-12-17	FCHG*Reference 101 7 *007449* TFR	GBP	-34,00	71 124,12
2012-12-18	METROPOLITAN HOTEL REF 350 0000000000 BDC	GBP	-130,00	
2012-12-18	Invoice 12 * 045426*Strategi P* TFR	GBP	-27 750,00	
2012-12-18	FCHG*Invoice 12 *045426* TFR	GBP	-25,00	43 219,12
2012-12-21	DATA BACKUP SER	GBP	-3,30	43 215,82
2012-12-27	A/C FEES SETTLED FROM CFC 54570344 TFR	GBP	-7,00	
2012-12-27	Invoice 201217 * 013284*Solid Equi* TFR	GBP	-10 000,00	
2012-12-27	Reference 1018 * 007418*Emil Amir * TFR	GBP	-14 593,00	
2012-12-27	Reference 1019 * 013265*Emil Amir * TFR	GBP	-14 593,00	
2012-12-27	FCHG*Invoice 20121 7 *013284* TFR	GBP	-25,00	
2012-12-27	FCHG*Reference 101 8 *007418* TFR	GBP	-34,00	
2012-12-27	FCHG*Reference 101 9 *013265* TFR	GBP	-34,00	
2012-12-27	EA ASSOCIATES FEE NOTE 30940 BBP	GBP	-421,00	
2012-12-27	EA ASSOCIATES FEE NOTE 30894 BBP	GBP	-1 302,00	2 206,82

Bilaga 14
J8

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
Mobile phone +4673-293 99 99
Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 14
Invoice/Tax Date 13/12/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
between ABS Investment Group LLC and a fund company
in the EU.

-

151,722.14

Settlement is due within 28 days. We reserve the right to charge
interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 151,722.14

Tax Amount -

Invoice Total 151,722.14

Bilaga J9

AURIGA USA, LLC*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 11-dec-12
TRADER: Auriga USA
CUSIP: 12667GE82
BOND NAME: CWALT 2005-28CB 1A11
PRICE: 26,000000 [26-00]
SETTLE: 18-dec-12 [TUESDAY]
BOND COUPON: 5,50000%
DAYS ACCRUAL: 17 [17 DAYS ACCRUED]
ACCRUAL PAID: 10 274,57
NEXT PAYMENT: 25-jan-13 [24 DAY DELAY]
SELLS (ORIG. FACE): 4 395 400,00
FACTOR: 0,9000282614
CURRENT FACE: 3 955 984,22
PRINCIPAL VALUE: 1 028 555,90
TOTAL FUNDS: 1 038 830,47

COMMENTS: Traction High Yield buys, and Auriga USA sells
\$4,395,400 original face.

Contacts

Seller: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausaallc.com

Purchaser: Ulf Deckmark, COO
Traction Fonder AB
Hammarby Kaj 16
12030 Stockholm, Sweden
+46 850255604 (office)
+46 705309331 (mobile)
ulf.dekmark@tractionfonder.se

Robert Ekström

From: Alex Hendrickson <alex@aurigausa.com>
Sent: den 11 december 2012 17:48
To: Ulf Deckmark; traction.backoffice
Subject: CWALT 2005-28CB 1A11

Ulf – I hope all is well.. I have a couple trades.. Please call me at anytime with questions.. 646-998-6430

Auriga will deliver from DTC 0443

Settlement date will be December 18, 2012

*** VCON TICKET ***

TRADER: ALEX HENDRICKSON CUSIP: 12667GE82

SELLS: 4,395,400 of CWALT 2005-28CB 1A11 5.5% 08/25/35

PRICE: 26.0000000 assuming PPC= 75 YTM: 69.978 AL= 5.39YR

SETTLE: 12/18/12 [Tue] Accrual Pd: 12/01/12 - 12/31/12 Monthly

Next Payment: 01/25/13 (24 day delay)

** Curr Face \$ 3,955,984.22 <Nov12 Factor 0.9000282614>

PRINCIPAL VAL \$ 1,028,555.90

+ 17 Days ACC \$ 10,274.57

= TOTAL FUNDS \$ 1,038,830.47 **

< TRADE NOTES: AURIGA SELL TO TRACTION >

Alex Hendrickson

Managing Director

AURIGA USA, LLC

From: Ulf Deckmark <ulf.deckmark@optimusfonder.se>
Sent: 2013-08-19 07:16:23 +0000
To: optimus.backoffice <optimus.backoffice@ws.se>
Subject: VB: ID : 12667GE82 *Trade Ticket* As of Date: 8/15/2013 10:10:3
 Cusip: 12667GE82

---Ursprungligt meddelande----

Ämne: FONDA MANOUS (MESIROW FINANCIAL IN) [mailto:fmanous1@bloomberg.net]
Skickat: den 16 augusti 2013 17:20
Ämne: ID : 12667GE82 *Trade Ticket* As of Date: 8/15/2013 10:10:3 Cusip: 12667GE82

ID : 12667GE82 *Trade Ticket* As of Date: 8/15/2013 10:10:3 Cusip: 12667GE82
RDR/SLS : FONDA MANOUS **Settlement :** 8/19/2013
UYS : 4395400.00P (M) **ISSUER:**COUNTRYWIDE ALTERNATIVE
æcl : CWALT 2005-28CB 1A11%
Price : 21.625000000 **Yield:**126.225521 **Yield to:** 11/14/2017 at
oupon : 5.500000% **Prepay speed :** 90 PPC **Factor :** 0.509252917

Notes : **CORRECTING TICKET FOR S/D**

		{12667GE82 MTGE DES <GO>}
Principal	USD	484,047.57
Accrued	(18 days)	6,155.52
Transaction Costs		0.00
Total	USD	490,203.09

Miscellaneous Fields:

Original Face : 4395400.00 **Current Face :** 2,238,370.27
Issue Date : 06/01/05 **Maturity Date :** 08/25/35
Average Life : 4.24 **Factor Date :** Jul13
Accrual Start Date : 08/01/13 **Accrual End Date :** 08/31/13
Next Payment Date : 09/25/13 **Delay :** 24 DAYS
 THIS TICKET (#2910446) GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
 To learn more about the BLOOMBERG Professional® service,

http://cp.mcafee.com/d/k-Kr6jqb8VNUQsKenudTdELzHLc6QnNRTzhOrhs76zB4sqenPqdQm4jhPtxN4TlqsBmWsgSNmHHIHu2z5eFljGur7ZOOaar_nVd5UQsLL6zDHTbELI3KpKqekknXYJteOaaJQQuI3PWApMUE6CQjq9K_cKnLuZXTKrKr0143WRl8v4zOVJIZTAflll<5lr_0IKzJo8v7GurvKUqeJop6kzh06xNEwid42arzwU93jOpEwd41Ewd401gj7kfd409BoHYM2Cy0gEq8aKCyo0eSvF6y1X4nYjKyOqF8Tpd40ATiiOhEwhjss718qujdTdGSHGF9B_0Q9T

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Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c11m021.mxlogic.net ([208.65.144.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Mon, 19 Aug 2013 09:16:49 +0200
 Authentication-Results: p02c11m021.mxlogic.net; spf=none
 Received: from unknown [217.75.103.74] (EHLO mail.itpas.se)
 by p02c11m021.mxlogic.net(mx1_mta-7.1.0-4) over TLS secured channel
 with ESMTP id

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB		Buy	2012-12-11	2012-12-18	US12667GE829	CONFIRMED	901901350628	4395400	1038830,47	USD	DTCYUS33	UNITED STATES	31577
OPTIMUS FONDER AB	DTCYID/0727	Sell	2013-08-14	2013-08-19	US12667GE829	CONFIRMED	901901350628	4395400	490203,09	USD	DTCYUS33	UNITED STATES	33309

BG 721-5
Bilaga J 13

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: Re: Arb for CWALT and INDX...
Date: 11 December 2012 at 14:18
To: Mark Bishop mailtobishop@gmail.com

Super! Super!

We Received 42 million today from the pension authority!!!!

Double what we expected!

You can prepare another MBS of the same size right away. We are going to break the half billion line before Christmas!

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

11 dec 2012 kl. 22:15 skrev "Mark Bishop" <mailtobishop@gmail.com>:

Emil -

I set a wire in the amount of USD 251.343,28, to Solid Venture Capital Ltd (Barclays Bank account), on Wednesday, December 12th.

Regards,

Mark

--

Mark Bishop

+1 949 678 9574

+46 709 933 538

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: Re: flying tomorrow...
Date: 13 December 2012 at 08:42
To: Mark mailtobishop@gmail.com

Mark -

Please find another MBS of the same size and arbitrage ASAP. We have enough volume in the fund to buy it.

Also, Everybody is now on board on the 1 billion goal by the end of Q1. This is going to be tough but I think that we can do it.

A party like the christmas gala is what they want if they manage to reach the goal. The cost will be around 75K. It's that OK, you think?

Finally, Do you have time to go see the boxes at the new arena some time next week? I can fix a tour for us. There are a few left to rent.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: +4685-172 07 00
Mobile phone: +4673-293 99 99
eai@solidequity.se
www.solidequity.se

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The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Från: Mark <mailtobishop@gmail.com>

Datum: tisdag 11 december 2012 23:41

Till: Emil Amir Ingmanson <eai@solidequity.se>

Ämne: Re: flying tomorrow...

I support that goal !!!

=====

Mark Bishop

+1 949 678 9574

+46 709 933 538

On Dec 12, 2012, at 0:35, Emil Amir Ingmanson <eai@solidequity.se>
wrote:

Me too,

I've set a new very ambitious goal. We shall reach 1 billion by the end
of the first quarter 2013!

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

11 dec 2012 kl. 23:32 skrev "Mark" <mailtobishop@gmail.com>:

Thank you. No worries as this is expected, but no one is ever ready
for it. I'll stay in touch, and in the meantime work my ass off on our
Fund.

Best,

M

=====

Mark Bishop

+1 949 678 9574

+46 709 933 538

On Dec 12, 2012, at 0:28, Emil Amir Ingmanson <eai@solidequity.se>
wrote:

Ok. I understand.

Hope she will be OK. I'm available if you need me.

Hope to see you soon again.

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

11 dec 2012 kl. 23:24 skrev "Mark Bishop" <mailtobishop@gmail.com>:

Emil -

Just got a call, that Annika's mother is in the hospital (dementia issues). We will fly to Gothenburg tomorrow morning and spend a few days there. Then will go to California over the weekend and try to get back to Stockholm before Christmas.

I'll give you a call when we leave the hospital.

Regards,

M

--

Mark Bishop

+1 949 678 9574

+46 709 933 538

1367215
2012-12-13 2327...

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: Re: Arb for CWALT and INDX...
Date: 13 December 2012 at 23:27
To: Mark Bishop mailtobishop@gmail.com

Hi Mark -

Can you send me the trade ticket for this transaction.

Did you get the sales budget in the arbitrage as agreed?

It doesn't look like that to me, but I haven't seen the exact numbers.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: +4685-172 07 00
Mobile phone: +4673-293 99 99
eai@solidequity.se
www.solidequity.se

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The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Från: Mark Bishop <mailtobishop@gmail.com>
Datum: tisdag 11 december 2012 22:15
Till: Emil Amir Ingmanson <eai@solidequity.se>
Ämne: Arb for CWALT and INDX...

Emil -

I set a wire in the amount of USD 251.343,28, to Solid Venture Capital

Ltd (Barclays Bank account), on Wednesday, December 12th.

Regards,

Mark

--

Mark Bishop

+1 949 678 9574

+46 709 933 538

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The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Från: Mark Bishop <mailtobishop@gmail.com>

Datum: fredag 14 december 2012 14:40

Till: Emil Amir Ingmanson <eai@solidequity.se>

Ämne: Re: Arb for CWALT and INDX...

EMIL - ATTACHED ARE THE TRADE TICKETS FOR THE SALE OF THE INDX AND CWALT BONDS TO AURIGA. REGARDS. M

=====

On Fri, Dec 14, 2012 at 8:27 AM, Emil Amir Ingmanson
<eai@solidequity.se> wrote:

Hi Mark -

Can you send me the trade ticket for this transaction.

Did you get the sales budget in the arbitrage as agreed?

It doesn't look like that to me, but I haven't seen the exact numbers.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: [+4685-172 07 00](tel:+4685-172-07-00)
Mobile phone: [+4673-293 99 99](tel:+4673-293-99-99)

eai@solidequity.se

www.solidequity.se

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The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Från: Mark Bishop <mailtobishop@gmail.com>

Datum: tisdag 11 december 2012 22:15

Till: Emil Amir Ingmanson <eai@solidequity.se>

Ämne: Arb for CWALT and INDX...

Emil -

I set a wire in the amount of USD 251.343,28, to Solid Venture Capital Ltd (Barclays Bank account), on Wednesday, December 12th.

Regards,

Mark

--

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

--

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

--

Mark Bishop

+1 949 678 9574

+46 709 933 538

14
Bilaga J 14

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
Mobile phone +4673-293 99 99
Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
707 Broadway 18th Floor
San Diego, CA
US, 92101

Invoice No. 14
Invoice/Tax Date 13/12/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
between ABS Investment Group LLC and a fund company
in the EU.

-

151,722.14

Settlement is due within 28 days. We reserve the right to charge
interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 151,722.14

Tax Amount -

Invoice Total 151,722.14

Bilaga K till

INDX

2006-AR4

A1B

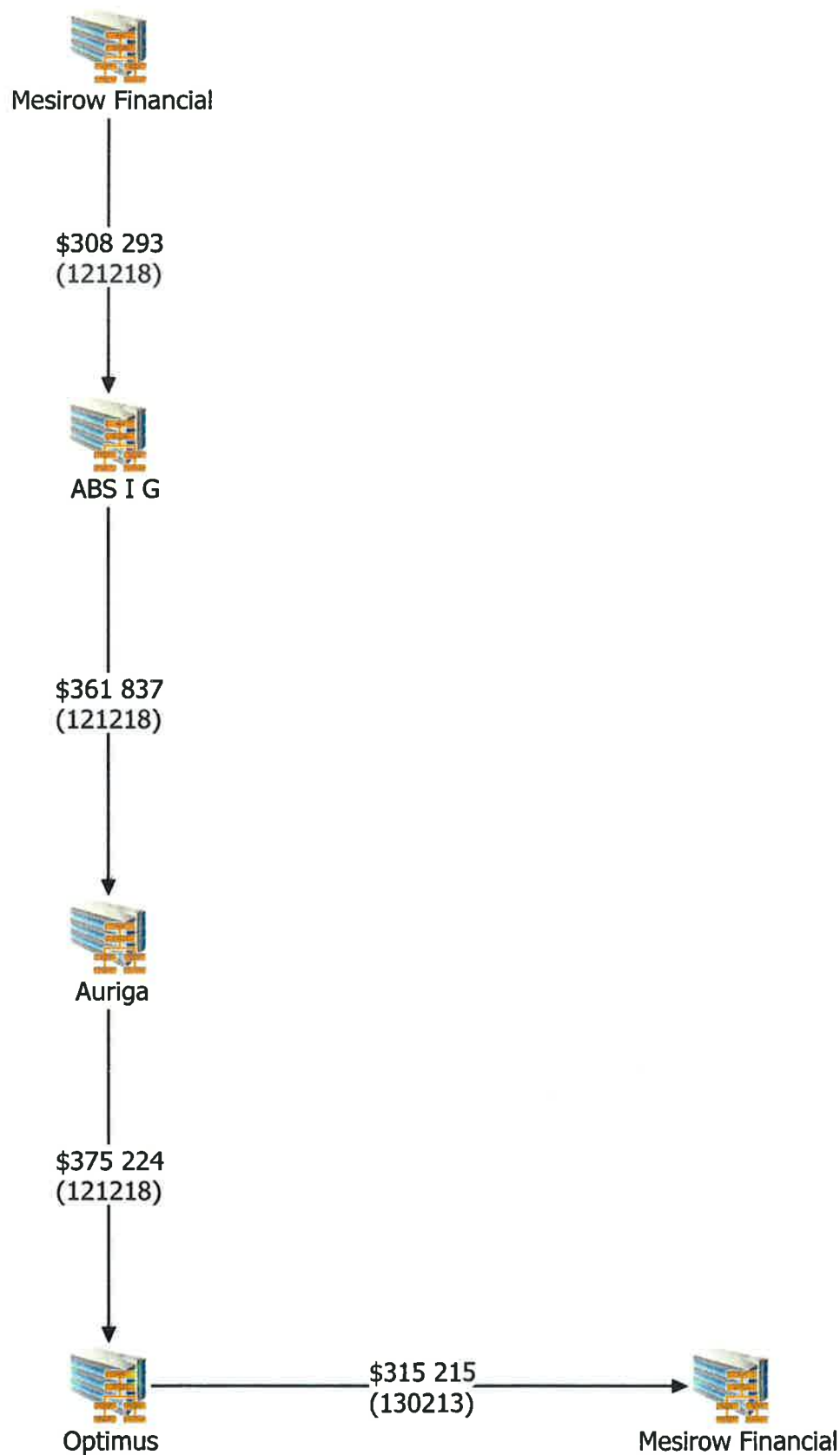
Sid**1 Kap. 7.8 INDX/IndyMac - Bilaga K**

2 Innehållsförteckning

3 Chart över köp och försäljning av INDX

		<u>Från</u>	<u>Avser</u>
4	Bilaga K 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga K 2	Mark Bishop	Trade Ticket
6	Bilaga K 3	Mark Bishop	Trade Ticket
7	Bilaga K 4	Auriga	Kontoutdrag från Auriga för ABS I G.s konto NFE-017456.
8 - 9	Bilaga K 5	Mark Bishop	Mail från Mark till Emil
10	Bilaga K 6	Pensionsmyndigheten	Kontoutdrag ABS I G
11	Bilaga K 7	Barclays Bank	Kontoutdrag SVC
12	Bilaga K 8	SVC.s bokföring	Faktura
13	Bilaga K 9	Mark Bishop	Trade Ticket
14	Bilaga K 10	Wahlstedt	Trade Ticket
15	Bilaga K 11	Swedbank	Kontoutdrag Optimus

Försäljning av MBS "INDX"



IndyMac INDX 2006-AR4 A1B

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Sällare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Settlement summa
1	US45661EAW49	45661EAW4	5 350 000	2012-12-11	2012-12-18	IndyMac INDX 2006-AR4 A1B	Mesirow	ABS I G	0,2502059048	\$1 338 601,59	0,23	\$307 878,37	\$415,15	\$308 293,52
2	US45661EAW49	45661EAW4	5 350 000	2012-12-11	2012-12-18	IndyMac INDX 2006-AR4 A1B	ABS I G	Auriga	0,2502059048	\$1 338 601,59	0,27	\$361 422,43	\$415,15	\$361 837,58
3	US45661EAW49	45661EAW4	5 350 000	2012-12-11	2012-12-18	IndyMac INDX 2006-AR4 A1B	Auriga	Optimus	0,2502059048	\$1 338 601,59	0,28	\$374 808,45	\$415,15	\$375 223,60
4	US45661EAW49	45661EAW4	5 350 000	2013-02-08	2013-02-13	IndyMac INDX 2006-AR4 A1B	Optimus	Mesirow Financial	0,2402234730	\$1 285 195,58	0,245	\$314 872,92	\$341,66	\$315 214,58

A1B omfattar \$332 815 000

Prospektet finns (384 s)

Resultat för Optimus = -\$60 009,02 (Förlust)

Resultat för SVC, UK = \$53 544,06

Resultat för ABS I G = \$53 544,06

Zeptunus = 12,5% av SVC 43 472 kr (204 068 kr för CWALT och INDX)

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 11-dec-12
TRADER: Mesirow Financial
CUSIP: 45661EAW4
ISIN:
BOND NAME: INDX 2006-AR4 A1B
PRICE: 23,0000000 [23-00]
SETTLE: 18-dec-12
BOND COUPON: 0,50750%
DAYS ACCRUAL: 22 [22 DAYS ACCRUED]
ACCRUAL PAID: 415,15
NEXT PAYMENT: 26-dec-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 5 350 000,00
FACTOR: 0,2502059048
CURRENT FACE: 1 338 601,59
PRINCIPAL VALUE: 307 878,37
TOTAL FUNDS: 308 293,52

COMMENTS: Mesirow sells and ABSIG buys
\$5,350,000 original face.

Contacts

Seller: Robert Greenwood
Mesirow Financial
350 North Clark Street
Chicago, Illinois 60610
+1(800)-733-5933 (office)
+1(561)352-7609 (mobile)
rgreenwood@mesiorowfinancial.com

Purchaser: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE: 11-dec-12
TRADER: ABS Investment Group
CUSIP: 45661EAW4
ISIN:
BOND NAME: INDX 2006-AR4 A1B
PRICE: 27,0000000 [27-00]
SETTLE: 18-dec-12 [TUESDAY]
BOND COUPON: 0,50750%
DAYS ACCRUAL: 22 [22 DAYS ACCRUED]
ACCRUAL PAID: 415,15
NEXT PAYMENT: 26-dec-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 5 350 000,00
FACTOR: 0,2502059048
CURRENT FACE: 1 338 601,59
PRINCIPAL VALUE: 361 422,43
TOTAL FUNDS: 361 837,58

COMMENTS: ABSIG sells and Auriga USA buys
\$5,350,000 orig face.

Contacts

Purchaser: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausallic.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com



AURIGA USA
546 Fifth Avenue, New York NY 10036
T:(646) 998-6400 F:(646) 278-0288

Brokerage Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date	Debits	This Period	Year-to-Date
Securities			Securities		
Securities Sold	2,354,854.41	10,353,562.24	Securities Bought	-999,270.62	-4,305,755.55
Total Securities	\$2,354,854.41	\$10,353,562.24	Total Securities	-\$999,270.62	-\$4,305,755.55
Additional Transactions			Additional Transactions		
Dividends and Interest	2.71	777.96	Dividends and Interest	0.00	-92.84
Total Additional Transactions	\$2.71	\$777.96	Total Additional Transactions	\$0.00	-\$92.84
Cash			Cash		
Deposits	0.00	179,583.09	Withdrawals	-1,355,583.70	-6,227,743.37
Total Cash	\$0.00	\$179,583.09	Total Cash	-\$1,355,583.70	-\$6,227,743.37
Total Credits	\$2,354,857.12	\$10,533,923.29	Total Debits	-\$2,354,854.32	-\$10,533,591.76

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold								
12/18/12	12/11/12	SOLD 12667GE82	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 CLB FACTOR .90002826 REM BAL 3955984.22	-4,395,400.000	25.0000	10,274.57	999,270.62	USD
12/18/12	12/11/12	SOLD IDMC34752	INDYMAC MBS INC 2006-AR4 MTG PASSTHRU CTF CL A-1B VAR RATE 0.507% 05/25/46 B/E DTD 03/25/06 VARIABLE RATE FACTOR .25020590 REM BAL 1338601.59	-5,350,000.000	27.0000	415.15	361,837.58	USD
12/26/12	12/11/12	CANCELLED SELL 12667GE82	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 CLB FACTOR .90002826 REM BAL 3955984.22 CANCELLED TRADE	4,395,400.000	25.0000	-10,274.57	-999,270.62	USD
12/26/12	12/11/12	CORRECTED SELL BAC330783	CWALT INC 2005-28CB MTG PASSTHRU CTF CL 1-A-11 5.500% 08/25/35 B/E DTD 06/01/05 FACT/CPN CORRECTION FACTOR .89505250 REM BAL 3934113.76 CORRECTED CONFIRM	-4,395,400.000	25.0000	10,217.77	993,746.21	USD
Total Securities Bought and Sold							\$10,632.92	\$1,355,583.79 USD

Page 3 of 7

A0007414C6F30019

RAM-ON-OUT

Account Number: NFE-017456
ABS INVESTMENT GROUP LLC.

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Six Years in a Row
DALIAN RATED COMMUNICATIONS
EXCELLENCE

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: Re: Arb for CWALT and INDX...
Date: 22 December 2012 at 00:24
To: Mark Bishop mailtobishop@gmail.com

OK,

I think I understand, but the volume on the trade ticket is confusing. I thought the volume was \$3,955 984.22 on the CWALT but it's much lower, right?

Please teach me how this work when we see each other next time.

Merry Christmas!

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: +4685-172 07 00
Mobile phone: +4673-293 99 99
eai@solidequity.se
www.solidequity.se

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Från: Mark Bishop <mailtobishop@gmail.com>
Datum: fredag 21 december 2012 16:39
Till: Emil Amir Ingmanson <eai@solidequity.se>
Ämne: Re: Arb for CWALT and INDX...

EMIL -

INDX: WE ASKED TO TRADE THE INDX BOND AT A PRICE OF 30-00 TO TRACTION AND 29-00 TO US, WHICH WOULD HAVE ALLOWED A 20% ARB TO SOLID. AURIGA COMPLIANCE GAVE US A TOP SALES PRICE OF 28-00 TO TRACTION AND 27-00 TO US,,, WHICH NARROWED THE ARB TO 14.814%, OR USD 53.544,06.

CWALT: WE TRADED THE CWALT AT A PRICE OF 26-00 TO TRACTION AND 25-00 TO US, WHICH IS AN ARB OF 20%, OR USD 197.799,22.

A TOTAL WIRE OF USD 251.343,28 WAS SENT TO SOLID AT BARCLAYS ON DECEMBER 12, 2012 (SEE ATTACHED WIRE TRANSACTION ADVICE).

BEST REGARDS,

MARK

=====

On Fri, Dec 21, 2012 at 10:06 AM, Emil Amir Ingmanson

<eai@solidequity.se> wrote:

Hi Mark,

We have received the arbitrage for the INDX bond, but not for the CWALT. When do we get that?

Also, the arbitrage was 18,78% on the INDX bond, not 20% as agreed, why is that? I need to understand how this is calculated.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: [+4685-172 07 00](tel:+4685-172 07 00)
Mobile phone: [+4673-293 99 99](tel:+4673-293 99 99)
eai@solidequity.se
www.solidequity.se

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Bilaga K 6

Date 12/31/12
Account Number
EnclosuresPage 3
0070

BUSINESS ANALYZED CHECKING

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
	TRACE #-104910797098094	
12/12	WIRE-OUT 20123470002900	20,000.00
12/12	WIRE-OUT 20123470002800	251,343.28 ←
12/12	WIRE-OUT 20123470003000	7,000.00
12/12	Online Pmt HSBC CARD SRVCS 2000000510 12/12/12	7,512.84
	TRACE #-021001082181767	
12/14	outgoing-wires 12.14.12	799,853.83
12/14	WIRE-OUT 20123490003500	20,000.00
12/14	WIRE-OUT 20123490004300	7,000.00
12/18	INTERNAL TRF 2012353000360	61,037.00
12/18	WIRE-OUT 20123530003000	20,000.00
12/18	WIRE-OUT 20123530003300	6,000.00
12/18	WIRE-OUT 20123530003700	30,518.00
12/19	WIRE-OUT 20123540011600	77,964.00
12/20	WIRE-OUT 20123550003200	143,105.79
12/24	Outgoing-wires 12.24.12	1,078,351.43
12/24	Online Pmt HSBC CARD SRVCS 2000000510 12/24/12	2,989.34
	TRACE #-021001084739142	
12/26	Online Pmt HSBC CARD SRVCS 2000000510 12/26/12	15,221.78
	TRACE #-021001086236044	
12/27	WIRE-OUT 20123620003600	1,078,351.43
12/28	IB Transfer from D *****070 to D *****005	361,837.58
12/28	IB Transfer from D *****070 to D *****005	999,270.63
12/28	WIRE-OUT 20123630004000	14,500.00
12/31	WIRE-OUT 20123660005000	13,000.00
12/31	WIRE-OUT 20123660004500	100,000.00
12/31	WIRE-OUT 20123660022500	121,194.00
12/31	WIRE-OUT 20123660006200	363,504.00
12/31	SERVICE CHARGE	854.06

DATE	DETAILS	RECEIPTS	PAYMENTS	13.1499 K7 STATEMENT BALANCE
2012-12-04	Reference 1016 * 007965*Emil Amir * TFR	GBP	-7 243,02	
2012-12-04	Invoice 201214 * 008292*Solid Equi* TFR	GBP	-9 657,36	
2012-12-04	FCHG*Reference 101 6 *007965* TFR	GBP	-34,00	
2012-12-04	FCHG*Invoice 20121 4 *008292* TFR	GBP	-34,00	2 553,55
2012-12-05	SVENSK FONDSERVI * 886259*5 * TFR	GBP	23 289,80	25 843,35
2012-12-06	Reference 1017 * 008081*Solid Equi* TFR	GBP	-10 000,00	
2012-12-06	FCHG*Reference 101 7 *008081* TFR	GBP	-25,00	15 818,35
2012-12-12	THE MET/NOBU REST REF 345 0000000000 BDC	GBP	-316,68	
2012-12-12	19:0611DECLKRAB000 AMEX LHR T5 Zone C ATM	GBP	-500,00	
2012-12-12	HMRC VAT REPAY 140 6939 10 BGC	GBP	1 070,86	16 072,53
2012-12-13	GOLDEN DRAGON REF 346 0000000000 BDC	GBP	-57,90	
2012-12-13	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-369,00	
2012-12-13	METROPOLITAN HOTEL REF 345 0000000000 BDC	GBP	-447,20	
2012-12-13	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-553,43	
2012-12-13	BRITISH A 125249 REF 342 0000000000 BDC	GBP	-2 070,91	
	INCLUDING COMMISSION £55.43 ABS INVESTMENT G * 689182*ARB			
2012-12-13	CWALT * TFR	GBP	151 722,14	164 296,23
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-15,50	
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-382,80	
2012-12-14	METROPOLITAN HOTEL REF 346 0000000000 BDC	GBP	-392,80	
2012-12-14	METROPOLITAN HOTEL REF 347 0000000000 BDC	GBP	-652,80	
2012-12-14	Invoice 201215 * 006518*Solid Equi* TFR	GBP	-35 000,00	
2012-12-14	FCHG*Invoice 20121 5 *006518* TFR EA ASSOCIATES	GBP	-25,00	
2012-12-14	IOM COMPANY 3 BBP	GBP	-3 600,00	124 227,33
2012-12-17	Reference 1017 * 007449*Emil Amir * TFR	GBP	-3 019,21	
2012-12-17	Invoice 12 * 045061*Strategi P* TFR	GBP	-10 000,00	
2012-12-17	Invoice 201216 * 036932*Solid Equi* TFR	GBP	-40 000,00	
	FCHG*Invoice 20121	GBP		
2012-12-17	6 *036932* TFR	GBP	-25,00	
2012-12-17	FCHG*Invoice 12 *045061* TFR	GBP	-25,00	
2012-12-17	FCHG*Reference 101 7 *007449* TFR	GBP	-34,00	71 124,12
2012-12-18	METROPOLITAN HOTEL REF 350 0000000000 BDC	GBP	-130,00	
2012-12-18	Invoice 12 * 045426*Strategi P* TFR	GBP	-27 750,00	
2012-12-18	FCHG*Invoice 12 *045426* TFR	GBP	-25,00	43 219,12
2012-12-21	DATA BACKUP SER	GBP	-3,30	43 215,82
2012-12-27	A/C FEES SETTLED FROM CFC 54570344 TFR	GBP	-7,00	
2012-12-27	Invoice 201217 * 013284*Solid Equi* TFR	GBP	-10 000,00	
2012-12-27	Reference 1018 * 007418*Emil Amir * TFR	GBP	-14 593,00	
2012-12-27	Reference 1019 * 013265*Emil Amir * TFR	GBP	-14 593,00	
2012-12-27	FCHG*Invoice 20121 7 *013284* TFR	GBP	-25,00	
2012-12-27	FCHG*Reference 101 8 *007418* TFR	GBP	-34,00	
2012-12-27	FCHG*Reference 101 9 *013265* TFR	GBP	-34,00	
2012-12-27	EA ASSOCIATES FEE NOTE 30940 BBP	GBP	-421,00	
2012-12-27	EA ASSOCIATES FEE NOTE 30894 BBP	GBP	-1 302,00	2 206,82

Solid Venture Capital Ltd
869 High Road
London
N12 8QA

Office phone +4685-172 07 00
 Mobile phone +4673-293 99 99
 Email eai@solidequity.se

VAT Registration No. GB 140 6939 10

ABS Investment Group LLC
 707 Broadway 18th Floor
 San Diego, CA
 US, 92101

Invoice No. 14
Invoice/Tax Date 13/12/2012
Customer Ref Mark Bishop
Our Ref Emil Amir Igmanson

Service Details

Tax Rate
£

Net Amount
£

Sales Commission for a mortgage backed security deal
 between ABS Investment Group LLC and a fund company
 in the EU.

-

151,722.14

Settlement is due within 28 days. We reserve the right to charge
 interest on late payment

Please make cheques payable to: Solid Venture Capital Ltd

Bank Payments to Solid Venture Capital Ltd

Sort Code 20-95-61

Account number 33313980

IBAN GB04 BARC 2095 6133 3139 80

SWIFTBIC BARCGB22

Net Amount 151,722.14

Tax Amount -

Invoice Total 151,722.14

136721-3
Trade Ticket Indx -
Bilaga K9

AURIGA USA, LLC

* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE:	11-Dec-12	
TRADER:	Auriga USA	
CUSIP:	45661EAW4	
BOND NAME:	INDX 2006-AR4 A1B	
PRICE:	28.0000000	[28-00]
SETTLE:	18-Dec-12	[TUESDAY]
BOND COUPON:	0.50750%	
DAYS ACCRUAL:	22	[22 DAYS ACCRUED]
ACCRUAL PAID:	415.15	
NEXT PAYMENT:	26-Dec-12	[0 DAY DELAY]
SELLS (ORIG. FACE):	5,350,000.00	
FACTOR:	0.2502059048	
CURRENT FACE:	1,338,601.59	
PRINCIPAL VALUE:	374,808.45	
TOTAL FUNDS:	375,223.60	
COMMENTS:	Traction High Yield buys, and Auriga USA sells \$5,350,000 original face.	

Contacts

Seller: Alex Hendrickson, Managing Director
Auriga USA, LLC
546 Fifth Avenue, 9th Floor
New York, New York 10036
+1 (646)998-6430 (office)
+1 (646)303-5051 (mobile)
alex@aurigausa.com

Purchaser: Ulf Deckmark, COO
Traction Fonder AB
Hammarby Kaj 16
12030 Stockholm, Sweden
+46 850255604 (office)
+46 705309331 (mobile)
ulf.dekmark@tractionfonder.se

From: "FONDA MANOUS (MESIROW FINANCIAL IN)"
 <fmanous1@bloomberg.net>
Sent: 2013-02-11 15:55:52 +0000
To: traction.backoffice <traction.backoffice@ws.se>
Subject: ID : 45661EAW4 *Trade Ticket* As of Date: 2/8/2013 14:27:47 Cusip: 45661EAW4

ID : 45661EAW4 *Trade Ticket* As of Date: 2/8/2013 14:27:47 Cusip: 45661EAW4
 RDR/SLS : ROBERT GREENWOOD Settlement : 2/13/2013
 UYS : 5350 (M) ISSUER:INDYMAC INDX MORTGAGE LOA
 Security : INDX 2006-AR4 A1B 0.50%
 Price : 24.500000000 Yield:72.665045 Yield to: 7/17/2018 at
 Coupon : 0.503700% Prepay speed : 12.0 CPR Factor : 0.240223473

Notes: THIS ITEM IS DTC ELIGIBLE, PLEASE INSTRUCT TO SETTLE DTC 0727, NOT EUROCCLEAR

		{45661EAW4 MTGE DES <GO>}
Principal	USD	314,872.92
Accrued	(19 days)	341.66
Transaction Costs		0.00
Total	USD	315,214.58

Miscellaneous Fields:

Original Face : 5350 (M) Current Face : 1,285,195.58
 Issue Date : 03/25/06 Maturity Date : 05/25/46
 Average Life : 5.43 Factor Date : Jan13
 Accrual Start Date : 01/25/13 Accrual End Date : 02/24/13
 Next Payment Date : 02/25/13 Delay : 0 DAYS
 THIS TICKET GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **
 To learn more about the BLOOMBERG Professional® service,

<https://console.mxlogic.com/redir/?5NxNd6Wa9J5YttUQsCM0h0-Jli7N8YKrLRPhOUe7c8CzBBYTswWHlaJQh1h0-Jli7N8Y3jr3P3xJfOXVEVuhvodzzhOr3gUQg96y1xZNN4q405od41Ewd41Ew0a2oWxVEw1cH5vC0kQg253h1lQQg1SPZ8Qg9UZytQmjh0yMACeio7frF9p8QgcfKe8zgw0H1KvxYYmfSk3q9J4SyUed7a8UQsLCM9kJZGYS1Olw>

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Message Headers:

Microsoft Mail Internet Headers Version 2.0
 Received: from p02c12m104.mxlogic.net ([208.65.145.245]) by mail.ws.se with Microsoft SMTPSVC(6.0.3790.4675);
 Mon, 11 Feb 2013 16:54:29 +0100
 Authentication-Results: p02c12m104.mxlogic.net; spf=pass
 Received: from unknown [69.191.244.20] (EHLO mgnj2.bloomberg.net) by p02c12m104.mxlogic.net(mx1_mta-7.0.0-0) over TLS secured channel with ESMTP id 98419115.0.2306568.00-2204.3042941.p02c12m104.mxlogic.net (envelope-from <fmanous1@bloomberg.net>);
 Mon, 11 Feb 2013 08:55:53 -0700 (MST)
 X-BB-Reception-Complete: 11 Feb 2013 10:55:52 -0500
 X-IP-Listener: Outgoing Mail
 X-IP-MID: 811831375
 Received: from p135.bloomberg.com (HELO p135) ([10.126.152.31]) by mgnj2.bloomberg.net with SMTP; 11 Feb 2013 10:55:52 -0500

Bilaga K 11

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	Buy	2012-12-11	2012-12-18	US45661EAW49	CONFIRMED	901901350628	5350000		375223,6	USD	DTCYUS33	UNITED STATES	31578
OPTIMUS FONDER AB	Sell	2013-02-08	2013-02-13	US45661EAW49	CONFIRMED	901901350628	5350000		315214,58	USD	DTCYUS33	UNITED STATES	31951

Bilaga L till

BOAMS

MTGE

2004-L B1

Sid**Kap. 7.9 BOAMS Bilaga L**

1			
2	Innehållsförteckning		
3	Chart över köp och försäljning av BOAMS		
		<u>Från</u>	<u>Avser</u>
4	Bilaga L 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga L 2	Mesirow Financial	Ticket Mesirows köp av BOAMS
6	Bilaga L 3	Mesirow Financial	Ticket Mesirows försäljning av BOAMS
7	Bilaga L 4	Mark Bishop	Trade Ticket
8	Bilaga L 5	Pensionsmyndigheten	Kontoutdrag ABS I G
9	Bilaga L 6	Close Brothers	Kontoutdrag SVC
10 - 11	Bilaga L 7	Rättshjälp Tyskland	Sammanställning
12 - 13	Bilaga L 8	Rättshjälp Tyskland	Settlement Confirm
14	Bilaga L 9	Finansinspektionen	Trade Confirmation
15 - 25	Bilaga L 10	Close Brothers	Handlingar från Close Brothers
26	Bilaga L 11	Swedbank	Kontoutdrag
27	Bilaga L 12	Wahlstedt	VCON Ticket
28 - 33	Bilaga L 13	Mark Bishop	Mail mellan Mark och Emil.

Försäljning av MBS "BOAMS"



Stifel Nicholas

\$2 142 582
(130214)



Mesirow Financial

\$2 242 591
(130214)



ABS I G

\$3 463 318
(130226))



SVC

\$4 318 185
(130226)



Close Brothers

\$4 326 776
(130226)



Optimus

\$2 303 878
(131206)



Auriga

BOAMS MTGE 2004-L B1

<u>Instrument</u>	<u>Cusip</u>	<u>Original Face</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Namn</u>	<u>Sällare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current Face</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Bankavgift</u>	<u>Settlement Summa</u>
1	US05949AZH66	12 863 000	2013-02-07	2013-02-14	BOAMS	Stifel Nicholas	Mesirow	0,88856511	\$11 429 613,01	0,18625	\$2 128 765,42	\$13 816,67		\$2 142 582,09
2	US05949AZH66	12 863 000	2013-02-07	2013-02-14	BOAMS	Mesirow	ABS G	0,88856511	\$11 429 613,01	0,195	\$2 228 774,54	\$13 816,67		\$2 242 591,21
3	US05949AZH66	12 863 000	2013-02-22	2013-02-26	BOAMS	ABS G	SVC	0,890577880	\$11 455 503,27	0,3	\$3 436 650,98	\$26 666,68		\$3 463 317,66
4	US05949AZH66	12 863 000	2013-02-22	2013-02-26	BOAMS	SVC	Close Brothers	0,890577880	\$11 455 503,27	0,3750	\$4 295 813,79	\$26 666,68	-4295,81	\$4 318 184,66
5	US05949AZH66	12 863 000	2013-02-22	2013-02-26	BOAMS	Close Brothers	Optimus	0,890577880	\$11 455 503,27	0,375	\$4 295 813,79	\$26 666,68	4295,81	\$4 326 776,28
6	US05949AZH66	12 863 000	2013-12-03	2013-12-06	BOAMS	Optimus	Auriga	0,871681576	\$11 212 440,11	0,205	\$2 298 550,22	\$5 327,80		\$2 303 878,02

Prospektet saknas

Resultat för Optimus = -\$2 022 898,26

Resultat för SVC, UK = \$854 867,00

Resultat för ABS | G = \$1 220 726,45

Resultat för SVC och ABS | G: \$2 075 593,45

Zeptunus = 12,5% av SVC 688 093 kr

P266 t

Ticket number 2761136

BOAMS 2004-L B1 4.		Cancel		Trade Recap		Options		Accepted Ticket	
8911312	05949AZH6					NY	02/26/2013	01:12:35	
BUY	12863	(M)				As of	02/07/2013	10:49:47	
Price	18-20	18.62500000		Yield		72.647941			
Settlement	02/14/2013	Other				Broker			
Account	00808942	STIFEL NICHOLAS							
Commission	Code	Rate	+/-	Amount	Curr	50 Spread	90 Mortgage		
Sales Commission					USD	Effective Dur	2.2	WAC	3.48
Misc Fee					USD	Average Life	5.73	WAM	264
						Prepayment	13.98	CPR	98
						Cpn (Assumed)	3.352102	Factor	.88856511
						Maturity	1/25/35	As Of	Feb13
						Current Face		11,429,613.01	
Notes 1-4		Notes 5-8		Reporting		Prevailing Market Price		Best Ex Notes	
STEPOUT U		TRADE STIP							
MASTER A/C EX14		TRADE STIP							
TRD ID		TRADE STIP							
HEDGE		NOTES							
Invoice					Principal/Agency P				
Principal 2,128,765.42					SOL / UNSOL				
Accrued (13) 13,816.67					Settlement Loc				
Transaction Costs					Trade Flat Venue ID				
Total (USD) 2,142,582.09					Special Price Fee-based				
					TRACE: Unknown Status				
View in USD Rate 1.00000000 Invert					Ticket # 2761136				

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:27:14

P266 t

Ticket number 2761137

BOAMS 2004-L B1 4.		2) Cancel		15) Trade Recap		99) Options		Accepted Ticket	
8911312	05949AZH6					NY	02/26/2013	01:12:36	
SELL	12863	(M)				As of	02/07/2013	10:49:24	
Price	19-16	19.50000000	Yield		69.287298				
Settlement	02/14/2013	Other				Broker			
Master	B39902431	ABS INVT GROUP AMERICAN RMBS				Sales		EX09	

Commission	Code	Rate	+/-	Amount	Curr	55) Spread	9) Mortgage
Sales Commission		0.875		100009.11	USD	Effective Dur	2.28 WAC
Misc Fee					USD	Average Life	5.73 WAM
						Prepayment	13.98 CPR WALA/AGE
						Cpn (Assumed)	3.352102 Factor
						Maturity	1/25/35 As Of
							Current Face

9) Notes 1-4	6) Notes 5-8	7) Reporting	80) Prevailing Market Price	89) Best Ex Notes
STEPOUT	U	TRADE STIP	MARK BISHOP	
MASTER A/C	EX09	TRADE STIP		
TRD ID		TRADE STIP		
HEDGE		NOTES		

Invoice		Principal/Agency	
Principal	2,228,774.54	SOL / UNSOL	P
Accrued (13)	13,816.67	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	2,242,591.21	Special Price	Fee-based
		TRACE: Unknown Status	pecial N
View in	USD	Rate	1.00000000
		Invert	

Ticket #	
2761137	

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:26:40

Enter 1kGo to send Ticket via MSG and VCON.

Bloomberg
terminal

TRADE TICKET

as of 2/22/13

TRADER

ABS INVESTMENT GROUP

orig face

BANK OF AMERICA MORTGAGE SECURITIES

05949AZH6

CMO :SUB,CSTR,+

SELLS

12,863,000

BOAMS 2004-L B1

3.3521% 1/25/35

OI = +3922.2 BP 5.70 Avg Life

PRICE

30-00

assuming

CPR= 14.0

YTM = 43.490%

3/13-10/49 Principal

(30.00000000)

SETTLE

2/26/13 Tue

Accrual Period 2/ 1/13 - 2/28/13 Monthly

CALL? Date 11/25/17 Px 100

Next payment 3/25/13 (24 day delay)

1st Idx 0.78100

0.78100

Original Face

\$ 12,863,000.00

x Jan13 Factor

0.8905778800

= current face

\$ 11,455,503.27

Principal value

\$ 3,436,650.98

+ 25 days accrued

\$ 26,666.68

= total funds

\$ 3,463,317.66

NOTES:

ISIN: US05949AZH66

TRACE ELIGIBLE
Text Highlight? Y

issued: 12/ 1/04

mature: 1/25/35

Collat:WHARM 3.22

3.48(264)98

FLOOR= 0%

(xLIBOR12M)+OBP, NO CAP

Australia 61 2 9777 8500 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9304 1210 Hong Kong 852 3277 6000
Japan 81 3 3301 8500 Singapore 65 6212 1000 U.S. 1 312 313 2000 Copyright 2013 Bloomberg Finance L.P.
SN 130519 H529-1152-1 25-Feb-13 3:14:24 PM GMT-8:00

Date 3/29/13 Page 1
 Account Number 0070
 Enclosures 1

*****EXCLUDE-Cycle
 1891 0.8180 EX 0.000 5 2 1100
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates	3/01/13 thru 3/31/13
Previous Balance	4,805.10	Days in the statement period	31
7 Deposits/Credits	3,695,298.30	Average Ledger	689,624
31 Checks/Debits	3,364,024.93	Average Collected	689,624
Service Charge	379.76		
Interest Paid	.00		
Ending Balance	335,698.71		

Deposits and Additions

Date	Description	Amount
3/01	WIRE-IN 20130600005200	3,463,317.66 ←
3/05	WIRE-IN 20130640002400	105,944.00
3/06	WIRE-IN 20130650002100	3,620.47
3/14	WIRE-IN 20130730005000	5,073.60
3/15	WIRE-IN 20130740016600	90,944.00
3/27	WIRE-IN 20130860002500	11,898.57
3/29	WIRE-IN 20130880024600	14,500.00

Checks and Withdrawals

Date	Description	Amount
3/01	OUTGOING WIRES FOR 3/1/13	13,000.00
3/01	OUTGOING WIRES FOR 3/1/13	100,942.61
3/01	OUTGOING WIRES FOR 3/1/13	150,000.00
3/01	OUTGOING WIRES FOR 3/1/13	509,575.00
3/01	OUTGOING WIRES FOR 3/1/13	630,000.00
3/01	OUTGOING WIRES FOR 3/1/13	850,093.24
3/04	INTERNAL TRF 2013063000440	100,000.00
3/04	WIRE-OUT 20130630002600	20,000.00
3/04	WIRE-OUT 20130630004200	2,000.00
3/04	WIRE-OUT 20130630003900	2,000.00
3/04	WIRE-OUT 20130630003800	3,000.00
3/04	WIRE-OUT 20130630004000	4,000.00
3/04	WIRE-OUT 20130630003700	12,000.00
3/04	WIRE-OUT 20130630004300	233,237.58

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

Datum	Text	Belopp	Saldo	KOMMENTAR	EBM.s noteringar	Värdepapper
2013-02-26	Försäljning värdepapper	\$4 318 170,10	\$4 318 170,10	SVC säljer till Close Brothers/Optimus		BOAMS
2013-03-01	Överföring	-\$3 463 317,66	\$684 852,44	SVC köper från ABSIG		BOAMS

\$854 852,44

Vinst SVC
\$854 852,44

Bilaga L6

Bilaga L 7

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt				
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)				
ISIN	Eingang/ Ausgang	Name	Nom.	trade date
US05949AZH66	Eingang	BANC OF AMERICA MTG 2004-L TR. DL-FLR CERTS 2004(35) CL.B-1	12 863 000 USD	2013-02-22

Info
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Traction Fonder AB.

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf											Bilaga
OTC Käufer						OTC Verkäufer					L.pdf
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day	
Traction Fonder AB (Anlage 3.1)	12 863 000 USD	4 326 776,28 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-02-22	2013-02-26	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	4 318 184,66 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-02-22	2013-02-26	



Settlement Confirm:

20130226150617W00 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9425 827226
2:O 545 0906 130226 BBHCUS33AXXX 0658 649565 130226 1506 N
3:108:SWFT0SW405735144
4::16R:GENL
:20C::SEME//120455861000000
:23G:NEWM
:98A::PREP//20130226
:16R:LINK
:20C::RELA//8719685720130226
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130226
:98A::TRAD//20130222
:98A::ESET//20130226
:90A::DEAL//PRCT/33,396671
:35B:ISIN US05949AZH66
BOAMS 2004-L B1 V/R 01/25/35
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/12863000,
:36B::ESTT//AMOR/11429613,0099
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::SELL//CEDELULL
:16S:SETPRTY
:16R:SETPRTY
:95R::DEAG/DTCYID/00000908
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:20C::PROC//001F1A72
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD4318184,66
:16S:AMT
:16R:AMT
:19A::CHAR//USD3,25
:16S:AMT
:16S:SETDET
5:CHK:4DFC8E635763

settlement confirm

20130226151053W00

DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9425 827402
2:O 547 0910 130226 BBHCUS33AXXX 0658 649836 130226 1510 N
3:108:SWFTOSW405735430
4::16R:GENL
 :**20C::SEME//120455858800000**
 :23G:NEWM
 :98A::PREP//20130226
 :16R:LINK
 :**20C::RELA//3754947920130226**
 :16S:LINK
 :16S:GENL
 :16R:TRADDET
 :98A::SETT//20130226
 :98A::TRAD//20130222
 :98A::ESET//20130226
 :90A::DEAL//PRCT/33,396671
 :35B:ISIN US05949AZH66
 BOAMS 2004-L B1 V/R 01/25/35
 :16S:TRADDET
 :16R:FIAC
 :36B::ESTT//FAMT/12863000,
 :36B::ESTT//AMOR/11429613,0099
 :97A::SAFE//2006112
 :16S:FIAC
 :16R:SETDET
 :22F::SETR//TRAD
 :16R:SETPRTY
 :95P::BUYR//CHASGB2LXXX
 :16S:SETPRTY
 :16R:SETPRTY
 :95R::REAG/DTCYID/00000902
 :16S:SETPRTY
 :16R:SETPRTY
 :95P::PSET//DTCYUS33
 :16S:SETPRTY
 :16R:AMT
 :19A::ESTT//USD4326776,28
 :16S:AMT
 :16R:AMT
 :19A::CHAR//USD3,25
 :16S:AMT
 :16S:SETDET
5:CHK:2BE5EB8F2EF0



Close Brothers Seydler Bank AG
Schillerstraße 27-29
60313 Frankfurt am Main

TRADE CONFIRMATION

Date: 25/02/13

Client: Traction Fonder AB
Confirmation Text:
Reference No.: 202020

We confirm your Buy

Security Name:	BANC AMERICA MTGE SEC.INC.04-L DL-FLR CERTS 2004(35) CL.B-1		
ISIN:	US05949AZH66		
Currency:	USD		
Nominal:	12,863,000		
Trade Price:	USD 33.39667100	Principal Amount:	USD 4,295,813.79
Commission:	USD 4,295.81	Interest Days:	1
Local Tax:		Accrued Amount:	USD 26,666.68
Net Price:	USD 33.63738100		
Net Amount:	USD 4,326,776.28		
Exchange:			
Trade Day:	22/02/13		
Value Day:	26/02/13		
Trade Time:	18:00		
Trader:	pfersdca		

-3276,12 for
att den oppgjøret
vedtatt skille St. kredder
Erik

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

A

TA No.	TradeDate	TradeTime	Payable	ISIN	Instrument	N/Y	Quantity	TradePriceCCY	GrossAmountCCY	CommissionCCY	NetPriceCCY	NetAmountCCY	TrdCcy	CcyDate	Trade	Settlement
7204563	20130222	180000	TUB ISE	US09949A2466	Banc of America Mfg 2004-L Tr. DL-FLR Certs 2004(35) CL3-1	S	-12.663.000	33,40	-4.295.813,79	-4.295,81	0,34	-4.326.776,28	USD	1,33	PFERSOCA	TRAC01
7204558	20130222	180000	TUB ISE	US09949A2466	Banc of America Mfg 2004-L Tr. DL-FLR Certs 2004(35) CL3-1	B	12.863.000	33,40	-4.295.813,79	-4.295,81	0,34	-4.318.184,66	USD	1,33	PFERSOCA	SOX001

Re: ISIN code...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Mon, Feb 18, 2013 5:24 PM WT

Attachments:  [image001.png \(4.4 KB\)](#)  [21256E5B-5599-47E1-B36B-02B91354B64D\[19\].png \(4.4 KB\)](#)

OK,

I will. Thank you.

Best Regards,
E-A Ingmanson



Hammarby Kaj 16
120 30 Stockholm, Sweden

—
+467-329 399 99
+356-999 380 99

www.solidequity.se
eai@solidequity.se

From: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>
Date: Mon, 18 Feb 2013 16:21:17 +0000
To: 'Emil Amir Ingmanson' <eai@solidequity.se>
Subject: RE: ISIN code...

Hi Emil,

sure, will sent him.

You can use top@cbseydler.com, Jose is within this group.

Carsten

From: Emil Amir Ingmanson [<mailto:eai@solidequity.se>]
Sent: Montag, 18. Februar 2013 17:20
To: Carsten Pfersdorf
Cc: Angela Leser
Subject: ISIN code...

Hi,

José called me and asked for the ISIN code of the bond that we are about to transfer from the US. I don't have his e-mail and he don't answer his phone so I send it to you instead. Please forward it to him.

ISIN: US05949AZH66

Best Regards,
E-A Ingmanson

Markus Keßler

From: Carsten Pfersdorf
Sent: Montag, 25. Februar 2013 16:09
To: Trade Operations Mailbox; compliance
Subject: US05949AZH66

Kurs: USD 37.50

Kurs incl Faktor: USD 33.396671

Kurs gemäß Absprache zwischen den beiden handelnden Parteien a) Solid Venture Capital als Verkäufer und b) Traction Fonder AB als Käufer.

Mit freundlichen Grüßen

Carsten Pfersdorf
Sales Trading

We believe in German Mittelstand

- *Winner 2012 Best German SME House – Capital Markets Daily Portal*
- *Top Stock Picker 2012 – Thomson Reuters Starmine Ranking in sector machinery (pan-European)*
- *Best Bookrunner Mittelstandsanleihen 2011 – Bond Magazine*
- *Platz 1 Going Public League Table 2011 für Emissionen von Mittelstandsanleihen nach Anzahl und Volumen*
- *Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX*
- *Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland*

Close Brothers Seydler Bank AG
Registergericht: Frankfurt am Main
Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507
Aufsichtsrat: Jonathan Howell (Vorsitzender)
Vorstand: René Parmantier, Holger Gröber

Schillerstr. 27-29
D-60313 Frankfurt am Main

Tel.: +49 (0)69/92054-168
Fax: +49 (0)69/92054-905
Mobile: +49 (0)172/799 83 93
Mail: carsten.pfersdorf@cbseydler.com

<http://www.cbseydler.com>

Markus Keßler

From: Carsten Pfersdorf
Sent: Montag, 25. Februar 2013 11:53
To: Depotgeschaef; riskControl
Cc: Markus Laifle; Stefan Krewinkel
Subject: Depot 900 728

Hallo,

für das Depot 900 728 buchen wir im Verkauf

nom. 12.863.000,- BOAMS 2004-L B1 – US05949AZH66 - zu 37,5000

Preis incl Faktor: USD 33,396671

Accrued Interest: USD 26.666,68

Prov. USD 4.295,81 t/d 22.02. 18:00 s/d 26.02.

Danke, Gruß

Carsten Pfersdorf

Mit freundlichen Grüßen

Carsten Pfersdorf
Sales Trading

We believe in German Mittelstand

- **Best Bookrunner Mittelstandsanleihen 2011 - Bond Magazine**
- **Platz 1 Going Public League Table 2011 für Emissionen von Mittelstandsanleihen nach Anzahl und Volumen**
- **Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX**
- **Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland**

Close Brothers Seydler Bank AG
Registergericht: Frankfurt am Main
Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507
Aufsichtsrat: Jonathan Howell (Vorsitzender)
Vorstand: René Parmantier, Holger Gröber

Schillerstr. 27-29
D-60313 Frankfurt am Main

Tel.: +49 (0)69/92054-168
Fax: +49 (0)69/92054-905
Mobile: +49 (0)172/799 83 93
Mail: carsten.pfersdorf@cbseydler.com

<http://www.cbseydler.com>

Enter 1360 to send Ticket via MSG and MOON

Bloomberg **TRADE TICKET** as of **2/22/13**

TRADER **SOLID VENTURE CAPITAL** **05949AZH6**

SELLS **12,863,000** **BOAMS 2004-L 31** **3.3521** **1/25/35**

PRICE **37-16** assuming **CPR= 14.0** **YTM = 32.809%**

SETTLE **2/26/13 Tue** **Accrual Period 2/ 1/13 - 2/28/13 Monthly**

CALL? Date 11/25/17 Px 100 **Next payment 3/25/13 (24 day delay)**

1st Idx 0.78100 0.78100

Original Face \$ 12,863,000.00

x Jan13 Factor 0.8905775800

= current face \$ 11,455,503.27

Principal value \$ 4,295,817.73

+ 25 days accrued \$ 26,660.68

= total funds \$ 4,322,480.41

NOTES: **ISIN: US05949AZH66**

TRACE ELIGIBLE
Text Highlight? ☒

Issued: 12/ 1/04
Mature: 1/25/35
Collat: WHARN 1.22
3.48(264)98

(xLIBOR12M)+0BP. NO CAP FLOOR= 0%

Australia 61 2 9277 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 3377 8000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 3000 Copyright 2013 Bloomberg Finance L.P.
SH 635232 H521-577-1 22-Feb-13 7:24:41 PST GMT-8:00

9/6/2018

Preview

Trade ticket...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Fri, Feb 22, 2013 4:50 PM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D\[42\].png \(4.4 KB\)](#) 
[sg2013022237481.gif \(28.9 KB\)](#)

Hello Carsten,

Here is the trade ticket for the Tuesday settlement of the bond with Traction High Yield. Please contact Ulf Deckmark, at the fund company if you need to confirm the trade.

They are also a client at CBSB so there should be no problem.

Also, please make sure that the cash ends up in my USD account upon settlement on Tuesday.

Best Regards,
E-A Ingmanson



Hammarby Kaj 16
120 30 Stockholm, Sweden

—
+467-329 399 99
+356-999 380 99

www.solidequity.se
eai@solidequity.se

9/6/2018

Preview

VB: Trade Confirmation 202020

From: Ulf Deckmark [ulf.deckmark@tractionfonder.se]

To: Carsten Pfersdorf

Date: Mon, Feb 25, 2013 3:53 PM WT

Attachments:  [TRAC03-O-20130225-122615-202020.pdf \(44.6 KB\)](#)

Hi Carsten,

My buy of 12.863.000 nom of US05949AZH66 @ USD 37.50 is ok .
But I don't know what USD 33.396671 incl factor is, we never calculate that way.

Mvh

Ulf Deckmark, VD
Optimus Fonder AB

-----Ursprungligt meddelande-----

Från: Tractionfonder [mailto:info@tractionfonder.se]

Skickat: den 25 februari 2013 12:27

Till: Jan Eriksson; Ulf Deckmark

Ämne: VB: Trade Confirmation 202020

Från: Close Brothers Seydler Bank AG[SMTP:TOP@CBSEYDLER.COM]

Skickat: den 25 februari 2013 12:26:18

Till: Tractionfonder

Ämne: Trade Confirmation 202020

Automatiskt vidarebefordrat av en regel

9/6/2018

Preview

FW: [Fwd: BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000]

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Cc: Mark Bishop [mbishop@absig.com]

Date: Mon, Feb 18, 2013 11:03 AM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D.png \(4.4 KB\)](#)  [LPL Delivery Instructions.pdf \(29.2 KB\)](#)  [TRADE TICKET BOAMS 04-L B1, ABS to SVC.pdf \(147.4 KB\)](#)

Dear Carsten,

Here is the bond that we are buying from ABS. Call me if you have any questions, the bond will be transferred tomorrow.

Best Regards,
E-A Ingmanson



Hammarby Kaj 16
120 30 Stockholm, Sweden

+467-329 399 99

+356-999 380 99

www.solidequity.se
eai@solidequity.se

Please find attached my delivery instructions and contact information for my back-office (Ron Chamberlin, [+1 949 302 2204](tel:+19493022204) mobile, rchamberlin@cwbk.com).

We will be delivering the subject bond (BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000) via "DTC Free" delivery, from DTC number 0075, our account number "1360-4701", account name "ABS Investment Group LLC", to your account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089154 CSC63359", acct. name "Solid Venture Capital").

Please contact us if you have any questions.

Best regards,

Mark

=====
Mark Bishop
mbishop@absig.com
[+1 949 678 9574](tel:+19496789574)

Mark Bishop
+1 949 678 9574
+46 709 933 538



* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE:	13-Feb-13	
TRADER:	ABS Investment Group	
CUSIP:	05949AZH6	
BOND NAME:	BOAMS 2004-L B1	
PRICE:	30.0000000	[30-00]
SETTLE:	18-Feb-13	[MONDAY]
BOND COUPON:	3.352%	
DAYS ACCRUAL:	17	[17 DAYS ACCRUED]
ACCRUAL PAID:	18,133.33	
NEXT PAYMENT:	25-Mar-13	[24 DAY DELAY]
SELLS (ORIG. FACE):	12,863,000.00	
FACTOR:	0.890577880	
CURRENT FACE:	11,455,503.27	
PRINCIPAL VALUE:	3,436,650.98	
TOTAL FUNDS:	3,454,784.31	
COMMENTS:	Solid Venture Capital buys, and ABS Investment Group sells \$12,863,000 original face.	

Contacts

Purchaser: Emil Ingmanson, President
 Solid Venture Capital
 Hammarby Kaj 16
 Stockholm, Sweden 120 30
 +1 46 851 720 700 (office)
 +1 46 732 939 999 (mobile)
eai@solidequity.se

Seller: Mark Bishop
 ABS Investment Group
 1176 Main Street, Suite 300
 Irvine, California 92614
 +1(877)560-6637 (office)
 +1(949)678-9574 (mobile)
mbishop@absig.com

05/16/2008 09:25 FAX 858 824 1722

NEW ACCOUNTS

001/001

FINANCIAL
SERVICES**LINSICO/PRIVATE LEDGER FINANCIAL SERVICES**MEMBER NASD/SIPC
9785 Towne Centre Drive
San Diego, CA 92121-1968
(800) 877-7210**DELIVERY INSTRUCTIONS
FOR SECURITIES TRANSACTIONS
BROKER # 0075
ALPHA SYMBOL YLPL
TAX IDENTIFICATION # 95-2834236****DTC ELIGIBLE SECURITIES**Participant # 0075
Customer Account #**FED ELIGIBLE SECURITIES**BK OF NYC/LPL
ABA # 021000018**PTC ELIGIBLE SECURITIES**

See Fed Eligible Instructions

OPTIONSOCC # 0075
Customer Account #**FOREIGN SECURITIES**MERRILL LYNCH
A/C 64U80075
Reference: LPL Financial Services**PRECIOUS METALS**FIDELITRADE INC.
A/C 19387
Reference: LPL Financial Services**PHYSICAL SECURITIES (VS. PAYMENT)**The Depository Trust Co.
New York Window Services
55 Water Street
New York, NY 10041
Broker #0075
Reference: LPL Financial Services**PHYSICAL SECURITIES (FREE)**LPL Financial Services
9785 Towne Centre Drive
San Diego, CA 92121-1968
Attn: Security Processing**EUROCLEAR SECURITIES**MERRILL LYNCH
A/C 64U80075
Reference: LPL Financial Services**ID TRADES**DTC Clearing # 0075
ID Clearing # 57102

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	BBHCUS33	Buy	2013-02-22	2013-02-26	US05949AZH66	CONFIRMED	901901350628	12863000	4326776,28	USD	DTCYUS33	UNITED STATES	32095
OPTIMUS FONDER AB	DTCYID/00443	Sell	2013-12-03	2013-12-06	US05949AZH66	CONFIRMED	901901350628	12863000	2303878,02	USD	DTCYUS33	UNITED STATES	34102

Johan Gräsjö Novak

From: Alex Hendrickson <alex@aurigaussallc.com>
Sent: den 3 december 2013 16:04
To: ulf.deckmark
Cc: traction.backoffice
Subject: BOAMS 2004-L B1

Ulf - Auriga buys from Optimus

Thank you for the trade..

Have a great day

* VCON TICKET *
 TRADER: ALEX HENDRICKSON CUSIP: 05949AZH6
 BUYS: 12,863,000 of BOAMS 2004-L B1 3.42122% 01/25/35
 PRICE: 20.5000000 assuming CPR= 25.0 YTM: 82.351 AL= 3.83YR
 SETTLE: 12/06/13 [Fri] Accrual Pd: 12/01/13 - 12/31/13 Monthly
 Next Payment: 01/25/14 (24 day delay)
 ** Curr Face \$ 11,212,440.11 <Nov13 Factor 0.8716815758>
 PRINCIPAL VAL \$ 2,298,550.22
 + 5 Days ACC \$ 5,327.80 ASSUMED: Dec13 coupon unknown
 = TOTAL FUNDS \$ 2,303,878.02 **
 < TRADE NOTES: AURIGA BUYS FROM Optimus >

Formula: (xLIBOR12M)+0BP. NO CAP FLOOR= 0%

--

Our detailed disclaimer can be seen at

http://cp.mcafee.com/d/5fHCNEgdEILenupLsQsCXCOqNRTTC3qbUWXNEVdEK3zhOved7bVJNXNd6Wa9KstJelosg5NmHHIHu2z5eFVINjGurLpdR0QsFLZvC7XK8I6zDHTbEL3CesvhhouoVqWtAkRrCzBDBgY-F6IK1FJ45yrLOb2rPUV5xcQsCXCM0mJNAhRKCDSDSVv49klvIBvvrQ_MY5qT6h7mWquvrBPraq9lp30wdz1EwJLtwK5jh0cECXCY9UUPYgg

- 3024,47 örig
 kostnad för att få
 ihop ranta.
 Gjorde samma sak på
 trans 32099

From: **Mark Bishop** mbishop@absig.com
Subject: **[Fwd: trade tickets...]**
Date: **15 Feb 2013, 13:32:17**
To: **mailto:bishop@gmail.com**

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

Subject: trade tickets...
From: Mark Bishop <mbishop@absig.com>
Date: Fri, 02/15/2013 12:32 PM
To: emil@solidequity.se

Emil -

Please find attached the trade tickets for the BOAMS bond, being sold:

- (1) ABS to Solid Venture Capital, and;
- (2) Solid Venture Capital to Traction High Yield.

M

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

Tap to Download
TRADE TIC...to SVC.pdf
201 KB

Tap to Download
TRADE TIC...raction.pdf
47,6 KB

From: Mark Bishop mbishop@absig.com
Subject: [Fwd: BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000]
Date: 15 Feb 2013, 13:32:39
To: mailtobishop@gmail.com

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

Subject: BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000
From: Mark Bishop <mbishop@absig.com>
Date: Fri, 02/15/2013 12:33 PM
To: emil@solidequity.se

Emil -

Please find attached my delivery instructions and contact information for my back-office (Ron Chamberlin, [+1 949 302 2204](tel:+19493022204) mobile, rchamberlin@cwbk.com).

We will be delivering the subject bond (BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000) via "DTC Free" delivery, from DTC number 0075, our account number "[1360-4701](tel:+13604701)", account name "ABS Investment Group LLC", to your account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089154 CSC63359", acct. name "Solid Venture Capital").

Please contact us if you have any questions.

Best regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

Tap to Download

LPL Deliver...uctions.pdf
40,0 KB

Tap to Download

TRADE TIC...to SVC.pdf
201 KB

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: **Re: [Fwd: trade tickets...]**
Date: **15 Feb 2013, 16:26:04**
To: **Mark Bishop** mailtobishop@gmail.com

Should I send something to CBSB?

Best Regards,
E-A Ingmanson

Tap to Download

21256E5B-...D[26].png

0 bytes

Hammarby Kaj 16
120 30 Stockholm, Sweden

—
+467-329 399 99
+356-999 380 99

www.solidequity.se
eai@solidequity.se

From: Mark Bishop <mailtobishop@gmail.com>
Date: Fri, 15 Feb 2013 13:33:46 +0100
To: Emil Amir Ingmanson <eai@solidequity.se>
Subject: Fwd: [Fwd: trade tickets...]

----- Forwarded message -----

From: Mark Bishop <mbishop@absig.com>
Date: Fri, Feb 15, 2013 at 1:21 PM
Subject: [Fwd: trade tickets...]
To: mailtobishop@gmail.com

=====
Mark Bishop

mbishop@absig.com
[+1 949 678 9574](tel:+19496789574)

Subject: trade tickets...
From: Mark Bishop & [lt;mbishop@absig.com>](mailto:mbishop@absig.com);
Date: Fri, 02/15/2013 12:32 PM
To: emil@solidequity.se

Emil -

Please find attached the trade tickets for the BOAMS bond, being sold:

- (1) ABS to Solid Venture Capital, and;
- (2) Solid Venture Capital to Traction High Yield.

M

=====
Mark Bishop
mbishop@absig.com
[+1 949 678 9574](tel:+19496789574)

--

Mark Bishop
[+1 949 678 9574](tel:+19496789574)
[+46 709 933 538](tel:+46709933538)

From: Emil Amir Ingmanson eai@solidequity.se
Subject: Re: BOAMS trade ticket and wire instructions...
Date: 25 Feb 2013, 15:28:10
To: Mark Bishop mailtobishop@gmail.com

Hello,

I have given instructions to Carsten at CBSB about this and he is contacting trade operations to make sure how this is done.

Best Regards,
E-A Ingmanson

Tap to Download

21256E5B-...4D[8].png

0 bytes

Hammarby Kaj 16
120 30 Stockholm, Sweden

—
+467-329 399 99
+356-999 380 99

www.solidequity.se
eai@solidequity.se

From: Mark Bishop <mailtobishop@gmail.com>
Date: Mon, 25 Feb 2013 12:52:40 +0100
To: Emil Amir Ingmanson <eai@solidequity.se>
Subject: BOAMS trade ticket and wire instructions...

Emil -

Please find attached the trade ticket for the BOAMS bond, where ABS Investment Group sells to Solid Venture Capital, and our wire instructions. Per these instructions, on Wednesday, February 28th, please wire **USD 3 463 317,66** as follows:

WIRE TRANSFERS - INTERNATIONAL

Swift Code: WFBIUS6S

Beneficiary Bank Name: Wells Fargo Bank

Beneficiary Bank Address: San Francisco, CA USA

Beneficiary Acct #: 122243334

Beneficiary Name: Commerce West Bank

Further Credit: ABS Investment Group, LLC, Account # 92200070

(Wire description)

If you have any questions, feel free to contact me.

Regards,

Mark

=====

Mark Bishop
mbishop@absig.com
[+1 949 678 9574](tel:+19496789574)

--

Mark Bishop
+1 949 678 9574
+46 709 933 538

Bilaga M till

MSAC

2003-NC8

B3

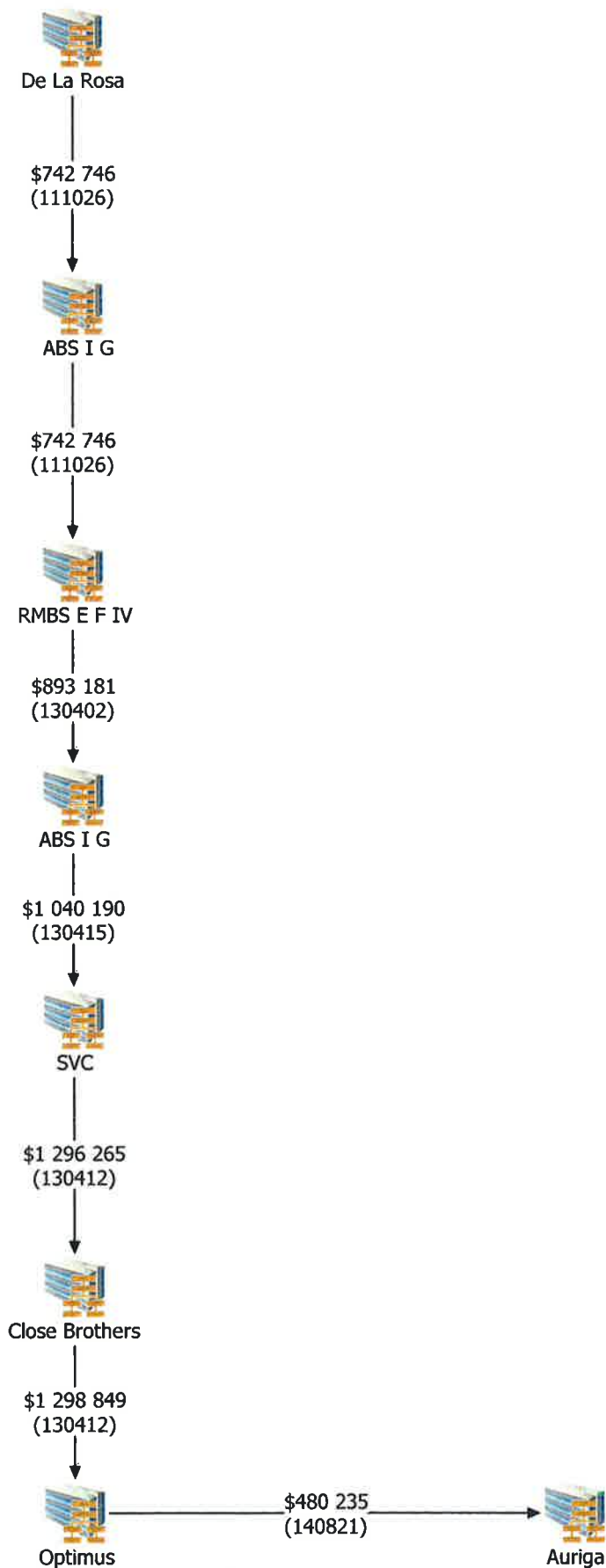
Sid**Kap 7.10 MSAC - Bilaga M**

2 Innehållsförteckning

3 Chart över köp och försäljning av MSAC

		<u>Från</u>	<u>Avser</u>
4	Bilaga M 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga M 2	Mark Bishop	Trade Ticket
6	Bilaga M 3	Pensionsmyndigheten	Kontoutdrag ABS I G
7	Bilaga M 4	Mark Bishop	Bond Valuation for 12-31-2011" för Fund IV
8	Bilaga M 5	Mark Bishop	Omniarch Inventory Fund IV WORKSHEET
9 - 10	Bilaga M 6	Mark Bishop	Omniarch Bond Inventory Fund IV Jul2013
11	Bilaga M 7	Mark Bishop	Trade Ticket
12	Bilaga M 8	Pensionsmyndigheten	Kontoutdrag ABS I G
13	Bilaga M 9	Mark Bishop	Trade Ticket
14	Bilaga M 10	Close Brothers	Kontoutdrag SVC
15	Bilaga M 11	Pensionsmyndigheten	Kontoutdrag ABS I G
16	Bilaga M 12	SVC.s bokföring	Trade Ticket
17 - 18	Bilaga M 13	Close Brothers	Sammanställning
19 - 20	Bilaga M 14	Close Brothers	Settlement confirm
21	Bilaga M 15	Finansinspektionen	Trade Confirmation
22	Bilaga M 16	Wahlstedt	Trade Ticket
23	Bilaga M 17	Swedbank	Kontoutdrag

Försäljning av MBS "MSAC"



MSAC 2003-NC8 B3

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Sällare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Bankavgift	Settlement Summa
1	US61746RDS76	61746RDS7	10 696 000	2011-10-21	2011-10-26	MSAC	De La Rosa	ABS I G	0,187597052	\$2 006 538,07	0,37	\$742 419,09	\$326,60		\$742 745,69
1	US61746RDS76	61746RDS7	10 696 000	2011-10-21	2011-10-26	MSAC	ABS I G	RMBS E F IV	0,187597052	\$2 006 538,07	0,37	\$742 419,09	\$326,60		\$742 745,69
2	US61746RDS76	61746RDS7	10 696 000	2013-03-25	2013-04-02	MSAC	RMBS E F IV	ABS I G	0,187597052	\$2 006 538,07	0,445	\$892 909,44	\$44,50		\$892 953,94
2	US61746RDS76	61746RDS7	10 696 000	2013-03-25	2013-04-02	MSAC	RMBS E F IV	SVC	0,187597052	\$2 006 538,07	0,445	\$892 909,44	\$271,72		\$893 181,16
3	US61746RDS76	61746RDS7	10 696 000	2013-04-09	2013-04-15	MSAC	ABS I G	SVC	0,1875970524	\$2 006 538,07	0,515	\$1 033 367,11	\$6 822,97		\$1 040 190,08
4	US61746RDS76	61746RDS7	10 696 000	2013-04-10	2013-04-12	MSAC	SVC	Close Brothers	0,1875970524	\$2 006 538,07	0,64375	\$1 291 708,88	\$5 848,26	-\$1 291,71	\$1 296 265,43
5	US61746RDS76	61746RDS7	10 696 000	2013-04-10	2013-04-12	MSAC	Close Brothers	Optimus	0,1875970524	\$2 006 538,07	0,64375	\$1 291 708,88	\$5 848,26	\$1 291,71	\$1 298 848,85
6	US61746RDS76	61746RDS7	10 696 000	2014-08-18	2014-08-21	MSAC	Optimus	Auriga	0,187597052	\$2 006 538,07	0,235	\$471 536,45	\$8 698,34		\$480 234,79

B1 omfattar \$17 696 000

Prospektet finns (207 s)

Resultat för Optimus = -\$818 614,06

Resultat för SVC, UK = \$256 075,35

Resultat för ABS I G = \$147 236,14

Resultat för SVC och ABS I G: \$403 311,49

Zeptunus = 12,5% av SVC 212 335 kr

136791-3
Bilaga M2*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	21-Oct-11	
TRADER:	DE LA ROSA	
CUSIP:	61746RDS7	
BOND NAME:	MSAC 2003-NC8 B3	
PRICE:	37.0000000	[37-00]
SETTLE:	26-Oct-11	[WEDNESDAY]
DAYS ACCRUAL:	1 DYS ACC	
ACCRUAL PAID:	326.60	
NEXT PAYMENT:	11/25/11	[24 DAY DELAY]
SELLS:	10,696,000.00	
FACTOR:	0.187597052	
CURRENT FACE:	2,006,538.07	
PRINCIPAL VALUE:	742,419.09	
TOTAL FUNDS:	742,745.69	

Bilaga M3

Date 10/31/11
 Account Number
 Enclosures

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 0070
 3

*****SNGLP
 1006 1.0300 SP 0.640 7 1 25
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	3
Account Number	0070	Statement Dates 10/01/11 thru 10/31/11	
Previous Balance	19,280.42	Days in the statement period	31
5 Deposits/Credits	2,010,240.09	Average Ledger	198,551
23 Checks/Debits	2,003,307.29	Average Collected	198,551
Service Charge	268.17		
Interest Paid	.00		
Ending Balance	25,945.05		

Deposits and Additions

Date	Description	Amount
10/07	IB Transfer from D *****005 to D *****070	608,832.56
10/12	INTERNAL TRF 2011285000210	192,868.55
10/24	IB Transfer from D *****005 to D *****070	460,933.71
→10/24	IB Transfer from D *****005 to D *****070	742,745.69←
10/27	WIRE-IN 20113000008400	4,859.58

Checks and withdrawals

Date	Description	Amount
10/07	INTERNAL TRF 2011280000930	25,000.00
10/11	WIRE-OUT 20112840003400	30,705.00
10/11	WIRE-OUT 20112840003300	522,420.68
10/13	WIRE-OUT 20112860002000	192,868.55
10/13	PAYMENT AT&T 9991300000 10/13/11	661.55
	TRACE #-028000085527727	
10/13	EPAY CHASE 5760039224 10/13/11	2,633.93
	TRACE #-021000022950457	
10/14	PAID SDGE SD GAS ELEC 5951184800 10/14/11	144.51

BndName	BndCusip	SettleDate	Curr. Face Sept. 30, 2012	Price as of Settle Date	DLR Valuation Dec.31, 2012	Independent Valuation Sept. 30, 2012
Banc of America Mortgage Securities 2004-11, 30-IO	05949AD58	2010-04-28	2 289 900,19	19,625000%	18,750000%	21,500000%
Countrywide MBS 2006-1, A3	126694XD5	2010-05-28	8 183,82	34,999999%	24,750000%	5,750000%
GSR Mortgage Loan Trust 2006-1F, 5A2	3623418A0	2010-05-28	19 107,96	35,000000%	40,000000%	15,000000%
Wells Fargo MBS 2005-17, 1A2	949825AE3	2010-05-28	44 023,07	50,000000%	56,000000%	38,000000%
Banc of America Funding Corp 2007-4, 1A2	05953YAU5	2010-06-02	1 021 650,32	46,750000%	45,000000%	53,000000%
Countrywide MBS 2005-17, 1AF4	126670QU5	2010-06-25	1 124 841,37	38,000000%	40,500000%	45,000000%
Structured Asset Investment Loan Trust 2003-BC11, M4	86358EEQ5	2010-08-23	175 697,23	85,000000%	86,250000%	89,000000%
Wells Fargo Alternative Loan Trust 2007-PA5, 1IA2	949922AU2	2010-09-22	281 356,76	48,750000%	48,000000%	73,000000%
JP Morgan Alternative Loan Trust 2006-A1, 4A2	46627MDB0	2010-10-21	-	25,000000%	20,000000%	0,300000%
Structured Asset Securities Corp 2005-WF4, B2	863576DR2	2010-11-05	532 842,04	2,500000%	1,750000%	5,750000%
Countrywide MBS 2006-1, A3	126694XD5	2011-01-19	8 183,82	34,00001%	24,750000%	47,000000%
IndyMac Residential Asset Securities Trust 2003-A11, B3	45660NVF9	2011-01-25	1 266 398,76	42,625000%	43,500000%	25,000000%
Residential Accredit Loans Inc 2004-QA4, M2	76110HZQ5	2011-02-25	544 999,85	26,375000%	20,750000%	10,000000%
HarborView Mortgage Loan Trust 2005-3, X2	41161PNK5	2011-03-22	2 989 612,11	7,250000%	8,000000%	48,000000%
Citigroup Mortgage Loan Trust 2004-HYB4, 1M	17307GMP0	2011-04-04	-	49,000000%	48,000000%	27,000000%
GSAMP Trust 2006-HE7, M2	36245EAG3	2011-04-19	1 774 835,02	19,500000%	50,500000%	52,000000%
IndyMac Residential Asset Securities Trust (RAST) 2003-A4, B3	45660NPV1	2011-05-04	1 033 407,09	47,500000%	44,000000%	55,000000%
Citigroup Mortgage Loan Trust 2005-WF1, M1	17307GPG7	2011-06-13	-	44,000000%	40,000000%	65,000000%
Centex Home Equity Loan Trust 2002-A, MF2	152314EN6	2011-06-15	1 158 706,61	45,250000%	51,500000%	45,000000%
Long Beach Mortgage Loan Trust 2003-4, M5F	542514EP5	2011-07-12	353 818,64	36,250000%	40,000000%	45,000000%
Taylor, Bean & Whitaker Mortgage Corp 2007-1, A3	87222EAC2	2011-07-14	188 000,00	41,500000%	41,750000%	45,000000%
C-Bass Mortgage Loan Asset-Backed Certificates 2007-CB1, AF6	1248MGAP9	2011-07-19	2 321 542,64	46,562500%	40,250000%	25,000000%
Wamu Mortgage Pass-Through Certificates 2004-AR14, B2	939336W66	2011-07-22	2 552 928,25	27,500000%	24,250000%	47,500000%
C-Bass Mortgage Loan Asset-Backed Certificates 2007-CB1, AF4	1248MGAM6	2011-08-03	2 391 766,97	48,375000%	40,000000%	42,000000%
Long Beach Mortgage Loan Trust 2003-3, M3	542514EC4	2011-10-11	1 502 971,83	35,000000%	31,500000%	55,000000%
Chec Home Loan Trust 2004-1, M3	162765AF8	2011-10-26	832 373,06	51,000000%	47,000000%	37,000000%
Morgan Stanley ABS Capital I Trust 2003-NC8, B3	61746RDS7	2011-10-26	2 006 538,07	37,000000%	32,500000%	11,500000%
Bank of America Mortgage Securities 2004-8, 15IO	05949ATT7	2011-11-02	216 210,86	9,937500%	10,000000%	27,500000%
Banc of America Mortgage Securities 2006-3, 1A13	05950LAN2	2011-11-02	1 041 014,00	20,500000%	25,000000%	29,000000%
GSAA Home Equity Trust 2006-6, AF7	362334MZ4	2011-11-08	4 321 000,00	1,250000%	31,500000%	39,000000%
Morgan Stanley Mortgage Loan Trust 2007-14AR, 3A4	61756VAV1	2011-12-05	30 818 419,62	36,125000%	74,000000%	30,000000%
Countrywide ABS 2005-01, MF2	126673WF5	2011-12-07	2 331 528,32	-	24,500000%	30,000000%
Countrywide ABS 2005-16, MV1	126670PH5	2011-12-14	-	17,500000%	30,000000%	19,34882%
Popular ABS Mortgage Pass-Through Trust 2005-2, M4	73316PCN8	2011-12-20	1 634 794,10	-	17,500000%	12 922 429,52
Weighted Average Price			66 786 652,38	17,39601%	14,15315%	19,34882%
Total Valuation			11 618 211,65	9 452 416,83		

OMNIARCH - AMERICAN RMBS EQUITY FUND IV

CURRENT BOND SUMMARY:

Purchase Date	Description	CUSIP	Original Face	Price	Factor (@ pur dt)	Notional Amount (@ pur dt)	Factor (@ Jan2013)	Notional Amount (@ Jan2013 factor)	Market Value (@ pur dt)	Model Future Value Strike 1	Model Future Value Strike 2	Accrued Int	Annualized Cash-on-Cash Return (@ pur dt)
2010-04-28	BOAMS 2004-11 30IO	05949AD98	\$ 4 075 030.82	19.625	1.000000000	\$ 4 075 030.82	0.5044415840	\$ 2 055 615.00	\$ 799 724.80	\$ 1 599 449.60	\$ 1 599 449.60	\$ 16 809.50	28.03%
2010-05-28	WFMBS 2005-17 1A2	94982SAE3	100 000.00	50.000	0.713080624	\$ 71 308.06	0.3884660000	\$ 38 846.60	\$ 35 654.03	\$ 53 481.05	\$ 60 611.85	\$ 294.15	11.00%
2010-05-28	GSR 2006-1F 5A2	3623418A0	45 000.00	35.000	0.688522060	\$ 30 983.49	0.2980510000	\$ 13 412.30	\$ 10 844.22	\$ 21 688.44	\$ 21 688.44	\$ 139.43	17.14%
2010-05-28	CWHL 2006-1 A3	126894XD5	35 000.00	35.000	0.627101352	\$ 21 948.55	0.0297010000	\$ 1 039.54	\$ 7 681.99	\$ 15 363.99	\$ 15 363.99	\$ 98.77	17.14%
2010-06-02	BAFC 074T2 1A2	05953YAU5	2 000 000.00	46.750	0.783140060	\$ 1 566 280.12	0.4671700000	\$ 934 340.00	\$ 732 235.96	\$ 1 174 710.09	\$ 1 331 338.10	\$ 239.29	11.76%
2010-06-25	CWL 2005-17 1AF4	126870QU5	1 218 271.00	38.000	1.000000000	\$ 1 218 271.00	0.8888140000	\$ 1 082 816.32	\$ 462 942.98	\$ 913 703.25	\$ 925 885.96	\$ 4 810.23	15.58%
2010-08-23	SAIL 03BC11M4	86358EEQ5	7 992 000.00	85.000	0.141463222	\$ 1 130 574.17	0.0219840000	\$ 175 696.13	\$ 960 988.04	\$ 1 017 516.75	\$ 1 017 516.75	\$ 3 655.52	5.71%
2010-09-22	WFALT 2007-PA5 2A2	949922AU2	1 034 000.00	48.750	0.560723320	\$ 579 787.91	0.2302890000	\$ 238 118.83	\$ 282 646.61	\$ 434 840.93	\$ 492 819.72	\$ 2 029.26	12.31%
2010-10-21	JPALT 2006-A1 4A2	48627MDB0	9 303 000.00	25.000	0.565492340	\$ 5 260 775.24	PO	\$ -	\$ 1 315 193.81	\$ 2 630 387.62	\$ 2 630 387.62	\$ 17 318.29	23.70%
2010-11-05	SASC 2005-WF4 B2	863576DR2	10 000 000.00	2.500	0.418522250	\$ 4 186 222.50	0.0356030000	\$ 356 030.00	\$ 104 655.56	\$ 209 311.13	\$ 209 311.13	\$ 3 525.58	110.25%
2011-01-19	CWHL 2006-1 A3	126894XD5	125 000.00	34.000	0.559865784	\$ 69 983.22	0.0297010000	\$ 3 712.63	\$ 23 794.29	\$ 47 588.59	\$ 47 588.59	\$ 209.95	17.65%
2011-01-25	RAST 2003-A11 B3	45660NVF9	1 957 000.00	42.625	0.681426362	\$ 1 333 551.29	0.6415120000	\$ 1 255 438.98	\$ 568 426.24	\$ 1 000 163.47	\$ 1 133 518.60	\$ 5 111.95	13.49%
2011-02-25	RAIL 2004-QA4 M2	76110HZQ5	1 500 000.00	26.375	0.580765995	\$ 871 148.99	0.2960300000	\$ 444 045.00	\$ 229 765.55	\$ 459 531.09	\$ 459 531.09	\$ 2 285.82	14.92%
2011-03-23	HVMLT 2005-3 X2	41161PNK5	13 000 000.00	7.250	0.308607267	\$ 4 011 894.46	0.2126713500	\$ 2 764 727.55	\$ 290 862.35	\$ 581 724.70	\$ 581 724.70	\$ 5 196.41	32.55%
2011-04-04	CMLT1 04-HYB4 1M	17307GMP0	602 000.00	49.000	0.737122301	\$ 443 747.63	0.6822410800	\$ 410 709.13	\$ 217 436.34	\$ 332 810.72	\$ 371 185.49	\$ 157.92	8.72%
2011-04-19	GSAMP 2006-HE7 M2	36245EAG3	2 305 000.00	29.500	1.000000000	\$ 2 305 000.00	0.5933330000	\$ 1 367 632.57	\$ 679 975.00	\$ 1 359 950.00	\$ 1 359 950.00	\$ 776.85	1.86%
2011-05-04	RAST 2003-A4 B3	45680NPV1	1 925 000.00	47.500	0.583523486	\$ 1 123 282.71	0.5194010000	\$ 999 846.93	\$ 533 559.29	\$ 842 462.03	\$ 954 790.30	\$ 538.24	12.11%
2011-06-15	CXHE 2002-A MF2	152314EN6	3 451 000.00	45.250	0.335759668	\$ 1 158 706.61	0.3357600000	\$ 1 158 707.76	\$ 524 314.74	\$ 869 029.96	\$ 984 900.62	\$ 2 946.98	14.45%
2011-07-12	LBMLT 2003-4 M5F	542514EP5	5 000 000.00	36.250	0.157349484	\$ 786 747.42	0.0553160000	\$ 276 590.00	\$ 285 195.94	\$ 570 391.88	\$ 570 391.88	\$ 1 493.93	17.14%
2011-07-19	CBASS 2007-CB1 AF6	1248MGAP9	2 500 000.00	46.563	0.956276403	\$ 2 390 691.01	0.91893360000	\$ 2 297 340.00	\$ 1 113 165.50	\$ 1 793 018.26	\$ 2 032 087.36	\$ 7 007.62	12.59%
2011-07-22	WAMU 2004-AR14 B2	939336W66	2 797 000.00	27.500	0.949846378	\$ 2 656 720.32	0.9031610000	\$ 2 526 141.32	\$ 730 598.09	\$ 1 461 196.18	\$ 1 461 196.18	\$ 3 995.25	9.38%
2011-08-03	CBASS 2007-CB1 AF4	1248MGAM6	2 500 000.00	48.375	0.953623351	\$ 2 459 058.38	0.9467330000	\$ 2 366 832.50	\$ 1 189 569.49	\$ 1 844 293.79	\$ 2 090 199.62	\$ 799.37	12.22%
2011-10-11	LBMLT 2003-3 M3	542514EC4	8 000 000.00	35.000	0.216029687	\$ 1 728 237.50	0.1864560000	\$ 1 491 648.00	\$ 604 883.13	\$ 1 209 766.25	\$ 1 209 766.25	\$ 3 949.43	15.67%
2011-10-26	MSAC 2003-NC8 B3	61746RDS7	10 696 000.00	37.000	0.187597052	\$ 2 008 538.07	0.1875970000	\$ 2 006 537.51	\$ 742 419.09	\$ 1 484 838.17	\$ 1 484 838.17	\$ 326.60	15.84%

Omniarch - American RMBS Equity Fund IV Inventory

Purchase Date	Sold Date	Description	CUSIP	Original Total Bond Face	Original Face Purchased	% of Bond Purchased	Price	Factor @ Purchase Date	Face Amount @ Purchase Date	Purchase Market Value (Purchase Principal)	Accrued Interest Paid @ Purchase	Projected Cash-on-Cash Return @ Purchase Date	Vintage
2011-10-26	2013-04-02	MSAC 2003-NC8 B3	61746RDS7	17 696 000,00	10 696 000,00	60,44%	37,000	0,1875970520	\$ 2 006 538,07	\$ 742 419,09	\$ 326,60	15,84%	2003

Original S&P or comp.	Rating @ Pur Date	Class Coupon Rate (@ pur dt)	Subordination, Current Percent (ex OC), Notes							Bond Sold Date	Sold Price	Factor on Sold Date	Face on Sold Date	Sold Principal Received	Accrued Interest Received	Total Funds Received
BBB-	CC	5.860%	Solid core bond, holds up in ABS/G model, exceptional COC and total return.							apr-02-2013	44,500	0,1875970000	2 006 538,07	892 909,44	44,50	892 953,94



* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE: 25-mar-13
TRADER: ABS Investment Group / Amer RMBS Equity Fund IV
CUSIP: 61746RDS7
BOND NAME: MSAC 2003-NC8 B3
PRICE: 44,5000000 [44-16]
SETTLE: 02-apr-13 [TUESDAY]
BOND COUPON: 4,875%
DAYS ACCRUAL: 1 [1 DAYS ACCRUED]
ACCRUAL PAID: 271,72
NEXT PAYMENT: 25-apr-13 [24 DAY DELAY]
SELLS (ORIG. FACE): 10 696 000,00
FACTOR: 0,187597052
CURRENT FACE: 2 006 538,07
PRINCIPAL VALUE: 892 909,44
TOTAL FUNDS: 893 181,16

COMMENTS: Solid Venture Capital buys, and ABS Investment Group / Fund IV sells \$10,696,000 original face.

Contacts

Purchaser: Emil Ingmanson, President
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Seller: Mark Bishop
 ABS Investment Group / American RMBS Equity Fund
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mbishop@absig.com

Date 4/30/13
Primary AccountPage 3
0070

BUSINESS ANALYZED

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
4/08	WIRE-OUT 20130980002200	425,822.83-
4/08	Online Pmt HSBC CARD SRVCS 9541719426 04/08/13 TRACE #-056073619215834	6,491.39-
4/09	WIRE-OUT 20130990010500	2,400.00-
4/09	WIRE-OUT 20130990002000	425,822.83-
4/11	WIRE-OUT 20131010002200	22,374.95-
4/15	IB Transfer from D *****070 to D *****005	893,181.16- ←
4/15	INTERNAL TRF 2013105000420	100,000.00-
4/15	WIRE-OUT 20131050001900	20,000.00-
4/15	WIRE-OUT 20131050004000	100,000.00-
4/17	IB Transfer from D *****070 to D *****005	447,325.60-
4/17	WIRE-OUT 20131070001400	20,000.00-
4/17	WIRE-OUT 20131070002200	2,000.00-
4/19	WIRE-OUT 20131090003000	20,000.00-
4/19	WIRE-OUT 20131090003600	5,500.00-
4/22	PAID SCGC THE GAS COMPANY WEB	87.41-
4/22	Online Pmt HSBC CARD SRVCS WEB	11,606.22-
4/22	WIRE-OUT 20131120010900	8,515.00-
4/22	WIRE-OUT 20131120009400	20,000.00-
4/22	WIRE-OUT 20131120009800	21,750.00-
4/23	Online Pmt HSBC CARD SRVCS WEB	9,235.00-
4/24	WIRE-OUT 20131140002300	20,000.00-
4/26	WIRE-OUT 20131160001300	89,423.00-
4/29	WIRE-OUT 20131190003400	2,000.00-
4/29	WIRE-OUT 20131190003200	2,000.00-
4/29	WIRE-OUT 20131190004200	4,000.00-
4/29	WIRE-OUT 20131190003100	5,000.00-
4/29	WIRE-OUT 20131190003500	6,000.00-
4/29	WIRE-OUT 20131190003700	11,800.00-
4/29	WIRE-OUT 20131190003800	13,200.00-



* TRADE TICKET *

ABS INVESTMENT GROUP

TRADE DATE: 25-mar-13
TRADER: ABS Investment Group / Amer RMBS Equity Fund IV
CUSIP: 61746RDS7
BOND NAME: MSAC 2003-NC8 B3
PRICE: 51,5000000 [51-16]
SETTLE: 02-apr-13 [TUESDAY]
BOND COUPON: 4,875%
DAYS ACCRUAL: 1 [1 DAYS ACCRUED]
ACCRUAL PAID: 271,72
NEXT PAYMENT: 25-apr-13 [24 DAY DELAY]
SELLS (ORIG. FACE): 10 696 000,00
FACTOR: 0,187597052
CURRENT FACE: 2 006 538,07
PRINCIPAL VALUE: 1 033 367,11
TOTAL FUNDS: 1 033 638,83

COMMENTS: Solid Venture Capital buys, and ABS Investment Group / Fund IV sells \$10,696,000 original face.

Contacts

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 +1(949)678-9574 (mobile)
mbishop@absig.com

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

589

Bilaga M 11

*****EXCLUDE-cycle
1196 0.8490 EX 0.000 5 2 455
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 4/30/13
Primary Account

Page 1
0070

----- CHECKING ACCOUNTS -----

Abs Investment Group LLC

BUSINESS ANALYZED			0
Account No.	0070	Statement Dates	4/01/13 thru 4/30/13
Previous Balance	335,698.71	Days in the statement period	30
8 Deposits/Credits	4,763,228.65	Average Ledger	644,463.10
54 Checks/Debits	4,561,012.67	Average Collected	644,463.10
Service Charge	.00		
Interest Paid	.00		
Current Balance	537,914.69		

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions		
Date	Description	Amount
4/01	IB Transfer from D *****005 to D *****070	1,594,300.00
4/08	WIRE-IN 20130980006000	175,200.15
4/08	WIRE-IN 20130980006100	180,069.78
4/08	WIRE-IN 20130980014800	425,822.83
4/10	INTERNAL TRF 2013100000220	5,320.21
4/12	WIRE-IN 20131020001700	1,040,190.08 ←
4/15	IB Transfer from D *****005 to D *****070	895,000.00
4/16	WIRE-IN 20131060004800	447,325.60

13/1999 M12

Bloomberg
TRADE TICKET**TRADER**

5.8292 9/25/33

PRICE**SETTLE**

1,297,557.14

NOTES: SOLID VENTURE CAPITAL SELLS TO OPTIMUS HIGH
YIELD.

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7930 7500 Germany 49 89 9204 1210 Hong Kong 852 2977 6000
Japan 81 3 3201 8900 Singapore 65 8212 1000 U.S. 1 212 313 2000 Copyright 2013 Bloomberg Finance L.P.
SH 127342 H295-605-1 03-Apr-13 6:55:38 PDT GMT-7:00

Bilaga M13

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt				
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)				
ISIN	Eingang/ Ausgang	Name	Nom.	trade date
US61746RDS76	Eingang	MS ABS CAP.I INC. TR.2003-NC8 DL-FLR CERTS 2003(33) CL.B-3	10 696 000 USD	2013-04-09
				Gegenseite der Frei von Zahlung Transaktion
				ABS Investment Group, acc. 1360-4701, DTC 0075

Info	
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Traction Fonder AB.	

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via
HSBC Trinkaus & Burkhardt AG, Düsseldorf

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf											Bilaga
OTC Käufer						OTC Verkäufer					M.pdf
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day	
Traction Fonder AB (Anlage 3.1)	10 696 000 USD	1 298 848,83 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-04-10	2013-04-12	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	1 296 265,41 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-04-10	2013-04-12	

Bilaga M.pdf

settlement confirm

20130412151334W00 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9471 917793
 2:O 545 0913 130412 BBHCUS33AXXX 0666 347028 130412 1513 N
 3:108:SWFT0SW410229227
 4::16R:GENL
 :**20C::SEME//120745936800000**
 :23G:NEWM
 :98A::PREP//20130412
 :16R:LINK
 :**20C::RELA//8839290520130412**
 :16S:LINK
 :16S:GENL
 :16R:TRADDET
 :98A::SETT//20130412
 :98A::TRAD//20130410
 :98A::ESET//20130412
 :90A::DEAL//PRCT/12,07656
 :35B:ISIN US61746RDS76
 MSAC 2003-NC8 B3 V/R 09/25/33
 :16S:TRADDET
 :16R:FIAC
 :36B::ESTT//FAMT/10696000,
 :36B::ESTT//AMOR/2006538,0468
 :97A::SAFE//2006112
 :16S:FIAC
 :16R:SETDET
 :22F::SETR//TRAD
 :16R:SETPRTY
 :95P::SELL//CEDELULL
 :16S:SETPRTY
 :16R:SETPRTY
 :95R::DEAG/DTCYID/00000908
 :16S:SETPRTY
 :16R:SETPRTY
 :95P::PSET//DTCYUS33
 :20C::PROC//001D4345
 :16S:SETPRTY
 :16R:AMT
 :19A::ESTT//USD1296265,41
 :16S:AMT
 :16S:SETDET
 5:CHK:9FA9F990C775

20130417112231W00 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9477 015064
2:O 547 0522 130417 BBHCUS33AXXX 0667 798166 130417 1122 N
3:108:SWFTOSW410723708
4::16R:GENL

:20C::SEME//120776077000000

:23G:NEWM

F

:98A::PREP//20130417
:16R:LINK
:20C::RELA//7968250520130412
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130412
:98A::TRAD//20130410
:98A::ESET//20130417
:90A::DEAL//PRCT/12,07656
:35B:ISIN US61746RDS76
MSAC 2003-NC8 B3 V/R 09/25/33
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/10696000,
:36B::ESTT//AMOR/2006538,0468
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::BUYR//CHASGB2LXXX
:16S:SETPRTY
:16R:SETPRTY
:95R::REAG/DTCYID/00000902
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD1298848,83
:16S:AMT
:16S:SETDET
5:CHK:073FB2C559A6

TRADE CONFIRMATION

Date: 10/04/13

Client:	Traction Fonder AB
Confirmation Text:	
Reference No.:	212542

We confirm your Buy

Security Name:	MS ABS CAP.I INC. TR.2003-NC8 DL-FLR CERTS 2003(33) CL.B-3		
ISIN:	US61746RDS76		
Currency:	USD		
Nominal:	10,696,000		
Trade Price:	USD 12.07656000	Principal Amount:	USD 1,291,708.86
Commission:	USD 1,291.71	Interest Days:	18
Local Tax:		Accrued Amount:	USD 5,848.26
Net Price:	USD 12.14331400		
Net Amount:	USD 1,298,848.83		
Exchange:			
Trade Day:	10/04/13		
Value Day:	12/04/13		
Trade Time:	14:50		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

Robert Ekström

From: Robert Batista <robert.batista@aurigausallc.com>
Sent: den 18 augusti 2014 17:34
To: funds@cc.com.mt
Cc: info@optimusfonder.se; optimus.backoffice
Subject: ANOTHER TRADE DONE TODAY
Attachments: New Auriga Del Instructions.pdf

WE BOUGHT FROM YOU:

TRADER: ALEX HENDRICKSON CUSIP: 61746RDS7

SELLS: 10,696,000 of MSAC 2003-NC8 B3 5.78% 09/25/33

PRICE: 23.5000000 assuming CPR= 10.0 YTM: 99.705 AL= 2.20YR

SETTLE: 08/21/14 [Thu] Accrual Pd: 07/25/14 - 08/24/14 Monthly

Next Payment: 08/25/14 (0 day delay)

** Curr Face \$ 2,006,538.07 <Jul14 Factor 0.1875970524>

PRINCIPAL VAL \$ 471,536.45

+ 27 Days ACC \$ 8,698.34 ✓ actual current coupon

= TOTAL FUNDS \$ 480,234.79 **

SETTLEMENT INSTRUCTIONS ATTACHED

Swedbank
(1001) Optimus foreign trades.xls

CUSTOMER	B/S SOURCE	B/S T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	BBHCUS33	Buy	2013-04-10	2013-04-12	US61746RDS76	CANCELED	901901350628	10696000	1298848,83	USD	DTCYUS33XXX	UNITED STATES 32398
OPTIMUS FONDER AB		Sell	2014-08-18	2014-08-21	US61746RDS76	CONFIRMED	901901350628	10696000	480234,79	USD	DTCYUS33	UNITED STATES 35684

Bilaga N till

LMT 2008-

6 B1

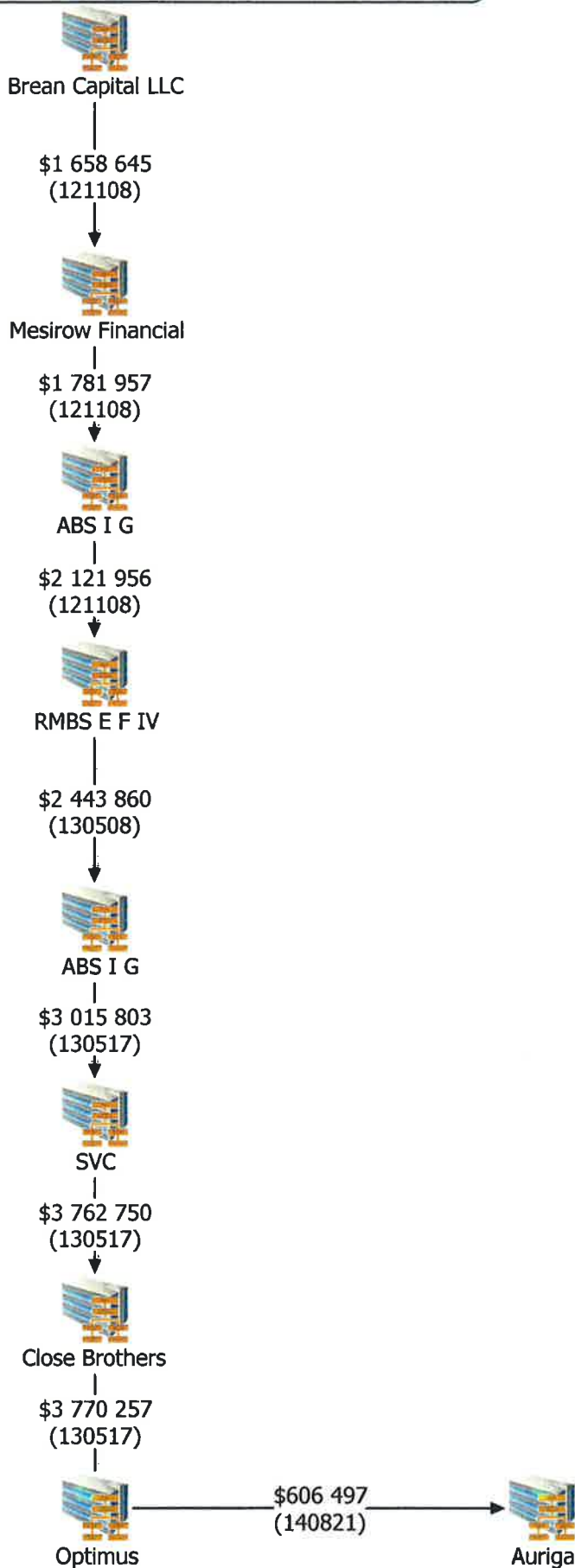
Sid**Kap. 7.11 LMT 2008 - Bilaga N**

2 Innehållsförteckning

3 Chart över köp och försäljning av LMT 2008

		<u>Från</u>	<u>Avser</u>
4	Bilaga N 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga N 2	Mesirow Financial	Ticket Mesirow köper LMT 2008
6	Bilaga N 3	Mesirow Financial	Ticket Mesirow säljer LMT 2008 till ABS I G
7	Bilaga N 4	Mark Bishop	Trade Ticket
8	Bilaga N 5	Pensionsmyndigheten	Kontoutdrag ABS I G
9	Bilaga N 6	Mark Bishop	Trade Ticket
10	Bilaga N 7	Pensionsmyndigheten	Kontoutdrag ABS I G
11 - 12	Bilaga N 8	Mark Bishop	Omniarch Bond Inventory Fund IV Jul2013
13	Bilaga N 9	Mark Bishop	Trade Ticket
14	Bilaga N 10	Close Brothers	Kontoutdrag SVC
15	Bilaga N 11	Pensionsmyndigheten	Kontoutdrag ABS I G
16	Bilaga N 12	Wahlstedt	Trade Confirmation
17 - 18	Bilaga N 13	Close Brothers	Sammanställning från Close Brothers
19 - 20	Bilaga N 14	Close Brothers	Settlement confirm
21	Bilaga N 15	Close Brothers	Kontoutdrag SVC
22	Bilaga N 16	Wahlstedt	Trade Ticket
23	Bilaga N 17	Swedbank	Trade instructions
24 - 28	Bilaga N 18	Mark Bishop	Mail mellan Mark och Emil
29	Bilaga N 19	Close Brothers	Mail mellan Emil och Close Brothers

Försäljning av MBS " LMT 2008"



Bilaga N1

LMT 2008-6 B1

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Säljare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Uppslupen ränta	Bankavgift	Settlement Summa
1	US52525FAH64	52525FAH6	6 614 000	2012-10-25	2012-11-08	LMT 2008	Brean Capital LLC	Mesirow	0,9700995920	\$6 416 238,70	0,2575	\$1 652 181,47	\$6 463,08		\$1 658 644,55
2	US52525FAH64	52525FAH6	6 614 000	2012-10-25	2012-11-08	LMT 2008	Mesirow	ABS I G	0,9700995920	\$6 416 238,70	0,27671875	\$1 775 493,55	\$6 463,08		\$1 781 956,63
3	US52525FAH64	52525FAH6	6 614 000	2012-10-25	2012-11-08	LMT 2008	ABS I G	RMBS E F IV	0,9692289129	\$6 410 480,03	0,33	\$2 115 458,41	\$6 498,11		\$2 121 956,52
4	US52525FAH64	52525FAH6	6 614 000	2013-05-05	2013-05-08	LMT 2008	RMBS E F IV	ABS I G	0,9700995918	\$6 416 238,70	0,38	\$2 438 170,71	\$5 689,19		\$2 443 859,90
5	US52525FAH64	52525FAH6	6 614 000	2013-05-14	2013-05-17	LMT 2008	ABS I G	SVC	0,9700995918	\$6 416 238,70	0,468	\$3 002 799,71	\$13 003,86		\$3 015 803,57
6	US52525FAH64	52525FAH6	6 614 000	2013-05-15	2013-05-17	LMT 2008	SVC	Close Brothers	0,970099592	\$6 416 238,70	0,585	\$3 753 499,63	\$13 003,86	-\$3 753,50	\$3 762 749,99
6	US52525FAH64	52525FAH6	6 614 000	2013-05-15	2013-05-17	LMT 2008	Close Brothers	Optimus	0,970099592	\$6 416 238,70	0,585	\$3 753 499,63	\$13 003,86	\$3 753,50	\$3 770 256,99
7	US52525FAH64	52525FAH6	6 614 000	2014-08-18	2014-08-21	LMT 2008	Optimus	Auriga	0,861394720	\$5 697 264,68	0,10375	\$591 091,21	\$15 406,16		\$606 497,37

B1 omfattar \$6 614 000

Prospektet finns (43 s)

Resultat för Optimus =

-\$3 163 759,62

Resultat för SVC, UK =

\$746 946,42

Resultat för ABS I G =

\$571 943,68 (Avser enbart 2013)

Resultat för SVC och ABS I G:

\$1 318 890,09 (Avser enbart 2013)

Zeptunus =

619 347 kr

12,5% av SVC

P266 t

Screen Printed

LMT 2008-6 B1 4.76		2) Cancel		15) Trade Recap		99) Options		Accepted Ticket	
8911152	52525FAH6			NY	11/27/2012	01:29:22			
BUY	6614	(M)		As of	10/25/2012	15:35:57			
Price	25-24	25.75000000		Yield	29.354754				
Settlement	11/08/2012	Other				Broker			
Account	00189399	BREAN CAPITAL LLC				Sales		EX38	

Commission	Code	Rate	+/-	Amount	Curr	55) Spread	59) Mortgage
Sales Commission		0.9296		59650.97	USD	Effective Dur	4.31 WAC 5.646
Misc Fee					USD	Average Life	10.47 WAM 313
						Prepayment	10.02 CPR WALA/AGE 58
						Cpn (Assumed)	5.1804 Factor .970099592
						Maturity	7/25/47 As Of Oct12
						Current Face	6,416,238.70

5) Notes 1-4	6) Notes 5-8	7) Reporting	80) Prevailing Market Price	89) Best Ex Notes
STEPOUT U	TRADE STIP MIKE			
MASTER A/C EX38	TRADE STIP			
TRD ID	TRADE STIP			
HEDGE	NOTES	CHG BLOTTER/COMISH		

Invoice		Principal/Agency P	
Principal	1,652,181.47	SOL / UNSOL	
Accrued (7)	6,463.08	Settlement Loc	
Transaction Costs		Trade Flat Venue ID	
Total (USD)	1,658,644.55	Special Price	
View in USD	Rate 1.00000000	TRACE: Unknown Status	
	Invert	Fee-based special N	
		Ticket # 2685913	

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:29:38

P266 t

Ticket number 2685916

LMT 2008-6 B1 4.76		Cancel		15 Trade Recap		99 Options		Accepted Ticket	
8911152	52525FAH6					NY	11/27/2012	01:29:22	
SELL	6614	(M)				As of	10/25/2012	15:40:13	
Price	27-21 $\frac{1}{2}$	27.67187500	Yield		27.508881				
Settlement	11/08/2012	Other				Broker			
Account	39902431	**INVALID ACCOUNT**		ABS INV GRP				Sales	EX09

Commission	Code	Rate	+/-	Amount	Curr	55 Spread	9 Mortgage
Sales Commission		0.9296		59650.97	USD	Effective Dur	4.59 WAC
Misc Fee					USD	Average Life	10.47 WAM
						Prepayment	10.02 CPR WALA/AGE
						Cpn (Assumed)	5.1804 Factor
						Maturity	7/25/47 As Of
							Current Face
							6,416,238.70

9 Notes 1-4	6 Notes 5-8	7 Reporting	80 Prevailing Market Price	89 Best Ex Notes
STEPOUT U		TRADE STIP	MARK BISHOP	
MASTER A/C EX09		TRADE STIP		
TRD ID		TRADE STIP		
HEDGE		NOTES	CHG BLOTTER AND COMISH PER JEANNIE	

Invoice		Principal/Agency	
Principal	1,775,493.55	SOL / UNSOL	P
Accrued (7)	6,463.08	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	1,781,956.63	Special Price	
		TRACE: Unknown Status	Fee-based
			pecial
View in	USD	Rate	1.00000000
		Invert	
		Ticket #	2685916

SN 276302 EDT GMT-4:00 H755-5838-D 30-Apr-2019 15:31:05

Bilaga N4*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	25-okt-12	
TRADER:	Mesirow Financial	
CUSIP:	52525FAH6	
BOND NAME:	LMT 2008-6 B1	
PRICE:	33,0000000	[33-00]
SETTLE:	08-nov-12	[THURSDAY]
BOND COUPON:	5,213%	
DAYS ACCRUAL:	7	[7 DAYS ACCRUED]
ACCRUAL PAID:	6 498,11	
NEXT PAYMENT:	25-dec-12	[24 DAY DELAY]
SELLS (ORIG. FACE):	6 614 000,00	
FACTOR:	0,9692289129	
CURRENT FACE:	6 410 480,03	
PRINCIPAL VALUE:	2 115 458,41	
TOTAL FUNDS:	2 121 956,52	
COMMENTS:	Amer. RMBS Equity Fund IV buys, and ABS Investrn Group sells \$6,614,000 original face.	

Contacts

Purchaser: Jay Modi, Limited Partner
American RMBS Equity Fund IV, LP
Suite 104, 320 - 23 Ave. SW
Calgary, AB T2S 0J2
+1 (403)984-6240 (office)
+1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Seller: Mark Bishop
ABS Investment Group
1176 Main Street, Suite 300
Irvine, California 92614
+1(877)560-6637 (office)
+1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga N5

Case 2:17-cv-04155-SVW-KES Document 12-5 Filed 06/05/17 Page 115 of 175 Page ID
#:411

Date 11/30/12
Account Number [REDACTED] 0070
Enclosures 1

*****EXCLUDE-Cycle
1888 0.8180 EX 0.000 5 2 1185
ABS INVESTMENT GROUP LLC
707 BROADWAY 18TH FLOOR
SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	[REDACTED] 0070	Statement Dates	11/01/12 thru 11/30/12
Previous Balance	487,005.05	Days in the statement period	30
12 Deposits/Credits	6,785,333.23	Average Ledger	548,875
32 Checks/Debits	6,694,971.38	Average Collected	548,875
Service Charge	446.29		
Interest Paid	.00		
Ending Balance	576,920.61		

Deposits and Additions		Amount
Date	Description	
11/01	WIRE-IN 20123060007300	348,190.51
11/05	IB Transfer from D *****005 to D *****070	566,392.68
11/06	IB Transfer from D *****005 to D *****070	213,684.74
11/06	WIRE-IN 20123110002000	41,526.00
11/07	WIRE-IN 20123120004100	200,000.00
11/08	IB Transfer from D *****005 to D *****070	2,000,000.00
11/20	IB Transfer from D *****005 to D *****070	121,956.52
11/20	IB Transfer from D *****005 to D *****070	276,246.93
11/23	WIRE-IN 20123280007900	705,396.63
11/26	IB Transfer from D *****005 to D *****070	1,351,077.22
11/28	IB Transfer from D *****005 to D *****070	334,320.00
11/28	IB Transfer from D *****005 to D *****070	626,542.00

} 2 121 956.52

Checks and Withdrawals		Amount
Date	Description	

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
CMO

TRADE TICKET

as of 5/ 5/13

TRADER

ABS INVESTMENT GROUP

52525FAH6

orig face

LEHMAN MORTGAGE TRUST

CMO :SUB,CSTR

BUYS

6,614,000

LMT 2008-6 B1

4.5601% 7/25/47

8.95 Avg Life

7/13- 7/47 Principal

PRICE 38-00

assuming

CPR= 24.0

YTM = 21.101%

(38.0000000)

SETTLE

5/ 8/13 Wed

Accrual Period 5/ 1/13 - 5/31/13 Monthly

Next payment 6/25/13 (24 day delay)

CALL?N Date 5/25/18 Px 100

Original Face

\$ 6,614,000.00

x Apr13 Factor

0.970095918

= current face

\$ 6,416,238.70

Principal value

\$ 2,438,170.71

+ 7 days accrued

\$ 5,689.19

= total funds

\$ 2,443,859.90

! ASSUMED (actual May13 factor unknown)

! ASSUMED (actual May13 coupon unknown)

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 8/ 1/08

mature: 7/25/47

Collat: WH30 4.38

4.65(314)65

Australia 61 2 9777 8600 Brazil 5511 3049 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
Japan 81 3 3201 8300 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SN 109100 H629-121-1 05-May-13 22:31:40 PDT GMT-7:00

136 921-3

Bilaga N 6

Bilaga N7

Date 5/31/13
Primary AccountPage 2
0070

BUSINESS ANALYZED

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
5/01	Online Pmt HSBC CARD SRVCS	4,356.80-
5/02	WEB PAID SDGE SD GAS ELEC	48.06-
5/03	WEB	
5/06	WIRE-OUT 20131230002500	34,960.00-
5/08	WIRE-OUT 20131260012400	20,000.00-
5/08	Account Analysis Charge	1,007.94-
5/08	WIRE-OUT 20131280002200	20,000.00-
5/09	WIRE-OUT 20131290004000	10,000.00-
5/09	WIRE-OUT 20131290002800	1,585.00-
5/09	WIRE-OUT 20131290002700	2,410.00-
5/10	PAYMENT AAA INSURANCE-WB	2,531.00-
5/10	WEB	
5/10	WIRE-OUT 20131300002000	20,000.00-
5/13	WIRE-OUT 20131330002200	100,000.00-
5/13	WIRE-OUT 20131330001800	20,000.00-
5/13	OUTGOING WIRES FOR 5/13/13	2,414,140.26-
5/13	INTERNAL TRF 2013133000230	207,287.11-
5/15	WIRE-OUT 20131350002700	20,000.00-
5/16	Online Pmt HSBC CARD SRVCS	20,011.76-
5/17	WEB	
5/20	WIRE-OUT 20131370002900	20,000.00-
5/20	WIRE-OUT 20131400003600	2,000.00-
5/20	WIRE-OUT 20131400003700	3,000.00-
5/20	WIRE-OUT 20131400003800	3,000.00-
5/20	WIRE-OUT 20131400003500	4,000.00-
5/20	WIRE-OUT 20131400004000	11,800.00-
5/20	WIRE-OUT 20131400003900	13,200.00-
5/20	PAID SCGC THE GAS COMPANY	31.42-
5/20	WEB	
5/20	WIRE-OUT 20131400003000	20,000.00-
5/20	WIRE-OUT 20131400016400	85,000.00-
5/21	WIRE-OUT 20131410004000	13,200.00-
5/21	IB Transfer from D *****070 to	2,443,859.90- ←
5/22	WIRE-OUT 20131420003300	100,000.00-
5/22	INTERNAL TRF 2013142000320	120,811.93-

Omniarch - American RMBS Equity Fund IV Inventory

Purchase Date	Sold Date	Description	CUSIP	Original Total Bond Face	Original Face Purchased	% of Bond Purchased	Price	Factor @ Purchase Date	Face Amount @ Purchase Date	Purchase Market Value (Purchase Principal)	Accrued Interest Paid @ Purchase	Projected Cash-on-Cash Return @ Purchase Date	Vintage
2012-11-08	2013-05-08	LMT 2008-6 B1	52525FAH6	6 614 000,00	6 614 000,00	100,00%	33,000	0.9692289129	\$ 6 410 480,03	\$ 2 115 458,41	\$ 6 498,11	15,80%	2008

Original S&P or comp.	Rating @ Pur Date	Class Coupon Rate (@ pur dt)	Subordination, Current Percent (ex OC), Notes	Bond Sold Date	Sold Price	Factor on Sold Date	Face on Sold Date	Sold Principal Received	Accrued Interest Received	Total Funds Received
AA	CC	5,213%	Good credit enhancement, curr prin pmts, curr coc mid-double digit, with total return 25%+ upside to call.	maj 08 2013	38,000	0,9700995918	6 416 238,70	2 438 170,71	5 689,19	2 443 859,90

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
CMO**TRADE TICKET**

as of 5/14/13

TRADER**SOLID VENTURE CAPITAL****52525FAH6**

orig face LEHMAN MORTGAGE TRUST

CMO :SUB,CSTR

BUYS

6,614,000

LMT 2008-6 B1

4.5601% 7/25/47

8.93 Avg Life

7/13- 7/47 Principal

PRICE

46.8000000

assuming

CPR= 24.0

YTM =

16.953%

(46.8000000)

SETTLE

5/17/13 Fri

Accrual Period 5/ 1/13 - 5/31/13 Monthly

CALL?N Date 5/25/18 Px 100

Next payment 6/25/13 (24 day delay)

Original Face

\$ 6,614,000.00

x Apr13 Factor

0.970095918

= current face

\$ 6,416,238.70

Principal value

\$ 3,002,799.71

+ 16 days accrued

\$ 13,003.86

= total funds

\$ 3,015,803.57

! ASSUMED (actual May13 factor unknown)

! ASSUMED (actual May13 coupon unknown)

TRACE ELIGIBLE

Text Highlight? ☒**NOTES:**

issued: 8/ 1/08

mature: 7/25/47

Collat: WH30 4.38

4.65(314)65

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SN 132341 H311-26-1 14-May-13 1:56:57 PDT GMT-7:00

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar			
Datum	Text	Belopp	KOMMENTAR
2013-05-16	Försäljning värdepapper	\$3 762 750,00	SVC säljer till Close Brothers/Optimus
2013-05-21	Överföring	-\$3 015 803,57	SVC köper från ABS I G
			Värdepapper
			LMT 2008
			LMT 2008
			Vinst SVC
			\$746 946,43

Bilaga N/11

*****EXCLUDE-Cycle
1853 0.8180 EX 0.000 5 2 1112
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 5/31/13
Primary Account

Page 1
0070

----- CHECKING ACCOUNTS -----

Abs Investment Group LLC

BUSINESS ANALYZED			
Account No.	0070	Statement Dates	5/01/13 thru 6/02/13
Previous Balance	537,914.69	Days in the statement period	33
5 Deposits/Credits	5,904,067.42	Average Ledger	568,735.69
38 Checks/Debits	5,815,063.55	Average Collected	568,735.69
Service Charge	.00		
Interest Paid	.00		
Current Balance	626,918.56		

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions

Date	Description	Amount
5/07	WIRE-IN 20131270010200	40,436.48
5/13	IB Transfer from D *****005 to	2,821,427.37
5/20	WIRE-IN 20131400019500	13,200.00
5/21	WIRE-IN 20131410015300	13,200.00
5/21	WIRE-IN 20131410002000	3,015,803.57 ←

TRADE CONFIRMATION

Date: 15/05/13

Client: Optimus Fonder AB
Confirmation Text:
Reference No.: 220671

We confirm your Buy

Security Name:	LEHMAN MORTGAGE TRUST 2008-6 DL-FLR CERTS 2008(47) CL.B1		
ISIN:	US52525FAH64		
Currency:	USD		
Nominal:	6,614,000		
Trade Price:	USD 58.50000000	Principal Amount:	USD 3,753,499.63
Commission:	USD 3,753.50	Interest Days:	16
Local Tax:		Accrued Amount:	USD 13,003.86
Net Price:	USD 58.76117100		
Net Amount:	USD 3,770,256.99		
Exchange:			
Trade Day:	15/05/13		
Value Day:	17/05/13		
Trade Time:	09:30		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

Bilaga N13

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
US52525FAH64	Eingang	LEHMAN MORTGAGE TRUST 2008-6 DL-FLR CERTS 2008(47) CL.B1	6 614 000 USD	2013-05-14	ABS Investment Group, acc. 7395-4378, DTC 0075

Info	
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.	

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf											Bilaga
OTC Käufer						OTC Verkäufer					N.pdf
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day	
Optimus Fonder AB (Anlage 3.1)	6 614 000 USD	3 770 257 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-05-15	2013-05-17	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	3 762 749,99 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-05-15	2013-05-17	



settlement confirm

20130517160537W02 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9507 752151
2:O 545 1004 130517 BBHCUS33AXXX 0671 984329 130517 1605 N
3:108:SWFTOSW413732796
4::16R:GENL

:20C::SEME//120966708500000

R

:23G:NEWM
:98A::PREP//20130517
:16R:LINK
:20C::RELA//5156496820130517
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130517
:98A::TRAD//20130515
:98A::ESET//20130517
:90A::DEAL//PRCT/58,5
:35B:ISIN US52525FAH64
LMT 2008-6 B1 V/R 07/25/47
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/6614000,
:36B::ESTT//AMOR/6416238,6882
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::SELL//CEDELULL
:16S:SETPRTY
:16R:SETPRTY
:95R::DEAG/DTCYID/00000908
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:20C::PROC//001E006C
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD3762750,
:16S:AMT
:16S:SETDET
5:CHK:2E58F3706B57

settlement confirm

20130517160537W09 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9507 752154
 2:O 547 1004 130517 BBHCUS33AXXX 0671 984335 130517 1605 N
 3:108:SWFT0SW413732803
 4:16R:GENL
 :20C::SEME//120966708300000
 :23G:NEWM
 :98A::PREP//20130517
 :16R:LINK
 :20C::RELA//4500236820130517
 :16S:LINK
 :16S:GENL
 :16R:TRADDET
 :98A::SETT//20130517
 :98A::TRAD//20130515
 :98A::ESET//20130517
 :90A::DEAL//PRCT/58,5
 :35B:ISIN US52525FAH64
 LMT 2008-6 B1 V/R 07/25/47
 :16S:TRADDET
 :16R:FIAC
 :36B::ESTT//FAMT/6614000,
 :36B::ESTT//AMOR/6416238,6882
 :97A::SAFE//2006112
 :16S:FIAC
 :16R:SETDET
 :22F::SETR//TRAD
 :16R:SETPRTY
 95P::BUYR//CHASGB2LXXX
 :16S:SETPRTY
 :16R:SETPRTY
 :95R::REAG/DTCYID/00000902
 :16S:SETPRTY
 :16R:SETPRTY
 :95P::PSET//DTCYUS33
 :16S:SETPRTY
 :16R:AMT
 :19A::ESTT//USD3770257,
 :16S:AMT
 :16S:SETDET
 5:CHK:0FE2E3482DC7

PA

EBM.s noteringar

Vinst SVK
\$746 946,43

LMT 2008

Robert Ekström

From: Robert Batista <robert.batista@aurigausallc.com>
Sent: den 18 augusti 2014 18:20
To: funds@cc.com.mt
Cc: info@optimusfonder.se; optimus.backoffice
Subject: Third trade done today
Attachments: New Auriga Del Instructions.pdf

We bought from you

TRADER: ALEX HENDRICKSON CUSIP: 52525FAH6

BUYS: 6,614,000 of LMT 2008-6 B1 4.86744% 07/25/47

PRICE: 10.3750000 assuming CPR= 6.0 YTM: 64.014 AL=16.27YR

SETTLE: 08/21/14 [Thu] Accrual Pd: 08/01/14 - 08/31/14 Monthly

Next Payment: 09/25/14 (24 day delay)

** Curr Face \$ 5,697,264.68 <Jul14 Factor 0.8613947203>

PRINCIPAL VAL \$ 591,091.21

+ 20 Days ACC \$ 15,406.16 !ASSUMED: Aug14 coupon unknown

= TOTAL FUNDS \$ 606,497.37 **

*g-shunt vis kuppen vis - 376,91 for all reparation on
1 day bet. /fin*



Information to Swedbank

Traction

2014-08-19 12:33:30

Swedbank trade instructions

Version 3.20

Verify/Approve Instructions

Row ID	Type of Message	Instruction type	Safekkeeping account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent
1	NEWIM	\$	901901350628	35681	20140818	20140821	US06740L8C27	1000000	1,11650000	1116500,00 USD	1135562,50 USD	11224	11224
2	NEWIM	\$	901901350628	35682	20140818	20140821	US52525FAH64	5697265	0,10375000	591091,21 USD	606497,37 USD	DTC0443	DTC0443
3	NEWIM	\$	901901350628	35684	20140818	20140821	US61746RDS76	2005538	0,23500000	471536,44 USD	480234,79 USD	DTC0443	DTC0443
4	NEWIM	\$	901901350628	35685	20140818	20140821	NO0010692155	10000000	0,99000000	9900000,00 NOK	9937000,00 NOK	DABANO22	DABANO22
5	NEWIM	\$	901901350628	35686	20140818	20140821	US32113JBV61	6000000	0,27000000	1620000,00 USD	1625287,50 USD	DTC0443	DTC0443

Bilaga N17

B67721-1
job 7645
Bilaga N18

From: Emil Amir Ingmanson eai@solidequity.se
Subject: Re: Bond purchase for April...
Date: 14 Apr 2013, 15:51:15
To: Mark mailtobishop@gmail.com

OK. Let's go at it!

Best Regards,
E-A Ingmanson

Hammarby Kaj 16
120 30 Stockholm, Sweden

<

[+467-329 399 99](tel:+46732939999)

[+356-999 380 99](tel:+35699938099)

www.solidequity.se <Best Regards,
E-A Ingmanson

Hammarby Kaj 16
120 30 Stockholm, Sweden

<

[+467-329 399 99](tel:+46732939999)

[+356-999 380 99](tel:+35699938099)

www.solidequity.se
eai@solidequity.se
www.solidequity.se>

eai@solidequity.se

On 2013-04-14 15:50, "Mark" <mailtobishop@gmail.com> wrote:

I am putting a bond on our target list to purchase for April that will spend \$3.7 million (\$740,000 arb at SVC). The bond is LMT 08-6 B1 for reference. But Optimus fund size needs to get to SEK 800 million before I can fund it. So lets rock and roll !!!

M

=====

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

BSG 721-1
job 7666-d

From: **Emil Ingmanson** eai@solidequity.se
Subject: **Re: LMT bond...**
Date: **6 May 2013, 08:58:04**
To: **Mark Bishop** mailtobishop@gmail.com

Thank you, I'll send it to Carsten...

Best Regards,

E-A Ingmanson

Sent from my iPhone

6 maj 2013 kl. 07:49 skrev Mark Bishop <mailtobishop@gmail.com>:

Emil -

Disregard the previous trade tickets and replace them with the attached:

Thank you,

M

On Mon, May 6, 2013 at 7:43 AM, Mark Bishop
<mailtobishop@gmail.com> wrote:

Emil -

I have sent instructions to LPL to move the LMT bond to your Close
Brothers account number 089 154 CSC63359, "free of payment".

Please forward the attached trade tickets to your applicable account
representative.

Regards,

Mark

--
Mark Bishop

+1 949 678 9574

+46 709 933 538

--
Mark Bishop

+1 949 678 9574

+46 709 933 538

<Trade Ticket - ABS to SVC.pdf>

<Trade Ticket - Optimus High Yield.pdf>

From: **Emil Ingmanson** eai@solidequity.se
Subject: **Fwd: Trade Ticket - ABS to SVC.pdf**
Date: **6 May 2013, 11:05:14**
To: **Mark Bishop** mailto:bishop@gmail.com, **Mark Bishop**
mbishop@absig.com

Please send the ISIN to me...

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

Från: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>
Datum: 6 maj 2013 10:55:01 CEST
Till: 'Emil Ingmanson' <eai@solidequity.se>
Ämne: RE: Trade Ticket - ABS to SVC.pdf

Hi Emil,

may you have the ISIN from the Bond ?
So i can arrange everything for booking as soon as the bond is transferred.

Thanks
Carsten

8/29/2018

Preview

Bilaga N 19

SV: Trade Ticket - ABS to SVC.pdf
From: Emil Amir Ingmanson [eai@solidequity.se]
To: Carsten Pfersdorf
Date: Mon, May 6, 2013 3:19 PM WT
The ISIN is US52525FAH64.

Best Regards,

Emil Amir Ingmanson
Solid Equity AB
Hammarby Kaj 16
120 30 Stockholm, Sweden
Office phone: +4685-172 07 00
Mobile phone: +4673-293 99 99
eai@solidequity.se
www.solidequity.se

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-----Ursprungligt meddelande-----

Från: Carsten Pfersdorf [mailto:Carsten.Pfersdorf@cbseydler.com]

Skickat: den 6 maj 2013 10:55

Till: Emil Amir Ingmanson

Ämne: RE: Trade Ticket - ABS to SVC.pdf

Hi Emil,

may you have the ISIN from the Bond ?
So i can arrange everything for booking as soon as the bond is transferred.

Thanks
Carsten

Bilaga O till

BAFC

2004-1 B2

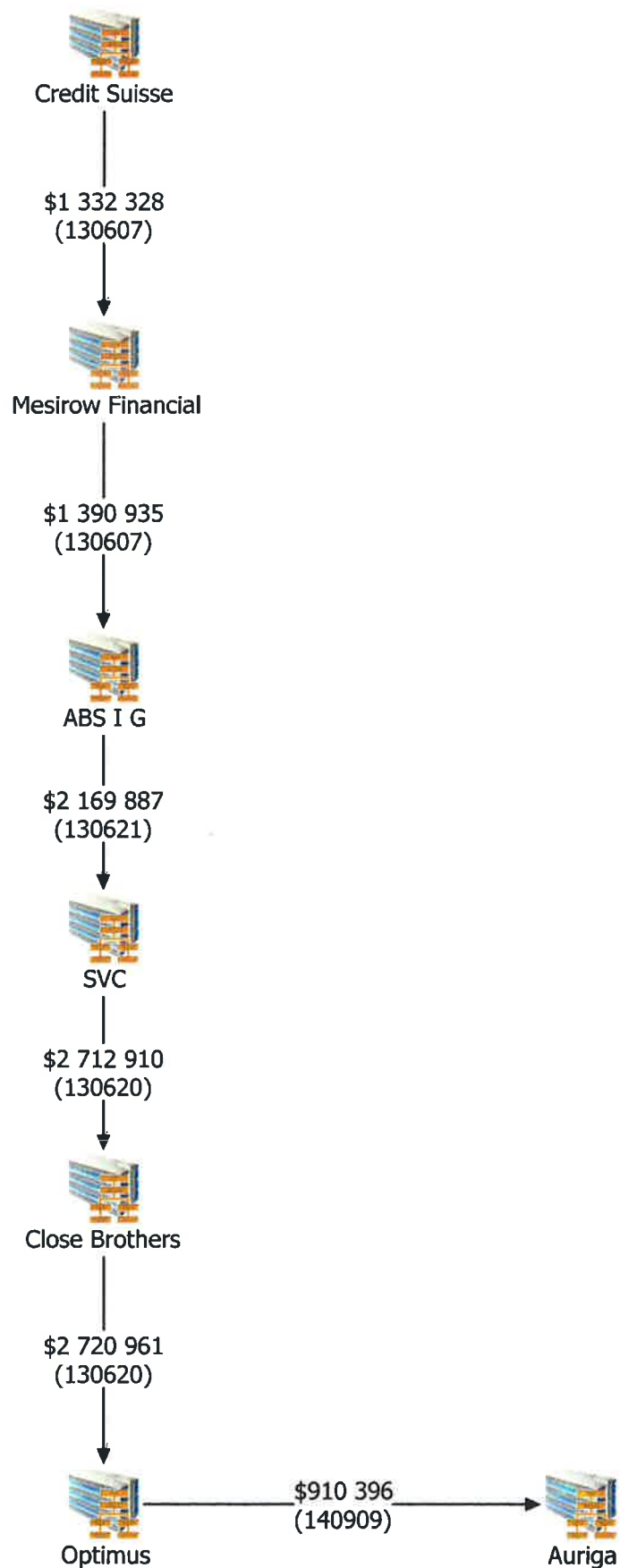
Sid**1 Kap. 7.12 BAFC - Bilaga O**

2 Innehållsförteckning

3 Chart över köp och försäljning av BAFC

		<u>Från</u>	<u>Avser</u>
4	Bilaga O 1	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga O 2	Mesirow Financial	Ticket Mesirows köp av BAFC
6	Bilaga O 3	Mesirow Financial	Ticket Mesirows försäljning av BAFC
7 - 9	Bilaga O 4	LPL Financial	ABS I G.s kontoutdrag juni 2013
10	Bilaga O 5	Mark Bishop	Trade Ticket
11	Bilaga O 6	Mark Bishop	Trade Ticket
12	Bilaga O 7	Close Brothers	Trade Ticket
13	Bilaga O 8	Close Brothers	Kontoutdrag SVC
14	Bilaga O 9	Pensionsmyndigheten	Kontoutdrag ABS I G
15	Bilaga O 10	Close Brothers	Trade Ticket
16	Bilaga O 11	Wahlstedt	Trade Confirmation
17	Bilaga O 12	Close Brothers	Trade Confirmation
18 - 19	Bilaga O 13	Close Brothers	Sammanställning
20	Bilaga O 14	Swedbank	Kontoutdrag
21	Bilaga O 15	Mark Bishop	Mail från Auriga till Mark Bishop
22	Bilaga O 16	Wahlstedt	Trade Ticket
23	Bilaga O 17	Mark Bishop	Mail från Mark till Craig Chang
24 - 27	Bilaga O 18	Mark Bishop	Mail mellan Mark och Emil

Försäljning av MBS "BAFC"



BAFC 2004-1 B2

	Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Säljare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upplupen ränta	Bankavgift	Settlement Summa
1	US05946XGH08	05946XGH0	5 345 000	2013-06-04	2013-06-07	BAFC	Credit Suisse	Mesirow	0,73099345	\$3 907 159,99	0,34	\$1 328 434,40	\$3 893,20		\$1 332 327,60
2	US05946XGH08	05946XGH0	5 345 000	2013-06-04	2013-06-07	BAFC	Mesirow	ABS I G	0,73099345	\$3 907 159,99	0,355	\$1 387 041,80	\$3 893,20		\$1 390 935,00
3	US05946XGH08	05946XGH0	5 345 000	2013-06-10	2013-06-13	BAFC	ABS I G	SVC	0,7336889504	\$3 921 567,44	0,55	\$2 156 862,09	\$7 814,91		\$2 164 677,00
3	US05946XGH08	05946XGH0	5 345 000	2013-06-10	2013-06-21	BAFC	ABS I G	SVC	0,7336889504	\$3 921 567,44	0,55	\$2 156 862,09	\$13 024,85		\$2 169 886,94
4	US05946XGH08	05946XGH0	5 345 000	2013-06-17	2013-06-20	BAFC	SVC	Close Brothers	0,7336889504	\$3 921 567,44	0,69	\$2 705 881,56	\$12 373,61	-\$5 345,00	\$2 712 910,17
5	US05946XGH08	05946XGH0	5 345 000	2013-06-17	2013-06-20	BAFC	Close Brothers	Optimus	0,7336889504	\$3 921 567,44	0,69	\$2 705 881,56	\$12 373,61	\$2 705,88	\$2 720 961,05
6	US05946XGH08	05946XGH0	5 345 000	2014-09-05	2014-09-09	BAFC	Optimus	Auriga	0,7021494369	\$3 752 988,74	0,24125	\$905 408,53	\$4 987,95		\$910 396,48
B2 omfattar \$5 345 000															
Prospektet finns (255 s)															
Resultat för Optimus =				-\$1 810 564,57											
Resultat för SVC, UK =				\$548 233,17											
Resultat för ABS I G =				\$778 951,94											
Resultat för SVC och ABS I G =				\$1 327 185,11											
Zeptunus =				456 720 kr											
12,5% av SVC				169 932 kr okänt varför											

P266 t

Ticket number 2863329

BAFC 2004-1 B2 5.9		Cancel	15 Trade Recap	99 Options	Accepted Ticket	
8911312	05946XGH0			NY	06/26/2013	00:52:40
BUY	5345	(M)		As of	06/04/2013	11:48:26
Price	34-00	34.00000000	Yield	59.892572		
Settlement	06/07/2013	Other		Broker		
Account	00360377	CREDIT SUISSE				

Commission	Code	Rate	+/-	Amount	Curr	50 Spread	50 Mortgage
Sales Commission					USD	Effective Dur	1.33 WAC 6.306
Misc Fee					USD	Average Life	4.79 WAM 231
						Prepayment	15 CPR WALA/AGE 114
						Cpn (Assumed)	5.978407 Factor .73099345
						Maturity	3/25/34 As Of Jun13
						Current Face	3,907,159.99

5 Notes 1-4 6 Notes 5-8 7 Reporting 80 Prevailing Market Price 89 Best Ex Notes

STEPOUT	U	TRADE STIP	
MASTER A/C	EX06	TRADE STIP	CHRIS MYERS
TRD ID		TRADE STIP	
HEDGE		NOTES	

Invoice				Principal/Agency	P
Principal		1,328,434.40		SOL / UNSOL	
Accrued (6)		3,893.20		Settlement Loc	
Transaction Costs				Trade Flat	Venue ID
Total (USD)		1,332,327.60		Special Price	Fee-based
View in	USD	Rate	1.00000000	TRACE: Unknown Status	pecial N
			Invert	Ticket #	2863329

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:33:51

P266 t

Ticket number 2863330

BAFC 2004-1 B2 5.9		Cancel	15 Trade Recap	99 Options	Accepted Ticket	
8911312	05946XGHO				NY	06/26/2013 00:52:40
SELL	5345	(M)			As of	06/04/2013 11:49:44
Price	35-16	35.50000000		Yield	56.241622	
Settlement	06/07/2013	Other				
Account	88888888	**INVALID ACCOUNT**			Broker	
		ABS FNU GRP			Sales	EX09

Commission	Code	Rate	+/-	Amount	Curr	55 Spread	9 Mortgage
Sales Commission		1.5		58607.4	USD	Effective Dur	1.38 WAC 6.306
Misc Fee					USD	Average Life	4.79 WAM 231
						Prepayment	15 CPR WALA/AGE 114
						Cpn (Assumed)	5.978407 Factor .73099345
						Maturity	3/25/34 As Of Jun13
						Current Face	3,907,159.99

5 Notes 1-4	6 Notes 5-8	7 Reporting	80 Prevailing Market Price	89 Best Ex-Notes
STEPOUT U	TRADE STIP	ABS STEPS OUT TO 00541747		
MASTER A/C EX09	TRADE STIP			
TRD ID	TRADE STIP			
HEDGE	NOTES			

Invoice		Principal/Agency	P
Principal	1,387,041.80	SOL / UNSOL	
Accrued (6)	3,893.20	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	1,390,935.00	Special Price	Fee-based
		TRACE: Unknown Status	pecial N
View in	USD	Rate	1.00000000
		Invert	
		Ticket #	2863330

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:34:32

LPL FINANCIAL



ABS INVESTMENT GROUP
A LIMITED LIABILITY COMPANY
707 BROADWAY, 18TH FLOOR
SAN DIEGO CA 92101

Your Financial Advisor:
Ronald Chamberlin (949)251-6959
Commerce West Bank
2111 Business Center Drive
Irvine, CA 92612
ron.chamberlin@LPL.COM

Securities Offered Through
LPL Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827



Not FDIC Insured | No Bank Guarantee | May Lose Value
Not a Deposit | Not Insured by any Federal Government Agency

Investment Account Strategic Asset Management

Statement Period
June 1 to June 30, 2013

ABS INVESTMENT GROUP A LIMITED LIABILITY COMPANY
Investment Objective

1360-4701
Growth

Total Value of Your Account as of June 30, 2013 **\$61,184.98**

INVESTMENTS HELD AT LPL FINANCIAL

Previous Year-End Value as of December 31, 2012	\$724,609.05
Beginning Value as of June 1, 2013	\$46,989.78
Additions	1,405,129.01
Withdrawals	—
Dividends, Interest and Capital Gains	1.19
Increase/decrease in Market Value ¹	-1,390,935.00
Ending Value as of June 30, 2013	\$61,184.98

	Year-End Value December 31, 2012	Value on June 30, 2013
TOTAL VALUE OF YOUR ACCOUNT	\$724,609.05	\$61,184.98

¹ Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.

Investment Account Strategic Asset Management 1360-4701

COPY

Page 1 of 10
13604701
000001

Account Activity as of June 30, 2013

ACCOUNT ACTIVITY SUMMARY

	Period Ending June 30, 2013	Year-to-Date
Securities Purchased	-\$1,390,935.00	-\$1,390,935.00
Securities Sold	—	—
Additions to Your Account	1,405,129.01	1,405,129.01
Withdrawals from Your Account	—	—
Dividends, Interest and Capital Gains	1.19	35,368.15
Reinvestments	—	—
Net Change in Bank Deposit Sweep Balance ¹	—	—
Net Change in Money Market Fund Balance	14,195.20	48,836.19
Fees and Expenses ²	—	-725.97

¹ Bank Deposit Sweep Accounts are FDIC Insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information.

² Fees and expenses include account, custodial and advisory fees assessed during the statement period.



Account Activity as of June 30, 2013

SECURITIES PURCHASED

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
06/04/13	Purchase	BANC AMER FDG CORP REMIC 2004-1 CL B2 MONTHLY 24 DAY DELAY VAR CPN 0.000% DUE 03/25/34 DTD 04/01/04 FC 05/25/04 05946XGH0	5,345,000	\$35.50	-\$1,396,063.89
06/26/13	Cancel	BANC AMER FDG CORP REMIC 2004-1 CL B2 MONTHLY 24 DAY DELAY VAR CPN 5.978% DUE 03/25/34 DTD 04/01/04 FC 05/25/04 05946XGH0 AS OF 06/04/13	-5,345,000	—	1,396,063.89
06/26/13	Purchase	BANC AMER FDG CORP REMIC 2004-1 CL B2 MONTHLY 24 DAY DELAY VAR CPN 5.978% DUE 03/25/34 DTD 04/01/04 FC 05/25/04 05946XGH0 AS OF 06/04/13	5,345,000	35.50	-1,390,935.00
TOTAL SECURITIES PURCHASED					-\$1,390,935.00

ADDITIONS TO YOUR ACCOUNT

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
06/04/13	Wire Transfer	FED FUNDS/COMMERCEW	—	—	\$1,400,000.00
06/25/13	Journal	05236010	—	—	5,129.01
TOTAL ADDITIONS TO YOUR ACCOUNT					\$1,405,129.01



136721-3
131/99005

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
GMO

TRADE TICKET

as of 6/7/13

TRADER

ABS INVESTMENT GROUP - #1

orig face
BANC OF AMERICA FUNDING CORPORATION

05946XGHO

CMD :SUB,CSTR,+

BUYS

5,345,000

BAFC 2004-1 B2

5.97841% 3/25/34

4.79 Avg Life

PRICE

35-16

assuming

CPR= 15.0

YTM = 56.242%

7/13- 5/34 Principal

(35.5000000)

SETTLE

6/7/13 Fri

Accrual Period 6/1/13 - 6/30/13 Monthly

CALL?N Date 7/25/26 Px 100

Next payment 7/25/13 (24 day delay)

Original Face

\$ 5,345,000.00

x May13 Factor

0.7336889504

= current face

\$ 3,921,567.44

Principal value

\$ 1,392,156.44

+ 6 days accrued

\$ 3,907.45

= total funds

\$ 1,396,063.89

! ASSUMED (actual Jun13 factor unknown)

! ASSUMED (actual Jun13 coupon unknown)

TRACE ELIGIBLE

Text Highlight? Y

NOTES:

issued: 4/1/04

mature: 3/25/34

Collat: WH30 5.93

6.31(231)114

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SN 132500 H235-1359-1 10-Jun-13 4:02:15 PDT GMT-7:00

NO FIELDS ENTERED. USE <MENU> OR ENTER FIELDS.

Bloomberg
IS:BI**TRADE TICKET**as of **6/20/13****TRADER****SOLID VENTURE CAPITAL****05946XGHO**

Orig. Face

BOND OF AMERICAN FUNDING CORPORATION

CMO

:SUB.CSTR.+

BUYS**5,345,000****BAFC 2004-1 B2****5.97841% 3/25/34**

4.77 Avg Life

7.13- 5.34 Principal

PRICE**55.00**assuming **APR=15.0**

YTM =

28.00%

(55.0000000)

SETTLE**6/13/13**

Thu

Accrual Period 6/ 1/13 - 6/30/13 Monthly

CALL? ☒ Date **7/25/13** Px 100

Next payment 7/25/13 (24 day delay)

Original Face	\$	5,345,000.00
x May13 Factor		0.7336889504
= current face	\$	3,921,567.44
Principal value	\$	2,156,862.09
+ 12 days accrued	\$	7,814.91
= total funds	\$	2,164,677.00

TRACE ELIGIBLE

Text HighLight? ☒**NOTES:**

issued: 4/ 1/04

mature: 3/25/34

Collat: WH30 5.93

6.31(221)114

Australia 61 2 9727 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
 Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
 SN 276526 H621-348-I 10-Jun-13 4:39:30 PDT GMT-7:00

Bilaga O

Enter Order to send Ticket via MSG and VTUN.

Bloomberg **TRADE TICKET** as of **6/10/13**

TRADER **SOLID VENTURE CAPITAL** **05946XGHO**

BUYER **5,345,000** **BAFC 2004-1 B2** **5.97841%** **3/25/34**

PRICE **55-00** assuming **CPR= 15.0** YTM = **28.280%** **4.75 Avg Life**
(55.0000000) **7/13- 5/24 Principal**

SETTLE **6/21/13** Fri Accrual Period **6/ 1/13 - 6/30/13** Monthly
CALL? **N** Date **7/25/26** Px **100** Next payment **7/25/13** (24 day delay)

Original Face	\$	5,345,000.00
x May13 Factor		0.7336889504
= current face	\$	3,921,567.44
Principal value	\$	2,156,862.09
+ 20 days accrued	\$	13,024.85
= total funds	\$	2,169,886.94

NOTES:

issued: 4/ 1/04
mature: 3/25/34
Collat: WH30 5.93
6.31(231)114

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SN 141908 H621-136-1 13-Jun-13 01:03:29 PDT GMT-7:00

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar					
Datum	Text	Belopp	Saldo	KOMMENTAR	Vinst SVC
2013-06-18	Försäljning värdepapper	\$2 712 910,14	\$2 761 825,97	SVC säljer till Close Brothers/Optimus	\$543 023,20
2013-06-21	Överföring	-\$2 169 886,94	\$341 939,03	SVC köper från ABS I G	
				\$543 023,20	

Bilaga 09

*****EXCLUDE-Cycle
1927 0.8180 EX 0.000 5 2 1190
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 6/28/13
Primary Account

Page 1
0070

----- CHECKING ACCOUNTS -----
Abs Investment Group LLC

BUSINESS ANALYZED
Account No. 0070 Statement Dates 6/03/13 thru 6/30/13
Previous Balance 626,918.56 Days in the statement period 28
5 Deposits/Credits 7,064,384.00 Average Ledger 860,694.07
20 Checks/Debits 7,578,230.68 Average Collected 860,694.07
Service Charge .00
Interest Paid .00
Current Balance 113,071.88

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions
Date Description Amount
6/04 IB Transfer from D *****005 to 1,400,000.00
6/17 WIRE-IN 20131680008300 144,497.06
6/21 WIRE-IN 20131720002600 2,169,886.94 ←
6/24 WIRE-IN 20131750016300 350,000.00
6/24 IB Transfer from D *****005 to 3,000,000.00

18/1999 010

Enter 1800 to send Ticket via MSG and VCON.

Bloomberg **TRADE TICKET** as of **6/17/13**

TRADER **OPTIMUS FONDER AB** **05946XGHO**

BUYS **5,345,000** **BAFC 2004-1 B2** **5.97841%** **3/25/34**

PRICE **69-00** **assuming CPR= 15.0** **YTM = 18.093%**

SETTLE **6/20/13** **Thu** **Accrual Period 6/1/13 - 6/30/13 Monthly**

CALL? ☒ Date 7/25/26 Px 100 **Next payment 7/25/13 (24 day delay)**

Original Face	\$	5,345,000.00
x May13 Factor		0.7336889504
= Current face	\$	3,921,567.41
= Principal value	\$	2,705,881.53
= 19 days accrued	\$	12,373.61
= total funds	\$	2,718,255.14

NOTES:

TRACE ELIGIBLE
Text Highlight? ☒

Issued: 4/1/04
mature: 3/25/34
Collat: WH30 5.93
6 31(231)114

Australia 61 2 5727 8500 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2377 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 313 2000 Copyright 2013 Bloomberg Finance L.P.
3N 109008 CEST GNT+2 00 5538-4975-0 14-Jun-2013 13:01:23

13-1769-48.3
Bilaga 011Close Brothers Seydler Bank AG
Schillerstraße 27-29
60313 Frankfurt am Main

TRADE CONFIRMATION

Date: 17/06/13

Client:	Optimus Fonder AB
Confirmation Text:	
Reference No.:	229741

We confirm your Buy

Security Name:	BANC AMERICA FDG CORP. 2004-1 DL-FLR CERTS 2004(34) CL.B-2		
ISIN:	US05946XGH08		
Currency:	USD		
Nominal:	5,345,000		
Trade Price:	USD 50.62453800	Principal Amount:	USD 2,705,881.56
Commission:	USD 2,705.88	Interest Days:	19
Local Tax:		Accrued Amount:	USD 12,373.61
Net Price:	USD 50.90666100		
Net Amount:	USD 2,720,961.05		
Exchange:			
Trade Day:	17/06/13		
Value Day:	20/06/13		
Trade Time:	09:00		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350



Close Brothers Seydler Bank AG
Schillerstraße 27-29
60313 Frankfurt am Main

TRADE CONFIRMATION

Date: 17/06/13

Client: Solid Venture Capital Ltd.
Confirmation Text:
Reference No.: 229753

We confirm your Sell

Security Name:	BANC AMERICA FDG CORP. 2004-1 DL-FLR CERTS 2004(34) CL.B-2		
ISIN:	US05946XGH08		
Currency:	USD		
Nominal:	5,345,000		
Trade Price:	USD 50.62453800	Principal Amount:	USD 2,705,881.56
Commission:	USD 5,345.00	Interest Days:	19
Local Tax:		Accrued Amount:	USD 12,373.61
Net Price:	USD 50.75603700		
Net Amount:	USD 2,712,910.17		
Exchange:			
Trade Day:	17/06/13		
Value Day:	20/06/13		
Trade Time:	09:00		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

Citibank, New York
DTC-acc. 908
RCVR-ACCT: "089154 CSC63359"
Clearstream Banking S.A., Luxembourg
CEDELULLXXX
s/c 63359 BNP Paribas (BIC: PARBDEFF)

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
US05946XGH08	Eingang	BANC OF AMERICA FDG 2004-1 TR. DL-FLR CERTS 2004(34) CL.B-2	5 345 000 USD	2013-06-13	ABS Investment Group, acc. 7395-4378, DTC 0075

Info
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.



Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf										
OTC Käufer						OTC Verkäufer				
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day
Optimus Fonder AB (Anlage 3.1)	5 345 000 USD	2 720 961 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-06-17	2013-06-20	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	2 712 910,17 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-06-17	2013-06-20



Bilaga O 14

Från Swedbank

CUSTOMER	B/S SOURCE	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	DTCYID/0010	Buy	2013-06-17	2013-06-20	US05946XGH08	CONFIRMED	901901350628	5345000	2720961,05	USD	DTCYUS33	UNITED STATES	32890
OPTIMUS FONDER AB	DTCYID/00443	Sell	2014-09-05	2014-09-09	US05946XGH08	CONFIRMED	901901350628	5345000	910396,48	USD	DTCYUS33	UNITED STATES	35837

BG 721-3
131499 015

[Alex Hendrickson <alex@aurigaussallc.com>]

Thu, 09/04/2014 12:30 PM

From: [Alex Hendrickson <alex@aurigaussallc.com>]

To: [Mark Bishop <mbishop@absig.com>, Mark <mailto:bishop@gmail.com>]

Subject: FW: BAFC 2004-1 B2

Thanks Mark -

Auriga buys from Calamatta / Optimus.
Our DTC #0443, Pershing

Thanks for the trade

TRADER: ALEX HENDRICKSON CUSIP: 05946XGH0
BUYS: 5,345,000 of BAFC 2004-1 B2 5.98077% 03/25/34
PRICE: 24.1250000 assuming CPR= 10.0 YTM: 54.666 AL= 6.85YR
SETTLE: 09/09/14 [Tue] Accrual Pd: 09/01/14 - 09/30/14 Monthly
Next Payment: 10/25/14 (24 day delay)
** Curr Face \$ 3,752,988.74 <Aug14 Factor 0.7021494369>
PRINCIPAL VAL \$ 905,408.53
+ 8 Days ACC \$ 4,987.95 !ASSUMED: Sep14 coupon unknown
= TOTAL FUNDS \$ 910,396.48 **

--

Our detailed disclaimer can be seen at
<http://www.aurigaussallc.com/disclaimer>

Robert Ekström

From: Robert Batista <robert.batista@aurigaussallc.com>
Sent: den 4 september 2014 21:31
To: funds@cc.com.mt
Cc: info@optimusfonder.se; optimus.backoffice
Subject: One trad done today

TRADER: ALEX HENDRICKSON CUSIP: 05946XGH0

BUYS: 5,345,000 of BAFC 2004-1 B2 5.98077% 03/25/34

PRICE: 24.1250000 assuming CPR= 10.0 YTM: 54.666 AL= 6.85YR

SETTLE: 09/09/14 [Tue] Accrual Pd: 09/01/14 - 09/30/14 Monthly

Next Payment: 10/25/14 (24 day delay)

** Curr Face \$ 3,752,988.74 <Aug14 Factor 0.7021494369>

PRINCIPAL VAL \$ 905,408.53

+ 8 Days ACC \$ 4,987.95 !ASSUMED: Sep14 coupon unknown

= TOTAL FUNDS \$ 910,396.48 **

35855

BG/1106-4.1
6/7/13 4:40 PM
Bilaga O 17**[Mark Bishop <mbishop@absig.com>]**

Fri, 06/07/2013 04:22 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [Craig Chang <cchang@absig.com>]**Subject:** Short term trading plan...

FYI -

Craig - My short term trading plan is as follows:

- (1) BAFC bond settles today with Mesirow. I will free trade the bond next week to Close Brothers Bank for a trade with Optimus for a settlement on June 18, with a USD 270K+ arb.
- (2) With the sale of the 3 Fund V bonds that we are contemplating today, we should have approx/exactly USD 6 million in cash. This will cover our purchase price for Positiv Pension on June 28, exactly.
- (3) We will sell 7 bonds out of the Fund IV portfolio to Optimus once the Positiv deal goes through. Within 2 weeks after the closing, there will be enough capital in Optimus to sell the bonds (approximately July 15th). This one transaction will produce about USD 5.5 million in profit. One more "turn" during the second 2 weeks of July will finish paying the purchase price for Positiv, and produce USD 2 million for ABSIG arb, and USD 2 million for Solid arb.
- (4) At the end of July we will turn our focus back on Fund IV, where we will have approximately USD 16 million to reinvest. We will reinvest the capital, cover all imbalances, and book a 15-20% arb.

M

=====

Mark Bishop
mbishop@absig.com
+1 949 678 9574

From: Emil Ingmanson ear@solidequity.se
Subject: Re: BAFC 2004-1 B2 bond sale - ISIN US05946XGH08...
Date: 10 Jun 2013, 14:17:25
To: Mark Bishop mailtobishop@gmail.com
Cc: **emil** emil@solidequity.se

Great!

Already send it to him.

Best Regards,

E-A Ingmanson

Sent from my iPhone

10 jun 2013 kl. 14:13 skrev Mark Bishop <mailtobishop@gmail.com>:

Emil -

I have sent instructions to LPL to move the BAFC bond to your Close Brothers account number 089 154 CSC63359, "free of payment".

The ISIN is US05946XGH08.

Please forward the attached trade tickets to your applicable account representative.

Contact me if you have any questions.

Regards,

Mark

--

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

<Trade Ticket - Optimus High Yield.pdf>

<Trade Ticket - ABS to Solid Venture Capital.pdf>

From: **Emil Amir Ingmanson** eam@solidequity.se
Subject: **Re: BAFC bond, ISIN US05946XGH08...**
Date: **13 Jun 2013, 16:49:12**
To: **Mark Bishop** mailtobishop@gmail.com

I've sent both to the broker now,

Thank you!

Sent from my iPhone

On 13 Jun 2013, at 11:03, "Mark Bishop" <mailtobishop@gmail.com> wrote:

Emil -

Attached are the two updated trade tickets.

The one for Optimus High Yield has a settlement date of Thursday, June 20th.

The one for Solid Venture Capital has a settlement date of Friday, June 21st.

Best regards,

Mark

--

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

<Trade Ticket - Optimus High Yield.pdf>

<Trade Ticket - ABS to Solid Venture Capital.pdf>

From: **Emil Amir Ingmanson** eai@solidequity.se
Subject: **Re: BAFC bond, ISIN US05946XGH08...**
Date: **13 Jun 2013, 17:19:59**
To: **Mark** mailtobishop@gmail.com

Ok, I'll call Carsten ASAP...

Sent from my iPhone

On 13 Jun 2013, at 17:19, "Mark" <mailtobishop@gmail.com> wrote:

Now make sure Close notifies Ulf of trade (calls him) so he can have cash available for settlement. Good reason for you to call him also.

=====

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

On 13 jun 2013, at 16:49, Emil Amir Ingmanson <eai@solidequity.se> wrote:

I've sent both to the broker now,

Thank you!

Sent from my iPhone

On 13 Jun 2013, at 11:03, "Mark Bishop" <mailtobishop@gmail.com> wrote:

Emil -

Attached are the two updated trade tickets.

The one for Optimus High Yield has a settlement date of Thursday, June 20th.

The one for Solid Venture Capital has a settlement date of Friday, June 21st.

Best regards,

Mark

--

Mark Bishop

[+1 949 678 9574](tel:+19496789574)

[+46 709 933 538](tel:+46709933538)

<Trade Ticket - Optimus High Yield.pdf>

<Trade Ticket - ABS to Solid Venture Capital.pdf>

Bilaga P till

FNLC

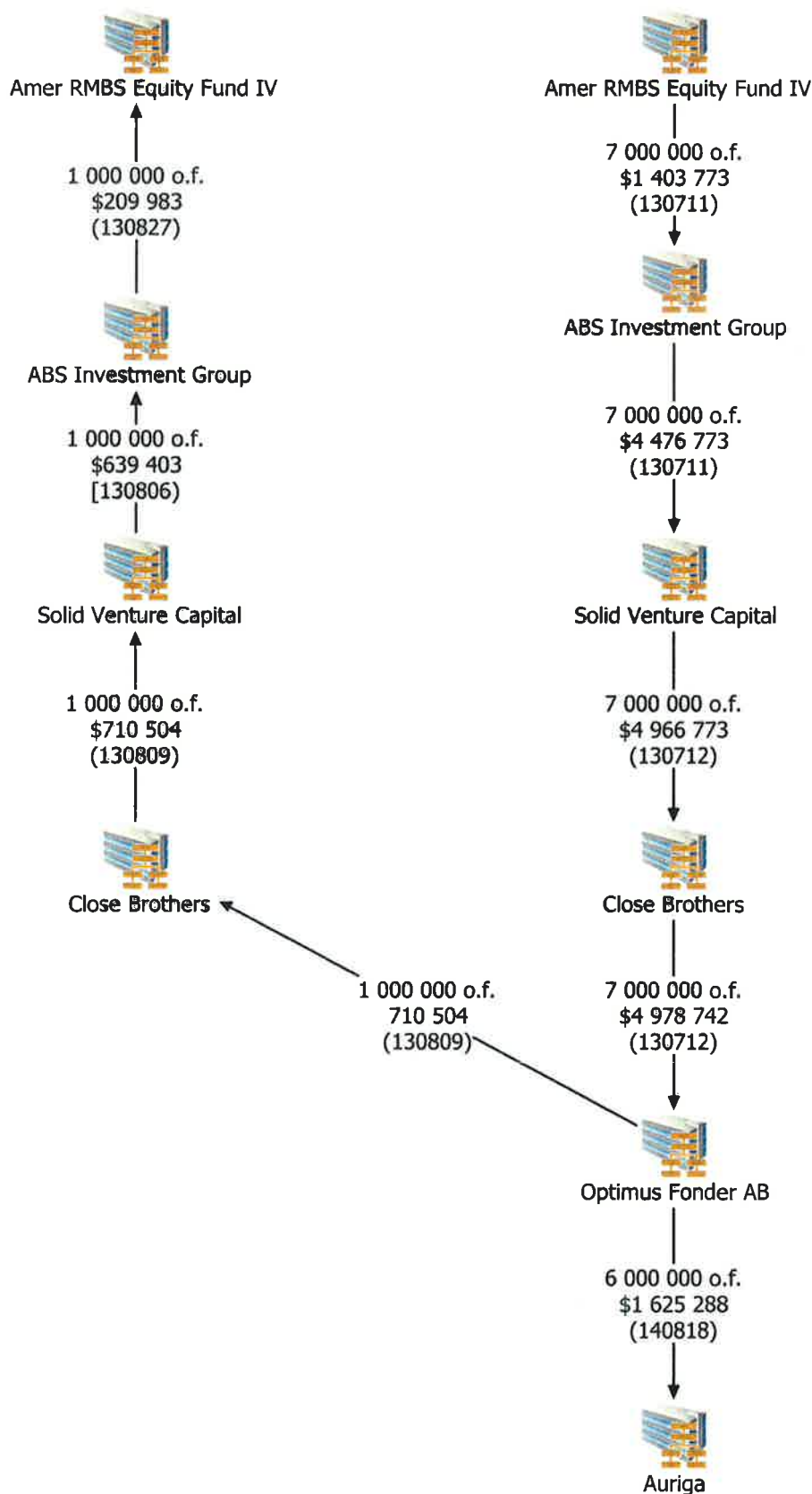
2005-3 M2

Sid**1 Kap. 7.13 FNLC - Bilaga P****2 - 3 Innehållsförteckning**

		<u>Från</u>	<u>Avser</u>
4	Bilaga P 1	EBM	Chart över köp och försäljning av FNLC
5	Bilaga P 2	EBM	Sammanställning i Excel av köp och försäljningar.
6	Bilaga P 3	Mesirow Financial	Ticket från Mesirow avseende inköp av FNLC 2012-08-14
7	Bilaga P 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av FNLC 2012-08-14 till ABS I G
8	Bilaga P 5	Mark Bishop	T T avseende ABS I G.s försäljning 2012-08-09 till American RMBS Equity Fund IV
9	Bilaga P 6	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
10	Bilaga P 7	Mark Bishop	T T avseende American RMBS Equity Fund IV.s försäljning till ABS I G
11	Bilaga P 8	SVC.s bokföring	T T avseende SVC.s köp från ABS I G
12	Bilaga P 9	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
13	Bilaga P 10	Mark Bishop	T T avseende ABS I G.s försäljning till SVC
14	Bilaga P 11	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
15	Bilaga P 12	SVC.s bokföring	T T avseende SVC.s försäljning till Close Brothers. Denna saknar bankavgiften om \$7 000
16 - 17	Bilaga P 13	Rättshjälp Tyskland	Sammanställning av Close Brothers köp och försäljning.
18 - 22	Bilaga P 14	Rättshjälp Tyskland	Handlingar rörande köp och försäljning av FNLC del 1 (Anlage 5)
23 - 32	Bilaga P 15	Rättshjälp Tyskland	Handlingar rörande köp och försäljning av FNLC del 2 (Anlage 6)
33 - 34	Bilaga P 16	Rättshjälp Tyskland	Settlement confirm från Close Brothers
35	Bilaga P 17	Swedbank	Trade instruction
36	Bilaga P 18	Wahlstedt	Trade confirmation
37	Bilaga P 19	Rättshjälp Tyskland	Settlement confirm från Close Brothers
38	Bilaga P 20	Swedbank	Trade instructions
39	Bilaga P 21	SVC.s bokföring	T T avseende SVC återköp från Close Brothers/Optimus av 1 000
40	Bilaga P 22	SVC.s bokföring	T T avseende SVC.s återförsäljning till ABS I G av 1 000
41	Bilaga P 23	Mark Bishop	T T avseende ABS I G.s återförsäljning av 1 000
42	Bilaga P 24	Mark Bishop	Kontoutdrag från LPL Financial för ABS I G
43	Bilaga P 25	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
44	Bilaga P 26	Swedbank	Trade instructions avseende Optimus försäljning 2014-08-18
45	Bilaga P 27	Wahlstedt	Mail från Auriga till Optimus
46	Bilaga P 28	Swedbank	Optimus köp och försäljning av FNLC
47	Bilaga P 29	Mark Bishop	Mail från Mark Bishop till Ron Chamberlin 2013-07-01

48 - 49	Bilaga P 30	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-03
50	Bilaga P 31	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-05
51	Bilaga P 32	Mark Bishop	Mail från Emil Amir Ingmanson till Mark Bishop 2013-07-10
52	Bilaga P 33	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-10
53	Bilaga P 34	Mark Bishop	DTC Request
54	Bilaga P 35	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV

Försäljning av MBS " FNLC"



FNLC

First NLC Trust 2005-3 serie 2005-3 M-2

<u>Instrument</u>	<u>Antal</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Säljare</u>	<u>Köpare</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Bankavgift</u>	<u>Settlement Summa</u>
US32113JBV61	7 000 000	2012-08-09	2012-08-14	US Financial Investments Inc	Mesirow	0,103125	\$721 875,00	\$4 924,11		\$726 799,11
US32113JBV61	7 000 000	2012-08-09	2012-08-14	Mesirow	ABS I G	0,10625	\$743 750,00	\$4 924,11		\$748 674,11
US32113JBV61	7 000 000	2012-08-09	2012-08-14	ABS I G	Omniarch - RMBS Eq. Fund IV	0,15625	\$1 093 750,00	\$4 924,11		\$1 098 674,11
US32113JBV61	7 000 000	2013-07-03	2013-07-11	Omniarch - RMBS Eq. Fund IV	ABS I G	0,2	\$1 400 000,00	\$3 773,78		\$1 403 773,00
US32113JBV61	7 000 000	2013-07-03	2013-07-11	ABS I G	SVC, UK	0,639	\$4 473 000,00	\$3 773,78		\$4 476 773,78
US32113JBV61	7 000 000	2013-07-10	2013-07-12	SVC, UK	Close Brothers	0,71	\$4 970 000,00	\$3 773,78	-\$7 000,00	\$4 966 773,78
US32113JBV61	7 000 000	2013-07-10	2013-07-12	Close Brothers	Optimus Fonder	0,71	\$4 970 000,00	\$3 773,78	\$4 970,00	\$4 978 743,78
US32113JBV61	1 000 000	2013-08-08	2013-08-09	Close Brothers	Close Brothers	0,71	\$710 000,00	\$504,18		\$710 504,18
US32113JBV61	1 000 000	2013-08-08	2013-08-09	Close Brothers	SVC, UK	0,71	\$710 000,00	\$504,18		\$710 504,18
US32113JBV61	1 000 000	2013-08-04	2013-08-06	SVC, UK	ABS I G	0,639	\$639 000,00	\$403,34		\$639 403,34
US32113JBV61	1 000 000	2013-08-22	2013-08-27	ABS I G	Omniarch - RMBS Eq. Fund IV	0,21	\$210 000,00	\$33,45	-\$50?	\$209 983,45
US32113JBV61	6 000 000	2014-08-18	2014-08-21	Optimus Fonder	Auriga	0,27	\$1 620 000,00	\$5 287,50		\$1 625 287,50

M-2 omfattar \$26 056 000

Prospektet finns (362 s)

Resultat för Optimus =

-\$2 642 952,10

Resultat för SVC, UK =

\$418 899,16

Resultat för ABS I G 2013=

\$2 643 580,89 (Avser enbart 2013)

Resultat för SVC och ABS I G:

\$3 062 480,05 (Avser enbart 2013)

Provision till Zeptunus:

201 906 kr

Bilaga P2

P266 t

Ticket number 2600288

FNLC 2005-3 M2 3.4		Cancel	Trade Recap	Options	Accepted Ticket	
8911312	32113JBV6				NY	08/09/2012 16:55:59
BUY	7M	(M)			As of	08/09/2012 16:47:40
Price	10-10	10.31250000	Yield	36.980008		
Settlement	08/14/2012	T+3 S			Broker	
Account	00587606	US FINANCIAL INVESTMENTS INC			Sales	EX93

Commission	Code	Rate	+/-	Amount	Curr	Spread	Mortgage
Sales Commission		0.1562		10937.5	USD	Effective Dur	4.12 WAC 5.856
Misc Fee					USD	Average Life	8.66 WAM 298
						Prepayment	6 CPR WALA/AGE 83
						Cpn (Actual)	1.2662 Factor 1.00000000
						Maturity	12/25/35 As Of Jul12
							Current Face 7,000,000.00

Notes 1-4 Notes 5-8 Reporting Prevailing Market Price Best Ex Notes

STEPOUT	U	TRADE STIP	SUMIT CHHABRA
MASTER A/C	EX93	TRADE STIP	
TRD ID		TRADE STIP	
HEDGE		NOTES	

Invoice		Principal/Agency	P
Principal	721,875.00	SOL / UNSOL	
Accrued (20)	4,924.11	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	726,799.11	Special Price	Fee-based
		TRACE: Unknown Status	pecial N
View in	USD	Rate	1.00000000
		Invert	
		Ticket #	2600288

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:37:13

P266 t

Ticket number 2600290

FNL 2005-3 M2 3.4		Cancel	15 Trade Recap	99 Options	Accepted Ticket	
8911312	32113JBV6			NY	08/09/2012	16:56:26
SELL	7M	(M)		As of	08/09/2012	16:48:41
Price	10-20	10.62500000	Yield	36.348474		
Settlement	08/14/2012	T+3 8				
Account	39902431	**INVALID ACCOUNT**		Broker		
				Sales EX09		

ABSFND GRP

Commission	Code	Rate	+/-	Amount	Curr	59 Spread	9 Mortgage
Sales Commission		0.1562		10937.5	USD	Effective Dur	4.17 WAC
Misc Fee					USD	Average Life	8.66 WAM
						Prepayment	6 CPR WALA/AGE
						Cpn (Actual)	1.2662 Factor
						Maturity	12/25/35 As Of
						Current Face 7,000,000.00	

5 Notes 1-4 6 Notes 5-8 7 Reporting 80 Prevailing Market Price 89 Best Ex Notes

STEPOUT	U	TRADE STIP	MARK BISHOP
MASTER A/C	EX09	TRADE STIP	
TRD ID		TRADE STIP	
HEDGE		NOTES	

Invoice		Principal/Agency		P
Principal	743,750.00	SOL / UNSOL		
Accrued (20)	4,924.11	Settlement Loc		
Transaction Costs		Trade Flat	Venue ID	
Total (USD)	748,674.11	Special Price		Fee-based
		TRACE: Unknown Status		pecial
View in	USD	Rate	1.00000000	Invert
		Ticket # 2600290		

SN 276302 EDT GMT-4:00 H755-5836-0 30-Apr-2019 15:38:10



BG721-3
Bilaga P5

*** TRADE TICKET ***

ABS INVESTMENT GROUP

TRADE DATE: 09-aug-12
TRADER: Mesirow Financial
CUSIP: 32113JBV6
ISIN:
EUROCLEAR ELIGIBLE:
BOND NAME: FNLC 2005-3 M2
PRICE: 15,62500 [15-20]
SETTLE: 14-aug-12 [TUESDAY]
BOND COUPON: 1,26620%
DAYS ACCRUAL: 20 DYS ACC
ACCRUAL PAID: 4 924,11
NEXT PAYMENT: 27-aug-12 [0 DAY DELAY]
SELLS (ORIG. FACE): 7 000 000,00
FACTOR: 1,0000000000
CURRENT FACE: 7 000 000,00
PRINCIPAL VALUE: 1 093 750,00
TOTAL FUNDS: 1 098 674,11

COMMENTS: Amer. RMBS Equity Fund IV buys, and ABS Investment Group sells \$7,000,000 original face.

Contacts

Purchaser: Jay Modi, Limited Partner
 American RMBS Equity Fund IV, LP
 Suite 104, 320 - 23 Ave. SW
 Calgary, AB T2S 0J2
 +1 (403)984-6240 (office)
 +1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Seller: Mark Bishop
 ABS Investment Group
 1176 Main Street, Suite 300
 Irvine, California 92614
 +1(877)560-6637 (office)
 +1(949)678-9574 (mobile)
mbishop@absig.com

Bilaga P 6

Date 8/31/12 Page 1
 Account Number 0070
 Enclosures 1

*****EXCLUDE-Cycle
 1870 1.0090 EX 0.000 3 2 1327
 ABS INVESTMENT GROUP LLC
 707 BROADWAY 18TH FLOOR
 SAN DIEGO CA 92101

----- CHECKING ACCOUNTS -----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	0070	Statement Dates	8/01/12 thru 8/31/12
Previous Balance	963,503.15	Days in the statement period	31
11 Deposits/Credits	5,970,846.43	Average Ledger	436,090
51 Checks/Debits	6,807,040.61	Average Collected	436,090
Service Charge	785.58		
Interest Paid	.00		
Ending Balance	126,523.39		

Deposits and Additions

Date	Description	Amount
8/01	WIRE-IN 20122140013600	46,949.01
8/02	IB Transfer from D *****005 to D *****070	241,794.67
8/09	IB Transfer from D *****005 to D *****070	533,563.68
8/10	IB Transfer from D *****005 to D *****070	1,098,674.11
8/14	IB Transfer from D *****005 to D *****070	112,560.89
8/14	IB Transfer from D *****005 to D *****070	312,928.77
8/14	IB Transfer from D *****005 to D *****070	333,971.29
8/14	IB Transfer from D *****005 to D *****070	746,647.72
8/16	IB Transfer from D *****005 to D *****070	334,697.29
8/23	WIRE-IN 20122360001600	906,059.00
8/23	WIRE-IN 20122360005600	1,303,000.00

Checks and withdrawals

Date	Description	Amount
8/01	WIRE-OUT 20122140002500	881,891.01

Enter 1<Go> to send Ticket via MSG and VCON

Bloomberg
ABS

TRADE TICKET

as of 7/3/13

TRADER

AMER 3MBS EQ FUND IV

orig face

FIRST NLC TRUST

BUYS

17,000,000

FNLC

2005-3 M2

32113JBVS

HOME EQT:MEZ,FLT,+

1.213% 12/25/35

DM = +2399.4 BP

8.23 Avg Life

YTM = 25.438%

4/18- 6/26 Principal

PRICE

20.00

assuming CPR = 6.0

YTM

(20.00000000)

SETTLE

7/11/13 Thu

Accrual Period 6/25/13 - 7/24/13 Monthly

CALL? Date 7/25/13 Px 100

Next payment 7/25/13 (0 day delay)

1st Idx 0.19528 LIBOR01M 0.19528

Original Face \$

7,000,000.00

x Jun13 Factor

1.0000000000

✓ actual current factor

= current face \$

7,000,000.00

Principal value \$

1,400,000.00

+ 16 days accrued \$

3,773.78

= total funds \$ 1,403,773.78

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight?

NOTES:

issued: 11/16/05

mature: 12/25/35

Collat:HOMEEQ5.16

5.69(292)94

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SN 207448 H621-34-1 03-Jul-13 21:54:42 PDT GMT-7:00

Rattshja"p LK
SVC's bokf.

Enter 1<Go> to send Ticket via MSG and VCON.
Bloomberg
ABS

as of 7/3/13

TRADE TICKET

TRADER MARK BISHOP
BUYS 32113JBV6
HOME EQT:MEZ,FLI,+
1.213% 12/25/35

PRICE 63.90000000
(63.90000000)

SETTLE 7/11/13 Thu
Accrual Period 6/25/13 - 7/24/13 Monthly
Next payment 7/25/13 (0 day delay)

Original Face \$ 7,000,000.00
x Jun13 Factor 1.0000000000 ✓ actual current factor
= current face \$ 7,000,000.00
Principal value \$ 4,473,000.00
+ 16 days accrued \$ 3,773.78 ✓ actual current coupon
= total funds \$ 4,476,773.78

NOTES:

TRACE ELIGIBLE
Text Highlight?

issued: 11/16/05
mature: 12/25/35
Collat:HOMEEQ5.16
5.69(292)94

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%
Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 43 69 9204 1210 Hong Kong 892 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000
Copyright 2013 Bloomberg Finance L.P.
H621-534-1 04-Jul-13 15:41:47 PDT GNT-7:00

F'LC 05-3M2
P.P. - FUNDING #1

From Fund IV
Sell to Optinus

CUSIP: 32113JBV6

ISIN: US32113JBV61

SETTLEMENT: 7.11.2013

017-9000-BG724-38



get föremål:
Ingsmapp

999

Enter 16Go to send ticket via MSG and VCM.

Bloomberg
ABS**TRADE TICKET**

as of 7/13/13

TRADER

THOMAS BISHOP

orig face

FIRST NLC TRUST

BUYS

7,900,000

FNLC 2005-3 M2**32113JBV6**HOME EQT:MEZ,FLT,+
1.213% 12/25/35**PRICE**

63,900,000.00

(63,900,000.00)

SETTLE

7/11/13 Thu

Accrual Period 6/25/13 - 7/24/13 Monthly
Next payment 7/25/13 (0 day delay)

Original Face \$ 7,000,000.00
 x Jun13 Factor 1.0000000000 ✓ actual current factor
 = current face \$ 7,000,000.00
 Principal value \$ 4,473,000.00
 + 16 days accrued \$ 3,773.78 ✓ actual current coupon
 = total funds \$ 4,476,773.78

TRACE ELIGIBLE
Text Highlight?**NOTES:**

issued: 11/16/05
 mature: 12/25/35
 Collat:HOMEEQ5.16
 5.69(292)94

1612E0R01M1+102R0+CAP=14% FLOORS=10.00%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
 Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000
 Copyright 2013 Bloomberg Finance L.P.
 SN 577252 H621-524-1 04-Jul-13 16:41:47 PDT GMT-7:00

36724-38
Bilaga P10

1
Bilaga P 11

*****EXCLUDE-Cycle
1851 0.8180 EX 0.000 5 2 1134
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 7/31/13
Primary Account

Page 1
0070

---- CHECKING ACCOUNTS ----

Abs Investment Group LLC

BUSINESS ANALYZED
Account No. 0070 Statement Dates 7/01/13 thru 7/31/13
Previous Balance 113,071.88 Days in the statement period 31
6 Deposits/Credits 28,219,886.30 Average Ledger 3,261,198.66
39 Checks/Debits 15,543,849.27 Average Collected 3,261,198.66
Service Charge .00
Interest Paid .00
Current Balance 12,789,108.91

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions

Date	Description	Amount
7/01	IB Transfer from D *****005 to	675,000.00
7/05	INTERNAL TRF 2013186000960	876,841.08
7/08	IB Transfer from D *****005 to	90,000.00
7/15	WIRE-IN 20131960003800	2,379,115.61
7/15	WIRE-IN 20131960003700	4,476,773.78
7/26	WIRE-IN 20132070001900	19,722,155.83

Rattshj; c/po Uk

Bilaga P12

Enter 1660 to send Ticket via MSG and VCON.

Bloomberg
ABS

TRADE TICKET

as of 7/3/13

TRADER

CLOSE BROTHERS

orig face FIRST HILL TRUST

BUYS

7,000,000

FNLC 2005-3 M2

321113JBV6

HOME EQT:MEZ,FLT.+

1.213% 12/25/35

PRICE

71.00

(71.00000000)

SETTLE

7/11/13 Thu

Accrual Period 6/25/13 - 7/24/13 Monthly

Next payment 7/25/13 (0 day delay)

Original Face

\$ 7,000,000.00

x Jun13 Factor

1.0000000000

= current face

\$ 7,000,000.00

Principal value

\$ 4,970,000.00

+ 16 days accrued

\$ 3,773.78

= total funds

\$ 4,973,773.78

✓ actual current factor

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 11/16/05

mature: 12/25/35

Collat:HOMEEQ5.16

5.69(292)94

(1xLIBOR01M)+102BP, CAP= 14% FLOOR= 0.68%

Australia 61 2 9777 8800 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 5212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

GMT-7:00 03-Jul-13 21:57:37 PDT

Bilaga P13

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
5 US32113JBV61	Eingang	FIRST NLC TRUST 2005-3 DL-FLR NOTES 2005(35) CL.M-2	7 000 000 USD	2013-07-10	ABS Investment Group, acc. 1360-4701, DTC 0075
6 US32113JBV61	Ausgang	FIRST NLC TRUST 2005-3 DL-FLR NOTES 2005(35) CL.M-2	1 000 000 USD	2013-08-22	ABS Investment Group, acc. 1360-4701, DTC 0075

Info	Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.
Info	Unser Depotkunde Solid hat die Stücke OTC von Optimus Fonder AB gekauft, und im Anschluss frei von Zahlung an ABS Investment Group übertragen.



Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf										
OTC Käufer						OTC Verkäufer				
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day
Optimus Fonder AB (Anlage 3.1)	7 000 000 USD	4 978 744 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-07-10	2013-07-12	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	4 966 773,78 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-07-10	2013-07-12
Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	1 000 000 USD	710 504,18 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-08-08	2013-08-09	Optimus Fonder AB (Anlage 3.1)	709 794,18 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-08-08	2013-08-09

07-08/2013

TA No.	TransType	Ref-TA No.	TradeDate	TradeTime	Portfolio	ISIN	Instrument	B/S	Quantity	TradePrice/C	GrossAmount/C	DebitCommission/C	NetAmount/C	TradeType	Code	Trade	Settlement	
8671123	N	0	20130808	100000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	-1,000,000	71.00	-710,000.00	0.00	0.71	-710,594.18	USD	1.33	PIERSONA	SOJ01
8671114	N	0	20130808	100000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	1,000,000	71.00	710,000.00	710.00	0.71	-709,794.18	USD	1.33	PIERSONA	TRAC03
8671100	C	8671076	20130808	100000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	-1,000,000	71.00	-710,000.00	-710.00	0.71	-709,683.75	USD	1.33	PIERSONA	TRAC03
8671076	N	0	20130808	100000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	1,000,000	71.00	710,000.00	710.00	0.71	-709,683.75	USD	1.33	PIERSONA	TRAC03
8432018	N	0	20130710	144447	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	7,000,000	71.00	4,970,000.00	-7,000.00	0.71	-4,966,773.78	USD	1.28	PIERSONA	SOJ01
8432012	C	8430650	20130710	110000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	-7,000,000	71.00	-4,970,000.00	-7,000.00	0.71	-4,966,773.78	USD	1.28	PIERSONA	SOJ01
8431992	N	0	20130710	144046	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	-7,000,000	71.00	-4,970,000.00	-4,970.00	0.71	-4,976,743.78	USD	1.28	PIERSONA	TRAC03
8431981	C	8430668	20130710	110000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	7,000,000	71.00	4,970,000.00	-4,970.00	0.71	-4,977,686.00	USD	1.28	PIERSONA	TRAC03
8430668	N	0	20130710	110000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	-7,000,000	71.00	-4,970,000.00	-4,970.00	0.71	-4,977,686.00	USD	1.28	PIERSONA	TRAC03
8430650	N	0	20130710	110000	Tub ISE	US321113BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CLM-2	S	7,000,000	71.00	4,970,000.00	7,000.00	0.71	-4,966,773.78	USD	1.28	PIERSONA	SOJ01

Enter 1000 to send ticket via MSG and VSIM

Bloomberg **TRADE TICKET** as of **7/10/13**

TRADER **OPTIMUS FINDER AB** **32113 BVC**

BUYS **7,000,000 FNLC 2005-3 M2** **HOME EQT:MEZ.FLT.+**
 1.213% 12/25/35

PRICE **71.00** assuming **CPR= 6.0** **YTM = 5.713%**
 (71.0000000)

SETTLE **7/11/13 Thu** **Accrual Period 6/25/13 - 7/24/13 Monthly**
CALL Date 7/25/13 Px 100 **Next payment 7/25/13 (0 day delay)**

1st Idx 0.19528 LIBOR01M 0.19528

Original Face	\$	7,000,000.00	
x Jun13 Factor		1.000000000	✓ actual current factor
= current face	\$	7,000,000.00	
Principal value	\$	4,970,000.00	
+ 16 days accrued	\$	3,773.78	✓ actual current coupon
= total funds	\$	4,973,773.78	

NOTES :

TRACE ELIGIBLE
 Text Highlight? ☒

Issued: 11/16/05
 mature: 12/25/35
 Collat: HOME EQS.16
 5.66(292)94

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

Australia 61 2 9277 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9304 1210 Hong Kong 852 2577 6000
 Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
 6H 109008 CEST GMT+2:00 6505-3889-0 10-Jul-2013 10:38 27

SV: Trade Confirmation GSAA 2006-6 AF7 & FNLC 2005-3 M2
From: Ulf Deckmark [ulf.deckmark@optimusfonder.se]
To: 'Carsten Pfersdorf'
Date: Wed, Jul 10, 2013 10:46 AM WT

Hi Carsten,

I confirme the trade.

Best regards

Ulf

Ulf Deckmark, VD
Optimus Fonder AB

Från: Carsten Pfersdorf [mailto:Carsten.Pfersdorf@cbseydler.com]
Skickat: den 10 juli 2013 10:41
Till: 'Ulf Deckmark'
Ämne: Trade Confirmation GSAA 2006-6 AF7 & FNLC 2005-3 M2

Hello Ulf,

please confirm the two trade attached.

Trade day will be today, settlement day tomorrow.

We will charge 10bps as usual.

Thanks
Carsten

Mit freundlichen Grüßen / With best regards

Carsten Pfersdorf
Sales Trading

We believe in German Mittelstand

- **Winner 2012 Best German SME House – Capital Markets Daily Portal**
- **Top Stock Picker 2012 – Thomson Reuters Starmine Ranking in sector machinery (pan-European)**
- **Best Bookrunner Mittelstandsanleihen 2011 – Bond Magazine**
- **Platz 1 Going Public League Table 2011 für Emissionen von Mittelstandsanleihen nach Anzahl und Volumen**
- **Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX**
- **Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland**

Close Brothers Seydler Bank AG
Registergericht: Frankfurt am Main
Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507
Aufsichtsrat: Jonathan Howell (Vorsitzender)
Vorstand: René Parmantier, Holger Gröber

Schillerstr. 27-29

Enter IPG or IS to send Ticket via MSG and VCON.

Bloomberg **TRADE TICKET** as of **7/3/13**
ABX

TRADER **CLOSE BROTHERS** **32113JBV6**
 orig face FIRST HLD TRUST HOME EQT:MEZ,FLT,+

BUYS **7,000,000** **FNLC 2005-3 M2** **1.213% 12/25/35**

PRICE **71-00**
 (71.0000000)

SETTLE **7/11/13** Thu **Accrual Period 6/25/13 - 7/24/13 Monthly**
Next payment 7/25/13 (0 day delay)

Original Face	\$	7,000,000.00	
x Jun13 Factor		1.0000000000	✓ actual current factor
= current face	\$	7,000,000.00	
Principal value	\$	4,970,000.00	
+ 16 days accrued	\$	3,773.78	✓ actual current coupon
= total funds	\$	4,973,773.78	

NOTES:

TRACE ELIGIBLE
 Text HighLight? ☒

issued: 11/16/05
 mature: 12/25/35
 Collat:HOMEEQ5.16
 5.69(292)94

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7350 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
 Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 312 318 2000 Copyright 2013 Bloomberg Finance L.P.
 SN 207448 H621-34-1 03-Jul-13 21:57:37 PDT GMT-7:00

Bonds...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Tue, Jul 9, 2013 9:56 PM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D.png \(4.4 KB\)](#)  [Trade Ticket - Close Brothers to Optimus\[1\].pdf \(45.7 KB\)](#)  [Trade Ticket - FNLC - Close Brothers to Optimus\[1\].pdf \(45.3 KB\)](#)

Dear Carsten,

Here is the trade tickets for the sale of the two bonds that should arrive tomorrow. Settlement is Thursday.

Best Regards,
E-A Ingmanson



Hammarby Kaj 16
120 30 Stockholm, Sweden

+467-329 399 99

+356-999 380 99

www.solidequity.se
eai@solidequity.se

6

TradeDate	TradeTime	Portfolio	ISIN	Instrument	B/S	Quantity	TradePriceCNY	GrossAmountCNY	CommissionCNY	NetPriceCNY	NetAmountCNY	TrdCcy	CovRate	Trader	SettleInstrct
20130808	100000	Tu@ 15E	US321133BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CI M-2	S	-1.000.000	71,00	-710.000,00	0,00	0,71	-710.504,16	USD	1,33	PFERSOCA	SOLJ01
20130808	100000	Tu@ 15E	US321133BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CI M-2	B	1.000.000	71,00	710.000,00	-710,00	0,71	-709.794,18	USD	1,33	PFERSOCA	TRAC03
20130808	100000	Tu@ 15E	US321133BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CI M-2	S	-1.000.000	71,00	-710.000,00	-710,00	0,71	-709.683,75	USD	1,33	PFERSOCA	TRAC03
20130808	100000	Tu@ 15E	US321133BV61	First NLC Trust 2005-3 DL-FLR Notes 2005(35) CI M-2	B	1.000.000	71,00	710.000,00	-710,00	0,71	-709.683,75	USD	1,33	PFERSOCA	TRAC03

Lieferung 1Mio Solid 900728 FoP

From: Trade Operations Mailbox

To: stefan.hille@bnpparibas.com

Cc: Trade Operations Mailbox

Date: Tue, Aug 20, 2013 12:13 PM WT

Attachments:  [ABS delivery instructions.pdf \(178.9 KB\)](#)

Hallo Herr Hille,

könnten sie bitte die Million nochmals auf die Reise schicken und ggf, die Lieferinstruktionen nochmals prüfen.

Vielen Dank

Mit freundlichen Grüßen

Dana Benz-Fischer
Trade Operations

We believe in German Mittelstand

- **Top 10 Brokers Europe – Wall Street Journal Europe 2013**
- **Winner 2012 Best German SME House – Capital Markets Daily Portal**
- **Best Bookrunner Mittelstandsanleihen 2011 und 2012 – BOND MAGAZINE**
- **Top Stock Picker 2012 – Thomson Reuters Starmine Ranking in sector machinery (pan-European)**
- **Platz 1 Going Public League Table 2011 für Emission von Mittelstandsanleihen nach Anzahl und Volumen**
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- **Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland**

Close Brothers Seydler Bank AG

Schillerstrasse 27 - 29
60313 Frankfurt am Main

Tel: +49 (0) 69 / 92054-308

Fax: +49 (0) 69 / 92054-915

e-mail: dana.benz-fischer@cbseydler.com

Registergericht: Frankfurt am Main

Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507

Aufsichtsrat: Jonathan Howell (Vorsitzender)

Vorstand: René Parmantier, Holger Gröber

<http://www.cbseydler.com>

Emil -

[Regarding bond: FNLC 2005-3 M2, cusip 32113JBV6, ISIN US32113JBV61, original face USD 1.000.000]

Please ask Close Brothers Seydler Bank to attempt delivery again. To confirm, you are delivering the subject bond to our account at LPL Financial. Again, it is DTC # 0075, and account # 1360-4701. Please also make a note on the delivery instructions to deliver the subject bond "FREE OF PAYMENT - FREE DELIVERY".

Aaron Hodgdon at LPL Financial is aware that you will make delivery. His contact information is aaron.hodgdon@lpl.com, +1-855-575-4678.

Let me know if there is any difficulty after the next attempt of delivery.

Thank you.

Regards,

Mark Bishop
+1 949 678 9574

+46 709 933 538

----- Forwarded message -----

From: **Emil Amir Ingmanson** <amir77@me.com>
Date: Tue, Aug 20, 2013 at 11:13 AM
Subject: Fwd: ABS delivery instructions.pdf
To: Mark Bishop <mailto:bishop@gmail.com>

What can we do?

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

Från: Markus Laifle <Markus.Laifle@cbseydler.com>
Datum: 20 augusti 2013 10:01:55 GMT+01:00
Till: "eai@solidequity.se" <eai@solidequity.se>
Kopia: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>, Stefan Krewinkel <Stefan.Krewinkel@cbseydler.com>, Angela Leser <Angela.Leser@cbseydler.com>
Ämne: FW: ABS delivery instructions.pdf

Hello Emil,

ABS refused to take the bond in we tried to delivery.

Can you please check and let us know what to do?

Thank you and best regards

Markus

rom: Carsten Pfersdorf
Sent: Dienstag, 13. August 2013 15:25
To: Trade Operations Mailbox
Subject: FW: ABS delivery instructions.pdf

Hallo zusammen,

anbei die Instruktionen für die 1mIn US bond aus Emils BNP depot.

Gruss
Carsten

From: Emil Ingmanson [mailto:eai@solidequity.se]
Sent: Freitag, 9. August 2013 06:52
To: Carsten Pfersdorf
Subject: ABS delivery instructions.pdf

Carsten -

Please find attached the delivery instructions for ABS INVESTMENT GROUP.

Deliver to LPL Financial, DTC #0075, Account No. 1360-4701, Account Name "ABS INVESTMENT GROUP, LLC". Make sure to add a notation, "Free Delivery".

Best Regards,

E-A Ingmanson

Sent from my iPhone

Mark Bishop
+1 949 678 9574
+46 709 933 538

**DELIVERY INSTRUCTIONS FOR ABSIG LLC
d.b.a. ABS INVESTMENT GROUP, LLC**

Effective as of: January 2013

DTC ELIGIBLE SECURITIES

LPL Financial
DTC# 0075
Account #1360-4701
Account name: ABS Investment Group, LLC

PHYSICAL SECURITIES

LPL Financial
Attention: Custody Department
9785 Towne Centre Drive
San Diego, California 92121
Further Credit: ABS Investment Group, LLC
Account #1360-4701

WIRE TRANSFERS - INTERNATIONAL

Swift Code: WFBIUS6S
Beneficiary Bank Name: Wells Fargo Bank
Beneficiary Bank Address: San Francisco, CA USA
Beneficiary Acct #: 122243334
Beneficiary Name: Commerce West Bank
Further Credit: ABS Investment Group, LLC
Acct #92200070
(Wire description)

WIRE TRANSFERS - DOMESTIC

Commerce West Bank
2111 Business Center Drive
Irvine, California 92612
ABA #122 243 334
Account #92200070
Account name: ABS Investment Group, LLC
(Wire description)

FOREIGN DELIVERY

EUROCLEAR# 93999
(Merrill Lynch)

TAX ID

26-2119490

CONFIRM MAILING ADDRESS

ABS Investment Group, LLC
Attn: Mark Bishop
707 Broadway, 18th Floor
San Diego, California 92101
[mbishop@absig.com]
[+1 949 678 9574]



Enter 1862 to send Ticket via MSG and TOTAL

Bloomberg **TRADE TICKET** as of **8/ 8/13**

TRADER **OPTIMUS HIGH YIELD** **32113JBV6**

SELLS **1,000,000 FNLC 2005-3 M2** **HOME EQT:MEZ.FLT.+** **1.21003 12/25/35**

PRICE **71.00** **assuming CPR= 8.0** **YTM = 6.423**

SETTLE **8/ 9/13 Fri** **Actual Period 7/25/13 - 8/25/13 Monthly**

CALL? Date 8/25/13 Px 100 **Next payment 8/25/13 (0 day delay)**

1st Lx G 18593 LIBOR01M 0.18593

Original Face	\$	1,000,000.00	
x Full Factor		1.000000000	✓ actual current factor
= current face	\$	1,000,000.00	
Principal value	\$	710,000.00	
+ 15 days accrued	\$	504.18	✓ actual current coupon
= total funds	\$	710,504.18	

NOTES:

TRACE ELIGIBLE
Text Highlight? ☒

issued: 11/16/05
mature: 12/25/35
Collat: HOME EQ 5.16
5.69(291)95

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

Australia 61 2 9777 8600 Brazil 5511 3040 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1310 Hong Kong 852 2377 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 313 2000 Copyright 2013 Bloomberg Finance L.P.
SM 105009 CEST GMT+2:00 0436-2328-0 03-Aug-2013 08:49:13

Confirm trade Optimus High Yield

From: Jan Eriksson [jan.eriksson@optimusfonder.se]

To: Carsten.Pfersdorf@cbseydler.com

Date: Thu, Aug 8, 2013 9:57 AM WT

God morning,

We confirm the trade

Regards

Jan Eriksson

pls confirm your sell of 1mln nom **US32113JBV61** as in the trade attached.

Regards

Carsten

Markus Keßler

From: Markus Laifle
Sent: Dienstag, 20. August 2013 11:59
To: Trade Operations Mailbox
Subject: FW: ABS delivery instructions.pdf
Attachments: ABS delivery instructions.pdf

Dana, passt das dann ?

Gruß
Markus

From: Mark Bishop [<mailto:mailtobishop@gmail.com>]
Sent: Dienstag, 20. August 2013 11:49
To: Emil Amir Ingmanson; emil
Cc: Markus Laifle; aaron.hodgdon@lpl.com
Subject: Fwd: ABS delivery instructions.pdf

Emil -

[Regarding bond: FNLC 2005-3 M2, cusip 32113JBV6, ISIN US32113JBV61, original face USD 1.000.000]

Please ask Close Brothers Seydler Bank to attempt delivery again. To confirm, you are delivering the subject bond to our account at LPL Financial. Again, it is DTC # 0075, and account # 1360-4701. Please also make a note on the delivery instructions to deliver the subject bond "FREE OF PAYMENT - FREE DELIVERY".

Aaron Hodgdon at LPL Financial is aware that you will make delivery. His contact information is aaron.hodgdon@lpl.com, +1-855-575-4678.

Let me know if there is any difficulty after the next attempt of delivery.

Thank you.

Regards,

Mark Bishop
+1 949 678 9574
+46 709 933 538

----- Forwarded message -----

From: Emil Amir Ingmanson <amir77@me.com>
Date: Tue, Aug 20, 2013 at 11:13 AM
Subject: Fwd: ABS delivery instructions.pdf
To: Mark Bishop <mailtobishop@gmail.com>

What can we do?

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

Från: Markus Laifle <Markus.Laifle@cbseydler.com>
Datum: 20 augusti 2013 10:01:55 GMT+01:00
Till: "eai@solidequity.se" <eai@solidequity.se>
Kopia: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>, Stefan Krewinkel <Stefan.Krewinkel@cbseydler.com>, Angela Leser <Angela.Leser@cbseydler.com>
Ämne: FW: ABS delivery instructions.pdf

Hello Emil,

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Can you please check and let us know what to do?

Thank you and best regards

Markus

rom: Carsten Pfersdorf
Sent: Dienstag, 13. August 2013 15:25
To: Trade Operations Mailbox
Subject: FW: ABS delivery instructions.pdf

Hallo zusammen,

anbei die Instruktionen für die 1mln US bond aus Emils BNP depot.

Gruss

Carsten

From: Emil Ingmanson [mailto:eai@solidequity.se]
Sent: Freitag, 9. August 2013 06:52

To: Carsten Pfersdorf
Subject: ABS delivery instructions.pdf

Carsten -

Please find attached the delivery instructions for ABS INVESTMENT GROUP.

Deliver to LPL Financial, DTC #0075, Account No. 1360-4701, Account Name "ABS INVESTMENT GROUP, LLC". Make sure to add a notation, "Free Delivery".

Best Regards,

E-A Ingmanson

Sent from my iPhone

--
Mark Bishop
+1 949 678 9574
+46 709 933 538

settlement confirm

20130712195019W00 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9571 148672
2:O 545 1349 130712 BBHCUS33AXXX 0683 884468 130712 1950 N
3:108:SWFT0SW419332876
4::16R:GENL
:20C::SEME//121321409600000
:23G:NEWM
:98A::PREP//20130712
:16R:LINK
:20C::RELA//2218217120130712
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130712
:98A::TRAD//20130710
:98A::ESET//20130712
:90A::DEAL//PRCT/71,
:35B:ISIN US32113JBV61
FNLC 2005-3 M2 V/R 12/25/35
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/7000000,
:36B::ESTT//AMOR/7000000,
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::SELL//CEDELULL
:16S:SETPRTY
:16R:SETPRTY
:95R::DEAG/DTCYID/00000908
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:20C::PROC//00207FEC
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD4966773,78
:16S:AMT
:16S:SETDET
5:CHK:2AE928D332E9

settlement confirm

20130712195020W00 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9571 148673
2:O 547 1349 130712 BBHCUS33AXXX 0683 884511 130712 1950 N
3:108:SWFT0SW419332919
4::16R:GENL
:20C::SEME//121321407800000
:23G:NEWM
:98A::PREP//20130712
:16R:LINK
:20C::RELA//3982097220130712
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130712
:98A::TRAD//20130710
:98A::ESET//20130712
:90A::DEAL//PRCT/71,
:35B:ISIN US32113JBV61
FNLC 2005-3 M2 V/R 12/25/35
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/70000000,
:36B::ESTT//AMOR/70000000,
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::BUYR//CHASGB2LXXX
:16S:SETPRTY
:16R:SETPRTY
:95R::REAG/DTCYID/000000902
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD4978743,78
:16S:AMT
:16S:SETDET
5:CHK:67525C94BDBB

Bilaga P17



Swedbank trade instructions
Version 3.20

Information to Swedbank

Traction

2013-07-11 14:02:05

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Settlement account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Deal Amount currency	Settlement Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent	Counterparty safe	Place of settlement	Event code	Status	Client ID
1	NEWM	B	901901350628	33032	20130710	20130712	SE0005188521	80 000 000	0.995	79 630 716.64 SEK	79 630 779.00 SEK	SWEDSESS	SWEDSESS		VFCSSESS	Verified	Verified	Traction
2	NEWM	B	901901350628	33033	20130710	20130712	SE0005308418	60 000 000	0.996	59 750 842.32 SEK	59 750 842.00 SEK	SWEDSESS	SWEDSESS		VFCSSESS	Verified	Verified	Traction
3	NEWM	B	901901350628	33034	20130710	20130715	XSD456513711	2 000 000	0.993	1 985 000.00 USD	1 993 541.67 USD	43743	43743		MGTCEBE	Verified	Verified	Traction
4	NEWM	B	901901350628	33035	20130710	20130712	US32118V61	7 000 000	0.710	4 970 000.00 USD	4 978 743.78 USD	BBHCUSS3	0100		DTCUS33	Verified	Verified	Traction
5	NEWM	B	901901350628	33036	20130710	20130712	US36234N7248	4 321 000	0.610	2 635 310.00 USD	2 645 332.42 USD	BBHCUSS3	0010		DTCUS33	Verified	Verified	Traction



Close Brothers Seydler Bank AG
Schillerstraße 27-29
60313 Frankfurt am Main

TRADE CONFIRMATION

Date: 10/07/13

Client: Optimus Fonder AB
Confirmation Text:
Reference No.: 235658

We confirm your Buy

Security Name:	FIRST NLC TRUST 2005-3 DL-FLR CERTS 2005(35) CL.M-2		
ISIN:	US32113JBV61		
Currency:	USD		
Nominal:	7,000,000		
Trade Price:	USD 71.00000000	Principal Amount:	USD 4,970,000.00
Commission:	USD 4,970.00	Interest Days:	16
Local Tax:		Accrued Amount:	USD 3,773.78
Net Price:	USD 71.12491100		
Net Amount:	USD 4,978,743.78		
Exchange:			
Trade Day:	10/07/13		
Value Day:	12/07/13		
Trade Time:	14:42		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 Inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

settlement confirm

20130816190345W01 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9608 946047
2:O 547 1303 130816 BBHCUS33AXXX 0689 254233 130816 1903 N
3:108:SWFT0SW422831586
4::16R:GENL
:20C::SEME//121482062200000
:23G:NEWM
:98A::PREP//20130816
:16R:LINK
:20C::RELA//2559206720130809
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130809
:98A::TRAD//20130808
:98A::ESET//20130816
:90A::DEAL//PRCT/71,
:35B:ISIN US32113JBV61
FNLC 2005-3 M2 V/R 12/25/35
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/1000000,
:36B::ESTT//AMOR/1000000,
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::BUYR//CEDELULL
:16S:SETPRTY
:16R:SETPRTY
:95R::REAG/DTCYID/00000908
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD710504,18
:16S:AMT
:16S:SETDET
5:CHK:9EB27FD9C6EF

Bilaga P20

Swedbank trade instructions													
Version 3.20													
Verify/Approve instructions													
Traction													
2013-08-09 14:11:14													
Information to Swedbank													
Row ID	Type of message	Instruction type	Settlement account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent
1	NEWN	5	901901350628	33274	20130808	20130809	US321118V61	1000000	0,71000000	710000,00 USD	710504,18 USD	8804CL533	0010
2	NEWN	6	901901350628	33275	20130808	20130813	X0651669447	1500000	0,92500000	1387500,00 EUR	1414125,00 EUR	43743	43743
										Place of settlement	Event code	Status	Client ID
										DTCUG533		Verified	Traction
										IMGTCEBE		Verified	Traction

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
 GBS

TRADE TICKET

as of 8/6/13

TRADER

OPTIMUS HIGH YIELD

FIRST ILC TRUST

321113JBVG

orig face

FIRST ILC TRUST

321113JBVG

HOME EQT:MEZ,FLT,+

BUYS

1,000,000

FNLC 2005-3 M2

1.21003% 12/25/35

DM = +615.3 BP 7.03 Aug L16

10417- 5/24 Principal

PRICE

71-00

assuming

CPR= 8.0

YTM = 6.423%

(71.00000000)

SETTLE

8/9/13 Fri

Accrual Period 7/25/13 - 8/25/13 Monthly

CALL? Date 8/25/13 Px 100

Next payment 8/26/13 (0 day delay)

1st Idx 0.18593 LIBOR01M 0.18593

Original Face

\$ 1,000,000.00

x Jul13 Factor

1.0000000000

✓ actual current factor

= current face

\$ 1,000,000.00

Principal value

\$ 710,000.00

+ 15 days accrued

\$ 504.18

✓ actual current coupon

= total funds

\$ 710,504.18

TRACE ELIGIBLE

 Text Highlight? ☒
NOTES:

issued: 11/16/05

mature: 12/25/35

Collat:HOMEEQ5.16

5.69(291)95

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8200 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

3H 315420 H621-403-1 04-Aug-13 20:56:20 FGT GMT-7:00

Enter 1<Go> to send Ticket via MSG and VCOM.

Bloomberg
ABS

TRADE TICKET

as of 8/4/13

TRADER

ABS INVESTMENT GROUP

orig face FIRST HLC TRUST

321113JBV6

HOME EQT:MEZ,FLT,+

BOYS

1,000,000

FNLC 2005-3 M2

1.21003% 12/25/35

DM = +726.8 BP 7.04 Avg Life

PRICE 63,900,000
(63,900,000)

assuming CPR= 8.0

YTM = 8.086%

10.17- 9.24 Principal

SETTLE

8/6/13 Tue

Accrual Period 7/25/13 - 8/25/13 Monthly

CALL? Date 8/25/13 Px 100

Next payment 8/26/13 (0 day delay)

1st Idx 0.18593 LIBOR01M 0.18593

Original Face

\$ 1,000,000.00

✓ actual current factor

x Jul13 Factor

\$ 1,000,000.00

= current face

\$ 1,000,000.00

Principal value

\$ 639,000.00

+ 12 days accrued

\$ 403.34

= total funds

\$ 639,403.34

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 11/16/05

mature: 12/25/35

Collat:HOMEEQ5.16

5.69(291)95

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

Australia 61 2 9777 8500 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 65 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8500 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SH 315420 H621-403-1 04-Aug-13 3053350 FCT GMT-7:00

GRAB

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
ABS

TRADE TICKET

as of 8/22/13

TRADER

AARON HODGDON

orig face

FIRST NLC TRUST

321113JBV6

HOME EQT:MEZ,FLT,+

SELLS

1,000,000

FNLC

2005-3 M2

1.20406% 12/25/35

PRICE

21-00

assuming

PPS= S3

YTM = 13.887%

DM = +1331.7 BP

15.31 Avg Life

12/23- 2/33 Principal

SETTLE

8/27/13 Tue

Accrual Period

8/26/13 - 9/24/13 Monthly

Next payment

CALL Date 8/25/13 Px 100

(0 day delay)

1st Idx 0.18593 LIBOR01M 0.18593

Original Face

\$ 1,000,000.00

x Jul13 Factor

1.0000000000

= current face

\$ 1,000,000.00

Principal value

\$ 210,000.00

+ 1 days accrued

\$ 33.45

= total funds

\$ 210,033.45

! ASSUMED (actual Aug13 factor unknown)

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight? Y

NOTES:

(1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

issued: 11/16/05

mature: 12/25/35

Collat:HOMEEQS.16

5.69(291)95

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P. SN 756104 C990-1530-1 22-Aug-13 15:34:48 EDT GMT-4:00

B6721-3 Bilaga P23

Account Activity as of August 31, 2013

SECURITIES SOLD

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
08/22/13	Sale	FIRST NLC TR REMIC SER 2005-3 CL M2 MONTHLY 0 DAY DELAY VAR CPN 1.213% DUE 12/25/35 DTD 11/16/05 FC 11/25/05 32113JBV6	-1,000,000	\$21.00	\$209,983.45
TOTAL SECURITIES SOLD					\$209,983.45

ADDITIONS TO YOUR ACCOUNT

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
08/02/13	Wire Transfer	FED FUNDS/ COMMERCEWEST	—	—	\$2,000,000.00
08/06/13	Wire Transfer	FED FUNDS/COMMERCEWEST	—	—	2,400,000.00
08/19/13	Wire Transfer	FED FUNDS/COMMERCEWEST	—	—	3,945,000.00
08/21/13	Transfer A/C	FIRST NLC TR REMIC SER 2005-3 CL M2 MONTHLY 0 DAY DELAY VAR CPN 1.213% DUE 12/25/35 DTD 11/16/05 FC 11/25/05 32113JBV6	1,000,000	—	—
TOTAL ADDITIONS TO YOUR ACCOUNT					\$8,345,000.00

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar				Värdepapper
Datum	Text	Belopp	Saldo	KOMMENTAR
2013-07-12	Försäljning värdepapper	4 966 773,78	7 607 088,42	SVC säljer till Close Brothers/Optimus FNLC
2013-07-15	Överföring	-4 476 773,78	3 130 314,64	SVC köper från ABS Investment Group FNLC
2013-08-08	Överföring	639 403,34	690 635,39	SVC säljer tillbaka till ABS I G FNLC
2013-08-08	Inköp värdepapper	-710 504,18	5 131,21	SVC köper tillbaka från Optimus FNLC

Swedbank trade instructions

Version 3.20



Information to Swedbank
Traction

2014-08-19 12:33:30

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Sale/rep account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent	Counterparty safe	Place of settlement	Event code	Status	Client ID
1	NEWM	S	901901350628	35681	20140818	20140821	US06740J8C27	1000000	1.11650000	1116500.00 USD	1135562.50 USD	11224	DT0443	11224	MGT08BEE		Verified	Traction
2	NEWM	S	901901350628	35682	20140818	20140821	US252525A464	5697265	0.10375000	591091.31 USD	606497.37 USD	DT0443	DT0443	DT0443	DTCTU533		Verified	Traction
3	NEWM	S	901901350628	35684	20140818	20140821	US61746RD576	2006538	0.23500000	471536.44 USD	480234.79 USD	DT0443	DT0443	DT0443	DTCTU533		Verified	Traction
4	NEWM	S	901901350628	35685	20140818	20140821	NO0010692355	10000000	0.99000000	9900000.00 NOK	9937000.00 NOK	DABANO22	DABANO22	DABANO22	VPSNNOKK		Verified	Traction
5	NEWM	S	901901350628	35686	20140818	20140821	US321138V61	6000000	0.27000000	1620000.00 USD	1675287.50 USD	DT0443	DT0443	DT0443	DTCTU533		Verified	Traction

Robert Ekström

From: Robert Batista <robert.batista@aurigaussallc.com>
Sent: den 18 augusti 2014 17:32
To: optimus.backoffice
Cc: funds@cc.com.mt; info@optimusfonder.se
Subject: ONE TRADE DONE TODAY
Attachments: New Auriga Del Instructions.pdf

BOUGH FROM YOU;

TRADER: ALEX HENDRICKSON CUSIP: 32113JBV6
 BUYS: 6,000,000 of FNLC 2005-3 M2 1.175% 12/25/35
 PRICE: 27.0000000 assuming CPR= 10.0 YTM: 25.534 AL= 6.37YR
 SETTLE: 08/21/14 [Thu] Accrual Pd: 07/25/14 - 08/24/14 Monthly

Next Payment: 08/25/14 (0 day delay)

*Formula: (1xLIBOR01M)+102BP. CAP= 14% FLOOR= 0.68%

PRINCIPAL VAL \$ 1,620,000.00

+ 27 Days ACC \$ 5,287.50 ✓ actual current coupon

= TOTAL FUNDS \$ 1,625,287.50 **

35685

Report Name: Settled Trades - Optimus HY
 From Date: 01-Jan-2013 To Date: 31-Dec-2014
 Run ID: D-466-S12-332

Account Name	Account Number	Security ID	ISIN	Security Name	Units	Net Amount	Trade Date	Actual Settlement Date
SWEDBANK RE: OPTIMUS HIGH YIELD	60485	30806EB	US32113UBV61	FIRST NLC TRUST 2005-3 M2 FLOATING 25/DEC/2035	7 000 000.00000000	(4 578 743.78)	10-Jul-2013	12-Jul-2013
SWEDBANK RE: OPTIMUS HIGH YIELD	60485	30806EB	US32113UBV61	FIRST NLC TRUST 2005-3 M2 FLOATING 25/DEC/2035	1 000 000.00000000	709 794.18	08-Aug-2013	16-Aug-2013
SWEDBANK RE: OPTIMUS HIGH YIELD	60485	30806EB	US32113UBV61	FIRST NLC TRUST 2005-3 M2 FLOATING 25/DEC/2035	6 000 000.00000000	1 625 287.50	18-Aug-2014	21-Aug-2014

B6724-38

7/1/13 6:33 AM
Bilaga P29**[Mark Bishop <mbishop@absig.com>]**

Mon, 07/01/2013 06:32 AM

From: [Mark Bishop <mbishop@absig.com>]**To:** [rchamberlin@cwfbk.com, golf44ron@aol.com]**Cc:** [Lisa Duehring <lduehring@absig.com>, Craig Chang <cchang@absig.com>]**Subject:** DTC DELIVERY REQUEST: GSAA 2006-6 AF7, cusip 362334MZ4, orig. face \$4,321,000, and; FNLC 2005-3 M2, cusip 32113JBV6, orig. face \$7,000,000.

Ron -

We need a DTC delivery request:

Please find attached delivery instructions and contact information for the buyer of the subject bond (back-office: Stefania Ruhnke, trade operations, +49 69 92054 309, stefania.ruhnke@cbseydler.com).

We will be delivering the subject bond two (2) bonds via "DTC Free" delivery, from DTC number 0075, our LPL account number "2316-6098", account name "American RMBS Equity Fund IV", to the buyer's account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089 154 CSC63359", acct. name "Solid Venture Capital"). The settlement date is Friday, July 5, 2013. The two bonds to deliver are as follows:

**GSAA 2006-6 AF7, CUSIP 362334MZ4, ORIGINAL FACE \$4,321,000, and;
FNLC 2005-3 M2, CUSIP 32113JBV6, ORIGINAL FACE \$7,000,000.**

Please contact us if you have any questions

Best regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

BG 724-38

7/4/13 6:18 /
Bilag 9 P30**[Mark Bishop <mbishop@absig.com>]**

Wed, 07/03/2013 07:52 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [emil@solidequity.se]**Subject:** CORRECTED!!!**GSA 2006-6 AF7, ISIN US362334MZ48**
FNLC 2005-3 M2, ISIN US32113JBV61

Emil -

Sorry for the above. Use these,,, they are correct.

Thanks,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574**Subject:** Re: DTC DELIVERY REQUEST: GSA 2006-6 AF7, cusip 362334MZ4, orig. face \$4,321,000, and; FNLC 2005-3 M2, cusip 32113JBV6, orig. face \$7,000,000.]**From:** Mark Bishop <mbishop@absig.com>**Date:** Wed, 07/03/2013 07:50 PM**To:** emil@solidequity.se**GSA 2006-6 AF7, CUSIP 362334MZ4, ORIGINAL FACE \$4,321,000, ISIN**
US362334MZ48 and;
FNLC 2005-3 M2, CUSIP 32113JBV6, ORIGINAL FACE \$7,000,000, ISIN 32113JBV61.

Emil -

I put the ISIN in "red" above.

Thanks,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

On Wed, 07/03/2013 10:53 AM, Emil Amir Ingmanson <emil@solidequity.se> wrote:

Great!

Please see if you can get the ISIN codes as well.

I moved another 100KK yesterday and are moving 100KK today as well.

Everything is going according to plan now, we are going to issue a statement on both websites before lunch time today.

We have about 90% hit rate on the clients that we've called so far.

Sent from my iPhone

On 03 Jul 2013, at 10:45, "Mark Bishop" <mbishop@absig.com> wrote:

Emil -

We delivered two bonds to your account at Close Brothers on Tuesday, July 2, 2013. Hopefully you will see them in your account on Wednesday. Please notify your contact at the bank, so that they can accept them. Trade tickets, based on our verbal commitment will be sent to you Wednesday afternoon.

We will be delivering the subject two (2) bonds via "DTC Free" delivery, from DTC number 0075, our LPL account number "2316-6098", account name "American RMBS Equity Fund IV", to your account with Close Brothers Seydler Bank AG (DTC number "**0908**", acct. no. "**089 154 CSC63359**", acct. name "**Solid Venture Capital**"). The settlement date is Thursday, July 11, 2013. The two bonds that we delivered to you are as follows:

**GSAA 2006-6 AF7, CUSIP 362334MZ4, ORIGINAL FACE \$4,321,000, and;
FNLC 2005-3 M2, CUSIP 32113JBV6, ORIGINAL FACE \$7,000,000.**

Please contact us if you have any questions.

Best regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574
+46 709 933 538

[Mark Bishop <mbishop@absig.com>]

Fri, 07/05/2013 02:06 AM

From: [Mark Bishop <mbishop@absig.com>]**To:** [emil@solidequity.se]**Subject:** Revised - FNLC 2005-3 M2, CUSIP 32113JBV6, ORIGINAL FACE \$7,000,000, ISIN US32113JBV61...

Emil -

Please find attached the revised trade tickets. The trade ticket for ABS Investment Group to SVC is now at a price of 63.90%.

Regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

On Thu, 07/04/2013 07:15 AM, Mark Bishop <mbishop@absig.com> wrote:

**FNLC 2005-3 M2, CUSIP 32113JBV6, ORIGINAL FACE \$7,000,000, ISIN
US32113JBV61**

Emil -

For the FNLC bond, please find attached the trade ticket for Close Brothers to Optimus at a price of 71.00%, and the trade ticket for ABS Investment Group to SVC at a price of 66.00%.

If you have any questions, please contact me.

Regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

Gmail - Fwd: Bonds...



Fwd: Bonds...

Emil Ingmanson <eai@solidequity.se>

Wed, Jul 10, 2013 at 2:25 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Problem?

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

Från: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>

Datum: 10 juli 2013 14:12:06 CEST

Till: 'Emil Ingmanson' <eai@solidequity.se>

Ämne: RE: Bonds...

Hi Emil,

we just got feedback from custodian, unfortunately settlement tomorrow doesn't work, will take at least 2 days, probably 3 days due to US.

Will sent a new confirmation with t+2.

One more thing.

We got a price reasonable check for the bonds.

Compliance was asking as Bloomberg got some strange prices.

Is there any reason for that ?

Thanks

Carsten

[Mark Bishop <mbishop@absig.com>]

Wed, 07/10/2013 03:59 PM

From: [Mark Bishop <mbishop@absig.com>]

To: [emil@solidequity.se]

Subject: response...

Emil -

Type this response back to Carsten on your email:

Carsten -

More than often, compliance will get "strange" prices when using Bloomberg, or "BVAL". BVAL is almost never accurate with lightly traded subprime, mezzanine bonds, and typically there are large variations to trade prices in this sector.

Nevertheless, the GSAA bond (cusip 362334MZ4) is a great bond at our trade price. The current coupon is 5.738%, and our CitiGroup/ABSNet cash flow models for the bond generate a 11.22% total return at our agreed trade price. We are happy with the bond and based upon our analysis, we purchased the bond and traded it to a very satisfied investor.

Regarding the FNLC bond (cusip 32113JBV6), this too is a great bond at our trade price. While the current coupon is 1.213%, it is a floater with great upside when rates tighten again. Our CitiGroup/ABSNet cash flow models for this bond generate a 11.25% total return at our agreed trade price. Again, we are happy with the bond and based upon our analysis, we purchased the bond and traded it to an investor that wants the bond.

We are now "long" the bonds, and are obligated to sell them to our investor, who is requesting delivery.

I understand that the bonds were delivered to my account only today, so we need to add a few days for delivery. Let me know what day is appropriate and I will change the settlement date with my investor and generate new trade tickets.

Best regards,

Emil

- GSAA, BVAL @ 22.25%. 7-10-2013
ABS purchased bond @ 40.625 and marked it to 61
- FNLC, BVAL @ 16.25%. 7-10-2013
ABS purchased bond @ 47.375 and marked it to 71

=====

Mark Bishop
mbishop@absig.com
+1 949 678 9574

136724-38

Bilaga P34

07/01/2013 MON 6:30 FAX 949 251 6958 CommerceWest Bank

001/001

**Move Money
DTC Request****DTC**
 Account Number

2	3	1	6	6	0	9	8
---	---	---	---	---	---	---	---

 Rep ID

7	N	P	B
---	---	---	---

Instructions: Use this form to deliver DTC-eligible securities only from an LPL non-retirement account to a account holder account at another firm or financial institution. Note: Partial shares and Mutual funds are not DTC eligible.

Please fax the completed form to (858) 202-8335.

1. Account Information

Account Registration

American RMBS Equity Fund IV

Social Security/Tax ID Number

27-1771079

2. Required Field: Select type of Delivery
 Please select one: ☐ Gift ☒ Common Owner ☐ Death Distribution (Tax lot selection not available)
3. Cost Basis Information

Use the optional (*) Tax Lot Trade Date and Tax Lot Price fields to select specific lots. If these fields are NOT specified, LPL will deliver the securities using the default first in, first out (FIFO) method.

I hereby authorize LPL Financial LLC to act on my behalf in accordance with the following delivery instructions. Please deliver the following security(ies):

CUSIP/Symbol	Security Description	Share Quantity	Tax Lot Trade Date*	Tax Lot Price*
362334M74	GSAA 2006 7 AF7	\$4,321,000.0000		
32113JBV6	FNLC 2005-3 M2	\$7,000,000.0000		

4. Send the securities according to the Receiving Broker/Dealer information below:

DTC # (4 Digits)

0908

Account Number

089 154 CSC63359

Account Title

American RMBS Equity Fund IV

Receiving Institution Phone Number and Contact Information (additional information may be required)

Crose Brothers Seydler Bank, Stephanie Ruhnko, +49 69 92054 309

Relationship to owner: (Note: Request is subject to LPL's AML/fraud prevention policies)

Investor

5. Account Holder Acknowledgement

Account Holder Signature

Mark Bishop

Account Holder Name (print)

Date

Jay Modi

Account Holder Name (print)

Date

6. Validation of Account Holder Signature

My customer(s) is/are well known to me, and I validate that the signature(s) on the attached document is/are genuine. I agree for myself and my successors, assigns, heirs, executors, and administrators to at all times indemnify and hold harmless LPL Financial LLC (LPL) and all LPL staff and third-party providers, acting as Authorized Agents of LPL, from and against any and all claims, losses, liabilities, taxes, damages, actions, charges, and expenses, including attorney fees, resulting from your compliance with this request. LPL reserves the right to verify the authenticity of any signature.

Financial Advisor / Authorized Person Signature

Ron Chamberlin

Financial Advisor / Authorized Person Name (print)

07/01/2013

Date

LPL Financial

Member FINRA/SIPC

Page 1 of 1

F85
 Revised 1112


CURRENT INVENTORY (F IV) TO REVIEW FOR SALE TO OPTIMUS

OMNIARCH - AMERICAN RMBS EQUITY FUND IV

CURRENT BOND SUMMARY:

Purchase Date	Description	CUSIP	Original Face	Orig Price	% Inc.	Optm Projected Sale Price	% Inc.	Optm Projected Sale Price	Curr Factor (May 2013)	Curr Coupon	Optimus cash-on cash	Cash back to Omni	Cash to be paid by Optimus
3/21/10	BAC 07/01/12 1A2	05953VAL14	3,000,000.00	48.750	100%	48.750	175%	81.750	0.4155 12460	5.500%	6.72%	402,579	703,808
11/8/11	GSAA 2005-6 AF7	362334MZ4	4,321,000.00	25.000	115%	28.750	212%	81.000	1.0000000000	4.888%	7.980%	1,242,288	2,635,810
7/6/12	LSX 2007-5H 3A4	525243AV5	12,435,000.00	66.500	100%	49.500	175%	81.375	0.903382042	3.188%	3.916%	1,762,738	3,084,787
8/14/12	FNLC 2005-3 M2	321133BV6	7,000,000.00	15.825	115%	20.000	355%	71.000	1.0000000000	1.220%	1.718%	1,400,000	4,970,000
Current Net Asset Value						4,807,562		11,394,485				4,807,562	11,394,485

Description	Assumptions needed at Optimus Projected Sale Price
BAC 07/01/12 1A2	spread @ 200 CDR @ 1. Loss @ 5. to Met. Yield @ 11.75%
GSAA 2005-6 AF7	spread @ 100 CDR @ 3. Loss @ 25 to Call. Yield @ 13.92%
LSX 2007-5H 3A4	spread @ 200 CDR @ 2. Loss @ 20 to Call. Yield @ 18.27%
FNLC 2005-3 M2	spread @ 100 CDR @ 2. Loss @ 25 to Call. Yield @ 18.73%

Funding #1	Funding #2

Initial bond arb 6,588,933 Secondary bond arb 12000000 Re-pay pur dx -6000000 Profit ABS & SVC MAB arb 8,596,933

Bilaga P35

Bilaga Q till

GSAA

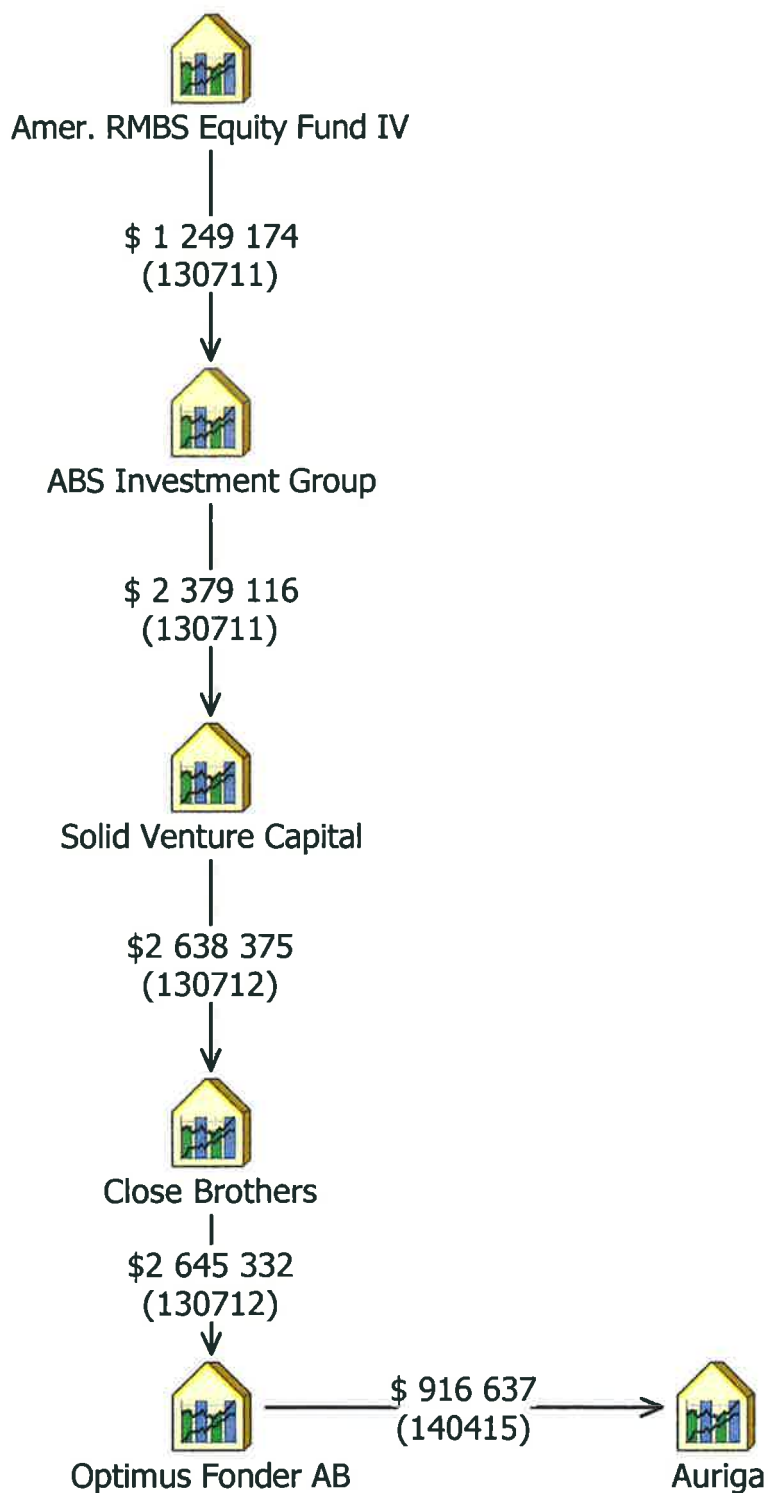
2006-6

AF7

Sid**1 Kap. 7.14 GSAA - Bilaga Q****2 Innehållsförteckning**

		<u>Från</u>	<u>Avser</u>
3	Bilaga Q 1	EBM	Chart över köp och försäljning av GSAA
4	Bilaga Q 2	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga Q 3	Mark Bishop	T T avseende Omniarch - RMBS Eq. Fund IV.s köp av GSAA.
6	Bilaga Q 4	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV.
7	Bilaga Q 5	Mark Bishop	T T avseende ABS I G.s köp av GSAA.
8	Bilaga Q 6	Bokföring SVC	T T avseende SVC.s köp av GSAA.
9	Bilaga Q 7	Close Brothers/EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers.
10	Bilaga Q 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
11	Bilaga Q 9	Bokföring SVC	T T avseende SVC.s försäljning av GSAA exkl bankavgift.
12 - 13	Bilaga Q 10	Rättshjälp Tyskland	Settlement confirm angående SVC.s försäljning.
14 - 15	Bilaga Q 11	Rättshjälp Tyskland	Sammanställning av Close Brothers köp och försäljning av GSAA.
16	Bilaga Q 12	Rättshjälp Tyskland	Settlement confirm angående Close Brothers försäljning av GSAA.
17	Bilaga Q 13	Wahlstedt	Trade confirmation avseende Optimus köp av GSAA.
18	Bilaga Q 14	Swedbank	Trade instructions avseende Optimus köp av GSAA.
19	Bilaga Q 15	Swedbank	Optimus köp och försäljning av GSAA.
20	Bilaga Q 16	Swedbank	Trade instructions avseende Optimus försäljning av GSAA.
21	Bilaga Q 17	Mark Bishop	Mail från Mark Bishop till medarbetare 2013-06-07.
22	Bilaga Q 18	Mark Bishop	Lager av MBS.er i Omniarch American RMBS Equity Fund IV.
23	Bilaga Q 19	Mark Bishop	Mail från Mark Bishop till Craig Chang 2013-06-07.

Försäljning av MBS " GSAA "



GSAA

GSAA Home Equity Trust 2006- 6 AF7

<u>Instrument</u>	<u>Antal</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Säljare</u>	<u>Köpare</u>	<u>Deal price</u>	<u>Belopp</u>	<u>Uppslupen ränta</u>	<u>Bankavgift</u>	<u>Settlement Summa</u>
US362334MZ48	4 321 000	2011-11-03	2011-11-08			0,25	\$1 080 250,00	\$4 919,89		\$1 085 169,89
US362334MZ48	4 321 000	2013-07-03	2013-07-11	Omnearch - RMBS Eq. Fund IV i.u.	Omnearch - RMBS Eq. Fund IV	0,2875	\$1 242 287,50	\$6 886,61		\$1 249 174,11
US362334MZ48	4 321 000	2013-07-03	2013-07-11	ABS I G	ABS I G	0,549	\$2 372 229,00	\$6 886,61		\$2 379 115,61
US362334MZ48	4 321 000	2013-07-10	2013-07-12	SVC, UK	SVC, UK	0,61	\$2 635 810,00	\$6 886,61	-\$4 321,00	\$2 638 375,61
US362334MZ48	4 321 000	2013-07-10	2013-07-12	Close Brothers	Close Brothers	0,61	\$2 635 810,00	\$6 886,61	\$2 635,81	\$2 645 332,42
US362334MZ48	4 321 000	2014-04-10	2014-04-15	Optimus Fonder	Optimus Fonder	0,21	\$907 410,00	\$9 226,99		\$916 636,99

AF7 omfattar \$4 321 000

Prospektet finns (266 s)

Resultat för Optimus = -\$1 728 695,43

Resultat för SVC, UK = \$259 260,00

Resultat för ABS I G = \$1 129 941,50

Resultat för SVC + ABS I G = \$1 389 201,50

Provision till Zeptunus: 107 079 kr

*** TRADE TICKET *****ABS INVESTMENT GROUP**

TRADE DATE:	03-nov-11
TRADER:	NOMURA
CUSIP:	362334MZ4
BOND NAME:	GSAA 2006-6 AF7
PRICE:	25,0000000 [25-00]
SETTLE:	08-nov-11 [TUESDAY]
DAYS ACCRUAL:	7
ACCRUAL PAID:	4 919,89
NEXT PAYMENT:	2011-12-25 [24 DAY DELAY]
SELLS:	4 321 000,00
FACTOR:	1,000000000
OFFERED FACE:	4 321 000,00
PRINCIPAL VALUE:	1 080 250,00
TOTAL FUNDS:	1 085 169,89

CURRENT INVENTORY (F IV) TO REVIEW FOR SALE TO OPTIMUS

OMNIARCH - AMERICAN RMBS EQUITY FUND IV

CURRENT BOND SUMMARY:

Purchase Date	Description	CUSIP	Original Face	Orig Price	Omni Price	% inc.	Omni Projected Sale Price	% inc.	Optimus Projected Sale Price	Curr Factor (May 2013)	Curr Coupon	Optimus cash-on-cash	Cash back to Omni	Cash to be paid by Optimus
5/2/10	BAFC 074T2 1A2	05555VAL5	2,000,000.00	146.750	146.750	100%	146.750	100%	151.750	0.4300572460	5.500%	6.726%	402,579	703,882
11/8/11	GSAA 2006-6 AF7	262334M24	4,321,000.00	25.000	100%	28.750	115%	28.750	121%	1.0000000000	4.888%	7.980%	1,242,288	2,635,810
7/8/12	LSX 2007-5H 3A4	525248AY6	12,455,000.00	46.500	100%	48.900	105%	48.900	117%	0.304362042	3.188%	3.015%	1,752,735	3,084,787
8/14/12	PNLC 2005-3 M2	321131BV6	7,000,000.00	15.625	115%	20.000	115%	20.000	355%	1.0000000000	1.220%	1.718%	1,400,000	4,970,000
Current Net Asset Value										11,394,483			4,807,552	11,394,485

Description	Assumptions needed at Optimus Projected Sale Price
BAFC 074T2 1A2	spread @ 200 COR @ 1. Loss @ 5. to Mat. Yield @ 11.75%
GSAA 2006-6 AF7	spread @ 100 COR @ 3. Loss @ 25. to Call. Yield @ 13.92%
LSX 2007-5H 3A4	spread @ 200 COR @ 2. Loss @ 20. to Call. Yield @ 16.27%
PNLC 2005-3 M2	spread @ 100 COR @ 2. Loss @ 25. to Call. Yield @ 18.73%

Funding #1
Funding #2

Initial bond arb 6,598,933
 Secondary bond arb 12000000
 Re-pay pur px -6000000
 Profit ABS & SVC MAB arb 8,586,933

**SALE TRADE TICKET****Amer. RMBS Equity Fund IV sells, and ABS Investment Group buys...**

* TRADE TICKET *		
ABS INVESTMENT GROUP		
TRADE DATE:	7/3/2013	
TRADER:	ABS Investment Group	
CUSIP:	362334MZ4	
BOND NAME:	GSAA 2006-6 AF7	
PRICE:	28.75	[28-24]
SETTLE:	7/11/2013	[THURSDAY]
BOND COUPON:	5.73751%	
DAYS ACCRUAL:	10	[10 DAYS ACCRUED]
ACCRUAL PAID:	6,886.61	
NEXT PAYMENT:	8/25/2013	[24 DAY DELAY]
SELLS (ORIG. FACE)	4,321,000.00	
FACTOR:	1	
CURRENT FACE:	4,321,000.00	
PRINCIPAL VALUE:	1,242,287.50	
TOTAL FUNDS:	1,249,174.11	
COMMENTS:	Amer. RMBS Equity Fund IV Sells and ABS Investment Group buys \$4,321,000.00 original face.	

Contacts

Seller: Jay Modi, Limited Partner
 American RMBS Equity Fund IV, LP
 Suite 104, 320 - 23 Ave. SW
 Calgary, AB T2S 0J2
 +1 (403)984-6240 (office)
 +1 (403)701-6634 (mobile)
jay.modi@omniarchcapital.com

Rafikbi/po UK
SVC.s baf/er:24

Enter 1<Go> to send Ticket via MSG and VCOM.

Bloomberg
ABS

TRADE TICKET

as of 7/ 3/13

TRADER

ABS INVESTMENT GROUP

GSAA HOME EQUITY TRUST

BUYS

4,321,000

GSAA 2006-6 AF7

362334MZ4

HOME EQ1:STEP, AFC, +

5.73751%

3/25/36

PRICE

54.90000000

(54.90000000)

SETTLE

7/11/13

Thu

Accrual Period

7/ 1/13 - 7/31/13

Monthly

Next payment

8/25/13

(24 day delay)

Original Face

\$ 4,321,000.00

x Jun13 Factor

1.0000000000

= current face

\$ 4,321,000.00

Principal value

\$ 2,372,229.00

+ 10 days accrued

\$ 6,886.61

= total funds

\$ 2,379,115.61

! ASSUMED (actual Jul13 factor unknown)

! ASSUMED (actual Jul13 coupon unknown)

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 4/ 1/06

mature: 3/25/36

Collat: HOME EQ5.34

5.59(264)90

Australia 61 2 9777 8800 Brazil 5511 3048 4500 Europe 44 20 7830 7500 Germany 49 69 9304 1210 Hong Kong 852 2927 6000

Japan 81 3 3201 8800 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SN 577252 H621-524-1 04-Jul-13 16:38:56 FOT GNT-7:00

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar				Värdepapper	
Datum	Text	Belopp	Saldo	KOMMENTAR	GSAA
2013-07-12	Försäljning värdepapper	2 638 375,61	2 640 314,64	SVC säljer till Close Brothers/Optimus	GSAA
2013-07-15	Överföring	-2 379 115,61	751 199,03	SVC köper från ABS Investment Group	GSAA

Bilaga Q8

*****EXCLUDE-Cycle
1851 0.8180 EX 0.000 5 2 1134
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 7/31/13 Page 1
Primary Account 0070

---- CHECKING ACCOUNTS ----
Abs Investment Group LLC

BUSINESS ANALYZED
Account No. 0070 Statement Dates 7/01/13 thru 7/31/13
Previous Balance 113,071.88 Days in the statement period 31
6 Deposits/Credits 28,219,886.30 Average Ledger 3,261,198.66
39 Checks/Debits 15,543,849.27 Average Collected 3,261,198.66
Service Charge .00
Interest Paid .00
Current Balance 12,789,108.91

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions
Date Description Amount
7/01 IB Transfer from D *****005 to 675,000.00
7/05 INTERNAL TRF 2013186000960 876,841.08
7/08 IB Transfer from D *****005 to 90,000.00
7/15 WIRE-IN 20131960003800 2,379,115.61 ←
7/15 WIRE-IN 20131960003700 4,476,773.78
7/26 WIRE-IN 20132070001900 19,722,155.83



TRADE TICKET

as of 7/ 3/13

TRADER

CLOSE BROTHERS

or a Page CSAA HOME EQUITY TRUST

4,321,000

HOME EQT:STEP:AFC, #

5.73751% 3/25/36

PRICE 61-00

61-00

(61.0000000)

SETTLE

7/11/13 Thu

Original Face

\$ 4,321,000.00

x Jun13 Factor

1.0000000000

= current face

4,321,000.00

Principal value

2,635,810.00

+ 10 days accrued

6,886.61

= total funds

2,642; 696.61

NOTES

issued: 4/ 1/06

maturity: 3/25/36

Collat:HOMEEQ5.34

5.59(264)90

Australia	61	2	9777	8600	Brazil	55	11	3048	4500	Europe	44	20	7330	7500	Germany	49	69	9304	1210	Hong Kong	852	3977	6000
Japan	81	3	3201	8900	Singapore	65	6312	1000	U.S.	1	212	318	2000	Copyright 2013 Bloomberg Finance L.P. All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or by any information storage and retrieval system, without prior written permission from Bloomberg Finance L.P.									

settlement confirm

20130712194414W00

RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9571 148237
2:O 545 1344 130712 BBHCUS33AXXX 0683 884339 130712 1944 N
3:108:SWFTOSW419332809
4:16R:GENL
:20C::SEME//121321408000000
:23G:NEWM
:98A::PREP//20130712
:16R:LINK
:20C::RELA//1057117120130712
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::SETT//20130712
:98A::TRAD//20130710
:98A::ESET//20130712
:90A::DEAL//PRCT/61,
:35B:ISIN US362334MZ48
GSAA 2006-6 AF7 5.56 03/25/36
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/4321000,
:97A::SAFE//2006112
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::SELL//CEDELULL
:16S:SETPRTY
:16R:SETPRTY
:95R::DEAG/DTCYID/00000908
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//DTCYUS33
:20C::PROC//00208CD4
:16S:SETPRTY
:16R:AMT
:19A::ESTT//USD2638375, 61
:16S:AMT
:16S:SETDET
5:CHK:77534B3AF45C

unser SWIFT

20130712165036W01 RECEIVE AGAINST PAYMENT INSTRUCTION

```

1:F01 TUBDDEDDAXXX 9571 330999
2:I 541 BBHCUS33XXXX N
3:108:
4: :16R:GENL
   :20C::SEME//1057117120130712
   :23G:NEWM
   :98C::PREP//20130712165032
   :16S:GENL
   :16R:TRADDET
   :98A::TRAD//20130710
   :98A::SETT//20130712
   :90A::DEAL//PRCT/61,
   :99A::DAAC//010
   :35B:ISIN US362334MZ48
           FRN GSAA HE TRUST 06-6 2036
   :16S:TRADDET
   :16R:FIAC
   :36B::SETT//FAMT/4321000,
   :97A::SAFE//2006112
   :97A::CASH//8933541

:16S:FIAC

   :16R:SETDET
   :22F::SETR//TRAD
   :16R:SETPRTY
   :95P::PSET//DTCYUS33
   :16S:SETPRTY
   :16R:SETPRTY
   :95R::DEAG/DTCYID/908
   :97A::SAFE//089154 CSC63359
   :16S:SETPRTY
   :16R:SETPRTY
   :95P::SELL//CEDELULL
   :16S:SETPRTY
   :16R:AMT
   :19A::ACRU//USD6886,61
   :16S:AMT
   :16R:AMT
   :19A::SETT//USD2638375,61
   :16S:AMT
   :16S:SETDET
5:CHK:D8D4550C5320

```

Bilaga Q 11

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
US362334MZ48	Eingang	GSAA HOME EQUITY TRUST 2006-6 DL-FLR CERTS 2006(36) CL.AF-7	4 321 000 USD	2013-07-10	ABS Investment Group, acc. 1360-4701, DTC 0075



Info
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf											Bilaga
OTC Käufer											Q.pdf
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day	
Optimus Fonder AB (Anlage 3.1)	4 321 000 USD	2 645 332,42 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-07-10	2013-07-12	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	2 638 375,61 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-07-10	2013-07-12	



settlement confirm

20130712194414W01 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9571 148238
2:O 547 1344 130712 BBHCUS33AXXX 0683 884343 130712 1944 N
3:108:SWFT0SW419332816
4::16R:GENL

:20C::SEME//121321408100000

:23G:NEWM

:98A::PREP//20130712

:16R:LINK

:20C::RELA//2420267120130712

:16S:LINK

:16S:GENL

:16R:TRADDET

:98A::SETT//20130712

:98A::TRAD//20130710

:98A::ESET//20130712

:90A::DEAL//PRCT/61,

:35B:ISIN US362334MZ48

GSAA 2006-6 AF7 5.56 03/25/36

:16S:TRADDET

:16R:FIAC

:36B::ESTT//FAMT/4321000,

:97A::SAFE//2006112

:16S:FIAC

:16R:SETDET

:22F::SETR//TRAD

:16R:SETPRTY

:95P::BUYR//CHASGB2LXXX

:16S:SETPRTY

:16R:SETPRTY

:95R::REAG/DTCYID/00000902

:16S:SETPRTY

:16R:SETPRTY

:95P::PSET//DTCYUS33

:16S:SETPRTY

:16R:AMT

:19A::ESTT//USD2645332,42

:16S:AMT

:16S:SETDET

5:CHK:55F9424D3DBD

Bilaga Q13
BG 85-1Close Brothers Seydler Bank AG
Schillerstraße 27-29
60313 Frankfurt am Main

TRADE CONFIRMATION

Date: 10/07/13

Client:	Optimus Fonder AB
Confirmation Text:	
Reference No.:	235656

We confirm your Buy

Security Name:	GSAA HOME EQUITY TRUST 2006-6 DL-FLR CERTS 2006(36) CL.AF-7		
ISIN:	US362334MZ48		
Currency:	USD		
Nominal:	4,321,000		
Trade Price:	USD 61.00000000	Principal Amount:	USD 2,635,810.00
Commission:	USD 2,635.81	Interest Days:	10
Local Tax:		Accrued Amount:	USD 6,886.61
Net Price:	USD 61.22037500		
Net Amount:	USD 2,645,332.42		
Exchange:			
Trade Day:	10/07/13		
Value Day:	12/07/13		
Trade Time:	14:40		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

Bilaga Q14



Information to Swedbank

Traction

2013-07-11 14:02:05

Swedbank trade instructions
Version 3.20

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Safeguarding account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent	Counterparty safe	Place of settlement	Event code	Status	Client ID
1	NEWM	B	901901350628	33032	20130710	20130712	SE0005188521	80 000 000	0.995	79 630 778.64 SEK	79 630 779.00 SEK	SWEDSESS	SWEDSESS		VPCSESS		Verified	Traction
2	NEWM	B	901901350628	33033	20130710	20130712	SE0005308418	60 000 000	0.996	59 750 842.39 SEK	59 750 842.00 SEK	SWEDSESS	SWEDSESS		VPCSESS		Verified	Traction
3	NEWM	B	901901350628	33034	20130710	20130715	X50456513711	2 000 000	0.993	1 985 000.00 USD	1 993 541.67 USD	43743	43743		MGTCEBE		Verified	Traction
4	NEWM	B	901901350628	33035	20130710	20130712	US3211518V61	7 000 000	0.710	4 970 000.00 USD	4 978 743.78 USD	BBHCLUS33	0100		DTCYUS33		Verified	Traction
5	NEWM	B	901901350628	33036	20130710	20130712	US362394M248	4 321 000	0.610	2 635 810.00 USD	2 645 332.42 USD	BBHCLUS33	0010		DTCYUS33		Verified	Traction

Bilaga Q15

Report Name: Settled Trades - Optimus HY
From Date: 01-Jan-2013 To Date: 31-Dec-2014
Run ID: D-466-312-332

Account Name	Account Number	Security ID	ISIN	Security Name	Units	Net Amount	Trade Date	Actual Settlement Date
SWEDBANK RE: OPTIMUS HIGH YIELD	60485	30802EB	US362334MZA8	GSAA HOME EQUITY TRUST 2006-6 2006-6 AF7 STEP 25/MAR/2036	4 321 000,00000000	(2 645 332,42)	10-Jul-2013	12-Jul-2013
SWEDBANK RE: OPTIMUS HIGH YIELD	60485	30802EB	US362334MZA8	GSAA HOME EQUITY TRUST 2006-6 2006-6 AF7 STEP 25/MAR/2036	4 321 000,00000000	916 636,99	10-Apr-2014	15-Apr-2014



Information to Swedbank

Swedbank

2014-04-11 08:29:16

Swedbank trade instructions
Version 3.20

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Safetkeeping account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent
1	NEWM	S	901901350628	34936	20140410	20140415	US362334MZ48	4321000	0,210000000	907410,00 USD	916635,99 USD	DTC0443	DTC0443

Bilaga Q16

BG1106-4
POSITIV PENSION
INITIAL BOND SALES
6/7/13 2:23 PM
Bilaga Q17

[Mark Bishop <mbishop@absig.com>]
Fri, 06/07/2013 02:22 PM

From: [Mark Bishop <mbishop@absig.com>]
To: [Lisa Duehring <luehring@absig.com>]
Cc: [Craig Chang <cchang@absig.com>, Steve Bishop <sbishop@absig.com>]
Subject: Re:

Lisa -

Also, can you check my attached spreadsheet for accuracy and to confirm that the 7 bonds listed on the spreadsheet are still held in our Fund IV portfolio (POPLR should be at Wedbush, all others should be at LPL).

These are the initial bonds that I would like to sell to Optimus the first week of July, after the close of the Positiv Pension acquisition.

Let me know.

Thanks,

M

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

On Fri, 06/07/2013 01:59 PM, Lisa Duehring <luehring@absig.com> wrote:

i will have indications over to you this morning so we can decide what to sell and who to handle.

Sent from my Verizon Wireless 4G LTE smartphone

CURRENT INVENTORY (F IV) TO REVIEW FOR SALE TO OPTIMUS**OMNIARCH - AMERICAN RMBS EQUITY FUND IV****CURRENT BOND SUMMARY:**

Purchase Date	Description	CUSIP	Original Face	Orig Omni Price	Omni Projected Sale Price	Curr Factor (May 2013)	Curr Coupon
6/2/10	BAFC 074T2 1A2	05953YAU5	2,000,000.00	46.750	46.750	0.430512460	5.500%
11/9/11	GSAA 2006-6 AF7	362334MZ4	4,321,000.00	25.900	26.750	1.000000000	4.868%
12/7/11	CWL 2005-1 MF2	126673WF5	2,638,000.00	36.125	41.544	0.883824229	5.505%
2/29/12	POPLR 2004-4 M4	73316PAL4	3,600,000.00	44.250	44.250	0.577734500	5.091%
7/6/12	LSX 2007-5H 3A4	525248AY6	12,455,000.00	46.500	46.500	0.304362042	3.188%
8/14/12	FNLC 2005-3 M2	321137BV6	7,000,000.00	30.625	35.219	1.000000000	1.220%
12/27/2012 and 2/1/2013	MSM 2007-6XS 2A7M	61751JAP6	8,191,000.00	46.000	46.000	1.000000000	6.162%
Current Net Asset Value							10,819,728

1361106-4
6/7/13 4:40 PM
Bilaga Q19**[Mark Bishop <mbishop@absig.com>]**

Fri, 06/07/2013 04:22 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [Craig Chang <cchang@absig.com>]**Subject:** Short term trading plan...

FYI -

Craig - My short term trading plan is as follows:

- (1) BAFC bond settles today with Mesirow. I will free trade the bond next week to Close Brothers Bank for a trade with Optimus for a settlement on June 18, with a USD 270K+ arb.
- (2) With the sale of the 3 Fund V bonds that we are contemplating today, we should have approx/exactly USD 6 million in cash. This will cover our purchase price for Positiv Pension on June 28, exactly.
- (3) We will sell 7 bonds out of the Fund IV portfolio to Optimus once the Positiv deal goes through. Within 2 weeks after the closing, there will be enough capital in Optimus to sell the bonds (approximately July 15th). This one transaction will produce about USD 5.5 million in profit. One more "turn" during the second 2 weeks of July will finish paying the purchase price for Positiv, and produce USD 2 million for ABSIG arb, and USD 2 million for Solid arb.
- (4) At the end of July we will turn our focus back on Fund IV, where we will have approximately USD 16 million to reinvest. We will reinvest the capital, cover all imbalances, and book a 15-20% arb.

M

=====

Mark Bishop
mbishop@absig.com
+1 949 678 9574

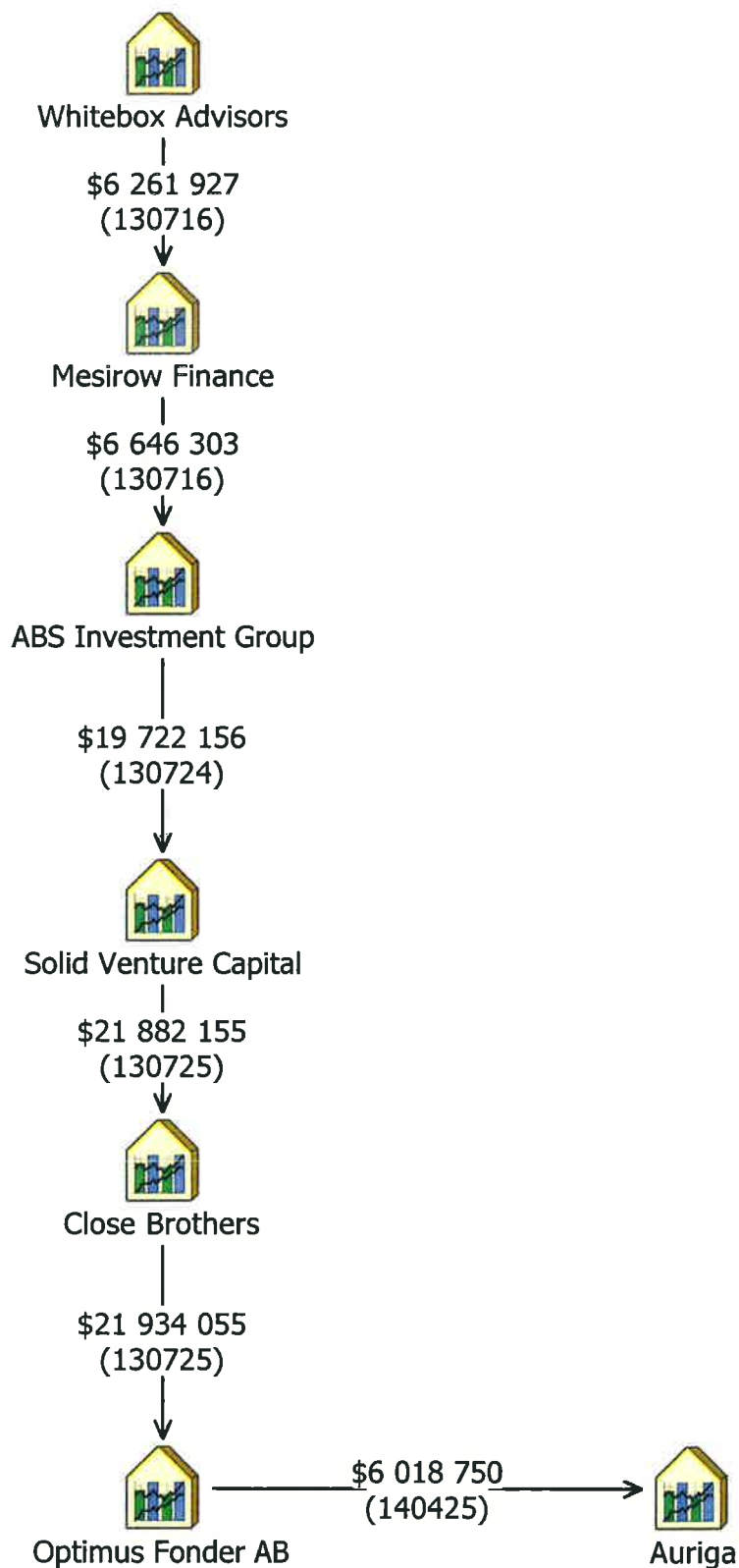
Bilaga R till

SAIL 2006-

4 A5

Sid			
1	Bilagor till SAIL		
2	Innehållsförteckning		
		Från	Avser
3	Bilaga R 1	EBM	Chart över köp och försäljning av SAIL
4	Bilaga R 2	EBM	Sammanställning i Excel av köp och försäljningar.
5	Bilaga R 3	Mesirow Financial	Ticket från Mesirow avseende inköp av SAIL 2013-07-16
6	Bilaga R 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av SAIL 2013-07-16 till ABS I G
7	Bilaga R 5	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
8	Bilaga R 6	Mark Bishop	Mail med T T från Mesiriw Fin. 2013-07-09
9	Bilaga R 7	Mark Bishop	T T avseende ABS I G.s köp 2013-07-09
10	Bilaga R 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
11	Bilaga R 9	Mark Bishop	T T avseende SVC.s köp 2013-07-19
12	Bilaga R 10	Mark Bishop	Mail från Mark Bishop till Ron Chamberlain 2013-07-18
13	Bilaga R 11	Mark Bishop	DTC Request
14	Bilaga R 12	EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
15	Bilaga R 13	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för juli 2013.
16	Bilaga R 14	SVC.s bokföring	T T avseende SVC.s köp av SAIL
17	Bilaga R 15	SVC.s bokföring	T T avseende SVC.s försäljning av SAIL exkl bankavgiften
18	Bilaga R 16	Rättshjälp Tyskland	Settlement confirmation från Close Brothers
19 - 20	Bilaga R 17	Rättshjälp Tyskland	Sammanställning av Close Brothers köp och försäljning av SAIL.
21	Bilaga R 18	Rättshjälp Tyskland	Settlement confirmation från Close Brothers
22	Bilaga R 19	Finansinspektionen	Trade Confirmation avseende Optimus köp av SAIL.
23	Bilaga R 20	Swedbank	Trade instructions avseende Optimus köp av SAIL.
24	Bilaga R 21	Swedbank	Trade instructions avseende Optimus försäljning av SAIL.
25	Bilaga R 22	Wahlstedt	Mail från Auriga till Ulf Deckmark med VCON ticket
26	Bilaga R 23	Swedbank	Optimus köp och försäljning av SAIL.
27	Bilaga R 24	Mark Bishop	Mail från Mark Bishop till Emil Amir Ingmanson 2013-07-19
28	Bilaga R 25	Mark Bishop	Mail från Emil Amir Ingmanson till Mark Bishop 2013-07-19
29	Bilaga R 26	Rättshjälp Tyskland	Mail från Emil Amir Ingmanson till Close Brothers 2013-07-22
30	Bilaga R 27	Rättshjälp Tyskland	Mail från Ulf Deckmark till Close Brothers 2013-07-22
31	Bilaga R 28	Mark Bishop	Memorandum från Mark Bishop till Emil Amir Ingmanson 2013-07-19

Försäljning av MBS " SAIL "



SAIL

US86360W/AE21

Structud Asset Investment Loan Trust Serie 2006-4 A5

<u>Instrument</u>	<u>Antal</u> (Original face)	<u>Trade</u>		<u>Settlement</u>		<u>Köpare</u>	<u>Deal price</u>	<u>Deal belopp</u>	<u>Upplupen ränta</u>	<u>Bankavgift</u>	<u>Settlement Summa</u>
		<u>Date</u>	<u>Date</u>	<u>Date</u>	<u>Säliare</u>						
US86360W/AE21	30 000 000	2013-07-09	2013-07-16	2013-07-16	Whitebox Advisors	Mesirow	0,208438	\$6 253 125,00	\$8 802,50		\$6 261 927,50
US86360W/AE21	30 000 000	2013-07-09	2013-07-16	2013-07-16	Mesirow Fin	ABS Inv Group	0,22125	\$6 637 500,00	\$8 802,50		\$6 646 302,50
US86360W/AE21	30 000 000	2013-07-19	2013-07-24	2013-07-24	ABS Inv Group	SVC, UK	0,657	\$19 710 000,00	\$12 155,83		\$19 722 155,83
US86360W/AE21	30 000 000	2013-07-23	2013-07-25	2013-07-25	SVC, UK	Close Brothers	0,73	\$21 900 000,00	\$12 155,83	-\$30 000,00	\$21 882 155,83
US86360W/AE21	30 000 000	2013-07-23	2013-07-25	2013-07-25	Close Brothers	Optimus Fonder AB	0,73	\$21 900 000,00	\$12 156,00	\$21 900,00	\$21 934 055,83
US86360W/AE21	30 000 000	2014-04-22	2014-04-25	2014-04-25	Optimus Fonder	Auriga	0,200625	\$6 018 750,00	\$0,00		\$6 018 750,00

A5 omfattar \$90 492 000

Prospektet finns (440 s)

Resultat för Optimus = -\$15 915 305,83

Resultat för SVC, UK = \$2 160 000,00

Resultat för ABS I G = \$13 075 853,33

Resultat för SVC och ABS I G: \$15 235 853,33

Provision till Zeptunus: 889 687,50 kr

P266 t

Ticket number 2874482

SAIL 2006-4 A5 2.78		Cancel		Trade Recap		Options		Accepted Ticket	
8911802	86360WAE2			NY	07/09/2013	16:43:13			
BUY	30M	(M)		As of	07/09/2013	15:59:35			
Price	20-27	20.84375000		Yield	22.292335				
Settlement	07/16/2013	T+5 7						Broker	
Master	B84797336	WHITEBOX ADVISORS						Sales	EX42
Commission	Code	Rate	+/-	Amount	Curr	Spread	Mortgage		
Sales Commission		0.6406		192187.5	USD	Effective Dur	-11.5 WAC	5.75	
Misc Fee					USD	Average Life	8.82 WAM	285	
						Prepayment	18 CPR WALA/AGE	87	
						Cpn (Actual)	0.503 Factor	1.00000000	
						Maturity	7/25/36 As Of	Jun13	
						Current Face 30,000,000.00			
Notes 1-4		Notes 5-8		Reporting		Prevailing Market Price		Best Ex Notes	
STEPOUT	U	TRADE STIP							
MASTER A/C	EX42	TRADE STIP							
TRD ID		TRADE STIP							
HEDGE		NOTES							
Invoice					Principal/Agency P				
Principal 6,253,125.00					SOL / UNSOL				
Accrued (21) 8,802.50					Settlement Loc				
Transaction Costs					Trade Flat Venue ID				
Total (USD) 6,261,927.50					Special Price Fee-based				
					TRACE: Unknown Status special N				
View in USD Rate 1.00000000 Invert					Ticket # 2874482				

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:54:19

P266 t

Ticket number 2874481

SAIL 2006-4 A5 2.78		Cancel	Trade Recap	Options	Accepted Ticket	
8911802	86360WAE2				NY	07/09/2013 16:42:45
SELL	30M	(M)			As of	07/09/2013 16:17:00
Price	22-04	22.12500000	Yield	21.308269		
Settlement	07/16/2013	T+5 7			Broker	
Master	839902431	ABS INVT GROUP AMERICAN RMBS			Sales	EX09

Commission	Code	Rate	+/-	Amount	Curr	55 Spread	9 Mortgage
Sales Commission		0.6406		192187.5	USD	Effective Dur -10.59	WAC 5.75
Misc Fee					USD	Average Life 8.82	WAM 285
						Prepayment 18 CPR	WALA/AGE 87
						Cpn (Actual) 0.503	Factor 1.00000000
						Maturity 7/25/36	As Of Jun13
							Current Face 30,000,000.00

5 Notes 1-4 6 Notes 5-8 7 Reporting 88 Prevailing Market Price 89 Best Ex Notes

STEPOUT U	TRADE STIP	ABS STEPS OUT TO 00541747
MASTER A/C EX09	TRADE STIP	
TRD ID	TRADE STIP	
HEDGE	NOTES	

Invoice		Principal/Agency	P
Principal	6,637,500.00	SOL / UNSOL	
Accrued (21)	8,802.50	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	6,646,302.50	Special Price	
View in	USD	TRACE: Unknown Status	Fee-based
Rate	1.00000000		pecial N
		Ticket #	2874481

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:53:44

LPL FINANCIAL

-ALL 06-4 AS
P.P. FUNDING #2

counterparty: Mesinow (settlement 7.16.2013)

cusip: 86360WAE2

ISIN: US86360WAE21

sell to Optima (settlement 7.24.2013)

Bilaga R5

017-9000-BG724-39



igsmapp
st föremål:

["ROBERT GREENWOOD (MESIROW FINANCIAL IN)" <bobgreenwood@bloomberg.net>]

Tue, 07/09/2013 10:59 PM

From: ["ROBERT GREENWOOD (MESIROW FINANCIAL IN)" <bobgreenwood@bloomberg.net>]

Subject: ID : 86360WAE2 *Trade Ticket* As of Date: 7/9/2013 16:17:0 Cusip: 86360WAE2

ID : 86360WAE2 *Trade Ticket* As of Date: 7/9/2013 16:17:0 Cusip: 86360WAE2

TRDR/SLS : ROBERT GREENWOOD Settlement : 7/16/2013

SELLS : 30M (M) ISSUER:STRUCTURED ASSET INVESTME

Security : SAIL 2006-4 A5 0.503%

Price : 22.125000000 Yield:21.308269 Yield to: 5/11/2022 at

Coupon : 0.503000% Prepay speed : 18.0 CPR Factor : 1.000000000

Notes :

{86360WAE2 MTGE DES <GO>}

Principal USD 6,637,500.00

Accrued (21 days) 8,802.50

Transaction Costs 0.00

Total USD 6,646,302.50

Miscellaneous Fields:

Original Face : 30M (M) Current Face : 30,000,000.00

Issue Date : 06/25/06 Maturity Date : 07/25/36

Average Life : 8.82 Factor Date : Jun13

Accrual Start Date : 06/25/13 Accrual End Date : 07/24/13

Next Payment Date : 07/25/13 Delay : 0 DAYS

**** THIS TICKET (#2874481) GENERATED BY BLOOMBERG TOMS / POMS SYSTEM ****

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<http://www.bloomberg.com>

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Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
ABS**TRADE TICKET**as of **7/ 9/13****TRADER****MESIROW FINANCIAL**

orig type

STRUCTURED ASSET INVESTMENT LOAN TRUST

86360WAE2

HOME EQ:FLT,STEP,+

BUYS**30,000,000****SAIL 2006-4 A5****0.503% 7/25/36**

DM = +2022.1 BP 8.82 Hwy Line

10/17-5/25 Principal

PRICE 22-04

(22.1250000)

assuming

CPR= 18.0

YTM =

21.299%**SETTLE****7/16/13**

Tue

Accrual Period

6/25/13 -

7/24/13

Monthly

Next payment

CALL?N Date **1/25/20** Px 100

7/25/13 (0 day delay)

1st Idx 0.19193 LIBOR01M 0.19193

Original Face

\$

30,000,000.00

x Jun13 Factor

\$

1.0000000000

√ actual current factor

= current face

\$

30,000,000.00

Principal value

\$

6,637,500.00

+ 21 days accrued

\$

8,802.50

√ actual current coupon

= total funds

\$

6,646,302.50

TRACE ELIGIBLE

Text Highlight? **Y****NOTES:**

issued: 6/25/06

mature: 7/25/36

Collat:RESB/C4.87

5.75(285)87

(1xLIBOR01M)+31BP. CAP=n/a % FLOOR= 0.31%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SN 132341 H311-274-1 18-Jul-13 23:49 20 PDT GMT-7:00

B6744-39
Bilaga R 7

Date 7/31/13
Primary AccountPage 2
0070

BUSINESS ANALYZED

0070 (Continued)

Checks and withdrawals

Date	Description	Amount
7/01	WIRE-OUT 20131820004600	2,000.00-
7/01	WIRE-OUT 20131820004700	3,000.00-
7/01	WIRE-OUT 20131820004800	3,000.00-
7/01	WIRE-OUT 20131820004500	4,000.00-
7/01	WIRE-OUT 20131820005000	11,800.00-
7/01	WIRE-OUT 20131820005100	25,920.00-
7/01	WIRE-OUT 20131820004000	336,785.00-
7/01	WIRE-OUT 20131820003900	336,785.00-
7/01	INTERNAL TRF 2013182000490	13,200.00-
7/02	PAID SDGE SD GAS ELEC	47.67-
	WEB	
7/03	Account Analysis Charge	370.32-
7/03	Online Pmt HSBC CARD SRVCS	4,543.63-
	WEB	
7/05	WIRE-OUT 20131860011000	87,500.00-
7/05	WIRE-OUT 20131860010900	87,500.00-
7/05	IB Transfer from D *****070 to	675,000.00-
7/08	Online Pmt HSBC CARD SRVCS	8,723.55-
	WEB	
7/09	WIRE-OUT 20131900002000	126,000.00-
7/10	WIRE-OUT 20131910006500	3,768.40-
7/15	USFCA ePay USF Web Payment	18,042.00-
	WEB	
7/15	WIRE-OUT 20131960017800	6,646,302.50- ←
7/18	WIRE-OUT 20131990003700	40,014.00-
7/18	WIRE-OUT 20131990003800	40,014.00-
7/22	PAID SCGC THE GAS COMPANY	37.42-
	WEB	
7/24	Online Pmt HSBC CARD SRVCS	16,445.78-
	WEB	
7/25	WIRE-OUT 20132060003500	10,000.00-
7/25	WIRE-OUT 20132060003100	7,700.00-
7/29	WIRE-OUT 20132100004100	2,000.00-
7/29	WIRE-OUT 20132100003600	3,000.00-
7/29	WIRE-OUT 20132100003700	3,000.00-
7/29	WIRE-OUT 20132100004600	11,800.00-

Enter 1<Go> to send Ticket via MSG and VCOM.

Bloomberg
ABS**TRADE TICKET**as of **7/19/13****TRADER****ABS INVESTMENT GROUP**

orig face

STRUCTURED ASSET INVESTMENT LOAN TRUST

86360MAE2

HOME EQ:FLT,STEP,+

BUYS**30,000,000****SAIL 2006-4 A5****0.503%** **7/25/36**

GM = +540.8 BP

5.80 Avg Life

5.666%

10/12- 5/29 Principal

PRICE**65.7000000**assuming **CPR= 18.0****YTM =**

(65.7000000)

SETTLE**7/24/13** Wed

Accrual Period 6/25/13 - 7/24/13 Monthly

CALL? **N** Date **1/25/20** Px 100

Next payment 7/25/13 (0 day delay)

1st Idx 0.19193 LIBOR01M 0.19193

Original Face

\$ 30,000,000.00

x Jun13 Factor

\$ 1.0000000000

= current face

\$ 30,000,000.00

Principal value

\$ 19,710,000.00

+ 29 days accrued

\$ 12,155.83

= total funds

\$ 19,722,155.83

v actual current coupon

TRACE ELIGIBLE

Text Highlight? **M****NOTES:**

issued: 6/25/06

mature: 7/25/36

Collat:RESB/C4.87

5.75(285)87

(1xLIBOR01M)+31BP. CAP=n/a % FLOOR= 0.31%

Australia 61 2 9727 8600 Brazil 5511 3048 4500 Europe 44 30 7930 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SH 132341 H311-274-1 18-Jul-13 23:47:45 PDT GMT-7:00

136 924-39

Bilaga R9

BG724-39
Bilaga R 11**[Mark Bishop <mbishop@absig.com>]**

Thu, 07/18/2013 04:09 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [rchamberlin@cwbk.com, golf44ron@aol.com]**Subject:** [Fwd: DTC DELIVERY REQUEST: SAIL 2006-4 A5, cusip 86360WAE2, orig. face \$30,000,000...]

Ron -

Please find attached the executed DTC Delivery Request.

Thank you.

Best regards,

Mark

=====

Mark Bishop
mbishop@absig.com
+1 949 678 9574**Subject:** DTC DELIVERY REQUEST: SAIL 2006-4 A5, cusip 86360WAE2, orig. face \$30,000,000...**From:** Mark Bishop <mbishop@absig.com>**Date:** Thu, 07/18/2013 01:43 PM**To:** rchamberlin@cwbk.com, golf44ron@aol.com**Cc:** Craig Chang <cchang@absig.com>, Lisa Duehring <lduehring@absig.com>

Ron -

We need a DTC delivery request:

Please find attached delivery instructions and contact information for the buyer of the subject bond (back-office: Stefania Ruhnke, trade operations, +49 69 92054 309, stefania.ruhnke@cbseydler.com).

We will be delivering the subject bond via "DTC Free" delivery, from DTC number 0075, our LPL account number "1360-4701", account name "ABS Investment Group", to the buyer's account with Close Brothers Seydler Bank AG (DTC number "**0908**", acct. no. "**089 154 CSC63359**", acct. name "**Solid Venture Capital**"). The settlement date is Wednesday, July 24, 2013. The bond to deliver is as follows:**SAIL 2006-4 A5, cusip 86360WAE2, orig. face \$30,000,000.**

Please contact us if you have any questions.

Best regards,

Mark

=====

Mark Bishop
mbishop@absig.com
+1 949 678 9574

07/18/2013 THU 6:17 FAX 949 251 6958 CommerceWest Bank

BSG 924-39
13/1099 R11
0001/001**Move Money**
DTC Request**DTC**Account Number

1	3	6	0	4	7	0	1
---	---	---	---	---	---	---	---

Rep ID

7	N	P	B
---	---	---	---

Instructions: Use this form to deliver DTC-eligible securities only from an LPL non-retirement account to a account holder account at another firm or financial institution. Note: Partial shares and Mutual funds are not DTC eligible.

Please fax the completed form to (858) 202-8335.

1. Account Information

Account Registration

ABS Investment Group

Social Security/Tax ID Number

26-2119490

2. Required Field: Select type of DeliveryPlease select one: ☐ Gift ☒ Common Owner ☐ Death Distribution (Tax Lot selection not available)**3. Cost Basis Information**

Use the optional (*) Tax Lot Trade Date and Tax Lot Price fields to select specific lots. If these fields are NOT specified, LPL will deliver the securities using the default first in, first out (FIFO) method.

I hereby authorize LPL Financial LLC to act on my behalf in accordance with the following delivery instructions. Please deliver the following security(ies):

CUSIP/Symbol	Security Description	Share Quantity	Tax Lot Trade Date*	Tax Lot Price*
86360WAF2	SAIL 2006-4 A5	\$30,000,000.0000		

4. Send the securities according to the Receiving Broker/Dealer information below:

DTC # (4 Digits)

0908

Account Number

089 154 CSC63359

Account Title

ABS Investment Group

Receiving Institution Phone Number and Contact Information (additional information may be required)

Cluse Brothers Soydlor Bank, Stephanie Ruhnke, +49 69 92054 309

Relationship to owner: (Note: Request is subject to LPL's AML/fraud prevention policies)

Investor

5. Account Holder Acknowledgement

Account Holder Signature

Mark Bishop

Account Holder Name (print)

Date

7-18-2013

Account Holder Signature

Account Holder Name (print)

Date

6. Validation of Account Holder Signature

My customer(s) is/are well known to me, and I validate that the signature(s) on the attached document is/are genuine. I agree for myself and my successors, assigns, heirs, executors, and administrators to at all times indemnify and hold harmless LPL Financial LLC (LPL) and all LPL staff and third-party providers, acting as Authorized Agents of LPL, from and against any and all claims, losses, liabilities, taxes, damages, actions, charges, and expenses, including attorney fees, resulting from your compliance with this request. LPL reserves the right to verify the authenticity of any signature.

Financial Advisor / Authorized Person Signature

Ron Chamberlin

Financial Advisor / Authorized Person Name (print)

07/01/2013

Date

LPL Financial

Member FINRA/SIPC

Page 1 of 1

F85
Revised 1112

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar			
Datum	Text	Belopp	KOMMENTAR
2013-07-24	Försäljning värdepapper	21 882 155,83	SVC säljer till Close Brothers/Optimus
2013-07-26	Överföring	-19 722 155,83	SVC köper från ABS Investment Group
			Värdepapper
			SAIL
			SAIL

Bilaga R13

*****EXCLUDE-Cycle
1851 0.8180 EX 0.000 5 2 1134
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 7/31/13
Primary Account

Page 1
0070

----- CHECKING ACCOUNTS -----

Abs Investment Group LLC

BUSINESS ANALYZED
Account No. 0070 Statement Dates 7/01/13 thru 7/31/13
Previous Balance 113,071.88 Days in the statement period 31
6 Deposits/Credits 28,219,886.30 Average Ledger 3,261,198.66
39 Checks/Debits 15,543,849.27 Average Collected 3,261,198.66
Service Charge .00
Interest Paid .00
Current Balance 12,789,108.91

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions

Date	Description	Amount
7/01	IB Transfer from D *****005 to	675,000.00
7/05	INTERNAL TRF 2013186000960	876,841.08
7/08	IB Transfer from D *****005 to	90,000.00
7/15	WIRE-IN 20131960003800	2,379,115.61
7/15	WIRE-IN 20131960003700	4,476,773.78
7/26	WIRE-IN 20132070001900	19,722,155.83 ←

Rätkäshälpö LK

Bilaga R14

Enter 1<Go> to send Ticket via MSG and VCOM.

Bloomberg
ADST

TRADE TICKET

as of 7/19/13

TRADER

ABS INVESTMENT GROUP

orig. face

STRUCTURED ASSET INVESTMENT LOAN TRUST

86360WAE2

HOME EQ:FLT,STEP,+

BUYS

30,000,000

SAIL 2006-4 A5

0.503% 7/25/36

DN = +540.8 BP 8.80 Avg Life

YTM = 5.666% 10-17- 5/29 Principal

CPR= 18.0

PRICE 65.70000000 assuming
(65.70000000)

SETTLE

7/24/13 Wed

Accrual Period 6/25/13 - 7/24/13 Monthly

CALL? Date 1/25/20 Px 100

Next payment 7/25/13 (0 day delay)

1st Idx 0.19193 LIBOR01M 0.19193

Original Face

\$ 30,000,000.00

x Jun13 Factor

1.0000000000

= current face

\$ 30,000,000.00

Principal value

\$ 19,710,000.00

+ 29 days accrued

\$ 12,155.83

= total funds

\$ 19,722,155.83

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 6/25/06

mature: 7/25/36

Collat:RESB/C4.87

5.75(285)87

(1xLIBOR01M)+31BP. CAP=n/a % FLOOR= 0.31%

Australia 61 2 9777 8800 Brazil 5511 3048 4500 Europe 44 20 7390 7500 Germany 49 69 9204 1310 Hong Kong 853 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SH 132341 H311-234-1 13-Jul-13 23:47:45 PCT GMT-7:00

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg
RBS

TRADE TICKET

as of 7/19/13

TRADER

CLOSE BROTHERS

orig face

STRUCTURED ASSET INVESTMENT LOAN TRUST

86360WAE2

HOME EQT:FLT,STEP,+

BUYS

30,000,000

SAIL 2006-4 A5

0.503% 7/25/36

CM = +411.9 BP 8.80 Avg Life

PRICE 73-00

(73.00000000)

assuming CPR= 18.0

YTM = 4.349%

10/17- 5/23 Principals

SETTLE

7/24/13 Wed

Accrual Period 6/25/13 - 7/24/13 Monthly

CALL Date 1/25/20 Px 100

Next payment 7/25/13 (0 day delay)

1st Idx 0.19193 LIBOR01M 0.19193

Original Face

\$ 30,000,000.00

x Jun13 Factor

\$ 1.000000000

= current face

\$ 30,000,000.00

Principal value

\$ 21,900,000.00

+ 29 days accrued

\$ 12,155.83

= total funds

\$ 21,912,155.83

✓ actual current factor

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 6/25/06

mature: 7/25/36

Collat:RESB/C4.87

5.75(285)87

(1xLIBOR01M)+31BP, CAP=n/a % FLOOR= 0.31%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7830 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8500 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SH 133341 H311-274-1 13-Jul-13 23:45:15 FOT GMT-7:00

*Rättshjälps Tyskland
Bilaga R16*

settlement confirm

20130725160612W02 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9586 428712
2:O 545 1006 130725 BBHCUS33AXXX 0686 074773 130725 1606 N
3:108:SWFT0SW420629042
4::16R:GENL

:20C::SEME//121393870600000

:23G:NEWM

:98A::PREP//20130725

:16R:LINK

:20C::RELA//2910786220130725

:16S:LINK

:16S:GENL

:16R:TRADDET

:98A::SETT//20130725

:98A::TRAD//20130723

:98A::ESET//20130725

:90A::DEAL//PRCT/73,

:35B:ISIN US86360WAE21

SAIL 2006-4 A5 V/R 07/25/36

:16S:TRADDET

16R:FIAC

ST

:36B::ESTT//FAMT/300000000,

:36B::ESTT//AMOR/300000000,

:97A::SAFE//2006112

:16S:FIAC

:16R:SETDET

:22F::SETR//TRAD

:16R:SETPRTY

:95P::SELL//CEDELULL

:16S:SETPRTY

:16R:SETPRTY

:95R::DEAG/DTCYID/00000908

:16S:SETPRTY

:16R:SETPRTY

:95P::PSET//DTCYUS33

:20C::PROC//001E41D0

:16S:SETPRTY

:16R:AMT

:19A::ESTT//USD21882155,83

:16S:AMT

:16S:SETDET

5:CHK:A689167C3138

Bilaga R17

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
8 US86360WAE21	Eingang	STRUCT.ASSET INV.I.N TR.2006-4 DL-FLR CERTS 2006(36) CL.A5	30 000 000 USD	2013-07-22	ABS Investment Group, acc. 7395-4378, DTC 0075



Info
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf										
OTC Käufer						OTC Verkäufer				
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day
Optimus Fonder AB (Anlage 3.1)	30 000 000 USD	21 934 055,83 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-07-23	2013-07-25	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	21 882 155,83 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-07-23	2013-07-25

settlement confirm

20130725160612W04 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9586 428713
 2:O 547 1006 130725 BBHCUS33AXXX 0686 074776 130725 1606 N
 3:108:SWFT0SW420629049
 4::16R:GENL
 :20C::SEME//121393887700000
 :23G:NEWM
 :98A::PREP//20130725
 :16R:LINK
 :20C::RELA//7432347020130725
 :16S:LINK
 :16S:GENL
 :16R:TRADDET
 :98A::SETT//20130725
 :98A::TRAD//20130723
 :98A::ESET//20130725
 :90A::DEAL//PRCT/73,
 :35B:ISIN US86360WAE21
 SAIL 2006-4 A5 V/R 07/25/36
 :16S:TRADDET
 :16R:FIAC
 :36B::ESTT//FAMT/30000000,
 :36B::ESTT//AMOR/30000000,
 :97A::SAFE//2006112
 :16S:FIAC
 :16R:SETDET
 :22F::SETR//TRAD
 :16R:SETPRTY
 :95P::BUYR//CHASGB2LXXX
 :16S:SETPRTY
 :16R:SETPRTY
 :95R::REAG/DTCYID/00000902
 :16S:SETPRTY
 :16R:SETPRTY
 :95P::PSET//DTCYUS33
 :16S:SETPRTY
 :16R:AMT
 :19A::ESTT//USD21934055,83
 :16S:AMT
 :16S:SETDET
 5:CHK:7720D82F53ED

TRADE CONFIRMATION

Date: 23/07/13

Client:	Optimus Fonder AB
Confirmation Text:	
Reference No.:	238600

We confirm your Buy

Security Name:	STRUCT.ASSET INV.LN TR.2006-4 DL-FLR CERTS 2006(36) CL.A5		
ISIN:	US86360WAE21		
Currency:	USD		
Nominal:	30,000,000		
Trade Price:	USD 73.00000000	Principal Amount:	USD 21,900,000.00
Commission:	USD 21,900.00	Interest Days:	29
Local Tax:		Accrued Amount:	USD 12,155.83
Net Price:	USD 73.11351900		
Net Amount:	USD 21,934,055.83		
Exchange:			
Trade Day:	23/07/13		
Value Day:	25/07/13		
Trade Time:	08:20		
Trader:	pfersdca		

Our Settlement Instructions:

BBH, New York
BBHCUS33
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

Your Settlement Instruction:

JP Morgan Chase Bank, New York
DTC 00902 inst.ID 62815
ABA no: 021000021
JP Morgan Chase Bank, London
ACC: PS75350

Bilaga R20



Information to Swedbank

Traction

2013-07-24 09:58:35

Swedbank trade instructions
Version 3.20

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Safeguarding account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent	Counterparty sale	Place of settlement	Event code	Status	Client ID
1	NEWM	B	901901350628	33133	20130723	20130726	X50626691447	2000000	0,91875000	1837500,00 EUR	1864618,06 EUR	43743	43743		MGTCEBE		Verified	Traction
2	NEWM	B	901901350628	33134	20130723	20130726	X50626691447	1000000	0,92000000	920000,00 EUR	933559,03 EUR	43743	43743		MGTCEBE		Verified	Traction
3	NEWM	B	901901350628	33135	20130723	20130726	X50550774870	2000000	1,07900000	2158000,00 EUR	2185118,06 EUR	43743	43743		MGTCEBE		Verified	Traction
4	NEWM	B	901901350628	33136	20130723	20130726	X50789393608	1000000	1,03100000	1031000,00 EUR	1033472,86 EUR	43743	43743		MGTCEBE		Verified	Traction
5	NEWM	B	901901350628	33137	20130723	20130725	U5866360WAE21	30000000	0,73000000	21900000,00 USD	21934055,83 USD	88HCL333	0010	2006112	DTCTUS33		Verified	Traction
6	NEWM	B	901901350628	33138	20130723	20130726	X50626691447	2000000	0,91875000	1837500,00 EUR	1864618,06 EUR	43743	43743		MGTCEBE		Verified	Traction

Bilaga R21



Information to Swedbank

Swedbank

2014-04-22 15:37:01

Swedbank trade instructions

Version 3.20

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Safekeeping account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent	Counterparty safe	Place of settlement	Event code	Status	Client ID
1	010001	S	801801350608	34969	20140422	20140425	US86360WAE21	30000000	0.20063500	6018750.00 USD	6018750.00 USD	DTCC0443	DTCC0443		DTCCUS33		Verified	Swedbank

BG 85-1
Bilaga R22

Carina Bringmyr

Från: Alex Hendrickson <alex@aurigaussallc.com>
Skickat: den 22 april 2014 15:14
Till: Ulf Deckmark; optimus.backoffice
Ämne: RE: SAIL 2006-4 A5

Sorry forgot to mention our DTC is 0443, Pershing..

Ulf - Auriga buys from Optimus.

Please email back that you agree with the trade..

All the best -

* VCON TICKET *

TRADER: ALEX HENDRICKSON CUSIP: 86360WAE2
BUYS: 30,000,000 of SAIL 2006-4 A5 0.46425% 07/25/36
PRICE: 20.0625000 assuming CPR= 6.0 YTM: 11.775 AL=16.53YR
SETTLE: 04/25/14 [Fri] Accrual Pd: 04/25/14 - 05/26/14 Monthly
Next Payment: 05/27/14 (0 day delay)
*Formula: (1xLIBOR01M)+31BP. CAP=n/a % FLOOR= 0.31%
PRINCIPAL VAL \$ 6,018,750.00
+ 0 Days ACC \$.00 !ASSUMED: Apr14 coupon unknown
= TOTAL FUNDS \$ 6,018,750.00 **
< TRADE NOTES: AURIGA BUYS FROM OPTIMUS >

Our detailed disclaimer can be seen at

<http://cp.mcafee.com/d/avndzgAd3hJ5AQsL8zATXFCXCQnNRTC3qbUWXNEVdEK3zhOyed7bVJ6Wb29EVKMUYrGJeiHte8roHIRSIL1hyDkYSoFRfd swUU-O R-pKMqejhONRXBT4jhPP329EVhLORQr8FGTujVkfGhBrwqrhdECXYyMCY-ehojd79KVI05Hsp4trFFVZKnN2lb7X9nUCZFyf1mJNAhRKCDSDSVsSOOUyr1oQAq81wg3gGq84XTsbl6yOgvdEL6P-ppUY-YzHWj>

349 69

Bilaga R13

Report Name: Settled Trades - Optima HY
From Date: 01-Jan-2013 To Date: 31-Dec-2014
Run ID: D-465-312-332

Account Name	Account Number	Security ID	ISIN	Security Name	Units	Net Amount	Trade Date	Actual Settlement Date
SWEDBANK RE: OPTIMUS HIGH YIELD	60465	B879KP0	US96360WAE21	STRUCTURED ASSET INVESTMENT LOAN TR FRN 25/JUL/2036 USD1	30 000 000,0000000	(21 934 055.83)	23-Jul-2013	25-Jul-2013
SWEDBANK RE: OPTIMUS HIGH YIELD	60465	B879KP0	US96360WAE21	STRUCTURED ASSET INVESTMENT LOAN TR FRN 25/JUL/2036 USD1	30 000 000,0000000	6 018 750.00	22-Apr-2014	25-Apr-2014

[Mark Bishop <mbishop@absig.com>]

Fri, 07/19/2013 10:28 AM

From: [Mark Bishop <mbishop@absig.com>]**To:** [emil@solidequity.se]**Subject:** SAIL 2006-4 A5, cusip 86360WAE, original face USD 30.000.000, ISIN US86360WAE21...

Emil -

For the SAIL bond, please find attached the trade ticket for ABS Investment Group to Solid Venture Capital at a price of 65.70%. The settlement date is scheduled for Wednesday, July 24, 2013.

SAIL 2006-4 A5, cusip 86360WAE, original face USD 30.000.000, ISIN US86360WAE21

Again, "Bloomberg Valuation" (BVAL) for this bond have been all over the place. Nevertheless, the bond is cheap at your price and at your intended trade price. Some valuation support is as follows:

- 1) A Canadian bond fund (Omniarch Fixed Income Fund) purchased USD 13 million of SAIL 2006-4 A5 at 77.00% on July 9, 2013;
- 2) Bloomberg provides a discount margin of 449BPs at a price of 73.00%;
- 3) Citi Group provides a total return of 13.414% at a price of 73.00%;
- 4) JPMorgan provides a total return of 13.711% at a price of 73.00%;
- 5) ABSNet provides a total return of 14.072% at a price of 73.00%;
- 6) US regional dealer, Mesirow Financial, offered a price of 75.00% for USD 15 million of SAIL 2006-4 A5 on July 19, 2013.

If you have any questions, please contact me.

Regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

[Mark Bishop <mbishop@absig.com>]

Fri, 07/19/2013 10:02 AM

From: [Mark Bishop <mbishop@absig.com>]**To:** [emil@solidequity.se]**Subject:** SAIL 2006-4 A5, cusip 86360WAE, original face USD 30.000.000, ISIN US86360WAE21...

Emil -

For the SAIL bond, please find attached the trade ticket for Close Brothers to Optimus at a price of 73.00%, and an internal memo showing our price support for the subject bond at a price of 73.00%. The settlement date is scheduled for Wednesday, July 24, 2013.

SAIL 2006-4 A5, cusip 86360WAE, original face USD 30.000.000, ISIN US86360WAE21

If you have any questions, please contact me.

Regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

8/29/2018

Preview

*Rättshjälp
Tyskland
Bilaga R26*

Trade ticket and Memo...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Mon, Jul 22, 2013 5:23 PM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D\[136\].png \(4.4 KB\)](#)  [SAIL Trade Ticket - Close Brothers to Optimus.pdf \(51.6 KB\)](#)  [MEMORANDUM supporting pricing.docx \(69.6 KB\)](#)

Carsten -

Here is the Trade ticket and a Memorandum to explain the pricing if you need it for compliance.

Best Regards,
E-A Ingmanson



Hammarby Kaj 16
120 30 Stockholm, Sweden

+467-329 399 99
+356-999 380 99

www.solidequity.se
eai@solidequity.se

Rättshjälp
Tyskland
Bilaga R27

SV: Confirmation SAIL 2006-4 A5

From: Ulf Deckmark [ulf.deckmark@optimusfonder.se]

To: Carsten Pfersdorf

Date: Mon, Jul 22, 2013 5:45 PM WT

Attachments:  SAIL 2006-4 A5.gif (27 KB)

Hi Carsten.

I confirme the order.

/ulf

Skickat från min Samsung Mobil

----- Originalmeddelande -----

Från: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>

Datum:

Till: "ulf.deckmark@optimusfonder.se" <ulf.deckmark@optimusfonder.se>

.Rubrik: Confirmation SAIL 2006-4 A5

Hi Ulf,

please confirm your buy of 30mln @ USD 73,- gross

Ticket is attached.

Have a nice evening.

Carsten Pfersdorf

MEMORANDUM

Date: July 19, 2013
To: Emil Ingmanson
From: Mark Bishop
Re: SAIL 2006-4 A5
Compliance memo supporting pricing

The SAIL 2006-4 A5 bond (cusip 86360WAE2, ISIN US86360WAE21) performs well and is currently cheap at a price of 73.00%.

Supporting price:

- 1) A Canadian bond fund (Omniarch Fixed Income Fund) purchased USD 13 million of SAIL 2006-4 A5 at 77.00% on July 9, 2013;
- 2) Bloomberg provides a discount margin of 449BPs at a price of 73.00%;
- 3) Citi Group provides a total return of 13.414% at a price of 73.00%;
- 4) JPMorgan provides a total return of 13.711% at a price of 73.00%;
- 5) ABSNet provides a total return of 14.072% at a price of 73.00%;
- 6) US regional dealer, Mesirow Financial, offered a price of 75.00% for USD 15 million of SAIL 2006-4 A5 on July 19, 2013.

Bilaga S till

MANA

2007-A2

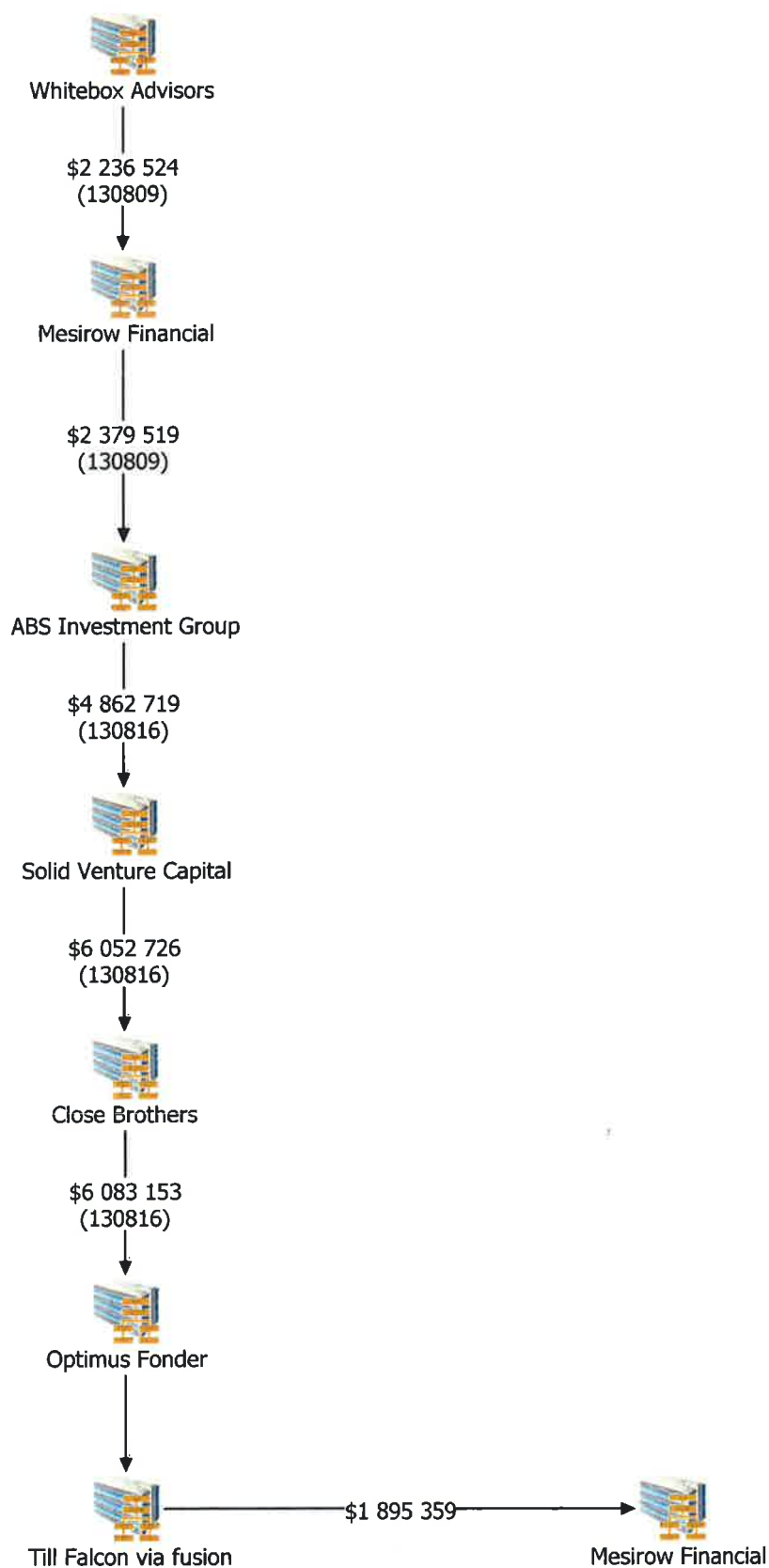
A3D

Sid**Kap. 7.16 MANA - Bilaga S**

1			
2 - 3	Innehållsförteckning		
		<u>Från</u>	<u>Avser</u>
4	Bilaga S 1	EBM	Chart över köp och försäljning av MANA
5	Bilaga S 2	EBM	Sammanställning i Excel av köp och försäljningar.
6	Bilaga S 3	Mesirow Financial	Ticket från Mesirow avseende inköp av SAIL 2013-07-16
7	Bilaga S 4	Mesirow Financial	Ticket från Mesirow avseende försäljning av SAIL 2013-07-16 till ABS I G
8	Bilaga S 5	Mark Bishop	VCON-ticket från Mesirow Fin.
9	Bilaga S 6	Mark Bishop	Mappomslag för mapp funnen hos Mark Bishop vid husrannsakan.
10	Bilaga S 7	Mark Bishop	Wire transfer av \$ 2 400 000 från ABS I G
11	Bilaga S 8	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för augusti 2013.
12 - 14	Bilaga S 9	Mark Bishop	Kontoutdrag från LPL Financial för ABS I G
15	Bilaga S 10	Mark Bishop	T T avseende SVC.s köp från ABS I G 2013-08-13
16	Bilaga S 11	SVC.s bokföring	T T avseende SVC.s köp från ABS I G 2013-08-13
17	Bilaga S 12	Mark Bishop	Banköverföring till ABS I G.s bankkonto 2013-08-19
18	Bilaga S 13	Pensionsmyndigheten	Bankkontoutdrag för ABS I G i CommerceWest Bank för aug 2013.
19	Bilaga S 14	Close Brothers/EBM	Bankkontoutdrag för SVC.s bankkonto i Close Brothers
20	Bilaga S 15	SVC.s bokföring	T T avseende SVC.s försäljning till Close Brothers/Optimus 2013-08-14
21 - 22	Bilaga S 16	Rättshjälp Tyskland	Sammanställning av Close Brothers köp och försäljning av MANA.
23 - 24	Bilaga S 17	Rättshjälp Tyskland	Settlement confirm från Close Brothers
25	Bilaga S 18	Wahlstedt	Trade confirmation avseende Optimus köp av MANA
26 - 27	Bilaga S 19	Swedbank	Trade instruction avseende Optimus köp av MANA
28	Bilaga S 20	Swedbank	Optimus köp av MANA
29	Bilaga S 21	Rättshjälp Malta	Mail från Barbaros Okten till Kevin Portelli med T T
30	Bilaga S 22	Mesirow Finance	Ticket från Mesirow avseende inköp av MANA från Falcon
31	Bilaga S 23	Mark Bishop	Mail från Mark Bishop till Ron Chamberlin
32	Bilaga S 24	Mark Bishop	DTC Request
33	Bilaga S 25	Mark Bishop	Memorandum från Mark Bishop till Emil Ingmanson
34	Bilaga S 26	Rättshjälp Tyskland	Mail från Emil Amir Ingmanson 2013-08-12
35	Bilaga S 27	Rättshjälp Tyskland	Mail från Emil Amir Ingmanson 2013-08-14
36	Bilaga S 28	Rättshjälp Tyskland	Mail från Emil Amir Ingmanson 2013-08-14
37	Bilaga S 29	Rättshjälp Tyskland	Mail från Emil Amir Ingmanson 2013-08-14

38	Bilaga S 30	Mark Bishop	Mail från Emil Amir Ingmanson 2013-08-14
29 - 40	Bilaga S 31	Rättshjälp Tyskland	Mail från Ulf Deckmark 2013-08-14

Försäljning av MBS "MANA"



MANA

Merrill Lynch Alternative Note Asset Trust Series 2007 - A2 A3D

<u>Instrument</u>	<u>Antal</u>	<u>Trade date</u>	<u>Settlement date</u>	<u>Säliare</u>	<u>Köpare</u>	<u>Factor</u>	<u>Current Face</u>	<u>Deal price</u>	<u>Deal Belopp</u>	<u>Upplupen ränta</u>	<u>Bankavgift</u>	<u>Settlement Summa</u>
US59024FAG90	24 354 840	2013-08-06	2013-08-09	Whitebox Advisors	Mesirow	0,722627	\$ 17 599 453,44	0,126875	\$ 2 232 930,66	\$3 593,44		\$ 2 236 524,10
US59024FAG90	23 354 840	2013-08-06	2013-08-09	Mesirow Fin är trader	ABS Inv Group	0,722627	\$ 16 876 826,92	0,135	\$2 379 520,00	\$3 593,44		\$2 379 519,65
US59024FAG90	24 354 840	2013-08-13	2013-08-16	ABS Inv Group	SVC, UK	0,722627	\$ 17 599 453,44	0,276	\$4 857 449,15	\$5 270,38		\$4 862 719,53
US59024FAG90	24 354 840	2013-08-14	2013-08-16	SVC, UK	Close Brothers	0,722627	\$ 17 599 453,44	0,345	\$6 071 811,39	\$5 270,38	-\$24 354,84	\$6 052 726,93
US59024FAG90	24 354 840	2013-08-14	2013-08-16	Close Brothers	Optimus Fonder	0,722627	\$ 17 599 453,44	0,345	\$6 071 811,39	\$5 270,38	\$6 071,81	\$6 083 153,63
US59024FAG90	24 354 840	2015-09-02	2015-09-08	Falcon Funds	Mesirow Finance	0,722627	\$ 17 599 453,44	0,1075	\$1 891 941,25	\$3 418,01		\$1 895 359,00

A3D omfattar \$ 46 527 000

Prospektet finns (440 s)

Resultat för Optimus/Falcon =

-\$4 187 794,63

Resultat för SVC, UK =

\$1 190 007,40

Resultat för ABS I G =

\$2 483 199,88

Resultat för SVC och ABS I G =

\$3 673 207,28

Provision till Zeptunus:

493 763 kr

P266 t

Ticket number 2901564

MANA 2007-A2 A3D 2		Cancel		Trade Recap		Options		Accepted Ticket	
8911152	59024FAG9			NY	08/06/2013	16:45:34			
SELL	24354840P			As of	08/06/2013	16:17:16			
Price	13-16	13.50000000		Yield	-5.686944				
Settlement	08/09/2013	T+3 8						Broker	
Account	00541747	LPL FINANCIAL CORP						Sales	EX18

Commission	Code	Rate	+/-	Amount	Curr	55 Spread	59 Mortgage
Sales Commission		0.5416		95330.96	USD	Effective Dur	-22.73 WAC 3.793
Misc Fee					USD	Average Life	WAM 288
						Prepayment	18 CPR WALA/AGE 79
						Cpn (Actual)	0.49003 Factor .722626527
						Maturity	3/25/37 As Of Jul13
							Current Face 17,599,453.44

5) Notes 1-4	6) Notes 5-8	7) Reporting	80) Prevailing Market Price	89) Best Ex Notes
STEPOUT U		TRADE STIP	ABS	
MASTER A/C EX18		TRADE STIP		
TRD ID		TRADE STIP		
HEDGE		NOTES		

Invoice		Principal/Agency P	
Principal	2,375,926.22	SOL / UNSOL	
Accrued (15)	3,593.44	Settlement Loc	
Transaction Costs		Trade Flat Venue ID	
Total (USD)	2,379,519.66	Special Price	
		TRACE: Unknown Status	Fee-based pecial N
View in USD	Rate 1.00000000	Invert	Ticket # 2901564

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 16:01:21

P266 t

Ticket number 2901561

MANA 2007-A2 A3D 2		Cancel		Trade Recap		Options		Accepted Ticket	
8911152	59024FAG9					NY	08/06/2013	16:45:12	
BUY	24354840P					As of	08/06/2013	16:20:34	
Price	12-22	12.68750000		Yield		-4.997569			
Settlement	08/09/2013	T+3 8						Broker	
Account	84797548	WHITEBOX ADVISORS						Sales EX42	
Commission	Code	Rate	+/-	Amount	Curr	Spread	Mortgage		
Sales Commission		0.25		43998.63	USD	Effective Dur	-23.79 WAC	3.793	
Misc Fee					USD	Average Life	WAM	288	
						Prepayment	18 CPR WALA/AGE	79	
						Cpn (Actual)	0.49003 Factor	.722626527	
						Maturity	3/25/37 As Of	Jul13	
							Current Face	17,599,453.44	
5 Notes 1-4 6 Notes 5-8 7 Reporting 88 Prevailing Market Price 89 Best Ex Notes									
STEPOUT U		TRADE STIP							
MASTER A/C EX42		TRADE STIP							
TRD ID		TRADE STIP							
HEDGE		NOTES							
Invoice					Principal/Agency P				
Principal 2,232,930.66					SOL / UNSOL				
Accrued (15) 3,593.44					Settlement Loc				
Transaction Costs					Trade Flat Venue ID				
Total (USD) 2,236,524.10					Special Price Fee-based				
					TRACE: Unknown Status pcial N				
View in USD Rate 1.00000000 Invert					Ticket # 2901561				

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 16:02:12

BG 724-41
Bilaga S 5

Axigen WebMail - Print Emails

8/7/13 12:02

["ROBERT GREENWOOD (MESIROW FINANCIAL IN)" <bobgreenwood@bloomberg.net>]

Tue, 08/06/2013 10:31 PM

From: ["ROBERT GREENWOOD (MESIROW FINANCIAL IN)" <bobgreenwood@bloomberg.net>]**Subject:** * VCON TICKET **** VCON TICKET *****TRADER:** SEAN GARRITY CUSIP: 59024FAG9**BUYS:** 24,354,840 of MANA 2007-A2 A3D 0.49003% 03/25/37**PRICE:** 13.5000000 assuming CPR= 30.0 YTM: -15.787**SETTLE:** 08/09/13 [Fri] Accrual Pd: 07/25/13 - 08/25/13 Monthly**Next Payment:** 08/26/13 (0 day delay)**** Curr Face \$ 17,599,453.44 <Jul13 Factor 0.7226265270>****PRINCIPAL VAL \$ 2,375,926.21****+ 15 Days ACC \$ 3,593.44 ✓ actual current coupon****= TOTAL FUNDS \$ 2,379,519.65 ******< TRADE NOTES: ylpl 0075 >****Formula: (1xLIBOR01M)+30BP. CAP=n/a % FLOOR= 0.3%**

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MANA 07-A2 A3D

MANA 2007-A2 A3D

CUSIP: 59024 FAG 9

ISIN: US 59024 FAG 90

SOLD BY: MESROW

↓
CPL DIRECTED TRADE

↓
SVC

↓
OPTIMUS

SETTLEMENT:

2017-9000-BG724-41



agot föremål:
ringsmapp

FX : Commercial : Wire Transfers

8/6/13 5:00

Cash Management

Print Single Wire Transfer

Ref #: 686603**Created by:** ABS Investment Group LLC - 08/05/2013 07:59 pm PDT**Approved by:** ABS Investment Group LLC - 08/05/2013 07:59 pm PDT**SENDER****Name:** ABS Investment Group LLC**Tax ID #:** 262119490**Address:** 707 BROADWAY 18TH FLOOR**City:** San Diego**State:** California**ZIP/Postal Code:** 92101**Phone Number:** 6192360444**Account to Debit:** Checking:*0070**Amount:** \$ \$2,400,000.00**Submit date:** 08/06/2013**BENEFICIARY****INTERMEDIARY INSTITUTION(optional)**

If data is entered in a single field in this section all fields in this section become required.

Beneficiary's Full Name: LPL Financial**Beneficiary's Address 1:** 2111 Business Center Drive**Beneficiary's Address 2:****Beneficiary's City:** Irvine**Beneficiary's State:** California**ZIP/Postal Code:** 92612**Beneficiary's Account Number:** 8900511524**Special Instructions for the Beneficiary:**

Further credit: Client acct. no. "1360-4701", client acct. name "ABS INVESTMENT GROUP".

Code Type:**Institution Name:****Institution Address:****Institution City:****Institution State:****BENEFICIARY INSTITUTION****Routing Number:** 021000018**Institution Name:** Bank of New York**Institution Address:** One Wall Street**Institution City:** New York**Institution State:** New York**ZIP/Postal Code:** 10286

Date 8/30/13
Primary AccountPage 2
0070

BUSINESS ANALYZED

0070 (Continued)

Checks and Withdrawals

Date	Description	Amount
8/02	Online Pmt HSBC CARD SRVCS	8,917.18-
	WEB	
8/02	WIRE-OUT 20132140007900	2,000,000.00-
8/05	WIRE-OUT 20132170002400	639,403.34-
8/05	IB Transfer from D *****070 to	88,327.63-
8/06	Account Analysis Charge	513.19-
8/06	WIRE-OUT 20132180001700	290,022.57-
8/06	WIRE-OUT 20132180002000	2,400,000.00- ←
8/06	IB Transfer from D *****070 to	510,000.00-
8/07	WIRE-OUT 20132190003400	2,000.00-
8/07	WIRE-OUT 20132190003500	2,000.00-
8/08	IB Transfer from D *****070 to	6,500,000.00-
8/14	Online Pmt HSBC CARD SRVCS	3,120.78-
	WEB	
8/19	PAID SCGC THE GAS COMPANY	54.46-
	WEB	
8/19	WIRE-OUT 20132310009600	3,945,000.00-
8/20	Online Pmt HSBC CARD SRVCS	7,814.90-
	WEB	
8/20	INTERNAL TRF 2013232000360	500,170.20-
8/26	Online Pmt HSBC CARD SRVCS	11,468.71-
	WEB	
8/29	WIRE-OUT 20132410015500	2,000.00-
8/29	WIRE-OUT 20132410015600	3,000.00-
8/29	WIRE-OUT 20132410015700	3,000.00-
8/29	WIRE-OUT 20132410014700	5,000.00-
8/29	WIRE-OUT 20132410015800	11,800.00-
8/29	WIRE-OUT 20132410017700	13,200.00-
8/29	WIRE-OUT 20132410013900	20,000.00-
8/29	WIRE-OUT 20132410011100	460,000.00-
8/30	WIRE-OUT 20132420003200	20,000.00-

Checks in Serial Number Order

Date	Check No	Amount
8/15		8,000.00

* Indicates skip in Check Number

Daily Balance Information

Date	Balance	Date	Balance
8/01	12,789,108.91	8/06	6,857,372.20
8/02	10,780,045.13	8/07	6,853,372.20
8/05	10,052,314.16	8/08	353,372.20
		8/14	350,251.42
		8/15	342,251.42
		8/19	1,259,916.49

BG721-6
Bilaga 59



ABS INVESTMENT GROUP
A LIMITED LIABILITY COMPANY
707 BRAODWAY, 18TH FLOOR
SAN DIEGO CA 92101

Your Financial Advisor:
Ronald Chamberlin (949)251-6959
Commerce West Bank
2111 Business Center Drive
Irvine, CA 92612
ron.chamberlin@LPL.COM

urities Offered Through
Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827



FDIC Insured | No Bank Guarantee | May Lose Value
Not a Deposit | Not Insured by any Federal Government Agency

Investment Account Strategic Asset Management 1360-4701

COPY

Page 1 of 12
13604701
000000

Investment Account Strategic Asset Management

Statement Period
August 1 to August 31, 2013

ABS INVESTMENT GROUP A LIMITED LIABILITY COMPANY	1360-4701
Investment Objective	Growth

Total Value of Your Account as of August 31, 2013	\$3,896,939.41
--	-----------------------

INVESTMENTS HELD AT LPL FINANCIAL

Previous Year-End Value as of December 31, 2012	\$724,609.05
Beginning Value as of August 1, 2013	\$4,333,880.46
Additions	8,345,000.00
Withdrawals	—
Dividends, Interest and Capital Gains	7,078.35
Increase/decrease in Market Value ¹	-8,789,019.40
Ending Value as of August 31, 2013	\$3,896,939.41

	Year-End Value December 31, 2012	Value on August 31, 2013
TOTAL VALUE OF YOUR ACCOUNT	\$724,609.05	\$3,896,939.41

¹ Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.

Account Activity as of August 31, 2013

SECURITIES SOLD

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
08/22/13	Sale	FIRST NLC TR REMIC SER 2005-3 CL M2 MONTHLY 0 DAY DELAY VAR CPN 1.213% DUE 12/25/35 DTD 11/16/05 FC 11/25/05 32113JBV6	-1,000,000	\$21.00	\$209,983.45
TOTAL SECURITIES SOLD					\$209,983.45

ADDITIONS TO YOUR ACCOUNT

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
08/02/13	Wire Transfer	FED FUNDS/ COMMERCEWEST	—	—	\$2,000,000.00
08/06/13	Wire Transfer	FED FUNDS/COMMERCEWEST	—	—	2,400,000.00
08/19/13	Wire Transfer	FED FUNDS/COMMERCEWEST	—	—	3,945,000.00
08/21/13	Transfer A/C	FIRST NLC TR REMIC SER 2005-3 CL M2 MONTHLY 0 DAY DELAY VAR CPN 1.213% DUE 12/25/35 DTD 11/16/05 FC 11/25/05 32113JBV6	1,000,000	—	—
TOTAL ADDITIONS TO YOUR ACCOUNT					\$8,345,000.00

Account Activity as of August 31, 2013

ACCOUNT ACTIVITY SUMMARY

	Period Ending August 31, 2013	Year-to-Date
Securities Purchased	-\$8,450,135.30	-\$20,720,458.29
Securities Sold	209,983.45	209,983.45
Additions to Your Account	8,345,000.00	20,696,431.51
Withdrawals from Your Account	—	-5,129.01
Dividends, Interest and Capital Gains	7,078.35	42,451.18
Reinvestments	—	—
Net Change in Bank Deposit Sweep Balance ¹	—	—
Net Change in Money Market Fund Balance	-4,121,108.99	222,311.91
Fees and Expenses ²	—	-966.93

SECURITIES PURCHASED

Date	Activity Type	Description/SecurityID	Quantity	Price	Amount
08/02/13	Purchase	ML ALT NT ASSET TR REMIC 2007-A2 CL A3D MONTHLY 0 DAY DELAY VAR CPN 0.493% DUE 03/25/37 DTD 03/30/07 FC 04/25/07 59024FAG9	20,000,000	\$13.375	-\$1,935,633.41
08/06/13	Purchase	ML ALT NT ASSET TR REMIC 2007-A2 CL A3D MONTHLY 0 DAY DELAY VAR CPN 0.493% DUE 03/25/37 DTD 03/30/07 FC 04/25/07 59024FAG9	24,354,840	13.50	-2,379,569.66

SECURITIES PURCHASED continue on page 5

¹ Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information.

² Fees and expenses include account, custodial and advisory fees assessed during the statement period.

Enter 1<Go> to send Ticket via MSG and VCON.

Bloomberg**TRADE TICKET**

as of 8/13/13

TRADER

ABS INVESTMENT GROUP

59024FAG9

orig face

MERRILL LYNCH ALTERNATIVE NOTE ASSET

CMD :FLT,STEP,+

BUYS

24,354,840 MANA 2007-A2 A3D

0.49003% 3/25/37

DM = +2510.3 BP 5.28 Avg Life
11/18-11/18 Principal**PRICE** 27.6000000

assuming CPR= 18.0

YTC = 26.656%

(27.6000000)

SETTLE

8/16/13 Fri

Accrual Period 7/25/13 - 8/25/13 Monthly

CALL? Date 8/25/18 Px 100

Next payment 8/26/13 (0 day delay)

1st Idx 0.18603 LIBOR01M 0.18603

Original Face

\$ 24,354,840.00

x Jul13 Factor

0.7226265270

✓ actual current factor

= current face

\$ 17,599,453.44

Principal value

\$ 4,857,449.15

+ 22 days accrued

\$ 5,270.38

✓ actual current coupon

= total funds

\$ 4,862,719.53

TRACE ELIGIBLE

Text Highlight? ☒**NOTES:**issued: 3/30/07
mature: 3/25/37
Collat:AltAAR3.53
3.79(288)79

(1xLIBOR01M)+30BP CAP=n/a % FLOOR= 0.3%

Australia 61 2 9727 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9234 1210 Hong Kong 852 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SN 329015 H621-456-1 14-Aug-13 5:37:05 PDT GMT-7:00

Enter 1<Go> to send ticket via MSG and VCON.

Bloomberg
GLO

TRADE TICKET

as of 8/13/13

TRADER

ABS INVESTMENT GROUP

59024FAG9

orig face NEPILL LYNCH ALTERNATIVE NOTE ASSET

CMD :FLT,STEP,+

BUYS

24,354,840

MANA 2007-A2 ADD

0.49003% 3/25/37

DM = +2510.3 BP 5.28 Avg Life

11/18-11/18 Principal

PRICE

27.6000000

assuming

CPR= 18.0

YTC =

26.656%

(27.6000000)

SETTLE

8/16/13

Fri

Accrual Period 7/25/13 - 8/25/13 Monthly

CALL Y Date 11/25/18 Px 100

Next payment 8/26/13 (0 day delay)

1st Idx 0.18603 LIBOR01M 0.18603

Original Face

\$ 24,354,840.00

x Jul13 Factor

0.7226265270

= current face

\$ 17,599,453.44

Principal value

\$ 4,857,449.15

+ 22 days accrued

\$ 5,270.38

= total funds

\$ 4,862,719.53

NOTES:

TRACE ELIGIBLE
Text Highlight? Y

issued: 3/30/07

mature: 3/25/37

Collat: AltaAR3.53

3.79(288)79

(1xLIBOR01M)+30BP CAP=n/a % FLOOR= 0.3%

Australia 61 2 9777 8800 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
Japan 61 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SN 929015 H521-456-1 14-Aug-13 6:37:05 PDT GMT-7:00

136724-41
Bilaga S12

CommerceWest Bank

Incoming Wire - Advice of Credit

Date 08/19/2013

Wire Create Time: 1020

Account # : ****0070
Amount : \$4,862,719.53
GFX Reference : 20132310002200
Sending Bank : 121000248 WELLS FARGO NA
Sender Name : SOLID VENTURE CAPITAL LTD.
869 HIGH ROAD

N128AQ LONDON

GB LONDON

Sender Reference: 2013081900006231
Sender's Account Number: DE62500305009153974002

Beneficiary : *****3334
ABS INVESTMENT GROUP LLC
707 BROADWAY, 18TH FLOOR

SAN DIEGO

92101 CA US

Beneficiary Info (OBI):
SOLID VENTURE CAPITAL LTD.

INTERNAL-CORE-NO. 200000728

MANA BOND

Beneficiary Advice Information :

Bank to Bank Info (BBI):

Reference for Beneficiary (RFB):

*****EXCLUDE-Cycle
1906 0.8180 EX 0.000 5 2 1160
Abs Investment Group LLC
707 Broadway 18th Floor
San Diego CA 92101

Date 8/30/13 Page 1
Primary Account 0070

---- CHECKING ACCOUNTS ----
Abs Investment Group LLC

BUSINESS ANALYZED
Account No. 0070 Statement Dates 8/01/13 thru 9/02/13
Previous Balance 12,789,108.91 Days in the statement period 33
2 Deposits/Credits 4,868,313.33 Average Ledger 2,477,484.83
28 Checks/Debits 17,454,959.56 Average Collected 2,477,157.93
Service Charge .00
Interest Paid .00
Current Balance 202,462.68

	Total For This Period	Total Year-to-Date
NSF paid item fees statement to date	\$.00	\$.00
NSF return item fees statement to date	\$.00	\$.00

Deposits and Additions
Date Description Amount
8/06 DDA Regular Deposit 5,593.80
8/19 WIRE-IN 20132310002200 4,862,719.53 ←

Checks and Withdrawals
Date Description Amount
8/02 PAID SDGE SD GAS ELEC 146.60-
WEB

Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--

EBM.s noteringar			
Datum	Text	Belopp	KOMMENTAR
2013-08-15	Försäljning värdepapper	6 052 726,98	SVC säljer till Close Brothers/Optimus
2013-08-19	Överföring	-4 862 719,53	SVC köper från ABS Investment Group
			Värdepapper
			MANA
			MANA

Enter 1<Go> to send ticket via MSG and VCON.

Bloomberg
CIBC

TRADE TICKET

as of 8/13/13

TRADER

CLOSE BROTHERS

only face MERILL LYNCH ALTERNATIVE NOTE ASSET

59024FAG9

CMD : FLI, STEP, +

BUYS

24,354,840 MANA 2007-A2 A3D

0.49003% 3/25/37

DN = +2073 1 BP 5.23 Avg Life
11x10-11x10 Principal

PRICE 34-16

(34.50000000)

assuming

CPR= 18.0

YTC =

21.847%

SETTLE

8/16/13 Fri

Accrual Period 7/25/13 - 8/25/13

Monthly

CALL? ☒ Date 11/25/18 Px 100

Next payment 8/26/13 (0 day delay)

1st Idx 0.18603 LIBOR01M 0.18603

Original Face

\$ 24,354,840.00

x Jul13 Factor

\$ 0.7226265270

= current face

\$ 17,599,453.44

Principal value

\$ 6,071,811.44

+ 22 days accrued

\$ 5,270.38

= total funds

\$ 6,077,081.82

✓ actual current factor

✓ actual current coupon

TRACE ELIGIBLE

Text Highlight? ☒

NOTES:

issued: 3/30/07
mature: 3/25/37
Collat: ALTAAR3.53
3.79(288)79

(1xLIBOR01M)+30BP, CAP=n/a % FLOOR= 0.3%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 892 2977 6000
Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.
SN 329015 H621-456-1 14-Aug-13 6:38:15 PDT GMT-7:00

Bilaga 5/6

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
9 US59024FAG90	Eingang	ML ALTERN. NOTE ASSET S.07-A2 DL-FLR CERTS 2007(37) CL.A-3D	24 354 840 USD	2013-08-15	ABS Investment Group, DTC 0075



Info	Unser Depotkunde Solid Venture Captial Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Optimus Fonder AB.
------	---

Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via HSBC Trinkaus & Burkhardt AG, Düsseldorf									
OTC Käufer					OTC Verkäufer				
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day Value Day
Optimus Fonder AB (Anlage 3.1)	24 354 840 USD	6 083 154 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-08-14	2013-08-16	Solid Venture Capital Ltd.	6 052 726,93 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 (w/ Depot #900728)	2013-08-14 2013-08-16



Rättshjälp
Tyskland
Bilagga 5 18

settlement confirm

20130816151202W01 RECEIVE AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9608 939634
2:O 545 0911 130816 BBHCUS33AXXX 0689 231383 130816 1511 N
3:108:SWFT0SW422825768
4::16R:GENL

:20C::SEME//121522788200000

:23G:NEWM

:98A::PREP//20130816

:16R:LINK

:20C::RELA//2010289620130816

:16S:LINK

:16S:GENL

:16R:TRADDET

:98A::SETT//20130816

:98A::TRAD//20130814

:98A::ESET//20130816

:90A::DEAL//PRCT/34,5

:35B:ISIN US59024FAG90

MANA 2007-A2 A3D V/R 03/25/37

:16S:TRADDET

E

:16R:FIAC

:36B::ESTT//FAMT/24354840,

:36B::ESTT//AMOR/17599453,2743

:97A::SAFE//2006112

:16S:FIAC

:16R:SETDET

:22F::SETR//TRAD

:16R:SETPRTY

:95P::SELL//CEDELULL

:16S:SETPRTY

:16R:SETPRTY

:95R::DEAG/DTCYID/00000908

:16S:SETPRTY

:16R:SETPRTY

:95P::PSET//DTCYUS33

:20C::PROC//001C1BE1

:16S:SETPRTY

:16R:AMT

:19A::ESTT//USD6052726,98

:16S:AMT

:16S:SETDET

5:CHK:080364C36D5E

Rättshjälp
Tyskland

settlement confirm

20130816151214W01 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9608 939656

2:O 547 0911 130816 BBHCUS33AXXX 0689 231417 130816 1512 N

3:108:SWFT0SW422825849

MUR

4::16R:GENL

START OF BLOCK

:20C::SEME//121522788300000

:23G:NEWM

FUNCTION OF THE MESSAGE

:98A::PREP//20130816

DATE/TIME

:16R:LINK

START OF BLOCK

:20C::RELA//9562119620130816

REFERENCE

:16S:LINK

END OF BLOCK

:16S:GENL

END OF BLOCK

:16R:TRADDET

START OF BLOCK

:98A::SETT//20130816

DATE/TIME

:98A::TRAD//20130814

DATE/TIME

:98A::ESET//20130816

DATE/TIME

:90A::DEAL//PRCT/34,5

PRICE

:35B:ISIN US59024FAG90

IDENTIFICATION OF FINANCIAL INST.

MANA 2007-A2 A3D V/R 03/25/37

:16S:TRADDET

END OF BLOCK

:16R:FIAC

START OF BLOCK

:36B::ESTT//FAMT/24354840,

QUANTITY OF FINANCIAL INSTRUMENT

:36B::ESTT//AMOR/17599453,2743

QUANTITY OF FINANCIAL INSTRUMENT

:97A::SAFE//2006112

SAFEKEEPING ACCOUNT

:16S:FIAC

END OF BLOCK

:16R:SETDET

START OF BLOCK

:22F::SETR//TRAD

INDICATOR

:16R:SETPRTY

START OF BLOCK

:95P::BUYR//CHASGB2LXXX

PARTY

:16S:SETPRTY

END OF BLOCK

:16R:SETPRTY

START OF BLOCK

:95R::REAG/DTCYID/00000902

PARTY

:16S:SETPRTY

END OF BLOCK

:16R:SETPRTY

START OF BLOCK

:95P::PSET//DTCYUS33

PARTY

:16S:SETPRTY

END OF BLOCK

:16R:AMT

START OF BLOCK

:19A::ESTT//USD6083153,63

AMOUNT

:16S:AMT

END OF BLOCK

:16S:SETDET

END OF BLOCK

5:CHK:3FEE1DD691AA

TRADE CONFIRMATION

Date: 15/08/13

Client:	Optimus Fonder AB
Confirmation Text:	
Reference No.:	244701

We confirm your Buy

Security Name:	ML ALTERN. NOTE ASSET S.07-A2 DL-FLR CERTS 2007(37) CL.A-3D		
ISIN:	US59024FAG90		
Currency:	USD		
Nominal:	24,354,840		
Trade Price:	USD 24.93061500	Principal Amount:	USD 6,071,811.39
Commission:	USD 6,071.81	Interest Days:	22
Local Tax:		Accrued Amount:	USD 5,270.38
Net Price:	USD 24.97718600		
Net Amount:	USD 6,083,153.58		
Exchange:			
Trade Day:	14/08/13		
Value Day:	16/08/13		
Trade Time:	17:30		
Trader:	laiflema		

Our Settlement Instructions:

Clearstream Banking S.A., Luxembourg
CEDELULLXXX
CBL 64043 HSBC Trinkaus & Burkhardt (BIC: TUBDDEDD)

Your Settlement Instruction:

Swedbank AB
EC 23860
a/c Traction Fonder AB



Information to Swedbank

Traction

2013-08-15 14:06:21

Swedbank trade instructions
Version 3.20

Verify/Approve instructions

Row ID	Type of Message	Instruction type	Safekeeping account	Client reference	Trade date	Settlement date	Instrument	Quantity	Deal price	Deal Deal Amount currency	Settlement amount currency	Counterparty Buyer/Seller	Counterparty settlement agent
1	NEWM	B	901901350628	33298	20130814	20130816	US59024FAG90	24354840	0,24977186	6071811,43 USD	6083153,58 USD	BBHCUS33	0010

Bilaga S 19

Counterparty	Place of settlement	Event code	Status	Client ID
safe				
2006112	DTCYUS33		Verified	Tracton

Report Name: Settled Trades - Optimus HY
From Date: 01-Jan-2013 To Date: 31-Dec-2014
Run ID: D-466-312-332
Account Name
SWEDBANK RE: OPTIMUS HIGH YIELD

Account Number	Security ID	ISIN	Security Name	Units	Net Amount	Trade Date	Actual Settlement Date
60485	44793DB	US59024FAG90	MERRILL LYNCH ALTERNATIVE NOTE ASSET TRUST 2007-A2 A-3D VA	24 354 840,00000000	(6 083 153,58)	14-aug-2013	16-aug-2013



{In Archive} RMBS Trades for Falcon Balanced

Barbaros Okten

to:

Kevin Portelli

10/09/2015 14:57

Hide Details

From: Barbaros Okten <barbaros.okten@temple.com.mt>

To: Kevin Portelli <kevin.portelli@bov.com>

History: This message has been forwarded.

Archive: This message is being viewed in an archive.

Hi Kevin,

As per our conversation just now, please find below trade tickets from Bloomberg with regards to the sale of the RMBS positions for Falcon Balanced Fund:

----- Original Message -----

From: ROBERT GREENWOOD (MESIROW FINANCIAL IN)

At: Sep 2 2015 16:13:08

ID : 59024FAG9 *Trade Ticket* As of Date: 09/02/2015 09:47:27 Cusip: 59024FAG
 TRDR/SLS : ROBERT GREENWOOD Settlement : 9/8/2015
 BUYS : 24354840.00P (M) ISSUER:MERRILL LYNCH ALTERNAT
 Security : MANA 2007-A2 A3D 0.499%
 Price : 10.750000000 Yield:-2.985068 Yield to: 9/8/2015 at
 Coupon : 0.499400% Prepay speed : 15.0 CPR Factor : 0.722626527

Notes :

Principal	USD	1,891,941.25	{59024FAG9 MTGE DES <GO>}
Accrued (14 days)		3,418.01	
Transaction Costs		0.00	
Total	USD	1,895,359.26	BAL 0861'5

Miscellaneous Fields:

Original Face : 24354840.0 Current Face : 17,599,453.44
 Issue Date : 03/30/07 Maturity Date : 03/25/37
 Average Life : .00 Factor Date : Aug15
 Accrual Start Date : 08/25/15 Accrual End Date : 09/24/15
 Next Payment Date : 09/25/15 Delay : 0 DAYS
 ** THIS TICKET (#3689138) GENERATED BY BLOOMBERG TOMS / POMS SYSTEM **

P266 t

Ticket number 3689138

MANA 2007-A2 A3D 2		Cancel		Trade Recap		Options		Accepted Ticket	
8911802	59024FAG9					NY	09/02/2015	10:09:43	
BUY	24354840P					As of	09/02/2015	09:47:27	
Price	10-24	10.75000000		Yield	-2.985068				
Settlement	09/08/2015	T+3 9							
Account	RBA1930900	FALCON FUNDS SICAV PLC				Broker			
						Sales	F25		

Commission	Code	Rate	+/-	Amount	Curr	55 Spread	9 Mortgage
Sales Commission	F	0.4375		76997.6088	USD	Effective Dur	-22.93 WAC 3.311
Misc Fee					USD	Average Life	WAM 268
						Prepayment	15 CPR WALA/AGE 104
						Cpn (Actual)	0.4994 Factor .722626527
						Maturity	3/25/37 As Of Aug15
						Current Face	17,599,453.44

5 Notes 1-4	6 Notes 5-8	7 Reporting	80 Prevailing Market Price	89 Best Ex Notes
STEPOUT		TRADE STIP		M= *RR= *LC== **TQ= *
MASTER A/C		TRADE STIP		
TRD ID		TRADE STIP	UNSOLICITED ORDER	
HEDGE		NOTES		

Invoice		Principal/Agency	P
Principal	1,891,941.25	SOL / UNSOL	U
Accrued (14)	3,418.01	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	1,895,359.26	Special Price	
		TRACE: Unknown Status	Fee-based
			pecial
View in	USD	Rate	1.00000000
		Invert	
		Ticket #	3689138

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 16:04:59

B6724-41
Bilaga 523**[Mark Bishop <mbishop@absig.com>]**

Mon, 08/12/2013 05:46 PM

From: [Mark Bishop <mbishop@absig.com>]**To:** [rchamberlin@cwbk.com, golf44ron@aol.com]**Cc:** [Craig Chang <cchang@absig.com>]**Subject:** DTC DELIVERY REQUEST: MANA 2007-A2 A3D, cusip 590024FAG9, orig. face \$24,354,840...

Ron -

We need a DTC delivery request:

Please find attached delivery instructions and contact information for the buyer of the subject bond (back-office: Stefania Ruhnke, trade operations, +49 69 92054 309, stefania.ruhnke@cbseydler.com).

We will be delivering the subject bond via "DTC Free" delivery, from DTC number 0075, our LPL account number "1360-4701", account name "ABS Investment Group", to the buyer's account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089 154 CSC63359", acct. name "**Solid Venture Capital**"). The settlement date is Thursday, August 15, 2013. The bond to deliver is as follows:

MANA 2007-A2 A3D, cusip 590024FAG9, orig. face \$24,354,840.

Please contact us if you have any questions.

Best regards,

Mark

=====
Mark Bishop
mbishop@absig.com
+1 949 678 9574

BG72441
Bilaga 524

08/12/2013 MON 9:00 FAX 949 251 6958 CommerceWest Bank

001/001

**Move Money
DTC Request****DTC**Account Number

1	3	6	0	4	7	0	1
---	---	---	---	---	---	---	---

Rep ID

7	N	P	B
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Instructions: Use this form to deliver DTC-eligible securities only from an LPL non-retirement account to a account holder account at another firm or financial institution. Note: Partial shares and Mutual funds are not DTC eligible.

Please fax the completed form to (858) 202-8335.

1. Account Information

Account Registration

ABS Investment Group

Social Security/Tax ID Number

26-2119480

2. Required Field: Select type of DeliveryPlease select one: ☐ Gift ☒ Common Owner ☐ Death Distribution (Tax Lot selection not available)**3. Cost Basis Information**

Use the optional (*) Tax Lot Trade Date and Tax Lot Price fields to select specific lots. If these fields are NOT specified, LPL will deliver the securities using the default first in, first out (FIFO) method.

I hereby authorize LPL Financial LLC to act on my behalf in accordance with the following delivery instructions. Please deliver the following security(ies):

CUSIP/Symbol	Security Description	Share Quantity	Tax Lot Trade Date*	Tax Lot Price*
59024FAG9	MANA 2007-A2 A3D	\$24,354,840.00		

4. Send the securities according to the Receiving Broker/Dealer information below:

DTC # (4 Digits)

0908

Account Number

089 154 CSC63359

Account Title

ABS Investment Group

Receiving Institution Phone Number and Contact Information (additional information may be required)

Close Brothers Seydler Bank, Stephanie Ruhnke, +49 69 92054 309

Relationship to owner. (Note: Request is subject to LPL's AML/fraud prevention policies)

Investor

5. Account Holder Acknowledgement

Account Holder Signature

Mark Bishop

Account Holder Name (print)

08/12/2013

Date

Account Holder Signature

Account Holder Name (print)

Date

6. Validation of Account Holder Signature

My customer(s) is/are well known to me, and I validate that the signature(s) on the attached document is/are genuine. I agree for myself and my successors, assigns, heirs, executors, and administrators to at all times indemnify and hold harmless LPL Financial LLC (LPL) and all LPL staff and third-party providers, acting as Authorized Agents of LPL, from and against any and all claims, losses, liabilities, taxes, damages, actions, charges, and expenses, including attorney fees, resulting from your compliance with this request. LPL reserves the right to verify the authenticity of any signature.

Financial Advisor / Authorized Person Signature

Ron Chamberlin

Financial Advisor / Authorized Person Name (print)

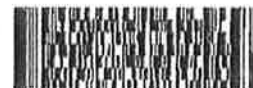
08/12/2013

Date

LPL Financial

Member FINRA/SIPC

Page 1 of 1

F85
Revised 1112

**MEMORANDUM**

Date: August 12, 2013
To: Emil Ingmanson
From: Mark Bishop
Re: MANA 2007-A2 A3D
Compliance memo supporting pricing

The MANA 2007-A2 A3D bond (cusip 59024FAG9, ISIN US59024FAG90) performs well and is currently at a satisfactory level at a price of 34.50%.

Supporting price:

- 1) The Canadian bond fund, Omniarch Fixed Income Fund, purchased USD 20 million of MANA 2007-A2 A3D at 37.00% on August 7, 2013;
- 2) Citi Group provides a total return of 25.17% at a price of 34.50%;
- 3) JPMorgan provides a total return of 24.20% at a price of 34.50%;
- 4) ABSNet provides a total return of 20.93% at a price of 34.50%;
- 5) US regional dealer, Mesirow Financial, offered a price of 34.00% for USD 10 million of MANA 2007-A2 A3D on August 9, 2013.
- 6) Hayden Consulting International (haydenconsultingintl.com) places a valuation price on the subject bond of 35.00%, as of August 9, 2013.

Rättshjälp
Tyskland
Bilaga 5&6

8/29/2018

New Bond...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Mon, Aug 12, 2013 11:09 PM WT

Attachments:  21256E5B-5599-47E1-B36B-02B91354B64D[10].png (4.4 KB)  Trade Ticket -
MANA - ABS to SVC.pdf (46.9 KB)

Dear Carsten,

Here is the bond that's on its way to my account.

ISIN US59024FAG90

Best Regards,
E-A Ingmanson



Lammarby Kaj 16
120 30 Stockholm, Sweden

+467-329 399 99

+356-999 380 99

www.solidequity.se

eai@solidequity.se

8/29/2018

Preview

*Rättshjälp
Tyskland
Bilaga S 27*

Re: US590204FAG90

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Markus Laifle

Cc: Carsten Pfersdorf; Dana Benz-Fischer

Date: Wed, Aug 14, 2013 2:35 PM WT

Attachments:  21256E5B-5599-47E1-B36B-02B91354B64D[7].png (4.4 KB)  Trade Ticket - MANA - ABS to SVC.pdf (46.9 KB)  Trade Ticket - MANA - Close Brothers to Optimus.pdf (46.5 KB)

Dear all,

These are the two trade tickets that I did send.

The nominal is 24 on both and the prices are correct.

Best Regards,
E-A IngmansonHammarby Kaj 16
120 30 Stockholm, Sweden+467-329 399 99
+356-999 380 99www.solidequity.se
eai@solidequity.se

From: Markus Laifle <Markus.Laifle@cbseydler.com>

Date: Wed, 14 Aug 2013 11:47:09 +0000

To: "eai@solidequity.se" <eai@solidequity.se>

Cc: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>, Dana Benz-Fischer <dana.benz-fischer@cbseydler.com>

Subject: US590204FAG90

Hi Emil,

JO just informs us that we receive nom 24.354.840 and not 24.000.000
as expected.

Can you please check?

Thx and rgds

Markus

Mit freundlichen Grüßen / With best regards

Markus Laifle
Sales Trading

We believe in German Mittelstand

8/29/2018

Preview

*Räffshjäl
Tyskland
Bilaga S 28*

Re: US590204FAG90

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Markus Laifle

Cc: Carsten Pfersdorf; Dana Benz-Fischer

Date: Wed, Aug 14, 2013 3:50 PM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D\[22\].png \(4.4 KB\)](#)  [Trade Ticket - MANA - ABS to SVC\[2\].pdf \(52.6 KB\)](#)  [Trade Ticket - MANA - Close Brothers to Optimus\[3\].pdf \(52.3 KB\)](#)

Dear all,

Here is the corrected Trade Tickets.

Sorry about this :(

Best Regards,
E-A IngmansonHammarby Kaj 16
120 30 Stockholm, Sweden

+467-329 399 99

+356-999 380 99

www.solidequity.seeai@solidequity.se

From: Markus Laifle <Markus.Laifle@cbseydler.com>**Date:** Wed, 14 Aug 2013 11:47:09 +0000**To:** "<eai@solidequity.se>" <eai@solidequity.se>**Cc:** Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>, Dana Benz-Fischer <dana.benz-fischer@cbseydler.com>**Subject:** US590204FAG90

Hi Emil,

BO just informs us that we receive nom 24.354.840 and not 24.000.000
as expected.

Can you please check?

Thx and rgds

Markus

Mit freundlichen Grüßen / With best regards

Markus Laifle
Sales Trading**We believe in German Mittelstand**

8/29/2018

Preview

*Rättshjälp
Tyskland
Bilaga S 29*

Re: AW: FNLC Bond...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Wed, Aug 14, 2013 10:23 AM WT

Attachments: ☐ Trade Ticket - MANA - Close Brothers to Optimus.pdf (46.5 KB)

Hello,

I didn't know I did send you the sell ticket?

Here it is anyway with the pricing below if you need that.

MEMO - MANA supporting pricing

Compliance memo supporting pricing Re: MANA 2007-A2 A3D

US59024FAG90)
performs

The MANA 2007-A2 A3D bond (cusip 59024FAG9, ISIN

well and is currently at a satisfactory level at a price of 34.50%.

Supporting price:

1. Fixed Income Fund, purchased USD 20 million of MANA 2007-A2 A3D at 37.00% on August 7, 2013;
 - 1) The Canadian bond fund, Omniarch
2. of 25.17% at a price of 34.50%;
 - 2) Citi Group provides a total return
3. of 24.20% at a price of 34.50%;
 - 3) JPMorgan provides a total return
4. 20.93% at a price of 34.50%;
 - 4) ABSNet provides a total return of
5. Financial, offered a price of 34.00% for USD 10 million of MANA 2007-A2 A3D on August 9, 2013.
 - 5) US regional dealer, Mesirow
6. (haydenconsultingintl.com) places a valuation price on the subject bond of 35.00%, as of August 9, 2013.
 - 6) Hayden Consulting International

From: **Emil Amir Ingmanson** amir77@me.com
Subject: Fwd: US590204FAG90
Date: 14 August 2013 at 04:56
To: Mark Bishop mailtobishop@gmail.com

3672/-5
18.10.2013

Mark -

Can you please check the trade tickets again. There's something wrong...

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

Från: Markus Laifle <Markus.Laifle@cbseydler.com>

Datum: 14 augusti 2013 13:47:09 CEST

Till: "'eai@solidequity.se" <eai@solidequity.se>

Kopia: Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>, Dana Benz-Fischer <dana.benz-fischer@cbseydler.com>

Ämne: US590204FAG90

Hi Emil,

BO just informs us that we receive nom 24.354.840 and not 24.000.000 as expected.

Can you please check?

Thx and rgds

Markus

Mit freundlichen Grüßen / With best regards

Markus Laifle
Sales Trading

Rättshjelp
Tyskland
Bilaga 531

SV: US590204FAG90

From: Ulf Deckmark [ulf.deckmark@optimusfonder.se]

To: Markus Laifle

Cc: jan.eriksson@optimusfonder.se

Date: Wed, Aug 14, 2013 4:14 PM WT

Attachments:  image001.gif (26.9 KB)

Hi Markus,

I confirm the trade.

Best regards

Ulf

Från: Markus Laifle [mailto:Markus.Laifle@cbseydler.com]

Skickat: den 14 augusti 2013 16:02

ill: 'jan.eriksson@optimusfonder.se'

Kopia: 'ulf.deckmark@optimusfonder.se'; Carsten Pfersdorf

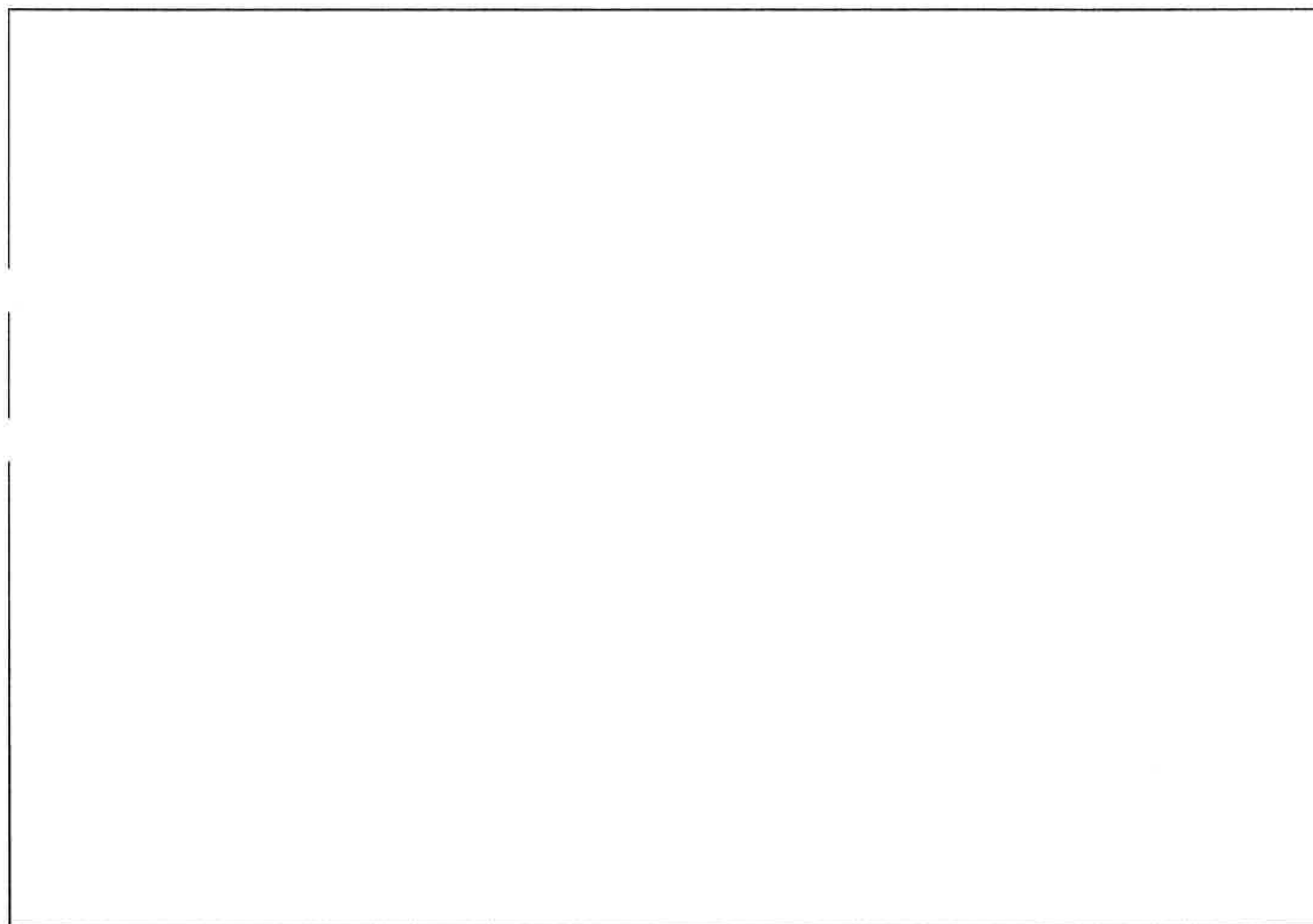
Ämne: US590204FAG90

Hello Jan, hello Ulf

please confirm your buy of nom. 24.354.840USD **US590204FAG90** as in the trade attached,

Thank you and regards

Markus Laifle



Mit freundlichen Grüßen / With best regards

Markus Laifle
Sales Trading

We believe in German Mittelstand

Top 10 Brokers Europe – Wall Street Journal Europe 2013

Winner 2012 Best German SME House – Capital Markets Daily Portal

Best Bookrunner Mittelstandsanleihen 2011 und 2012 – BOND MAGAZINE

Top Stock Picker 2012 – Thomson Reuters Starmine Ranking in sector machinery (pan-European)

Platz 1 Going Public League Table 2011 für Emission von Mittelstandsanleihen nach Anzahl und Volumen

Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX

Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland

Close Brothers Seydler Bank AG

Schillerstrasse 27 - 29

60313 Frankfurt am Main

tel: +49 (0) 69 / 92054-120

fax: +49 (0) 69 / 92054-905

cell: +49 (0) 172 / 7296625

e-mail: markus.laifle@cbseydler.com

Registergericht: Frankfurt am Main

Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507

Aufsichtsrat: Jonathan Howell (Vorsitzender)

Vorstand: René Parmantier, Holger Gröber

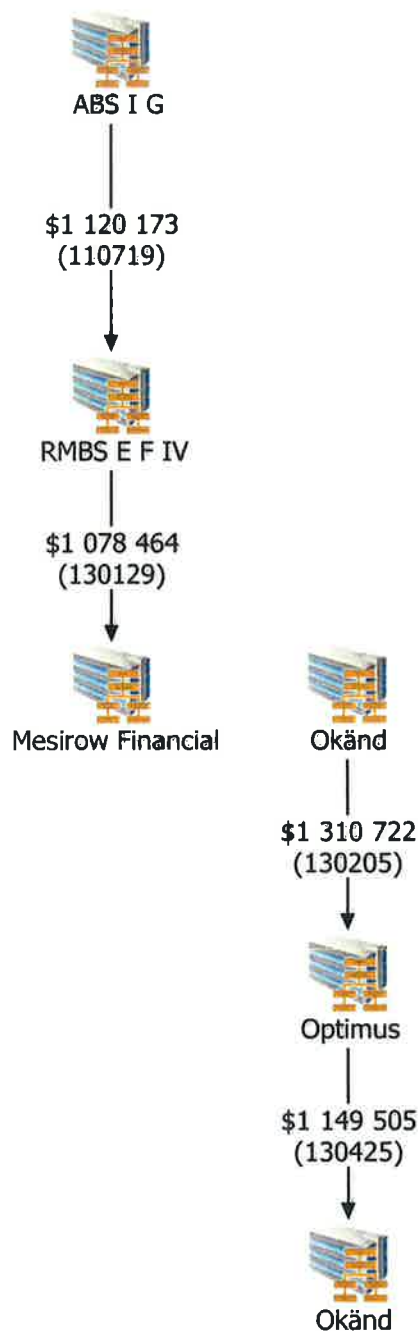
<http://www.cbseydler.com>

Bilaga T till

CBASS

Försäljning av MBS.erna "CBASS"

CBASS - GAP



CBASS - GAM

