

# Bilaga 15 A

Justerad 2019-09-06

STOCKHOLMS TINGSRÄTT  
Avdelning 2

INKOM: 2019-10-16  
MÅLNR: B 9735-17  
AKTBIL: 1275

## (PM) Bilaga L

till förundersökningsprotokoll

**EB-4689-16**

### **Grovt bedrägeri mm i**

Optimus Fonder AB (556673-5758)

Falcon Funds SICAV PLC (SV295)

mfl.

Misstänkta:

Max Serwin (fd Emil Amir Ingmansson), 771006-0570  
Mark Bishop, 560512-0000  
Afram Gergeo, 770205-0316  
mfl.

Förundersökningsledare:

Kammaråklagare Jerker Asplund  
Kammaråklagare Ingela Ohlén  
Extra åklagare Gunnar Stetler

**Bilaga L till**

**BOAMS**

**MTGE**

**2004-L B1**

**Sid****Kap. 7.9 BOAMS - Bilaga L**

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# Försäljning av MBS "BOAMS"

  
Stifel Nicholas

\$2 142 582  
(130214)

  
Mesirow Financial

\$2 242 591  
(130214)

  
ABS I G

\$3 463 318  
(130226)

  
SVC

\$4 318 185  
(130226)

  
Close Brothers

\$4 326 776  
(130226)

  
Optimus

\$2 303 878  
(131206)

  
Auriga

Bilagga 2.1

**BOAMS MTGE 2004-L B1**

Instrument	Cusip	Original Face	Trade date	Settlement date	Namn	Sällare	Köpare	Factor	Current Face	Deal price	Deal Belopp	Upluppen ränta	Bankavgift	Settlement Summa
1	US05949AZH66	05949AZH6	12 863 000	2013-02-07	2013-02-14	BOAMS	Stifel Nicholas	Mesirow	0,88856511	\$11 429 613,01	0,18625	\$2 128 765,42	\$13 816,67	\$2 142 582,09
2	US05949AZH66	05949AZH6	12 863 000	2013-02-07	2013-02-14	BOAMS	Mesirow	ABS I G	0,88856511	\$11 429 613,01	0,195	\$2 228 774,54	\$13 816,67	\$2 242 591,21
3	US05949AZH66	05949AZH6	12 863 000	2013-02-22	2013-02-26	BOAMS	ABS I G	SVC	0,890577880	\$11 455 503,27	0,3	\$3 436 650,98	\$26 666,68	\$3 463 317,66
4	US05949AZH66	05949AZH6	12 863 000	2013-02-22	2013-02-26	BOAMS	ABS I G	Close Brothers	0,890577880	\$11 455 503,27	0,3750	\$4 295 813,79	\$26 666,68	\$4 318 184,66
5	US05949AZH66	05949AZH6	12 863 000	2013-02-22	2013-02-26	BOAMS	Close Brothers	Optimus	0,890577880	\$11 455 503,27	0,375	\$4 295 813,79	\$26 666,68	\$4 326 776,28
6	US05949AZH66	05949AZH6	12 863 000	2013-12-03	2013-12-06	BOAMS	Optimus	Auriga	0,871681576	\$11 212 440,11	0,205	\$2 298 550,22	\$5 327,80	\$2 303 878,02

Prospektet saknas

Resultat för Optimus = -\$2 022 898,26

Resultat för SVC, UK = \$854 867,00

Resultat för ABS I G = \$1 220 726,45

Resultat för SVC och ABS I G: \$2 075 593,45

Zeptunus = 12,5% av SVC 688 093 kr

P266 t

Ticket number 2761136

BOAMS 2004-L B1 4.		<input type="checkbox"/> Cancel	<input type="checkbox"/> Trade Recap	<input type="checkbox"/> Options	Accepted Ticket
8911312	05949AZH6			NY	02/26/2013 01:12:35
BUY	12863	(M)		As of	02/07/2013 10:49:47
Price	18-20	18.62500000	Yield	72.647941	
Settlement	02/14/2013	Other		Broker	
Account	00808942	STIFEL NICHOLAS			

Commission	Code	Rate	+/-	Amount	Curr	59 Spread	9 Mortgage
Sales Commission					USD	Effective Dur	2.2 WAC 3.48
Misc Fee					USD	Average Life	5.73 WAM 264
						Prepayment	13.98 CPR WALA/AGE 98
						Cpn (Assumed)	3.352102 Factor .88856511
						Maturity	1/25/35 As Of Feb13
						Current Face	11,429,613.01

Notes 1-4  Notes 5-8  Reporting  Prevailing Market Price  Best Ex Notes

STEPOUT	U	TRADE STIP	
MASTER A/C	EX14	TRADE STIP	
TRD ID		TRADE STIP	
HEDGE		NOTES	

Invoice		Principal/Agency	P
Principal	2,128,765.42	SOL / UNSOL	
Accrued ( 13 )	13,816.67	Settlement Loc	
Transaction Costs		<input type="checkbox"/> Trade Flat	Venue ID
Total (USD)	2,142,582.09	<input type="checkbox"/> Special Price	Fee-based
View in	USD	Rate	1.00000000
		<input type="checkbox"/> Invert	
		TRACE: Unknown Status	pecial
		Ticket #	2761136

SN 276302 EDT GMT-4:00 H755-5838-0 30-Apr-2019 15:27:14

P266 t

Ticket number 2761137

BOAMS 2004-L B1 4.	Cancel	15 Trade Recap	99 Options	Accepted Ticket
8911312	05949AZH6		NY	02/26/2013 01:12:36
SELL	12863	(M)	As of	02/07/2013 10:49:24
Price	19-16	19.50000000	Yield	69.287298
Settlement	02/14/2013	Other	Broker	
Master	B39902431	ABS INVT GROUP AMERICAN RMBS	Sales	EX09

Commission	Code	Rate	+/-	Amount	Curr	5 Spread	9 Mortgage	
Sales Commission		0.875		100009.11	USD	Effective Dur	2.28 WAC	3.48
Misc Fee					USD	Average Life	5.73 WAM	264
						Prepayment	13.98 CPR	WALA/AGE 98
						Cpn (Assumed)	3.352102	Factor .88856511
						Maturity	1/25/35	As Of Feb13
							Current Face	11,429,613.01

9 Notes 1-4 0 Notes 5-8 7 Reporting 80 Prevailing Market Price 89 Best Ex Notes

STEPOUT	U	TRADE STIP	MARK BISHOP
MASTER A/C	EX09	TRADE STIP	
TRD ID		TRADE STIP	
HEDGE		NOTES	

Invoice		Principal/Agency	P
Principal	2,228,774.54	SOL / UNSOL	
Accrued (13)	13,816.67	Settlement Loc	
Transaction Costs		Trade Flat	Venue ID
Total (USD)	2,242,591.21	Special Price	Fee-based
View in	USD	TRACE: Unknown Status	pecial N
Rate	1.00000000	Invert	
		Ticket #	2761137

SN 276302 EDT GMT-4:00 H755-5638-0 30-Apr-2019 15:26:40

**Bluesheet Data**  
**Confidential**

This report was generated by Daniel Koster on Mon Jul 08 2019 20:50:21 EDT

Tax Id 1	Name Address 1	Name Address 2	Trade Date Original	Quantity	Price	Buy/Sell Code	Symbol
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,25	B	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130205	12 863 000	16,75	B	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130205	12 863 000	16,75	B	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130205	12 863 000	16,75	B	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
133299429	FIXED RATE D1	TRADER PORTFOLIO FROM IMPACT	130205	12 863 000	16,75	S	05949AZH
262119490	ABS INVESTMENT GROUP LP	ABS INVESTMENT GROUP	130207	12 863 000	19,5	B	05949AZH
999999999	STIFEL NICOLAUS & CO INC	501 N BROADWAY 8TH FL	130207	12 863 000	18,625	S	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130207	12 863 000	18,625	S	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130207	12 863 000	18,625	S	05949AZH
430538770	STIFEL NICOLAUS & CO INC	501 NORTH BROADWAY	130207	12 863 000	18,625	S	05949AZH
262119490	ABS INVESTMENT GROUP LP	ABS INVESTMENT GROUP	130226	12 863 000	19,5	B	05949AZH
262119490	ABS INVESTMENT GROUP LP	ABS INVESTMENT GROUP	130226	12 863 000	19,5	B	05949AZH
999999999	STIFEL NICOLAUS & CO INC	501 N BROADWAY 8TH FL	130226	12 863 000	18,625	S	05949AZH
999999999	STIFEL NICOLAUS & CO INC	501 N BROADWAY 8TH FL	130226	12 863 000	18,625	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH

*Bitaga 24*

**Bluesheet Data  
Confidential**

This report was generated by Daniel Koster on Mon Jul 08 2019 20:50:21 EDT

Tax Id 1	Name Address 1	Name Address 2	Trade Date Original	Quantity	Price	Buy/Sell Code	Symbol
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
113626427	AURIGA USA LLC	1 PENN PLAZA 46TH FLOOR	131203	12 863 000	21,5	S	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL CREDIT	OPPORTUNITIES MASTER FUND LTD	131203	12 863 000	21,5	B	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	20,5	B	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	20,5	B	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	20,5	B	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	20,5	B	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	20,5	B	05949AZH
999999999	TRACTION HIGH YIELD FUND	BIRGER JARLSGATAN 32 B, 4 TR	131203	12 863 000	20,5	S	05949AZH
999999999	TRACTION HIGH YIELD FUND	BIRGER JARLSGATAN 32 B, 4 TR	131203	12 863 000	20,5	S	05949AZH
999999999	TRACTION HIGH YIELD FUND	BIRGER JARLSGATAN 32 B, 4 TR	131203	12 863 000	20,5	S	05949AZH
999999999	TRACTION HIGH YIELD FUND	BIRGER JARLSGATAN 32 B, 4 TR	131203	12 863 000	20,5	S	05949AZH
999999999	TRACTION HIGH YIELD FUND	BIRGER JARLSGATAN 32 B, 4 TR	131203	12 863 000	20,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
271972187	AURIGA USA LLC	--PRINCIPAL TRADING ACCOUNT--	131203	12 863 000	21,5	S	05949AZH
999999999	400 CAPITAL OPPORTUNITIES FUND	LTD.	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL OPPORTUNITIES FUND	LTD.	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL OPPORTUNITIES FUND	LTD.	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL OPPORTUNITIES FUND	LTD.	131203	12 863 000	21,5	B	05949AZH
999999999	400 CAPITAL OPPORTUNITIES FUND	LTD.	131203	12 863 000	21,5	B	05949AZH

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Date 2/28/13  
Account Number  
Enclosures

Page 2  
92200070

BUSINESS ANALYZED CHECKING

92200070 (Continued)

Checks and withdrawals

Date	Description	Amount
2/04	PAID SDGE SD GAS ELEC 5951184800 02/04/13 TRACE #-122000495303522	166.26
2/06	TELEPHONE TRANSFER 92200070D- 92200005D	191,085.08
2/11	WIRE-OUT 20130420003100	225,000.00
2/14	INTERNAL TRF 2013045000320	625,182.15
2/14	WIRE-OUT 20130450008200	2,247,689.81 ←
2/19	OUTGOING WIRES FOR 2/19/13	237,325.86
2/19	WIRE-OUT 20130500004900	50,221.00
2/19	WIRE-OUT 20130500005100	102,590.65
2/19	Online Pmt HSBC CARD SRVCS 9541719426 02/19/13 TRACE #-056073613823483	4,950.07
2/20	PAID SCGC THE GAS COMPANY 8882052494 02/20/13 TRACE #-043301604675009	119.72
2/21	WIRE-OUT 20130520002700	105,944.00
2/22	WIRE-OUT 20130530002600	58,000.00
2/25	WIRE-OUT 20130560002800	8,600.00
2/25	WIRE-OUT 20130560010700	307.00
2/28	SERVICE CHARGE	351.69

\*\*\*\*\*

Daily Balance Information

Date	Balance	Date	Balance	Date	Balance
2/01	3,337,384.50	2/14	144,567.01	2/22	14,063.79
2/04	3,048,290.96	2/15	219,567.01	2/25	5,156.79
2/06	2,857,205.88	2/19	178,127.51	2/28	4,805.10
2/11	2,632,205.88	2/20	178,007.79		
2/13	3,005,521.49	2/21	72,063.79		

For inquiries please call 949-251-6959

B. Yaga 26

GFX/PAYplus Connect Message Print - Message Inquiry Display Dialog Box

User: thua Bank: COMMERCEWEST BANK Date: 05/06/19 11:43:31

Message Status: PNRM

Seq Num: 20130450008200 Related Seq Num: 20130450011400

Pay Method: FED Output Message ID: FTI0811

Date Recvd: 02/14/2013 11:00:28 Value Date: 02/14/2013

Sender: 122243334, Receiver: 021000018

Amount: \$2,247,689.81

Debit info --

Account: 92200070 Inst: 01 Br: 01 Type: D8

Name: ABS INVESTMENT GROUP LLC

Addr1: 707 BROADWAY 18TH FLOOR

Addr2: SAN DIEGO CA 92101

Addr3:

Addr4:

Credit info --

Rcvr: 021000018

Name: THE BANK OF NEW YORK MELLON

Addr1:

Addr2:

Addr3:

Addr4:

Advice: Dept: DEPT1 Trancode: DOMESTIC

Category: Linesheet: Create Template:

Message Text:

Sndr Info {1500}30 P  
Msg Type {1510}1000  
IMAD {1520}20130214L1LFB75C000029  
Amount {2000}000224768981  
Sender DI {3100}122243334  
Sndr Ref {3320}20130450008200\*  
Rcvr DI {3400}021000018  
Bus Func {3600}CTR  
BNF {4200}D8900366540\*  
MESIROW FINANCIAL\*  
350 NORTH CLARK STREET\*  
CHICAGO , IL\*  
60610\*  
ORG {5000}D92200070\*  
ABS INVESTMENT GROUP LLC\*  
707 BROADWAY 18TH FLOOR , \*  
SAN DIEGO , CA\*  
US , 92101\*  
OBI {6000}FURTHER CREDIT: CLIENT ACCT. NO. "4\*  
3064229" , CLIENT NAME "ABS INVESTM\*  
E\*  
NT GROUP LLC". PURCHASE CUSIP 05949\*

Enter 1<Go> to send Ticket via MSG and VCON.



# TRADE TICKET

as of 2/22/13

**TRADER**

ABS INVESTMENT GROUP

05949AZH6

orig face

BANK OF AMERICA MORTGAGE SECURITIES

CMD : SUB, CSTR, +

**SELLS**

12,863,000

**BOAMS 2004-L B1**

3.3521%

1/25/35

DM = +0922 2 BF 5.70 Hwy Life

**PRICE**

30-00

assuming

CPR= 14.0

YTM = 43.490%

3/13-10-48 Principal

( 30,000,000)

**SETTLE**

2/26/13 Tue

Accrual Period 2/ 1/13 - 2/28/13 Monthly

CALL?  Date 1/25/17 Px 100

Next payment 3/25/13 (24 day delay)

1st Idx 0.78100

0.78100

Original Face

\$ 12,863,000.00

x Jan13 Factor

0.8905778800

= current face

\$ 11,455,503.27

+ Principal value

\$ 3,436,650.98

+ 25 days accrued

\$ 26,666.68

= total funds

\$ 3,463,317.66

**NOTES:**

ISIN: US05949AZH66

TRACE ELIGIBLE

Text Highlight?

issued: 12/ 1/04

mature: 1/25/35

Collat: WHARM 3.22

3.48(264)98

FLOOR= 0%

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7380 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000

Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.

SH 190519 H529-1152-1 25-Feb-13 3:14:24 PST GMT-8:00

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Date 3/29/13 Page 1  
Account Number [REDACTED] 0070  
Enclosures 1

\*\*\*\*\*EXCLUDE-Cycle  
1891 0.8180 EX 0.000 5 2 1100  
ABS INVESTMENT GROUP LLC  
707 BROADWAY 18TH FLOOR  
SAN DIEGO CA 92101

---- CHECKING ACCOUNTS ----

BUSINESS ANALYZED CHECKING		Number of Images	1
Account Number	[REDACTED] 0070	Statement Dates	3/01/13 thru 3/31/13
Previous Balance	4,805.10	Days in the statement period	31
7 Deposits/Credits	3,695,298.30	Average Ledger	689,624
31 Checks/Debits	3,364,024.93	Average Collected	689,624
Service Charge	379.76		
Interest Paid	.00		
Ending Balance	335,698.71		

\*\*\*\*\*

Deposits and Additions

Date	Description	Amount
3/01	WIRE-IN 20130600005200	3,463,317.66 ←
3/05	WIRE-IN 20130640002400	105,944.00
3/06	WIRE-IN 20130650002100	3,620.47
3/14	WIRE-IN 20130730005000	5,073.60
3/15	WIRE-IN 20130740016600	90,944.00
3/27	WIRE-IN 20130860002500	11,898.57
3/29	WIRE-IN 20130880024600	14,500.00

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Checks and withdrawals

Date	Description	Amount
3/01	OUTGOING WIRES FOR 3/1/13	13,000.00
3/01	OUTGOING WIRES FOR 3/1/13	100,942.61
3/01	OUTGOING WIRES FOR 3/1/13	150,000.00
3/01	OUTGOING WIRES FOR 3/1/13	509,575.00
3/01	OUTGOING WIRES FOR 3/1/13	630,000.00
3/01	OUTGOING WIRES FOR 3/1/13	850,093.24
3/04	INTERNAL TRF 2013063000440	100,000.00
3/04	WIRE-OUT 20130630002600	20,000.00
3/04	WIRE-OUT 20130630004200	2,000.00
3/04	WIRE-OUT 20130630003900	2,000.00
3/04	WIRE-OUT 20130630003800	3,000.00
3/04	WIRE-OUT 20130630004000	4,000.00
3/04	WIRE-OUT 20130630003700	12,000.00
3/04	WIRE-OUT 20130630004300	233,237.58

SVC.s bankkonto i den tyska banken Oddo Close Brothers

**Solid Venture Capital Ltd, UK, USD-konto 200000728 2013-02-01--**

Datum	Text	Belopp	Saldo	KOMMENTAR	EBM.s noteringar	Värdepapper	Vinst SVC
2013-02-26	Försäljning värdepapper	\$4 318 170,10	\$4 318 170,10	SVC säljer till Close Brothers/Optimus		BOAMS	\$854 852,44
2013-03-01	Överföring	-\$3 463 317,66	\$684 852,44	SVC köper från ABSIG		BOAMS	

\$854 852,44

Bilagga L9

Close Brothers Seydler Bank AG, Kunden-Depotgeschäft via BNP PARIBAS Securities Service S.C.A., Zweigniederlassung Frankfurt					
Depot # 900728 - Eingänge/ Ausgang Frei von Zahlung (Anlage 2.3)					
ISIN	Eingang/ Ausgang	Name	Nom.	trade date	Gegenseite der Frei von Zahlung Transaktion
US05949AZH66	Eingang	BANC OF AMERICA MTG 2004-L TR. DL-FLR CERTS 2004(35) CL.B-1	12 863 000 USD	2013-02-22	ABS Investment Group, acc. 1360-4701, DTC 0075

Info
Unser Depotkunde Solid Venture Capital Ltd. hat die Nominale frei von Zahlung von ABS Investment Group erhalten, und nach Erhalt verkauft an Traction Fonder AB.

**Close Brothers Seydler Bank AG, Kundenhandel (Kommissionsgeschäft) via  
 HSBC Trinkaus & Burkhardt AG, Düsseldorf**

OTC Käufer				OTC Verkäufer						
Käufer	Nom.	Settlement amount	Settlement	Trade Day	Value Day	Verkäufer	Settlement amount	Settlement	Trade Day	Value Day
Traction Fonder AB (Anlage 3.1)	12 863 000 USD	4 326 776,28 USD	JP Morgan Chase Bank, NY DTC 00902, Inst. ID 62815 ABA no: 02100021 JP Morgan Chase Bank, London ACC: PS 75350	2013-02-22	2013-02-26	Solid Venture Capital Ltd. (Anlage 2.3 bzw. 3.1)	4 318 184,66 USD	Clearstream Banking S.A. Luxembourg CEDELULXXX s/c 63359 BNP Paribas BIC PARDEFF Citibank, New York DTC acc. 908 RCVR-Acct: 089154 CSC63359 <b>(w/ Depot #900728)</b>	2013-02-22	2013-02-26



## Settlement Confirm:

**20130226150617W00 RECEIVE AGAINST PAYMENT CONFIRMATION**

1:F01 TUBDDEDDAXXX 9425 827226  
 2:O 545 0906 130226 BBHCUS33AXXX 0658 649565 130226 1506 N  
 3:108:SWFT0SW405735144  
 4::16R:GENL  
**:20C::SEME//120455861000000**  
 :23G:NEWM  
 :98A::PREP//20130226  
 :16R:LINK  
**:20C::RELA//8719685720130226**  
 :16S:LINK  
 :16S:GENL  
 :16R:TRADDET  
 :98A::SETT//20130226  
 :98A::TRAD//20130222  
 :98A::ESET//20130226  
 :90A::DEAL//PRCT/33,396671  
 :35B:ISIN US05949AZH66  
           BOAMS 2004-L B1 V/R 01/25/35  
 :16S:TRADDET  
 :16R:FIAC  
 :36B::ESTT//FAMT/12863000,  
 :36B::ESTT//AMOR/11429613,0099  
 :97A::SAFE//2006112  
 :16S:FIAC  
 :16R:SETDET  
 :22F::SETR//TRAD  
 :16R:SETPRTY  
 :95P::SELL//CEDELULL  
 :16S:SETPRTY  
 :16R:SETPRTY  
 :95R::DEAG/DTCYID/00000908  
 :16S:SETPRTY  
 :16R:SETPRTY  
 :95P::PSET//DTCYUS33  
 :20C::PROC//001F1A72  
 :16S:SETPRTY  
 :16R:AMT  
 :19A::ESTT//USD4318184,66  
 :16S:AMT  
 :16R:AMT  
 :19A::CHAR//USD3,25  
 :16S:AMT  
 :16S:SETDET  
 5:CHK:4DFC8E635763

settlement confirm

20130226151053W00 DELIVER AGAINST PAYMENT CONFIRMATION

1:F01 TUBDDEDDAXXX 9425 827402  
2:O 547 0910 130226 BBHCUS33AXXX 0658 649836 130226 1510 N  
3:108:SWFT0SW405735430  
4::16R:GENL  
:20C::SEME//120455858800000  
:23G:NEWM  
:98A::PREP//20130226  
:16R:LINK  
:20C::RELA//3754947920130226  
:16S:LINK  
:16S:GENL  
:16R:TRADDET  
:98A::SETT//20130226  
:98A::TRAD//20130222  
:98A::ESET//20130226  
:90A::DEAL//PRCT/33,396671  
:35B:ISIN US05949AZH66  
BOAMS 2004-L B1 V/R 01/25/35  
:16S:TRADDET  
:16R:FIAC  
:36B::ESTT//FAMT/12863000,  
:36B::ESTT//AMOR/11429613,0099  
:97A::SAFE//2006112  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95P::BUYR//CHASGB2LXXX  
:16S:SETPRTY  
:16R:SETPRTY  
:95R::REAG/DTCYID/00000902  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::PSET//DTCYUS33  
:16S:SETPRTY  
:16R:AMT  
:19A::ESTT//USD4326776,28  
:16S:AMT  
:16R:AMT  
:19A::CHAR//USD3,25  
:16S:AMT  
:16S:SETDET  
5:CHK:2BE5EB8F2EF0

12-1769-4812  
Bikaga 2 12



Close Brothers Seydler Bank AG  
Schillerstraße 27-29  
60313 Frankfurt am Main

**TRADE CONFIRMATION**

Date: 25/02/13

**Client:** Traction Fonder AB  
**Confirmation Text:**  
**Reference No.:** 202020

**We confirm your Buy**

<b>Security Name:</b>	BANC AMERICA MTGE SEC.INC.04-L DL-FLR CERTS 2004(35) CL.B-1		
<b>ISIN:</b>	US05949AZH66		
<b>Currency:</b>	USD		
<b>Nominal:</b>	12,863,000		
<b>Trade Price:</b>	USD 33.39667100	<b>Principal Amount:</b>	USD 4,295,813.79
<b>Commission:</b>	USD 4,295.81	<b>Interest Days:</b>	1
<b>Local Tax:</b>		<b>Accrued Amount:</b>	USD 26,666.68
<b>Net Price:</b>	USD 33.63738100		
<b>Net Amount:</b>	USD 4,326,776.28		
<b>Exchange:</b>			
<b>Trade Day:</b>	22/02/13		
<b>Value Day:</b>	26/02/13		
<b>Trade Time:</b>	18:00		
<b>Trader:</b>	pfersdca		

*-3276,12 for  
abt den appropria  
werten sollte St. korrekter  
Erdat*

**Our Settlement Instructions:**

BBH, New York  
BBHCUS33  
DTC 0010, AgentID 10419, ACC. 2006112 HSBC RE NCM Clients

**Your Settlement Instruction:**

JP Morgan Chase Bank, New York  
DTC 00902 inst.ID 62815  
ABA no: 021000021  
JP Morgan Chase Bank, London  
ACC: PS75350

32099  
~~32095~~18

A

TA No.	TradeDate	TradeTime	Market	ISIN	Instrument	B/S	Quantity	TradePriceCCY	OpenAmountCCY	CommissionCCY	NetPriceCCY	NetAmountCCY	TrfCcy	CyRate	Trader	SettleInstrct
7204563	20130222	180000	TU0 ISX	US09999A2H66	Banc of America Mfg 2004-L Tr. DL-FLR Certs 2004(35) CLB-1	S	-12,863,000	33,40	4,295,813,79	4,295,81	0,34	4,326,776,28	USD	1,33	PPERSDCA	TRAC03
7204558	20130222	180000	TU0 ISX	US09999A2H66	Banc of America Mfg 2004-L Tr. DL-FLR Certs 2004(35) CLB-1	B	12,863,000	33,40	-4,295,813,79	-4,295,81	0,34	-4,318,184,66	USD	1,33	PPERSDCA	SKL001

8/29/2018

Preview

Re: ISIN code...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Mon, Feb 18, 2013 5:24 PM WT

Attachments:  

OK,

I will. Thank you.

Best Regards,  
E-A Ingmanson



Hammarby Kaj 16  
120 30 Stockholm, Sweden

+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

**From:** Carsten Pfersdorf <[Carsten.Pfersdorf@cbseydler.com](mailto:Carsten.Pfersdorf@cbseydler.com)>

**Date:** Mon, 18 Feb 2013 16:21:17 +0000

**To:** 'Emil Amir Ingmanson' <[eai@solidequity.se](mailto:eai@solidequity.se)>

**Subject:** RE: ISIN code...

Hi Emil,

sure, will sent him.

You can use [top@cbseydler.com](http://top@cbseydler.com), Jose is within this group.

Carsten

---

**From:** Emil Amir Ingmanson [<mailto:eai@solidequity.se>]

**Sent:** Montag, 18. Februar 2013 17:20

**To:** Carsten Pfersdorf

**Cc:** Angela Leser

**Subject:** ISIN code...

Hi,

José called me and asked for the ISIN code of the bond that we are about to transfer from the US. I don't have his e-mail and he don't answer his phone so I send it to you instead. Please forward it to him.

**ISIN: US05949AZH66**

Best Regards,  
E-A Ingmanson

## Markus Keßler

---

**From:** Carsten Pfersdorf  
**Sent:** Montag, 25. Februar 2013 16:09  
**To:** Trade Operations Mailbox; compliance  
**Subject:** US05949AZH66

Kurs: USD 37.50

Kurs incl Faktor: USD 33.396671

Kurs gemäß Absprache zwischen den beiden handelnden Parteien a) Solid Venture Capital als Verkäufer und b) Traction Fonder AB als Käufer.

Mit freundlichen Grüßen

Carsten Pfersdorf  
Sales Trading

---

### We believe in German Mittelstand

- *Winner 2012 Best German SME House – Capital Markets Daily Portal*
- *Top Stock Picker 2012 – Thomson Reuters Starmine Ranking in sector machinery (pan-European)*
- *Best Bookrunner Mittelstandsanleihen 2011 – Bond Magazine*
- *Platz 1 Going Public League Table 2011 für Emissionen von Mittelstandsanleihen nach Anzahl und Volumen*
- *Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX*
- *Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland*

Close Brothers Seydler Bank AG  
Registergericht: Frankfurt am Main  
Aktiengesellschaft mit Sitz in Frankfurt am Main - HRB 43507  
Aufsichtsrat: Jonathan Howell (Vorsitzender)  
Vorstand: René Parmantier, Holger Gröber

Schillerstr. 27-29  
D-60313 Frankfurt am Main

Tel.: +49 (0)69/92054-168  
Fax: +49 (0)69/92054-905  
Mobile: +49 (0)172/799 83 93  
Mail: [carsten.pfersdorf@cbseydler.com](mailto:carsten.pfersdorf@cbseydler.com)

<http://www.cbseydler.com>

## Markus Keßler

---

**From:** Carsten Pfersdorf  
**Sent:** Montag, 25. Februar 2013 11:53  
**To:** Depotgeschaeft; riskControl  
**Cc:** Markus Laifle; Stefan Krewinkel  
**Subject:** Depot 900 728

Hallo,

für das Depot 900 728 buchen wir im Verkauf

nom. 12.863.000,- BOAMS 2004-L B1 – US05949AZH66 - zu 37,5000

Preis incl Faktor: USD 33,396671

Accrued Interest: USD 26.666,68

Prov. USD 4.295,81 t/d 22.02. 18:00 s/d 26.02.

Danke, Gruß

Carsten Pfersdorf

Mit freundlichen Grüßen

Carsten Pfersdorf  
Sales Trading

---

## We believe in German Mittelstand

- **Best Bookrunner Mittelstandsanleihen 2011 - Bond Magazine**
- **Platz 1 Going Public League Table 2011 für Emissionen von Mittelstandsanleihen nach Anzahl und Volumen**
- **Platz 1 Thomson Reuters Starmine Ranking 2011 für die besten Aktienempfehlungen im TecDAX und SDAX**
- **Platz 1 Dealogic Ranking 2010 für Aktienemissionen unter 100 Mio EUR für Small & Mid Caps in Deutschland**

Close Brothers Seydler Bank AG  
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Vorstand: René Parmantier, Holger Gröber

Schillerstr. 27-29  
D-60313 Frankfurt am Main

Tel.: +49 (0)69/92054-168  
Fax: +49 (0)69/92054-905  
Mobile: +49 (0)172/799 83 93  
Mail: [carsten.pfersdorf@cbseydler.com](mailto:carsten.pfersdorf@cbseydler.com)

<http://www.cbseydler.com>

Enter 146 to send ticket via MSG and VODN

**Bloomberg** **TRADE TICKET** as of **2/22/13**

**TRADER** **SOLID VENTURE CAPITAL** **05949AZH6**

**SELLS** **12,863,000** **BOAMS 2004-L B1** **3.3521%** **1/25/35**

**PRICE** **37-16** assuming **CPR= 14.0** **YTM = 32.80%**

**SETTLE** **2/26/13** Tue **Accrual Period** **2/ 1/13 - 2/28/13** Monthly

**CALL?** **Date** **11/25/17** **Px** **100** **Next payment** **3/25/13** (24 day delay)

1st Ldx	0.78100	0.78100
Original face	\$	12,863,000.00
x Jan13 Factor		0.8905778800
= current face	\$	11,455,503.27
Principal value	\$	4,295,313.73
+ 25 days accrued	\$	26,666.68
= total funds	\$	4,322,480.41

**NOTES :** **ISIN: US05949AZH66**

**(xLIBOR12M)+OBP. NO CAP FLOOR= 0%**

**TRACE ELIGIBLE**  
**Text Highlight?** **Y**

issued: 12/1/04  
mature: 1/25/35  
Collat: WARM 3.22  
3.48(264)98

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9304 1210 Hong Kong 852 2377 8000  
Japan 81 3 3201 6300 Singapore 65 6212 1000 U.S. 1 212 318 2000 Copyright 2013 Bloomberg Finance L.P.  
SN 635232 H621-577-1 22-Feb-13 7:24:41 PST GMT-8:00

Trade ticket...

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Date: Fri, Feb 22, 2013 4:50 PM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D\[42\].png \(4.4 KB\)](#)   
[sg2013022237481.gif \(28.9 KB\)](#)

Hello Carsten,

Here is the trade ticket for the Tuesday settlement of the bond with Traction High Yield. Please contact Ulf Deckmark, at the fund company if you need to confirm the trade.

They are also a client at CBSB so there should be no problem.

Also, please make sure that the cash ends up in my USD account upon settlement on Tuesday.

Best Regards,  
E-A Ingmanson



Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

9/6/2018

Preview

VB: Trade Confirmation 202020

From: Ulf Deckmark [ulf.deckmark@tractionfonder.se]

To: Carsten Pfersdorf

Date: Mon, Feb 25, 2013 3:53 PM WT

Attachments:  [TRAC03-O-20130225-122615-202020.pdf \(44.6 KB\)](#)

Hi Carsten,

My buy of 12.863.000 nom of US05949AZH66 @ USD 37.50 is ok .  
But I don't know what USD 33.396671 incl factor is, we never calculate that way.

Mvh

Ulf Deckmark, VD  
Optimus Fonder AB

-----Ursprungligt meddelande-----

Från: Tractionfonder [mailto:info@tractionfonder.se]

Skickat: den 25 februari 2013 12:27

Till: Jan Eriksson; Ulf Deckmark

Ämne: VB: Trade Confirmation 202020

-----  
Från: Close Brothers Seydler Bank AG [SMTP:TOP@CBSEYDLER.COM]

Skickat: den 25 februari 2013 12:26:18

Till: Tractionfonder

Ämne: Trade Confirmation 202020

Automatiskt vidarebefordrat av en regel

9/6/2018

Preview

FW: [Fwd: BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000]

From: Emil Amir Ingmanson [eai@solidequity.se]

To: Carsten Pfersdorf

Cc: Mark Bishop [mbishop@absig.com]

Date: Mon, Feb 18, 2013 11:03 AM WT

Attachments:  [21256E5B-5599-47E1-B36B-02B91354B64D.png \(4.4 KB\)](#)  [LPL Delivery Instructions.pdf \(29.2 KB\)](#)  [TRADE TICKET BOAMS 04-L B1, ABS to SVC.pdf \(147.4 KB\)](#)

Dear Carsten,

Here is the bond that we are buying from ABS. Call me if you have any questions, the bond will be transferred tomorrow.

Best Regards,  
E-A Ingmanson



Hammarby Kaj 16  
120 30 Stockholm, Sweden

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

Please find attached my delivery instructions and contact information for my back-office (Ron Chamberlin, [+1 949 302 2204](tel:+19493022204) mobile, [rchamberlin@cwbk.com](mailto:rchamberlin@cwbk.com)).

We will be delivering the subject bond (BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000) via "DTC Free" Delivery, from DTC number 0075, our account number "1360-4701", account name "ABS Investment Group LLC", to your account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089154 CSC63359", acct. name "Solid Venture Capital").

Please contact us if you have any questions.

Best regards,

Mark

=====  
Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
[+1 949 678 9574](tel:+19496789574)

---  
**Mark Bishop**  
+1 949 678 9574  
+46 709 933 538



**\* TRADE TICKET \***

**ABS INVESTMENT GROUP**

**TRADE DATE:** 13-Feb-13  
**TRADER:** ABS Investment Group  
**CUSIP:** 05949AZH6  
**BOND NAME:** BOAMS 2004-L B1  
**PRICE:** 30.0000000 [30-00]  
**SETTLE:** 18-Feb-13 [MONDAY]  
**BOND COUPON:** 3.352%  
**DAYS ACCRUAL:** 17 [17 DAYS ACCRUED]  
**ACCRUAL PAID:** 18,133.33  
**NEXT PAYMENT:** 25-Mar-13 [24 DAY DELAY]  
**SELLS (ORIG. FACE):** 12,863,000.00  
**FACTOR:** 0.890577880  
**CURRENT FACE:** 11,455,503.27  
**PRINCIPAL VALUE:** 3,436,650.98  
**TOTAL FUNDS:** 3,454,784.31  
  
**COMMENTS:** Solid Venture Capital buys, and ABS Investment Group sells \$12,863,000 original face.

**Contacts**

**Purchaser:** Emil Ingmanson, President  
Solid Venture Capital  
Hammarby Kaj 16  
Stockholm, Sweden 120 30  
+1 46 851 720 700 (office)  
+1 46 732 939 999 (mobile)  
[eai@solidequity.se](mailto:eai@solidequity.se)

**Seller:** Mark Bishop  
ABS Investment Group  
1176 Main Street, Suite 300  
Irvine, California 92614  
+1(877)560-6637 (office)  
+1(949)678-9574 (mobile)  
[mbishop@absiq.com](mailto:mbishop@absiq.com)



FINANCIAL  
SERVICES

**LINSCO/PRIVATE LEDGER FINANCIAL SERVICES**

MEMBER NASD/SIPC  
9785 Towne Centre Drive  
San Diego, CA 92121-1968  
(800) 877-7210

**DELIVERY INSTRUCTIONS  
FOR SECURITIES TRANSACTIONS  
BROKER # 0075  
ALPHA SYMBOL YLPL  
TAX IDENTIFICATION # 95-2834236**

**DTC ELIGIBLE SECURITIES**

Participant # 0075  
Customer Account #

**FED ELIGIBLE SECURITIES**

BK OF NYC/LPL  
ABA # 021000018

**PTC ELIGIBLE SECURITIES**

See Fed Eligible Instructions

**OPTIONS**

OCC # 0075  
Customer Account #

**FOREIGN SECURITIES**

MERRILL LYNCH  
A/C 64U80075  
Reference: LPL Financial Services

**PRECIOUS METALS**

FIDELITRADE INC.  
A/C 19387  
Reference: LPL Financial Services

**PHYSICAL SECURITIES (VS. PAYMENT)**

The Depository Trust Co.  
New York Window Services  
55 Water Street  
New York, NY 10041  
Broker #0075  
Reference: LPL Financial Services

**PHYSICAL SECURITIES (FREE)**

LPL Financial Services  
9785 Towne Centre Drive  
San Diego, CA 92121-1968  
Attn: Security Processing

**EUROCLEAR SECURITIES**

MERRILL LYNCH  
A/C 64U80075  
Reference: LPL Financial Services

**ID TRADES**

DTC Clearing # 0075  
ID Clearing # 57102

Swedbank  
(1001) Optimus foreign trades.xls

CUSTOMER	B/S	T/D	S/D	ISIN	STATUS	ACCOUNT NR	QUANTITY	SETTLEMENT AMOUNT	CURRENCY	PSET	COUNTRY	CLIENT REFERENCE
OPTIMUS FONDER AB	Buy	2013-02-22	2013-02-26	US05949AZH66	CONFIRMED	901901350628	12863000	4326776,28	USD	DTCYUS33	UNITED STATES	32095
OPTIMUS FONDER AB	Sell	2013-12-03	2013-12-06	US05949AZH66	CONFIRMED	901901350628	12863000	2303878,02	USD	DTCYUS33	UNITED STATES	34102

Bitaga L14

**Johan Gräsjö Novak**

---

**From:** Alex Hendrickson <alex@aurigausallc.com>  
**Sent:** den 3 december 2013 16:04  
**To:** ulf.deckmark  
**Cc:** traction.backoffice  
**Subject:** BOAMS 2004-L B1

Ulf - Auriga buys from Optimus

Thank you for the trade..

Have a great day

\* VCON TICKET \*  
TRADER: ALEX HENDRICKSON CUSIP: 05949AZH6  
BUYS: 12,863,000 of BOAMS 2004-L B1 3.42122% 01/25/35  
PRICE: 20.5000000 assuming CPR= 25.0 YTM: 82.351 AL= 3.83YR  
SETTLE: 12/06/13 [Fri] Accrual Pd: 12/01/13 - 12/31/13 Monthly  
Next Payment: 01/25/14 (24 day delay)  
\*\* Curr Face \$ 11,212,440.11 <Nov13 Factor 0.8716815758>  
PRINCIPAL VAL \$ 2,298,550.22  
+ 5 Days ACC \$ 5,327.80 IASSUMED: Dec13 coupon unknown  
= TOTAL FUNDS \$ 2,303,878.02 \*\*  
< TRADE NOTES: AURIGA BUYS FROM Optimus >

Formula: (xLIBOR12M)+0BP. NO CAP FLOOR= 0%

--

Our detailed disclaimer can be seen at

[http://cp.mcafee.com/d/5fHCNEgdEILenupLsQsCXcQnNRTC3qbUWXNEVdEK3zhOyed7bVJNxNd6Wa9KstJelosg5NmHHIHu2z5eFVINjGurLpdROQsFLZvC7XK8I6zDHTbEL3CesvhhouoVqWtAkRrCzBDBgY-F6IK1FJ45yrL0b2rPUV5xcQsCXCM0mJNAhRKCDSDVv49klvIBvyrQ\\_MY5qT6h7mWquvrBPraaq9lp30wdz1EwJLtwK5ih0cECXCy9UUPYgq](http://cp.mcafee.com/d/5fHCNEgdEILenupLsQsCXcQnNRTC3qbUWXNEVdEK3zhOyed7bVJNxNd6Wa9KstJelosg5NmHHIHu2z5eFVINjGurLpdROQsFLZvC7XK8I6zDHTbEL3CesvhhouoVqWtAkRrCzBDBgY-F6IK1FJ45yrL0b2rPUV5xcQsCXCM0mJNAhRKCDSDVv49klvIBvyrQ_MY5qT6h7mWquvrBPraaq9lp30wdz1EwJLtwK5ih0cECXCy9UUPYgq)

- 3021,47 övrig  
kostnad för att få  
ihop räntan.  
Gjorde samma sak på  
trans 32099

186921-1  
Bilag 2 16

From: Mark Bishop mbishop@absig.com  
Subject: [Fwd: trade tickets...]  
Date: 15 Feb 2013, 13:32:17  
To: mailtobishop@gmail.com

=====

Mark Bishop  
mbishop@absig.com  
+1 949 678 9574

---

**Subject:** trade tickets...  
**From:** Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>  
**Date:** Fri, 02/15/2013 12:32 PM  
**To:** [emil@solidequity.se](mailto:emil@solidequity.se)

Emil -

Please find attached the trade tickets for the BOAMS bond, being sold:

- (1) ABS to Solid Venture Capital, and;
- (2) Solid Venture Capital to Traction High Yield.

M

=====

Mark Bishop  
mbishop@absig.com  
+1 949 678 9574

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TRADE TIC...to SVC.pdf  
201 KB

**Tap to Download**  
TRADE TIC...raction.pdf  
47,6 KB

From: Mark Bishop [mbishop@absig.com](mailto:mbishop@absig.com)  
 Subject: [Fwd: BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000]  
 Date: 15 Feb 2013, 13:32:39  
 To: [mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)

=====  
 Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
 +1 949 678 9574

---

**Subject:** BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000  
**From:** Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>  
**Date:** Fri, 02/15/2013 12:33 PM  
**To:** [emil@solidequity.se](mailto:emil@solidequity.se)

Emil -

Please find attached my delivery instructions and contact information for my back-office (Ron Chamberlin, [+1 949 302 2204](tel:+19493022204) mobile, [rchamberlin@cwbk.com](mailto:rchamberlin@cwbk.com)).

We will be delivering the subject bond (BOAMS 2004-L B1, cusip 05949AZH6, original face USD 12.863.000) via "DTC Free" delivery, from DTC number 0075, our account number "[1360-4701](#)", account name "ABS Investment Group LLC", to your account with Close Brothers Seydler Bank AG (DTC number "0908", acct. no. "089154 CSC63359", acct. name "Solid Venture Capital").

Please contact us if you have any questions.

Best regards,

Mark

=====  
 Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
 +1 949 678 9574

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LPL Delivery Instructions.pdf  
 40,0 KB

**Tap to Download**

TRADE TIC...to SVC.pdf  
 201 KB

From: Emil Amir Ingmanson eai@solidequity.se  
Subject: Re: [Fwd: trade tickets...]  
Date: 15 Feb 2013, 16:26:04  
To: Mark Bishop mailtobishop@gmail.com

Should I send something to CBSB?

Best Regards,  
E-A Ingmanson

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21256E5B-...D[26].png  
0 bytes

Hammarby Kaj 16  
120 30 Stockholm, Sweden

+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

**From:** Mark Bishop <[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)>  
**Date:** Fri, 15 Feb 2013 13:33:46 +0100  
**To:** Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)>  
**Subject:** Fwd: [Fwd: trade tickets...]

----- Forwarded message -----

**From:** Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>  
**Date:** Fri, Feb 15, 2013 at 1:21 PM  
**Subject:** [Fwd: trade tickets...]  
**To:** [mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)

=====  
Mark Bishop

[mbishop@absig.com](mailto:mbishop@absig.com)  
[+1 949 678 9574](tel:+19496789574)

---

**Subject:** trade tickets...  
**From:** Mark Bishop &<[mbishop@absig.com](mailto:mbishop@absig.com)>  
**Date:** Fri, 02/15/2013 12:32 PM  
**To:** [emil@solidequity.se](mailto:emil@solidequity.se)

Emil -

Please find attached the trade tickets for the BOAMS bond, being sold:

- (1) ABS to Solid Venture Capital, and;
- (2) Solid Venture Capital to Traction High Yield.

M

=====  
Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
[+1 949 678 9574](tel:+19496789574)

--

**Mark Bishop**  
+1 949 678 9574  
+46 709 933 538

From: Emil Amir Ingmanson [eai@solidequity.se](mailto:eai@solidequity.se)  
Subject: Re: BOAMS trade ticket and wire instructions...  
Date: 25 Feb 2013, 15:28:10  
To: Mark Bishop [mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)

Hello,

I have given instructions to Carsten at CBSB about this and he is contacting trade operations to make sure how this is done.

Best Regards,  
E-A Ingmanson

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21256E5B-...4D[8].png

0 bytes

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[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

**From:** Mark Bishop <[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)>  
**Date:** Mon, 25 Feb 2013 12:52:40 +0100  
**To:** Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)>  
**Subject:** BOAMS trade ticket and wire instructions...

Emil -

Please find attached the trade ticket for the BOAMS bond, where ABS Investment Group sells to Solid Venture Capital, and our wire instructions. Per these instructions, on Wednesday, February 28th, please wire **USD 3 463 317,66** as follows:

WIRE TRANSFERS - INTERNATIONAL

Swift Code: WFBIOUS6S

136 921-1

Beneficiary Bank Name: Wells Fargo Bank

Beneficiary Bank Address: San Francisco, CA USA

Beneficiary Acct #: 122243334

Beneficiary Name: Commerce West Bank

Further Credit: ABS Investment Group, LLC, Account # 92200070

*(Wire description)*

If you have any questions, feel free to contact me.

Regards,

Mark

=====

Mark Bishop

[mbishop@absig.com](mailto:mbishop@absig.com)

[+1 949 678 9574](tel:+19496789574)

--

**Mark Bishop**

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