

# Tilläggsprotokoll 2019-10-24

till förundersökningsprotokoll

**EB-4689-16**

## **Grovt bedrägeri mm i**

Optimus Fonder AB (556673-5758)

Falcon Funds SICAV PLC (SV295)

mfl.

Misstänkta:

Max Serwin (fd Emil Amir Ingmansson), 771006-0570  
Mark Bishop, 560512-0000  
Afram Gergeo, 770205-0316  
mfl.

Förundersökningsledare:

Kammaråklagare Jerker Asplund  
Kammaråklagare Ingela Ohlén  
Extra åklagare Gunnar Stetler  
Kammaråklagare Tomas Eriksson  
Kammaråklagare Marie-Louise Pettersson

## **Tilläggsfup 191024**

### **1 Ordlista och info om MBSer från FINRA**

1 Om FINRA .....	1
FINRA-Bond Glossary.....	3
FINRA-MBS .....	9

### **2 JP Morgan - värderingar**

JPM Response to SECA - 15 October 2019 .....	12
--	----

### **3 MBS Credit rate - Moodys**

0 Moody's Rating Symbols and Definitions .....	15
1 CMLTI.....	16
2 CWL2005.....	19
3 GSAMP .....	22
4 PCHLT.....	25
5 LMT 2005 .....	28
6 MARM.....	31
7 CFAB.....	34
8 CWALT .....	37
9 INDX.....	40
11 MSAC .....	43
14 FNLC.....	46
15 GSAA .....	49
16 SAIL .....	52
17 MANA 2007 .....	55

### **4 Mail från beslag**

#### **Mail BG217-2**

2012.7.19 VB .....	58
2012.8.23 Lets build a large Swedish PPM fund. Rock and roll.....	62
2012.12.9 - Re- arb.....	64

2012.12.11 - Re- Arb for CWALT and INDX.....	66
2012.12.14 - Re- Arb for CWALT and INDX.....	67
2013.2.18 - Re- Trade... ..	69
2013.2.20 - Prospectus for BOAMS 2004-L bond.....	71
2013.4.8 - Passport copy... ..	72
2013.4.15 - Email.....	73
2013.4.19 - Agreement.....	74
2013.4.19 Hayden - ·No conflict of interest...· ..	75
2013.4.26 - Re- Sales budget... ..	76
2013.5.6 - Re- Trade Ticket - ABS to SVC.....	77
2013.5.7 - Fwd· SV· letter of intent.. ..	78
2013.5.10 - Fwd· New Bond... ..	80
2013.5.15 - FW· Trade Confirmation 220676.....	81
2013.5.29 - SP Board... ..	82
2013.6.13 - Pin code verification process .....	83
2013.6.22 - Re- The buyer... ..	84
2013.6.22 - The buyer... ..	85
2013.6.29 - Another one... ..	86
2013.6.29 - Last one... ..	87
2013.6.29 - New issue bonds from CBSB... ..	88
2013.6.29 - New issued bonds from Baader Bank... ..	89
2013.6.29 - Warimpex.....	90
2013.6.30 - Ny ledamot.....	91
2013.7.11 - The file.....	92
2013.9.26 - Call me ASAP... ..	93
2013.11.6 - Re- The Agreement.....	94
2013.12.13 - Re- SV· Platforms.....	95
2014.3.18 - Riskfunktion.....	98
2014.4.10 - Fwd· Management Agreement between Optimus Fonder and Calamatta Cuschieri... ..	99
2014.6.30 - VB· Stelum faktura... [CORRECTION].....	103

2014.7.29 - Re- fee structure letter.....	105
---	-----

### **Mail BG721 p 2**

2012-07-19 14 39 BG721-2 Re- VB--1 .....	106
--	-----

### **5 Penningflöden MBSer 2013**

#### **Boardwalk Co Ltd**

Penningflöde åren 2103-2014.....	113
----------------------------------	-----

Styrelse och ägare .....	114
--------------------------	-----

#### **Solid Venture Capital Ltd Malta**

Penningflöde åren 2013-2014.....	115
----------------------------------	-----

Styrelse och ägare SVC Malta.....	116
-----------------------------------	-----

### **6 a PM**

Transaktioner i BW 2013-2014.....	117
-----------------------------------	-----

### **6 Charts MBS**

1 CMLTI Pris.....	123
-------------------	-----

2 CWL Pris.....	124
-----------------	-----

3 GSAMP Pris.....	125
-------------------	-----

4 PCHLT Pris.....	126
-------------------	-----

5 LMT 2005 Pris.....	127
----------------------	-----

6 MARM Pris.....	128
------------------	-----

7 CFAB Pris.....	129
------------------	-----

8 CWALT Pris.....	130
-------------------	-----

9 INDX Pris .....	131
-------------------	-----

10 BOAMS Pris.....	132
--------------------	-----

11 MSAC Pris .....	133
--------------------	-----

12 LMT 2008 Pris .....	134
13 BAFC Pris.....	135
14 FNLC Pris.....	136
15 GSAA Pris .....	137
16 SAIL Pris .....	138
17 MANA Pris.....	139

### **7 Förhör pensionssparare**

Håkan Lundgren 191010 .....	140
Leif Ohlsson 191010 .....	143

### **8 Wassums kompl rapport-begäran Mark**

Kassaflöden under innehavsperiod MBS 2012.....	146
--	-----

1 Om FINRA.pdf

Responsible  
CitizenshipFinancial Reports &  
Policies

Technology

Firms We Regulate

Funding Portals We  
RegulateOperating & Holiday  
SchedulesOffice of the  
Ombudsman

Locations



FINRA is dedicated to protecting investors and safeguarding market integrity in a manner that facilitates vibrant capital markets.

#### Updates from the September Board of Governors Meeting

The Board of Governors met on September 24-26 in New York, where it approved six rule proposals and received several operational updates from FINRA staff.

[LEARN MORE](#)

Every investor in America relies on one thing: fair financial markets. To protect investors and ensure the market's integrity, FINRA is a government-authorized not-for-profit organization that oversees U.S. broker-dealers. We work every day to ensure that everyone can participate in the market with confidence.

- every investor receives the **basic protections** they deserve;
- anyone who sells a securities product has been **tested, qualified and licensed**;
- every securities product advertisement used is **truthful**, and not misleading;
- any securities product sold to an investor is **suitable** for that investor's needs; and
- investors receive **complete disclosure** about the investment product before purchase.

### We Play a Big Role

FINRA is authorized by Congress to protect America's investors by making sure the broker-dealer industry operates fairly and honestly. We oversee more than 634,000 brokers across the country—and analyze billions of daily market events.

We use innovative AI and machine learning technologies to keep a close eye on the market and provide essential support to investors, regulators, policymakers and other stakeholders.

1 Om FINRA.pdf

## FINRA: Our Story

[Watch Video \(1:49\)](#)

### ARBITRATION & MEDIATION

FINRA operates the largest securities dispute resolution forum in the United States

[LEARN MORE](#)

©2019 FINRA. All Rights Reserved.

FINRA IS A REGISTERED TRADEMARK OF THE FINANCIAL INDUSTRY  
REGULATORY AUTHORITY, INC.

# Bond Glossary

# [A](#) [B](#) [C](#) [D](#) [E](#) [F](#) [G](#) [H](#) [I](#) [J](#) [L](#) [M](#) [N](#) [O](#) [P](#) [R](#) [S](#) [T](#) [Y](#) [Z](#)

## 401(k)

A 401(k) plan is an employer sponsored retirement savings plan. 401(k)s are largely self-directed: You decide how much you would like to contribute, and which investments from among those offered by the plan you would like to invest in. Traditional 401(k)s are funded with money deducted from your pre-tax salary. Your earnings are tax deferred until you withdraw your money from your account. Roth 401(k)s are funded with after-tax income, but withdrawals are tax free if you follow the rules.

## 403(b)

A 403(b) plan, sometimes known as a tax-sheltered annuity (TSA) or a tax-deferred annuity (TDA), is an employer sponsored retirement savings plan for employees of not-for-profit organizations, such as colleges, hospitals, foundations and cultural institutions. Some employers offer 403(b) plans as a supplement to—rather than a replacement for—defined benefit pensions.

## Agency security

Debt security issued or guaranteed by an agency of the federal government or by a government-sponsored enterprise (GSE). These securities include bonds and other debt instruments. Agency securities are only backed by the "full faith and credit" of the U.S. government if they are issued or guaranteed by an agency of the federal government, such as Ginnie Mae. Although GSEs such as Fannie Mae and Freddie Mac are government-sponsored, they are not government agencies.

## Asset allocation

A strategy for maximizing gains while minimizing risks in your investment portfolio. Specifically, asset allocation means dividing your assets on a percentage basis among different broad categories of investments, including stocks, bonds and cash.

## Asset class

Different categories of investments that provide returns in different ways are sometimes described as asset classes. Stocks, bonds, cash and cash equivalents, real estate, collectibles and precious metals are among the primary asset classes.

## Average maturity

The average time that a mutual fund's bond holdings will take to be fully payable. Interest rate fluctuations have a greater impact on the price per share of funds holding bonds with longer average lives.

## Bear market

A bear market is one in which stock and/or bond prices decline over an extended period of time, at times accompanied by an economic recession, rising inflation or rising interest rates.

## Benchmark

A benchmark is a standard against which investment performance is measured. For example, the S&P (Standard & Poor's) 500 Index, which tracks 500 major U.S. companies, is the standard benchmark for large-company U.S. stocks and large-company mutual funds. The Barclays Capital Aggregate Bond Index is a common benchmark for bond funds.

## Bond

A debt instrument, also considered a loan, that an investor makes to a corporation, government, federal agency or other organization (known as an issuer) in which the issuer typically agrees to pay the owner the amount of the face value of the bond on a future date, and to pay interest at a specified rate at regular intervals.

## Bondholder

Owner of a bond; may be an individual or institution such as a corporation, bank, insurance company or mutual fund. A bondholder is typically entitled to regular interest payments as due and return of principal when the bond matures.

**Bond rating**

A method of evaluating the quality and safety of a bond. This rating is based on an examination of the issuer's financial strength and the likelihood that it will be able to meet scheduled repayments. Ratings range from AAA (best) to D (worst). Bonds receiving a rating of BB or below are not considered investment grade because of the relative potential for issuer default.

**Bull market**

A bull market is one in which prices rise during a prolonged period of time.

**Call**

The issuer's right to redeem outstanding bonds before the stated maturity.

**Call protection**

A feature of some callable bonds that protects the investor from calls for some initial period of time.

**Call risk**

The risk that a bond will be called prior to its maturity date, causing the bond's principal to be returned sooner than expected. If the bondholder wishes to reinvest the principal, it usually must be done at a lower rate than when the bond was originally purchased.

**Capital gains tax**

Tax assessed on profits you realize from the sale of a capital asset, such as stock, bonds or real estate.

**Collateralized Mortgage Obligation (CMO)**

A bond backed by multiple pools (also called tranches) of mortgage securities or loans.

**Commission**

A fee paid to a broker, as an agent of the customer, for executing a trade based on the number of bonds traded or the dollar amount of the trade.

**Corporate bond**

A bond issued by a corporation to raise money for capital expenditures, operations and acquisitions.

**Convertible bond**

A bond with the option to convert into shares of common stock of the same issuer at a pre-established price.

**Coupon**

The interest payment made on a bond, usually paid twice a year. A \$1,000 bond paying \$65 per year has a \$65 coupon, or a coupon rate of 6.5 percent. Bonds that pay no interest are said to have a "zero coupon." Also called the **coupon rate**.

**Coupon yield**

The annual interest rate established when the bond is issued. The same as the coupon rate, it is the amount of income you collect on a bond, expressed as a percentage of your original investment.

**Credit risk**

The possibility that the bond's issuer may default on interest payments or not be able to repay the bond's face value at maturity.

**Current yield**

The yearly coupon payment divided by the bond's price, stated as a percent. A newly issued \$1,000 bond paying \$65 has a current yield of .065, or 6.5 percent. Current yield can fluctuate: If the price of the bond dropped to \$950, the current yield would rise to 6.84 percent.

**Debenture**

An unsecured bond backed solely by the general credit of the borrower.

**Debt security**

Any security that represents loaned money that must be repaid to the lender.

**Discount**

The amount by which a bond's market price is lower than its issuing price (par value). A \$1,000 bond selling at \$970 carries a \$30 discount.

**Diversification**

Diversification is an investment strategy for allocating your assets available for investment among different markets, sectors, industries and securities. The goal is to protect the value of your overall portfolio by diversifying your investment risk among these different markets, sectors, industries and securities.

**Event risk**

The risk that an event will have a negative impact on a bond issuer's ability to pay its creditors.

**Face value**

The amount the issuer must pay to the bondholder at maturity, also known as par.

**Full faith and credit of the U.S. government**

A promise by the U.S. government to pay all interest when due and redeem bonds at maturity. Treasuries, savings bonds and debt securities issued by federal agencies are backed by the "full faith and credit" of the U.S. government.

**Fixed-rate bond**

A bond with an interest rate that remains constant or fixed during the life of the bond.

**Floating-rate bond**

A bond with an interest rate that fluctuates (floats), usually in tandem with a benchmark interest rate during the life of the bond.

**General Obligation bond (GO)**

A municipal bond secured by a governmental issuer's "full faith and credit," usually based on taxing power.

**Government-Sponsored Enterprise (GSE)**

Enterprises that are chartered by Congress to fulfill a public purpose, but are privately owned and operated, such as the Federal National Mortgage Association (Fannie Mae) and the Federal Home Loan Mortgage Corporation (Freddie Mac). Unlike bonds guaranteed by a government agency such as Ginnie Mae, those issued by a GSE are not backed by the "full faith and credit" of the U.S. government.

**High-yield bond**

A bond issued by an issuer that is considered a credit risk by a Nationally Recognized Statistical Rating Organization, as indicated by a low bond rating (e.g., "Ba" or lower by Moody's Investors Services, or "BB" or below by Standard & Poor's Corporation). Because of this risk, a high-yield bond generally pays a higher return (yield) than a bond with an issuer that carries lower default risk. Also known as a **"junk" bond**.

**Holding period risk**

The risk, while you are waiting for your bond to mature (holding it), that a better opportunity will come around that you may be unable to act upon. The longer the term of your bond, the greater the chance a more attractive investment opportunity will become available, or that any number of other factors may occur that negatively impact your investment.

**Indenture**

A legal document between a bond issuer and a trustee appointed on behalf of all bondholders that describes all of the features of the bond, the rights of bondholders, and the duties of the issuer and the trustee. Much of this information is also disclosed in the prospectus or offering statement.

**Inflation risk**

The risk that a bond's returns may not keep pace with inflation, eroding purchasing power.

**Interest rate risk**

The risk that a bond's price will fall when interest rates rise.

**Investment-grade bond**

A bond whose issuer's prompt payment of interest and principal (at maturity) is considered relatively safe by a nationally recognized statistical rating agency as indicated by a high bond rating (e.g., "Baa" or better by Moody's Investors Service, or "BBB" or better by Standard & Poor's Corporation).

**Junk bond**

Another name for a high-yield bond.

**Liquidity risk**

The risk of not being able to execute a trade at the time you desire, or being forced to accept a significantly discounted price of a bond at the time you desire to sell.

**Mark-down**

If you sell a bond, your brokerage firm, when acting as a principal, may offer you a price that includes a "mark-down" from the price that it believes it can sell the bond to another dealer or another buyer. The mark-down is the firm's compensation in the transaction.

**Mark-up**

When a brokerage firm sells you a bond in a principal capacity, it may increase or "mark-up" the price you pay over the price the firm paid to acquire the bond. The mark-up is the firm's compensation in the transaction.

**Maturity date**

A maturity date is the date when the principal amount of a bond, note or other debt instrument is typically repaid to the investor along with the final interest payment.

**Mortgage-backed security**

A security that is secured by home and other real estate loans.

**Municipal bond**

A bond issued by states, cities, counties and towns to fund public capital projects like roads and schools, as well as operating budgets. These bonds are typically exempt from federal taxation and, for investors who reside in the state where the bond is issued, from state and local taxes, too.

**Non-callable bond**

A feature of some bonds that stipulates the bond cannot be redeemed (called) before its maturity date. Also called a "bullet."

**Non investment-grade bond**

A bond whose issuer's prompt payment of interest and principal (at maturity) is considered risky by a nationally recognized statistical rating agency, as indicated by a lower bond rating (e.g., "Ba" or lower by Moody's Investors Service, or "BB" or lower by Standard & Poor's Corporation).

**Note**

A short- to medium-term loan that represents a promise to pay a specific amount of money. A note may be secured by future revenues, such as taxes. Treasury notes are issued in maturities of two, three, five and 10 years.

**Opportunity risk**

The risk that a better investment opportunity will come around that you may be unable to act upon because of a current investment. Generally, the longer the holding period of a bond, the greater the opportunity risk.

**Over-the-counter (OTC) securities**

Securities that are not traded on a national exchange. For such securities, broker-dealers negotiate directly with one another over computer networks and by phone.

**Par value**

An amount equal to the nominal or face value of a security. A bond selling at par, for instance, is worth the same dollar amount at which it was issued, or at which it will be redeemed at maturity—typically \$1,000 per bond.

**Phantom income**

Interest reportable to the IRS that does not generate income, such as interest from a zero-coupon bond.

**Prepayment risk**

The possibility that the issuer will call a bond and repay the principal investment to the bondholder prior to the bond's maturity date.

**Premium**

The amount by which a bond's market value exceeds its issuing price (par value). A \$1,000 bond selling at \$1,063 carries a \$63 premium.

**Primary market**

The market in which new issues of stock or bonds are priced and sold, with proceeds going to the entity issuing the security. From there, the security begins trading publicly in the secondary market.

**Principal**

1. For investments, principal is the original amount of money invested, separate from any associated interest, dividends or capital gains. For example, the price you paid for a bond with a \$1,000 face value the time of purchase is your principal. Once purchased, the value of your bond holdings can fluctuate, meaning you can see an increase or decrease to your principal.
2. A brokerage firm that executes trades for its own accounts at net prices (prices that include either a mark-up or mark-down).

**Prospectus**

A formal written offer to sell securities that sets forth the plan for a proposed business enterprise, or the facts concerning an existing business enterprise that an investor needs to make an informed decision.

**Real rate of return**

The rate of return minus the rate of inflation. For example, if you are earning 6 percent interest on a bond in a period when inflation is running at 2 percent, your real rate of return is 4 percent.

**Revenue bond**

A type of municipal security backed solely by fees or other revenue generated or collected by a facility, such as tolls from a bridge or road, or leasing fees. The creditworthiness of revenue bonds tends to rest on the bond's debt service coverage ratio—the relationship between revenue coming in and the cost of paying interest on the debt.

**Risk**

The possibility that an investment will lose, or not gain, value.

**Risk tolerance**

A person's capacity to endure market price swings in an investment.

**Savings bond**

U.S. government bond issued in face denominations ranging from \$25 to \$10,000.

**Secondary market**

Markets where securities are bought and sold subsequent to their original issuance.

**STRIPS**

Short for "Separate Trading of Registered Interest and Principal of Securities." STRIPS are Treasury Department-sanctioned bonds in which a broker-dealer is allowed to strip out the coupon, leaving a zero-coupon security.

**TIPS**

U.S. government securities designed to protect investors and the future value of their fixed-income investments from the adverse effects of inflation. Using the Consumer Price Index (CPI) as a guide, the value of the bond's principal is adjusted upward to keep pace with inflation.

**Treasury**

Negotiable debt obligations that include notes, bonds and bills issued by the U.S. government at various schedules and maturities. Treasuries are backed by the "full faith and credit" of the U.S. government.

**Treasury bill**

Non-interest bearing (zero-coupon) debt security issued by the U.S. government with a maturity of four, 13 or 26 weeks. Also called a **T-bill**.

**Treasury bond**

Long-term debt security issued by the U.S. government with a maturity of 10 to 30 years, paying a fixed interest rate semiannually.

**Treasury note**

Medium-term debt security issued by the U.S. government that has a maturity of two to 10 years.

**Total return**

All money earned on a bond or bond fund from annual interest and market gain or loss, if any, including the deduction of sales charges and/or commissions.

**Yield**

The return earned on a bond, expressed as an annual percentage rate.

**Yield Curve**

A yield curve is a graph showing the relationship between yield (on the y- or vertical axis) and maturity (on the x- or horizontal axis) among bonds of different maturities and of the same credit quality.

**Yield to call (YTC)**

The rate of return you receive if you hold the bond to its call date and the security is redeemed at its call price. YTC assumes interest payments are reinvested at the yield-to-call date.

[FINRA-Bond Glossary.pdf](#)**Yield to maturity (YTM)**

The overall interest rate earned by an investor who buys a bond at the market price and holds it until maturity. Mathematically, it is the discount rate at which the sum of all future cash flows (from coupons and principal repayment) equals the price of the bond.

**Yield to worst (YTW)**

The lower yield of yield-to-call and yield-to-maturity. Investors of callable bonds should always do the comparison to determine a bond's most conservative potential return.

**Yield reflecting broker compensation**

Yield adjusted for the amount of the mark-up or commission (when you purchase) or mark-down or commission (when you sell) and other fees or charges that you are charged by your broker for its services.

**Zero**

Short for zero-coupon bond.

**Zero-coupon bond**

A type of bond that does not pay a coupon. Zero-coupon bonds are purchased by the investor at a discount to the bond's face value (e.g., less than \$1,000), and redeemed for the face value when the bond matures.

**ARBITRATION & MEDIATION**

FINRA operates the largest securities dispute resolution forum in the United States

[LEARN MORE](#)

©2019 FINRA. All Rights Reserved.

FINRA IS A REGISTERED TRADEMARK OF THE FINANCIAL INDUSTRY  
REGULATORY AUTHORITY, INC.

## Mortgage-Backed Securities

Mortgage-backed securities, called MBS, are bonds secured by home and other real estate loans. They are created when a number of these loans, usually with similar characteristics, are pooled together. For instance, a bank offering home mortgages might round up \$10 million worth of such mortgages. That pool is then sold to a federal government agency like Ginnie Mae or a government sponsored-enterprise (GSE) such as Fannie Mae or Freddie Mac, or to a securities firm to be used as the collateral for the new MBS.

### Bond Fact

Unlike most bonds that pay semiannual coupons, investors in mortgage-backed securities receive monthly payments of interest *and* principal.

The majority of MBSs are issued or guaranteed by an agency of the U.S. government such as Ginnie Mae, or by GSEs, including Fannie Mae and Freddie Mac. MBS carry the guarantee of the issuing organization to pay interest and principal payments on their mortgage-backed securities. While Ginnie Mae's guarantee is backed by the "full faith and credit" of the U.S. government, those issued by GSEs are not.

A third group of MBSs is issued by private firms. These "private label" MBS are issued by subsidiaries of investment banks, financial institutions, and homebuilders whose credit-worthiness and rating may be much lower than that of government agencies and GSEs.

Because of the general complexity of MBS, and the difficulty that can accompany assessing the creditworthiness of an issuer, use caution when investing. They may not be suitable for many individual investors.

Unlike a traditional fixed-income bond, most MBS bondholders receive monthly—not semiannual— interest payments. There's a good reason for this. Homeowners (whose mortgages make up the underlying collateral for the MBS) pay their mortgages monthly, not twice a year. These mortgage payments are what ultimately find their way to MBS investors.

There's another difference between the proceeds investors get from MBS and, say, a Treasury bond. The Treasury bond pays you interest only—and at the end of the bond's maturity, you get a lump-sum principal amount, say \$1,000. But a MBS pays you interest and principal. Your cash flow from the MBS at the beginning is mostly from interest, but gradually more and more of your proceeds come from principal. Since you are receiving payments of both interest and principal, you don't get handed a lump-sum principal payment when your MBS matures. You've been getting it in portions every month.

MBS payments (cash flow) may not be the same each month because the original "pass-through" structure reflects the fact that homeowners themselves don't pay the same amount each month.

There's one more thing about those portions you've been getting—they are not the same each month. For this reason, investors who draw comfort from a dependable and consistent semiannual payment may find the unpredictability of MBS unsettling.

Here are some of the most common types of mortgage-backed securities:

**Pass-Throughs:** The most basic mortgage securities are known as pass-throughs. They are a mechanism—in the form of a trust—through which mortgage payments are collected and distributed (or passed through) to investors. The majority of pass-throughs have stated maturities of 30 years, 15 years and five years. While most are backed by fixed-rate mortgage loans, adjustable-rate mortgage loans (ARMs) and other loan mixtures are also pooled to create the securities. Because these securities "pass through" the principal payments received, the average life is much less than the stated maturity life, and varies depending upon the paydown experience of the pool of mortgages underlying the bond.

**Collateralized mortgage obligations:** Called CMOs for short, these are a complex type of pass-through security. Instead of passing along interest and principal cash flow to an investor from a generally like-featured pool of assets (for example, 30-year fixed mortgages at 5.5 percent, which happens in traditional passthrough securities), CMOs are made up of many pools of securities. In the CMO world, these pools are referred to as tranches, or slices. There could be scores of tranches, and each one operates according to its own set of rules by which interest and principal gets distributed. If you are going to invest in CMOs—an arena generally reserved

for sophisticated investors—be prepared to do a lot of homework and spend considerable time researching the type of CMO you are considering (there are dozens of different types), and the rules governing its income stream.

Many bond funds invest in CMOs on behalf of individual investors. To find out whether any of your funds invests in CMOs, and if so, how much, check your fund's prospectus or SAI under the headings "Investment Objectives" or "Investment Policies."

To recap, both pass-throughs and CMOs differ in a number of significant ways from traditional fixed-income bonds.

## Fixed-Coupon Bonds and Mortgage Bonds

There are a number of ways that mortgage-backed securities, such as pass-throughs and CMOs, differ from more traditional fixed-income bonds, such as corporate and municipal bonds. The chart below provides a comparison of a number key bond factors.

Fixed-Coupon Bonds	Mortgage Bonds
Semiannual coupon	Monthly coupon
Coupon amount stays the same each time	Coupon amount varies each month
Coupon is interest only	Coupon is interest <i>and</i> principal
Collect principal when bond matures	Collect principal incrementally each month
Concise maturity date	"Average life," an estimate of when the bond will mature

## Mortgage-Backed Securities Snapshot

Issuer	Agencies of the federal government, GSEs and private financial organizations
Minimum Investment	Varies—generally \$10,000
Interest Payment	Generally paid monthly with payments varying each month
How to Buy/Sell	Through a broker
Bond Interest Rate	Determined at origination and varies by bond
Price Information	Issue price and secondary trade data available through a broker and data vendors
Risk Profile	<p>Credit and default risk are real for MBSs issued by GSEs: The federal government is under no legal obligation to save a GSE from default.</p> <p>Prepayment risk that acts much like call risk: You get your principal back sooner than the stated maturity, but the reinvestment opportunities are limited due to the inconsistent prepayment rates. There is also the risk that interest rates will go up, lengthening the estimated maturity (but not the stated maturity) of your MBS and creating more holding-period risk. And if interest rates rise, the value of a mortgage-backed security on the secondary market will likely fall.</p>
Website for More Info	SIFMA: <a href="#">What are Mortgage Securities?</a>

[FINRA-MBS.pdf](#)

#### ARBITRATION & MEDIATION

FINRA operates the largest securities dispute resolution forum in the United States

[LEARN MORE](#)

©2019 FINRA. All Rights Reserved.

FINRA IS A REGISTERED TRADEMARK OF THE FINANCIAL INDUSTRY  
REGULATORY AUTHORITY, INC.

Jerker Asplund  
Senior Public Prosecutor  
Swedish Economic Crime Authority  
Hantverkargatan 15, Box 820  
101 36 STOCKHOLM

**By Email: Jerker.Asplund@ekobrottsmyndigheten.se**

15 October 2019

Dear Mr Asplund,

**Request for information from the Swedish Economic Crime Authority (SECA)**

Thank you for your emails requesting information from JP Morgan regarding pricing of specific MBS instruments on various dates, with reference to the Optimus High Yield Fund formerly held by Swedbank AB. I am writing on behalf of J.P. Morgan Chase Bank N.A. London Branch (“**JPM Chase London**”). Although JPM Chase London is not regulated by the Swedish Economic Crimes Authority, we are happy to assist with your enquiries and are eager to co-operate with your investigation in any way we can.

As discussed over the telephone, due to client confidentiality obligations owed by JPM Chase London to its clients, we are not able to confirm which specific instruments were held in Swedbank AB’s Optimus High Yield Fund at the relevant dates you have queried without a mutual legal assistance request submitted through the FCA. However we understand from our discussions with you that the general pricing information we are able to provide will be helpful to SECA.

As such, in this letter we (i) enclose pricing information relating to each instrument that you have requested and (ii) explain how we sourced the pricing information which is displayed on the JP Morgan Markets portal, from third parties.

Please do not hesitate to contact me should you require any further information and we will be happy to assist in any way we can.

**General information about JPM Chase London Custody Services**

JPM Chase London makes available pricing information to its clients in certain custody reports accessed through the secure J.P. Morgan Markets internet portal.

The prices provided in these reports are sourced from third party vendors and are essentially 'pass through'. As such, these prices are typically unaudited by JPM Chase London and are indicative only. Whilst JPM Chase London implements a robust pricing hierarchy based on instrument type, utilizing primary, secondary and tertiary pricing vendors, it is possible that prices displayed for some instruments via the J.P. Morgan Markets internet portal could be inaccurate, stale or unavailable. This is made clear by a notice issued to JPM Chase London's clients when they access custody reports through the J.P. Morgan Markets portal.

**Pricing Information Requested by SECA**

SECA has requested pricing information for specific instruments as at certain dates throughout 2012 and 2013. As we discussed over the telephone, due to the time that has passed, we are only able to retrieve historical month end pricing for the instruments you have queried. Therefore, we have not been able to confirm the price on the specific dates you have requested, as these are all intra-month.

However, in order to assist SECA, we have provided pricing information for the instruments you have queried for the month end following, and where it is available the month end prior, for each of the dates you have requested.

Attached at Annexure A, we are pleased to provide:

- (i) the price for each instrument that you have queried which was reflected on the J.P. Morgan Markets portal for month end following, and where it is available the month end prior, for each of the dates you have requested; and
- (ii) the identity of the third party vendor from whom JPM Chase London sourced the pricing information referred to in (i).

We hope that this information is helpful to SECA and would be happy to assist your enquiries further in any way that we can.

Yours sincerely,



Melissa Federico  
Vice President and Assistant General Counsel  
J.P. Morgan Securities Plc

## Annexure A

Instrument	ISIN Code	Cusip Code	Date requested	Price/date for Month-end prior	Price/date for Month-end following	Third party source
CMLTI 2005-WF1 M1	US17307GPG72	17307GPG7	08/08/2012		57.519USD on 31 August 2012	Merrill Lynch
CWL 2005-16 MVI	US126670PH55	126670PH5	08/08/2012	33.705USD on 31 July 2012	40.228USD on 31 August 2012	Merrill Lynch
GSAMP 2003-HEI M2	US36228FSV93	36228FSV9	08/08/2012		61.735USD on 31 August 2012	Merrill Lynch
PCHLT 2005-4IA2	US71085PDD24	71085PDD2	08/08/2012	50.1162USD on 31 July 2012	52.5226USD on 31 August 2012	Merrill Lynch
LMT 2005-2IM	US52520MBR34	52520MBR3	14/09/2012		25.3617USD on 30 September 2012	Merrill Lynch
MARM 2005-06 4A2	US576433A632	576433A63	17/10/2012		13.3517USD on 31 October 2012	Merrill Lynch
CFAB 2003-5 18	US161546GP55	161546GPS	13/11/2012		21.46USD on 30 November 2012	Merrill Lynch
CWALT 2005-28C8 1AII	US12667GE829	12667GE82	11/12/2012		13.6695USD on 31 December 2012	Merrill Lynch
INDX 2006-AR4 AIB	US45661EAW49	45661EAW4	11/12/2012	22.3053USD on 30 November 2012	22.3415USD on 31 December 2012	Merrill Lynch
BOAMS MTGE 2004-I 81	US05949AZH66	05949AZH6	22/02/2013		5.4289USD on 28 February 2013	IDSI
MSAC 2003-NC8 83	US61746RDS76	61746ROS7	10/04/2013		22.228USD on 30 April 2013	Merrill Lynch
LMT 2008-6 BI	US52525FAH64	52525FAH6	15/05/2013		21.56USD on 31 May 2013	Merrill Lynch
BAFC FOG 2004-1DI-FR	US05946XGH08	05946XGHO	17/06/2013	29.9271USD on 31 May 2013	40.0735USD on 30 June 2013	Merrill Lynch
FNLC 2005-3 M2	US32113JBV61	32113JBV6	10/07/2013		11.576USD on 31 July 2013	Merrill Lynch
GSAA 2006;6 AF7	US362334MZ48	362334MZ4	10/07/2013		26.8782USD on 31 July 2013	Merrill Lynch
SAIL 2006-4 AS	US86360WAE21	86360WAE2	23/07/2013		16.2737USD on 31 July 2013	Merrill Lynch
MANA 2007-A2 A3b	US59024FAG90	S9024FAG9	14/08/2013		11.3645USD on 31 August 2013	Merrill Lynch

Tilagsprotokoll 2019-10-24

## Moody's Rating Symbols and Definitions

Categories	Rating symbols	Rating notches	Comments
Investment	Aaa		Highest quality, subject to the lowest level of credit risk
	Aa	Aa1	High quality, subject to very low credit risk
		Aa2	
		Aa3	
	A	A1	Upper-medium grade, subject to low credit risk
		A2	
		A3	
	Baa	Baa1	Medium-grade, subject to moderate credit risk and may possess certain speculative characteristics
		Baa2	
		Baa3	
Ba	Ba1	Judged to be speculative, subject to substantial credit risk	
	Ba2		
	Ba3		
B	B1	Considered speculative, subject to high credit risk	
	B2		
	B3		
Speculative	Caa	Caa1	Speculative of poor standing and subject to very high credit risk
		Caa2	
		Caa3	
	Ca	Speculative and likely in, or very near, default, with some prospect of recovery of principal and interest	
C	The lowest rated and typically in default, with little prospect for recovery of principal or interest		

1 CMLTI.pdf

REGULATORY AFFAIRS CAREERS ABOUT US

Search ratings, research, analysts, and more...

TRENDING REPORTS SECTORS & REGIONS RATINGS TOOLS & DATA EVENTS & TRAINING

Tc

# Citigroup Mortgage Loan Trust, Series 2005-WF1

Moody's Org ID: 400034039

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<b>Wenzhao Wu</b>

### KEY CHARACTERISTICS

Closing Date: **07 Mar 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

### ORIGINATOR

**Wells Fargo Bank, N.A.**

### TRUSTEE

**U.S. Bank National Association**

### SERVICER

**CitiMortgage Inc., Wells Fargo Bank, N.A.**

[Research](#)
[Ratings](#)
[Related Parties](#)
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 7

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:17307GPE2	Cl. A-4	PASS-THRU CTFS	Aaa	(sf)	Upgrade	01 Oct 2018	59.3	17	25 Feb 2035	USD
CUS:17307GPF9	Cl. A-5	PASS-THRU CTFS	Aaa	(sf)	Upgrade	01 Oct 2018	41.5	0.1	25 Feb 2035	USD
CUS:17307GPG7	Cl. M-1	PASS-THRU CTFS	B1	(sf)	Upgrade	29 Dec 2017	9.1	8.3	25 Feb 2035	USD
CUS:17307GPH5	Cl. M-2	PASS-THRU CTFS	C	(sf)	Downgrade	19 Nov 2010	8.1	5.4	25 Feb 2035	USD

**Tranche Detail:** CUS:17307GPG7  
**Other Identifier(s):** ISN:US17307GPG72, FIGI:BBG0009NY7R7

Pay Frequency	Monthly	Reporting Date of Face Amount	Structure	Sequential
Coupon Type	Fixed	Releasing Office	New York - (Moody's Investors Service, Inc.)	
Coupon	5.33	EU Endorsement	EU Endorsed	
Base Rate	N/A	<b>Regulatory disclosures for the most recent rating action</b>		
Spread to Base Rate	No			
Support	No			
Support Type				
Support Provider				

**Rating History**

Date	Rating	Indicator	Rating Action
29 Dec 2017	B1	(sf)	Upgrade
09 Jan 2017	B2	(sf)	Upgrade
27 Jul 2016	Caa1	(sf)	Upgrade
14 Sep 2015	Caa3	(sf)	Upgrade
19 Nov 2010	C	(sf)	Downgrade
14 Jan 2010	Baa1	(sf)	On Watch - Possible Downgrade
04 Feb 2009	Baa1	(sf)	Downgrade
14 Jun 2005	Aa2	(sf)	New

[Export](#) Results: 8

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

**ETHICS HOTLINE**

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
1-800-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
+1 Direct Dial Access® code for  
in.

**TERMS & CONDITIONS**

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

**CONTACT US**

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

**EXPLORE**

MoodyAnalytics.com  
 Economy.com  
 Sitemap

**CONNECT**

[@MoodyInvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

1 CMLTI.pdf

: prompt, dial 866-330-MDYS  
397).

REGIONAL SITES:

Global

s Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

2 CWL2005.pdf

 Search ratings, research, analysts, and more...

CONTACT

# CWABS Asset-Backed Certificates Trust 2005-16

Moody's Org ID: 400039889

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<b>Wenzhao Wu</b>

### KEY CHARACTERISTICS

Closing Date: **28 Dec 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

### TRUSTEE

**Bank of New York Mellon (The)**

### SERVICER

**BAC Home Loans Servicing, L.P.**

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

[Export](#) Results: 17

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:126670NV6	CI. 1-AF	Backed PASS-THRU CTFS	Caa3	(sf)	Upgrade	07 Mar 2019	388.6	65.6	25 Apr 2036	USD
		Underlying PASS-THRU CTFS	Caa3	(sf)	Upgrade	07 Mar 2019	388.6	65.6	25 Apr 2036	USD
CUS:126670PV4	CI. PF	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	10 Jan 2006	0	0	25 Apr 2036	USD
CUS:126670PU6	CI. CF	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	10 Jan 2006	0	0	25 Apr 2036	USD

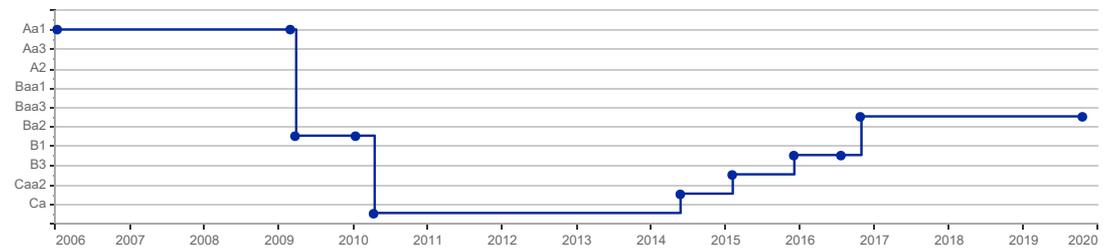
Tranche Detail: CUS:126670PH5

Other Identifier(s): ISN:US126670PH55, FIGI:BBG0009X5ZM0

Pay Frequency	Monthly	Reporting Date of Face Amount	Sequential
Coupon Type	Floating	Structure	New York - (Moody's Investors Service, Inc.)
Coupon	One month LIBOR	Releasing Office	EU Endorsed
Base Rate	0.46	EU Endorsement	
Spread to Base Rate	No		
Support	No		
Support Type	No		
Support Provider			

[Regulatory disclosures for the most recent rating action](#)

### Rating History



[Export](#) Results: 10

Date	Rating	Indicator	Rating Action
26 Oct 2016	Ba1	(sf)	Upgrade
22 Jul 2016	B2	(sf)	On Watch - Possible Upgrade
02 Dec 2015	B2	(sf)	Upgrade
03 Feb 2015	Caa1	(sf)	Upgrade
23 May 2014	Caa3	(sf)	Upgrade
14 Apr 2010	C	(sf)	Downgrade
13 Jan 2010	Ba3	(sf)	On Watch - Possible Downgrade
25 Mar 2009	Ba3	(sf)	Downgrade
26 Feb 2009	Aa1	(sf)	On Watch - Possible Downgrade
10 Jan 2006	Aa1	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

#### TEGRITY HOTLINE

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
T Direct Dial Access® code for in.

#### TERMS & CONDITIONS

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

#### CONTACT US

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

#### EXPLORE

Moody'sAnalytics.com  
 Economy.com  
 Sitemap

#### CONNECT

@Moody'sInvSvc  
 Moody's Corporate  
 The Moody's Found

2 CWL2005.pdf

: prompt, dial 866-330-MDYS  
397).

 Search ratings, research, analysts, and more...

CONTACT

REGIONAL SITES:

s Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

3 GSAMP.pdf

 Search ratings, research, analysts, and more...

CONTACT

### GSAMP Trust 2003-HE1

Moody's Org ID: 400024733

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Yang Yang</a>

**KEY CHARACTERISTICS**

Closing Date: **28 May 2003**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

**ORIGINATOR**

**New Century Mortgage Corporation**

**TRUSTEE**

**Deutsche Bank National Trust Company**

**SERVICER**

**Ocwen Loan Servicing, LLC**

[Research](#)
[Ratings](#)
[Related Parties](#)
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 8

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:36228FSS6	Cl. A-1	PASS-THRU CTFS	WR	(sf)	Withdrawn	20 Jan 2006	184.4	0	20 Jun 2033	USD
CUS:36228FSU1	Cl. M-1	PASS-THRU CTFS	WR	(sf)	Withdrawn	10 Sep 2019	25.5	7.4	20 Jun 2033	USD
CUS:36228FSV9	Cl. M-2	PASS-THRU CTFS	WR	(sf)	Withdrawn	10 Sep 2019	24	0.7	20 Jun 2033	USD
CUS:36228FSW7	Cl. M-3	PASS-THRU CTFS	WR	(sf)	Withdrawn	10 Sep 2019	7.6	0.2	20 Jun 2033	USD

**Tranche Detail:** CUS:36228FSV9  
**Other Identifier(s):** ISN:US36228FSV93, FIGI:BBG0009JL1N7

Pay Frequency: Monthly	Reporting Date of Face Amount: Structure Sr/sub Seq Pay
Coupon Type: Floating	EU Endorsement: EU Endorsed
Base Rate: One month LIBOR	Regulatory disclosures for the most recent rating action
Spread to Base Rate: 1.9	
Support: No	
Support Type: Support Provider	

**Rating History**

Date	Rating	Indicator	Rating Action
10 Sep 2019	WR	(sf)	Withdrawn - Small pool factor
09 Apr 2012	C	(sf)	Downgrade
17 Mar 2011	Ca	(sf)	Downgrade
08 Apr 2010	Baa3	(sf)	On Watch - Possible Downgrade
01 May 2009	Baa3	(sf)	Downgrade
16 Jun 2003	A2	(sf)	New

[Export](#) Results: 6

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

**TEGRITY HOTLINE**

RNET:  
[dys.ethicspoint.com](mailto:dys.ethicspoint.com)

FROM THE UNITED STATES:  
 130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
 T Direct Dial Access® code for in.

: prompt, dial 866-330-MDYS 397).

**TERMS & CONDITIONS**

[Terms of Use](#)  
[Privacy Policy](#)  
[Proprietary Rights](#)  
[Regulatory Affairs](#)  
 Moody's Code of Professional Conduct

**CONTACT US**

[Careers](#)  
[Help & Support](#)  
[Contact Us](#)  
[Submit a Complaint](#)

**EXPLORE**

[Moody's Analytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

**CONNECT**

[@Moody'sInvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

3 GSAMP.pdf

 Search ratings, research, analysts, and more...

CONTACT

REGIONAL SITES:

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

4 PCHLT.pdf

 Search ratings, research, analysts, and more...

CONTACT

# Peoples Choice Home Loan Securities Trust 2005-4

Moody's Org ID: 400038111

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<b>Chloe Zhang</b>

### KEY CHARACTERISTICS

Closing Date: **26 Oct 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

### TRUSTEE

**HSBC Bank USA, N.A., Wilmington Trust Company**

### SERVICER

**Ocwen Loan Servicing, LLC**

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

Export Results: 13

Exclude Withdrawn Ratings  Include Withdrawn Ratings

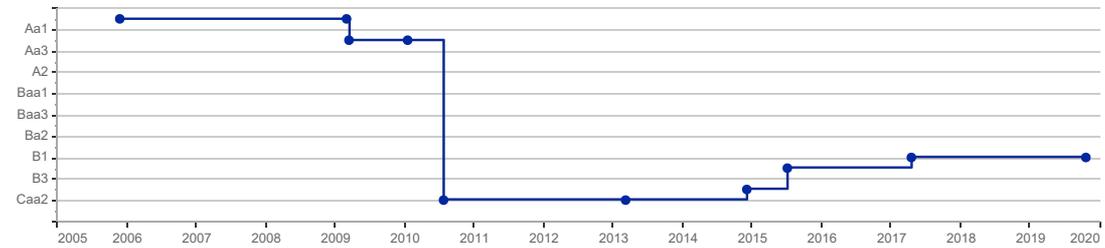
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:71085PDD2	Cl. 1A2	PASS-THRU CTFS	B1	(sf)	Upgrade	14 Apr 2017	202.3	9.9	26 Oct 2035	USD
CUS:71085PDE0	Cl. 1A3	PASS-THRU CTFS	B3	(sf)	Downgrade	15 May 2019	26.6	26.6	26 Oct 2035	USD
CUS:71085PDG5	Cl. M1	PASS-THRU CTFS	C	(sf)	RATING AFFIRMATION	04 Mar 2013	42	42	26 Oct 2035	USD
CUS:71085PDH3	Cl. M2	PASS-THRU CTFS	C	(sf)	RATING AFFIRMATION	04 Mar 2013	40.3	40.3	26 Oct 2035	USD

### Tranche Detail: CUS:71085PDD2

Other Identifier(s): ISN:US71085PDD24, FIGI:BBG0009T3FN4

Pay Frequency	Monthly	Reporting Date of Face Amount	Sequential
Coupon Type	Floating	Structure	Sequential
Coupon	One month LIBOR	Releasing Office	New York - (Moody's Investors Service, Inc.)
Base Rate	0.26	EU Endorsement	EU Endorsed
Spread to Base Rate	No	Regulatory disclosures for the most recent rating action	
Support	No		
Support Type	No		
Support Provider	No		

### Rating History



Date	Rating	Indicator	Rating Action
14 Apr 2017	B1	(sf)	Upgrade
02 Jul 2015	B2	(sf)	Upgrade
01 Dec 2014	Caa1	(sf)	Upgrade
04 Mar 2013	Caa2	(sf)	RATING AFFIRMATION
21 Jul 2010	Caa2	(sf)	Downgrade
13 Jan 2010	Aa2	(sf)	On Watch - Possible Downgrade
13 Mar 2009	Aa2	(sf)	Downgrade
26 Feb 2009	Aaa	(sf)	On Watch - Possible Downgrade
22 Nov 2005	Aaa	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

### EGRITY HOTLINE

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:

Direct Dial Access® code for

prompt, dial 866-330-MDYS

### TERMS & CONDITIONS

Terms of Use

Privacy Policy

Proprietary Rights

Regulatory Affairs

Moody's Code of Professional

Conduct

### CONTACT US

Careers

Help & Support

Contact Us

Submit a Complaint

### EXPLORE

MoodyAnalytics.com

Economy.com

Sitemap

### CONNECT

@MoodyInvSvc

Moody's Corporati

The Moody's Founc

4 PCHLT.pdf

397).

 Search ratings, research, analysts, and more...

[CONTACT](#)

REGIONAL SITES:

[Global](#)

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

5 LMT 2005.pdf

5 LMT 2005.pdf

REGULATORY AFFAIRS CAREERS ABOUT US

Search ratings, research, analysts, and more...

TRENDING REPORTS SECTORS & REGIONS RATINGS TOOLS & DATA EVENTS & TRAINING

Tc

### Lehman Mortgage Trust 2005-2

Moody's Org ID: 400039631

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Zhiyu Jiang</a>

#### KEY CHARACTERISTICS

Closing Date: **30 Nov 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

#### ORIGINATOR

[Lehman Brothers Bank, FSB](#)

#### TRUSTEE

[U.S. Bank National Association](#)

#### SERVICER

[Nationstar Mortgage LLC](#)

Research Ratings Related Parties
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 36

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:52520MBN2	Cl. 1-A1	PASS-THRU CTFS	Caa2	(sf)	Downgrade	01 Nov 2018	210	30.2	25 Dec 2035	USD
CUS:52520MBP7	Cl. 1-A2	PASS-THRU CTFS	Caa2	(sf)	Downgrade	01 Nov 2018	0	0	25 Dec 2035	USD
CUS:52520MBQ5	Cl. 1-A3	PASS-THRU CTFS	Caa3	(sf)	RATING AFFIRMATION	10 Apr 2013	4.1	0.9	25 Dec 2035	USD
CUS:52520MBR3	Cl. 1-A4	PASS-THRU CTFS	C	(sf)	RATING AFFIRMATION	10 Apr 2013	27.7	1.2	25 Dec 2035	USD

**Tranche Detail:** CUS:52520MBR3  
**Other Identifier(s):** ISN:US52520MBR34, FIGI:BBG0009W56P3

Pay Frequency: Monthly	Reporting Date of Face Amount: Structure Shifting Interest	
Coupon Type: Fixed	Releasing Office: <a href="#">New York - (Moody's Investors Service, Inc.)</a>	
Coupon: 5.75	EU Endorsement: EU Endorsed	
Base Rate: N/A	<b>Regulatory disclosures for the most recent rating action</b>	
Spread to Base Rate: No		
Support: No		
Support Type: Support Provider		

**Rating History** [Export](#) Results: 6

Date	Rating	Indicator	Rating Action
10 Apr 2013	C	(sf)	RATING AFFIRMATION
14 Jan 2011	C	(sf)	Downgrade
14 Jan 2010	Caa1	(sf)	On Watch - Possible Downgrade
20 Feb 2009	Caa1	(sf)	Downgrade
17 Sep 2008	Aaa	(sf)	On Watch - Possible Downgrade
21 Dec 2005	Aaa	(sf)	New

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

**TEGRITY HOTLINE**

RNET:  
[dys.ethicspoint.com](mailto:dys.ethicspoint.com)

FROM THE UNITED STATES:  
 130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
 iT Direct Dial Access® code for n.

: prompt, dial 866-330-MDYS 397).

**TERMS & CONDITIONS**

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

**CONTACT US**

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

**EXPLORE**

[Moody'sAnalytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

**CONNECT**

[@Moody'sInvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

5 LMT 2005.pdf

REGIONAL SITES:

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

6 MARM.pdf

Search ratings, research, analysts, and more...

# MASTR Adjustable Rate Mortgages Trust 2005-6

Moody's Org ID: 400036410

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Ilana Fried</a>

### KEY CHARACTERISTICS

Closing Date: **29 Jun 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

### TRUSTEE

**Bank of New York Mellon Trust Company, N.A.**

### SERVICER

**GMAC Mortgage Corporation, Washington Mutual Bank ...More**

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

Export Results: 23

Exclude Withdrawn Ratings  Include Withdrawn Ratings

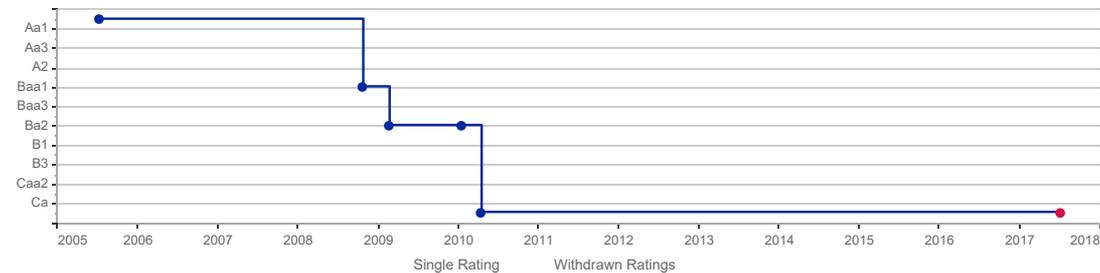
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:576433ZX7	Cl. 1-A-1	PASS-THRU CTFS	WR	(sf)	Withdrawn	08 Mar 2012	91.6	0	25 May 2035	USD
CUS:576433B39	Cl. A-LR	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	08 Jul 2005	0	0	25 May 2035	USD
CUS:576433B47	Cl. A-UR	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	08 Jul 2005	0	0	25 May 2035	USD
CUS:576433ZZ2	Cl. 2-A-1	PASS-THRU CTFS	WR	(sf)	Withdrawn	16 Oct 2018	25.3	3.5	25 Jul 2035	USD

Tranche Detail: CUS:576433A63

Other Identifier(s): ISN:US576433A632, FIGI:BBG0008KJXP6

Pay Frequency: Monthly  
 Coupon Type: Floating  
 Coupon: Structure: Sequential  
 Base Rate: Prime Rate  
 Spread to Base Rate: EU Endorsement: EU Endorsed  
 Support: No  
 Support Type: Regulatory disclosures for the most recent rating action  
 Support Provider:

### Rating History



Export Results: 6

Date	Rating	Indicator	Rating Action
06 Jul 2017	WR	(sf)	Withdrawn - Obligation is not outstanding
15 Apr 2010	C	(sf)	Downgrade
14 Jan 2010	Ba2	(sf)	On Watch - Possible Downgrade
20 Feb 2009	Ba2	(sf)	Downgrade
23 Oct 2008	Baa1	(sf)	Downgrade
08 Jul 2005	Aaa	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

### ETHICS HOTLINE

RNET:  
[dys.ethicspoint.com](http://dys.ethicspoint.com)

FROM THE UNITED STATES:  
 1-866-330-6397

FROM OUTSIDE THE UNITED STATES:  
 1-866-330-6397

### TERMS & CONDITIONS

[Terms of Use](#)  
[Privacy Policy](#)  
[Proprietary Rights](#)  
[Regulatory Affairs](#)  
[Moody's Code of Professional Conduct](#)

### CONTACT US

[Careers](#)  
[Help & Support](#)  
[Contact Us](#)  
[Submit a Complaint](#)

### EXPLORE

[Moody's Analytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

### CONNECT

[@Moody's InvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

6 MARM.pdf

REGIONAL SITES:

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

7 CFAB.pdf

Search ratings, research, analysts, and more...

### Chase Funding Trust, Series 2003-5

Moody's Org ID: 400026303

Market Segment: **Structured Finance > RMBS > Subprime**

ANALYST	
Lead Rating Analyst:	<b>Nicholas Rossetti</b>

**KEY CHARACTERISTICS**

Closing Date: **25 Sep 2003**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

**TRUSTEE**

**Wachovia Bank, N.A.**

**SERVICER**

**Chase Manhattan Mortgage Corporation**

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)  
**Tranche List** [Export](#) Results: 7

Exclude Withdrawn Ratings  Include Withdrawn Ratings

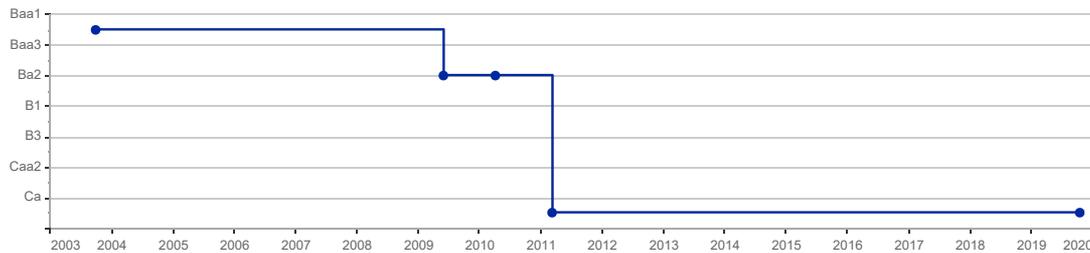
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:161546GK6	CI. IA-5	PASS-THRU CTFS	Baa3	(sf)	Downgrade	14 May 2014	95.8	38.4	25 Jun 2033	USD
CUS:161546GM2	CI. IM-1	PASS-THRU CTFS	Caa1	(sf)	Downgrade	23 Apr 2012	24.1	3	25 Jan 2033	USD
CUS:161546GN0	CI. IM-2	PASS-THRU CTFS	Caa3	(sf)	Upgrade	13 Sep 2018	14.8	1.6	25 Sep 2032	USD
CUS:161546GP5	CI. IB	PASS-THRU CTFS	C	(sf)	Downgrade	07 Mar 2011	14.8	3.5	25 Feb 2032	USD

**Tranche Detail:** CUS:161546GP5

**Other Identifier(s):** ISN:US161546GP55, FIGI:BBG0007B54P6

Pay Frequency	Monthly	Reporting Date of Face Amount	
Coupon Type	Fixed	Structure	Sr/sub Seq Pay
Coupon	5.85	Releasing Office	New York - (Moody's Investors Service, Inc.)
Base Rate	N/A	EU Endorsement	EU Endorsed
Spread to Base Rate			
Support	No		
Support Type			
Support Provider			

**Rating History**



Date	Rating	Indicator	Rating Action
07 Mar 2011	C	(sf)	Downgrade
08 Apr 2010	Ba2	(sf)	On Watch - Possible Downgrade
28 May 2009	Ba2	(sf)	Downgrade
01 Oct 2003	Baa2	(sf)	New

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

<p><b>TEGRITY HOTLINE</b></p> <p>RNET: dys.ethicspoint.com</p> <p><b>FROM THE UNITED STATES:</b> 130-MDYS (1-866-330-6397)</p> <p><b>FROM OUTSIDE THE UNITED STATES:</b> iT Direct Dial Access® code for n. : prompt, dial 866-330-MDYS 397).</p>	<p><b>TERMS &amp; CONDITIONS</b></p> <p>Terms of Use Privacy Policy Proprietary Rights Regulatory Affairs Moody's Code of Professional Conduct</p>	<p><b>CONTACT US</b></p> <p>Careers Help &amp; Support Contact Us Submit a Complaint</p>	<p><b>EXPLORE</b></p> <p>MoodyAnalytics.com Economy.com Sitemap</p>	<p><b>CONNECT</b></p> <p>@MoodyInvSvc Moody's Corporati The Moody's Founc</p>
---	--	--	---	---

REGIONAL SITES:

7 CFAB.pdf

s Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

8 CWALT.pdf

 Search ratings, research, analysts, and more...

CONTACT

# CWALT, Inc. Mortgage Pass-Through Certificates, Series 2005-28CB

Moody's Org ID: 400036427

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<b>Wenzhao Wu</b>

### KEY CHARACTERISTICS

Closing Date: **29 Jun 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

### TRUSTEE

[Bank of New York Mellon \(The\)](#)

### SERVICER

[BAC Home Loans Servicing, L.P.](#)

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

[Export](#) Results: 38

Exclude Withdrawn Ratings  Include Withdrawn Ratings

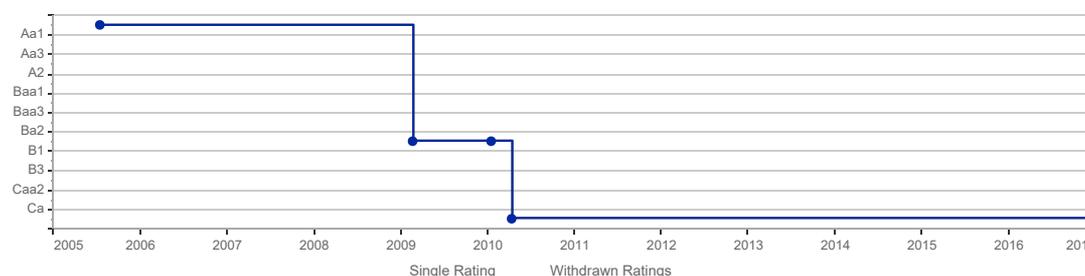
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:12667GWQ2	Cl. 1-A-1	PASS-THRU CTFS	WR	(sf)	Withdrawn	12 Apr 2010	29.3	0	25 Aug 2035	USD
CUS:12667GWR0	Cl. 1-A-2	PASS-THRU CTFS	WR	(sf)	Withdrawn	24 May 2019	20	4.5	25 Aug 2035	USD
CUS:12667GWS8	Cl. 1-A-3	PASS-THRU CTFS	WR	(sf)	Withdrawn	24 May 2019	0	0	25 Aug 2035	USD
CUS:12667GWT6	Cl. 1-A-4	PASS-THRU CTFS	WR	(sf)	Withdrawn	24 May 2019	35.6	10	25 Aug 2035	USD

Tranche Detail: CUS:12667GE82

Other Identifier(s): ISN:US12667GE829, FIGI:BBG0001P4JL3

Pay Frequency	Monthly	Reporting Date of Face Amount	Sequential
Coupon Type	Fixed	Structure	EU Endorsed
Coupon	5.5	EU Endorsement	EU Endorsed
Base Rate	N/A	<b>Regulatory disclosures for the most recent rating action</b>	
Spread to Base Rate	Support		
Support	No		
Support Type	Support Provider		

### Rating History



[Export](#) Results: 5

Date	Rating	Indicator	Rating Action
07 Dec 2016	WR	(sf)	Withdrawn - Obligation is not outstanding
12 Apr 2010	C	(sf)	Downgrade
14 Jan 2010	Ba3	(sf)	On Watch - Possible Downgrade
20 Feb 2009	Ba3	(sf)	Downgrade
15 Jul 2005	Aaa	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

### TEGRITY HOTLINE

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
Direct Dial Access® code for

prompt, dial 866-330-MDYS (397).

### TERMS & CONDITIONS

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

### CONTACT US

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

### EXPLORE

MoodyAnalytics.com  
 Economy.com  
 Sitemap

### CONNECT

@MoodyInvSvc  
 Moody's Corporati  
 The Moody's Founc

8 CWALT.pdf

 Search ratings, research, analysts, and more...

REGIONAL SITES: [Global](#) [CONTACT](#)

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

9 INDX.pdf

REGULATORY AFFAIRS CAREERS ABOUT US

Search ratings, research, analysts, and more...

TRENDING REPORTS SECTORS & REGIONS RATINGS TOOLS & DATA EVENTS & TRAINING

Tc

# IndyMac INDX Mortgage Loan Trust 2006-AR4

Moody's Org ID: 400041969

Market Segment: [Structured Finance](#) > [RMBS](#) > [Option ARM](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Zhiyu Jiang</a>

### KEY CHARACTERISTICS

Closing Date: **31 Mar 2006**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Option ARM**

### TRUSTEE

[Deutsche Bank National Trust Company](#)

### SERVICER

[Ocwen Loan Servicing, LLC](#)

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

Export Results: 7

Exclude Withdrawn Ratings  Include Withdrawn Ratings

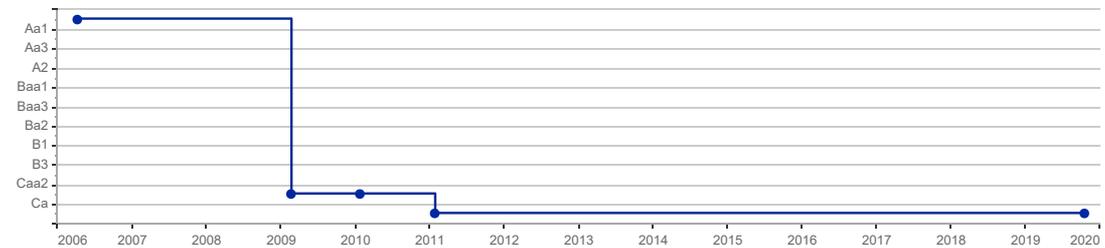
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:45661EAV6	Cl. A1-A	PASS-THRU CTFS	B2	(sf)	Upgrade	22 May 2019	709.9	117.2	25 May 2046	USD
CUS:45661EAW4	Cl. A1-B	PASS-THRU CTFS	C	(sf)	Downgrade	26 Jan 2011	332.8	25.8	25 May 2046	USD
CUS:45661EAY0	Cl. A2-A	PASS-THRU CTFS	Caa3	(sf)	Upgrade	22 May 2019	200	23.8	25 May 2046	USD
CUS:45661EBG8	Cl. M-8	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	10 Apr 2006	8.3	0	25 May 2046	USD

Tranche Detail: CUS:45661EAW4

Other Identifier(s): ISN:US45661EAW49, FIG:BBG000B1MHL9

Pay Frequency	Monthly	Reporting Date of Face Amount	Sequential
Coupon Type	Floating	Structure	New York - (Moody's Investors Service, Inc.)
Coupon	One month LIBOR	Releasing Office	EU Endorsed
Base Rate	0.3	EU Endorsement	
Spread to Base Rate	Yes		
Support	Swap Agreement - (LT)		
Support Type	Yes		
Support Provider	Natixis Financial Products LLC		

### Rating History



Export Results: 4

Date	Rating	Indicator	Rating Action
26 Jan 2011	C	(sf)	Downgrade
27 Jan 2010	Caa3	(sf)	On Watch - Possible Downgrade
20 Feb 2009	Caa3	(sf)	Downgrade
10 Apr 2006	Aaa	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

### ETHICITY HOTLINE

RNET:  
dys.ethicshelp.com

FROM THE UNITED STATES:  
1-866-330-6397

FROM OUTSIDE THE UNITED STATES:

Direct Dial Access® code for

prompt, dial 866-330-MDYS (397).

### TERMS & CONDITIONS

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

### CONTACT US

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

### EXPLORE

MoodyAnalytics.com  
 Economy.com  
 Sitemap

### CONNECT

@Moody'sInvSvc  
 Moody's Corporate  
 The Moody's Found

9 INDX.pdf

REGIONAL SITES: \_\_\_\_\_

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

11 MSAC.pdf

REGULATORY AFFAIRS CAREERS ABOUT US

Search ratings, research, analysts, and more...

TRENDING REPORTS SECTORS & REGIONS RATINGS TOOLS & DATA EVENTS & TRAINING

Tc

# Morgan Stanley ABS Capital I Inc. Trust 2003-NC8

Moody's Org ID: 400026271

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Ilana Fried</a>

### KEY CHARACTERISTICS

Closing Date: **25 Sep 2003**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

### TRUSTEE

[Deutsche Bank National Trust Company](#)

### SERVICER

[Ocwen Loan Servicing, LLC](#)

[Research](#) | [Ratings](#) | [Related Parties](#) | [Go to RMBS Industry Page](#)

### Tranche List

Export Results: 6

Exclude Withdrawn Ratings  Include Withdrawn Ratings

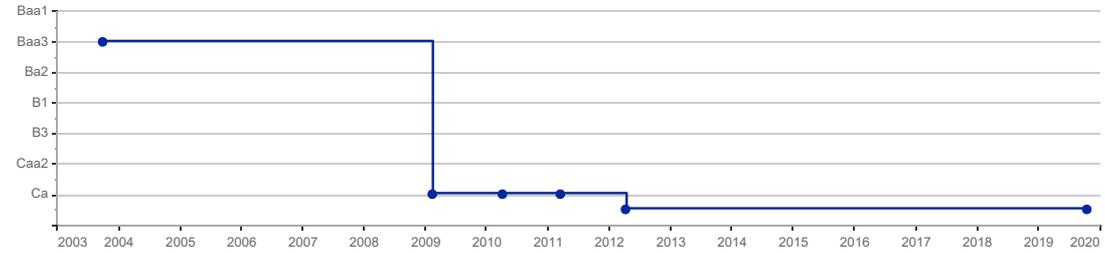
Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:61746RDM0	CI. M-1	PASS-THRU CTFS	B1	(sf)	Upgrade	26 Jun 2014	87.1	20.2	25 Sep 2033	USD
CUS:61746RDN8	CI. M-2	PASS-THRU CTFS	B1	(sf)	Upgrade	20 Dec 2018	71.5	0.5	25 Sep 2033	USD
CUS:61746RDP3	CI. M-3	PASS-THRU CTFS	B3	(sf)	Upgrade	20 Dec 2018	23.8	0.5	25 Sep 2033	USD
CUS:61746RDQ1	CI. B-1	PASS-THRU CTFS	Caa3	(sf)	Upgrade	20 Dec 2018	20.4	1.7	25 Sep 2033	USD

Tranche Detail: CUS:61746RDS7

Other Identifier(s): ISN:US61746RDS76, FIGI:BBG00069YPR1

Pay Frequency	Monthly	Reporting Date of Face Amount	
Coupon Type	Floating	Structure	Sr/sub Seq Pay
Coupon		Releasing Office	<a href="#">New York - (Moody's Investors Service, Inc.)</a>
Base Rate	One month LIBOR	EU Endorsement	EU Endorsed
Spread to Base Rate	3.75		
Support	Yes		
Support Type	Rate Cap/Floor		
Support Provider	Morgan Stanley Capital Services LLC		

### Rating History



Export Results: 5

Date	Rating	Indicator	Rating Action
10 Apr 2012	C	(sf)	Downgrade
15 Mar 2011	Ca	(sf)	CONFIRMED
08 Apr 2010	Ca	(sf)	On Watch - Possible Downgrade
11 Feb 2009	Ca	(sf)	Downgrade
29 Sep 2003	Baa3	(sf)	New

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

### ETHICS HOTLINE

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
T Direct Dial Access® code for n.

: prompt, dial 866-330-MDYS 397).

### TERMS & CONDITIONS

[Terms of Use](#)  
[Privacy Policy](#)  
[Proprietary Rights](#)  
[Regulatory Affairs](#)  
[Moody's Code of Professional Conduct](#)

### CONTACT US

[Careers](#)  
[Help & Support](#)  
[Contact Us](#)  
[Submit a Complaint](#)

### EXPLORE

[MoodyAnalytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

### CONNECT

[@MoodyInvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

11 MSAC.pdf

REGIONAL SITES:

Global

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

14 FNLC.pdf

Search ratings, research, analysts, and more...

### First NLC Trust 2005-3

Moody's Org ID: 400037873

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Chloe Zhang</a>

#### KEY CHARACTERISTICS

Closing Date: **16 Nov 2005**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

#### ORIGINATOR

**First NLC Financial Services LLC**

#### TRUSTEE

**HSBC Bank USA, N.A., Wilmington Trust Company**

#### SERVICER

**Wells Fargo Bank, N.A.**

[Research](#)
[Ratings](#)
[Related Parties](#)
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 4

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:32113JBU8	Cl. M-1	PASS-THRU CTFS	Aa2	(sf)	Upgrade	06 Jun 2019	31.5	8.3	25 Dec 2035	USD
CUS:32113JBV6	Cl. M-2	PASS-THRU CTFS	C	(sf)	Downgrade	06 Apr 2010	26.1	15.4	25 Dec 2035	USD
CUS:32113JCA1	Cl. M-7	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	23 Nov 2005	8.4	0	25 Dec 2035	USD
CUS:32113JCB9	Cl. N	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	23 Nov 2005	11.6	0	25 Dec 2035	USD

**Tranche Detail:** CUS:32113JBV6  
**Other Identifier(s):** ISN:US32113JBV61, FIGI:BBG0009VK4N5

Pay Frequency: Monthly Coupon Type: Floating Coupon: One month LIBOR Base Rate: One month LIBOR Spread to Base Rate: 0.68 Support: No Support Type: No Support Provider: No	Reporting Date of Face Amount: Sequential Structure: Sequential Releasing Office: <b>New York - (Moody's Investors Service, Inc.)</b> EU Endorsement: EU Endorsed
--	--

**Rating History** [Export](#) Results: 6

Date	Rating	Indicator	Rating Action
06 Apr 2010	C	(sf)	Downgrade
13 Jan 2010	Caa3	(sf)	On Watch - Possible Downgrade
13 Mar 2009	Caa3	(sf)	Downgrade
26 Feb 2009	Ba3	(sf)	On Watch - Possible Downgrade
31 Oct 2008	Ba3	(sf)	Downgrade
23 Nov 2005	A2	(sf)	New

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

**ETHICITY HOTLINE**

RNET:  
[dys.ethicshelp@moody.com](mailto:dys.ethicshelp@moody.com)

FROM THE UNITED STATES:  
 1-866-330-6397

FROM OUTSIDE THE UNITED STATES:  
 Direct Dial Access® code for

prompt, dial 866-330-MDYS (397).

**TERMS & CONDITIONS**

Terms of Use  
 Privacy Policy  
 Proprietary Rights  
 Regulatory Affairs  
 Moody's Code of Professional Conduct

**CONTACT US**

Careers  
 Help & Support  
 Contact Us  
 Submit a Complaint

**EXPLORE**

[Moody's Analytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

**CONNECT**

[@Moody'sInvSvc](#)  
[Moody's Corporate](#)  
[The Moody's Found](#)

14 FNLC.pdf

REGIONAL SITES: Global

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

15 GSAA.pdf

 Search ratings, research, analysts, and more...

CONTACT

### GSAA Home Equity Trust 2006-6

Moody's Org ID: 400041411

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Ilana Fried</a>

**KEY CHARACTERISTICS**

Closing Date: **28 Apr 2006**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

**ORIGINATOR**

**American Home Mortgage Corp.**

**TRUSTEE**

**U.S. Bank National Association**

**SERVICER**

**Ocwen Loan Servicing, LLC**

[Research](#)
[Ratings](#)
[Related Parties](#)
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 11

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:362334MD3	Cl. AF-2	PASS-THRU CTFS	Caa3	(sf)	Downgrade	11 Nov 2010	28.3	4.1	25 Mar 2036	USD
CUS:362334ME1	Cl. AF-3	PASS-THRU CTFS	Caa3	(sf)	Downgrade	11 Nov 2010	69.3	37.6	25 Mar 2036	USD
CUS:362334MF8	Cl. AF-4	PASS-THRU CTFS	Caa3	(sf)	Downgrade	11 Nov 2010	58.3	31.6	25 Mar 2036	USD
CUS:362334MG6	Cl. AF-5	PASS-THRU CTFS	Caa3	(sf)	Downgrade	11 Nov 2010	34.5	18.7	25 Mar 2036	USD

**Tranche Detail:** CUS:362334MZ4  
**Other Identifier(s):** ISN:US362334MZ48, FIGI:BBG000B1XNP0

Pay Frequency: Monthly Coupon Type: Fixed Coupon: 6.063 Base Rate: N/A Spread to Base Rate: No Support: No Support Type: No Support Provider: No	Reporting Date of Face Amount: Sequential Structure: Sequential Releasing Office: <b>New York - (Moody's Investors Service, Inc.)</b> EU Endorsement: EU Endorsed
---	--

**Rating History**

Date	Rating	Indicator	Rating Action
14 Aug 2009	C	(sf)	Downgrade
19 Feb 2009	Caa1	(sf)	Downgrade
08 May 2006	Aaa	(sf)	New

[Export](#) Results: 3

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

**ETHICS HOTLINE**

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
1-866-330-6397

FROM OUTSIDE THE UNITED STATES:  
Direct Dial Access® code for

prompt, dial 866-330-MDYS (397).

**TERMS & CONDITIONS**

- [Terms of Use](#)
- [Privacy Policy](#)
- [Proprietary Rights](#)
- [Regulatory Affairs](#)
- [Moody's Code of Professional Conduct](#)

**CONTACT US**

- [Careers](#)
- [Help & Support](#)
- [Contact Us](#)
- [Submit a Complaint](#)

**EXPLORE**

- [MoodyAnalytics.com](#)
- [Economy.com](#)
- [Sitemap](#)

**CONNECT**

- [@Moody'sInvSvc](#)
- [Moody's Corporate](#)
- [The Moody's Found](#)

15 GSAA.pdf

 Search ratings, research, analysts, and more...

REGIONAL SITES:

[CONTACT](#)

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

16 SAIL.pdf

Search ratings, research, analysts, and more...

# Structured Asset Investment Loan Trust 2006-4

Moody's Org ID: 400044331

Market Segment: [Structured Finance](#) > [RMBS](#) > [Subprime](#)

ANALYST	
Lead Rating Analyst:	<a href="#">Zhiyu Jiang</a>

### KEY CHARACTERISTICS

Closing Date: **30 Jun 2006**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Subprime**

### ORIGINATOR

[New Century Mortgage Corporation, Lehman Brothers Bank, FSB ...More](#)

### TRUSTEE

[U.S. Bank National Association](#)

### SERVICER

[JPMorgan Chase Bank, N.A., Wells Fargo Bank, N.A. ...More](#)

[Research](#)
[Ratings](#)
[Related Parties](#)
[Go to RMBS Industry Page](#)

**Tranche List** [Export](#) Results: 8

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:86360WAA0	Cl. A1	PASS-THRU CTFS	Ca	(sf)	Downgrade	12 Apr 2010	747.8	122.8	25 Jul 2036	USD
CUS:86360WAB8	Cl. A2	PASS-THRU CTFS	Caa3	(sf)	Downgrade	12 Apr 2010	391.1	56.3	25 Jul 2036	USD
MDY:809647706	Cl. P	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	19 Jul 2006	0	0	25 Jul 2036	USD
MDY:809647714	Cl. X	PASS-THRU CTFS	NR	(sf)	DECISION NOT TO RATE	19 Jul 2006	0	0	25 Jul 2036	USD

**Tranche Detail:** CUS:86360WAE2  
**Other Identifier(s):** ISN:US86360WAE21, FIG:BBG0009LCZZ4

Pay Frequency: Monthly	Reporting Date of Face Amount: Sequential
Coupon Type: Floating	Structure: Sequential
Coupon: One month LIBOR	Releasing Office: <a href="#">New York - (Moody's Investors Service, Inc.)</a>
Base Rate: 0.31	EU Endorsement: EU Endorsed
Spread to Base Rate: 0.31	
Support: Yes	
Support Type: Lender-Paid Mortgage Insurance	
Support Provider: <a href="#">Republic Mortgage Insurance Company, Mortgage Guaranty Insurance Corp. ...More</a>	

**Rating History**

Date	Rating	Indicator	Rating Action
20 Mar 2009	C	(sf)	Downgrade
26 Feb 2009	B3	(sf)	On Watch - Possible Downgrade
03 Nov 2008	B3	(sf)	Downgrade
23 Apr 2008	Aa2	(sf)	Downgrade
19 Jul 2006	Aaa	(sf)	New

[Export](#) Results: 5

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

#### TEGRITY HOTLINE

RNET:  
dys.ethicspoint.com

FROM THE UNITED STATES:  
130-MDYS (1-866-330-6397)

FROM OUTSIDE THE UNITED STATES:  
+1 Direct Dial Access® code for  
in.

prompt, dial 866-330-MDYS  
397).

#### TERMS & CONDITIONS

[Terms of Use](#)  
[Privacy Policy](#)  
[Proprietary Rights](#)  
[Regulatory Affairs](#)  
[Moody's Code of Professional Conduct](#)

#### CONTACT US

[Careers](#)  
[Help & Support](#)  
[Contact Us](#)  
[Submit a Complaint](#)

#### EXPLORE

[MoodyAnalytics.com](#)  
[Economy.com](#)  
[Sitemap](#)

#### CONNECT

[@Moody'sInvSvc](#)  
[Moody's Corporati](#)  
[The Moody's Founc](#)

16 SAIL.pdf

REGIONAL SITES: Global

s Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

17 MANA 2007.pdf

 Search ratings, research, analysts, and more...

CONTACT

# Merrill Lynch Alternative Note Asset Trust, Series 2007-A2

Moody's Org ID: 720102254

Market Segment: [Structured Finance](#) > [RMBS](#) > [Alt-A](#)

ANALYST	
Lead Rating Analyst:	<b>Wenzhao Wu</b>

### KEY CHARACTERISTICS

Closing Date: **30 Mar 2007**  
 Location of Assets(at issuance): **UNITED STATES**  
 MIS Collateral Type: **MBS - Alt-A**

### ORIGINATOR

**GreenPoint Mortgage Funding, Inc., Ameriquest Mortgage Company ...More**

### TRUSTEE

**HSBC Bank USA, N.A.**

### SERVICER

**GreenPoint Mortgage Funding, Inc., Wilshire Credit Corporation ...More**

Research
Ratings
Related Parties
Go to RMBS Industry Page

**Tranche List** Export Results: 9

Exclude Withdrawn Ratings  Include Withdrawn Ratings

Debt ID	Tranche	Description	Current Rating	Indicator	Rating Action	Date	Face Amount(Mil)		Maturity	Currency
							Original	Current		
CUS:59024FAA2	Cl. A-1	PASS-THRU CTFS	Ca	(sf)	Downgrade	01 Oct 2010	180.5	63.5	25 Mar 2037	USD
CUS:59024FAB0	Cl. A-2A	PASS-THRU CTFS	Ca	(sf)	Downgrade	01 Oct 2010	165.2	53.7	25 Mar 2037	USD
CUS:59024FAC8	Cl. A-2B	PASS-THRU CTFS	C	(sf)	Downgrade	01 Oct 2010	18.4	14.1	25 Mar 2037	USD
CUS:59024FAD6	Cl. A-3A	PASS-THRU CTFS	Ca	(sf)	Downgrade	01 Oct 2010	256	55	25 Mar 2037	USD

**Tranche Detail:** CUS:59024FAG9  
**Other Identifier(s):** ISN:US59024FAG90, FIGI:BBG0009T0BS1

Pay Frequency: Monthly Coupon Type: Floating Coupon: One month LIBOR Base Rate: One month LIBOR Spread to Base Rate: 0.3 Support: No Support Type: No Support Provider: No	Reporting Date of Face Amount: Sequential Structure: Sequential Releasing Office: New York - (Moody's Investors Service, Inc.) EU Endorsement: EU Endorsed
---	---

**Rating History**

\*This debt class has multiple ratings. For more details, check the Debt List.

Date	Rating	Indicator	Rating Action
01 Oct 2010	C	(sf)	Downgrade
14 Jan 2010	Ca	(sf)	On Watch - Possible Downgrade
29 Jan 2009	Ca	(sf)	Downgrade
08 Aug 2008	Caa2	(sf)	On Watch - Possible Downgrade
08 Aug 2008	Caa2	(sf)	Downgrade
17 Jan 2008	Aaa	(sf)	On Watch - Possible Downgrade
16 Apr 2007	Aaa	(sf)	New

*For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody's.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.*

17 MANA 2007.pdf

XT Direct Dial Access® code for  
in.  
: prompt, dial 866-330-MDYS  
397).

 Search ratings, research, analysts, and more...

CONTACT

REGIONAL SITES:

© Investors Service, Inc., Moody's Analytics, Inc. and/or their affiliates and licensors. All rights reserved.

**Re: VB:**

2 messages

**Emil Amir Ingmanson** <eai@solidequity.se>  
To: mark bishop <bishop1329@gmail.com>

Thu, Jul 19, 2012 at 10:08 AM

Hi,

Isn't 10.3 a problem for us?

Aren't we supposed to by bonds from ABSIG?

Best Regards,

Emil Amir Ingmanson  
Solid Equity AB  
Hammarby Kaj 16  
120 30 Stockholm, Sweden  
Office phone: +4685-172 07 00  
Mobile phone: +4670-397 81 00  
[eai@solidequity.se](mailto:eai@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)

This communication, including any attachments, is confidential and must not be read by or disclosed to any person other than the above-named addressee(s). Any unauthorised party intercepting this communication is required to forward it to its intended destination &/or inform the sender as soon as possible. The Partnership - i.e. Solid Equity including any and all associated businesses - disclaims any liability for any action taken in reliance on the content of this communication. The statements or opinions expressed in this communication are not necessarily those of the partnership and should not be represented as such. Whilst all reasonable precautions have been taken to ensure that no harmful content is transmitted to any third party the partnership accepts no responsibility for any inconvenience, loss or damage resulting directly or indirectly from the use of this communication.

The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Den 2012-07-19 08:42 skrev mark bishop <[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>:

&gt;FYI -

&gt;

&gt;

&gt;

&gt;----- Forwarded message -----

>From: mark bishop <[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>

&gt;Date: Wed, 18 Jul 2012 23:41:41 -0700

&gt;Subject: Fwd: VB:

>To: "erik.lind" <[erik.lind@lindahl.se](mailto:erik.lind@lindahl.se)>, "charlotta.olsson"><[charlotta.olsson@lindahl.se](mailto:charlotta.olsson@lindahl.se)>

>Cc: [ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)

>2012.07.19 VB.pdf

>

>Erik -

>

>Please find attached revisions to Sections 10.3 and 10.4. All other  
>modifications made by Ulf are approved.

>

>Finally, the last remaining document, certification by my accountant  
>that the funds will originate from ABSIG for the acquisition, will be  
>delivered to me by 12:00 Thursday. I will forward it on to you  
>immediately thereafter.

>

>Regards,

>

>Mark

>

>>

>----- Forwarded message -----

>From: Erik Lind <[Erik.Lind@lindahl.se](mailto:Erik.Lind@lindahl.se)>

>Date: Wed, 18 Jul 2012 16:02:13 +0200

>Subject: VB:

>To: Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>, "[bishop1329@gmail.com](mailto:bishop1329@gmail.com)"

><[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>

>Cc: Charlotta Olsson <[Charlotta.Olsson@lindahl.se](mailto:Charlotta.Olsson@lindahl.se)>,

>"[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)" <[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)>

>

>Hi Mark,

>

>As you know Charlotta is on holiday at present and cannot assist  
>today. However, as she already has advised you, she will compile the  
>dox and file the application on Friday.

>

>We have received from Ulf Deckmark the attached mark up of the  
>business plan. Please advise Charlotta by tomorrow that you are fine  
>with the changes. Moreover, in order to save time, it would be very  
>helpful if you could provide input to Sections 10.3 and 10.4. As you  
>see, Ulf has deleted text in those sections with a request that we  
>draft a revised text to be inserted therein, but as noted I think we  
>will save time if you could provide input here. Thanks!

>

>Best regards,

>

>Erik

>

>Erik Lind

>

>Partner

>

>

>

>Advokatfirman Lindahl KB

>

>P.O. Box 1065, 101 39 Stockholm, Sweden

>

>Tel +46 8 527 70 800

>

>Direct dial +46 8 527 70 908

>

>Mobile +46 766 170 908

>

>Fax +46 8 667 73 80

>

>Visiting address Mäster Samuelsgatan 20

>

>E-mail [erik.lind@lindahl.se](mailto:erik.lind@lindahl.se)

> 2012.7.19 VB.pdf

> [cid:image001.gif@01CD64FC.52D0E350]

> STOCKHOLM GÖTEBORG MALMÖ HELSINGBORG UPPSALA ÖREBRO

> [www.lindahl.se](http://www.lindahl.se)

> All services rendered by Lindahl are subject to the General Terms and  
> Conditions available at [www.lindahl.se](http://www.lindahl.se) <<http://www.lindahl.se>>.

> This communication is confidential and is only intended for the use of  
> the individual or entity to which it is directed. It may contain  
> information that is privileged and exempt from disclosure under  
> applicable law. If you are not the intended recipient please notify us  
> immediately. You should not copy it or disclose its contents to any  
> other person.

> Från: Charlotta Olsson

> Skickat: den 18 juli 2012 15:13

> Till: Erik Lind

> Ämne: Fwd:

> Charlotta Olsson

> Senior Associate

> Advokatfirman Lindahl KB

> 0766-170 957

> Vidarebefordrat brev:

> Från: "Ulf Deckmark"

> <[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)<<mailto:ulf.deckmark@tractionfonder.se>>>

> Till: "Charlotta Olsson"

> <[Charlotta.Olsson@lindahl.se](mailto:Charlotta.Olsson@lindahl.se)<<mailto:Charlotta.Olsson@lindahl.se>>>

> Hej Charlotta,

> Bifogar ändringar som är markerade och i pratbubblorna ser du ändringar  
> som Du bör göra

> Har även ändrat i excel arket i verksamhetsplanen. Excel arket

> Traction proforma behöver inte bifogas som separat dokument eftersom  
> det finns i verksamhetsplanen.

> Har pratat med Mark och han ville att jag skall maila dig mina

> ändringar och att du gör de slutgiltiga ändringarna och sedan meddelar

> honom de ändringar som du och jag gjort så han bara kan ok dessa.

> Mvh

> Ulf

> -----  
> Ulf Deckmark, VD

> Traction Fonder AB

> Biblioteksgatan 3, 2tr

> 111 46 Stockholm

> Tel: + 46 8 502 556 03

> Fax: + 46 8 502 556 04

> [ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)<<mailto:ulf.deckmark@tractionfonder.se>><mail

> to:[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)>

> [www.tractionfonder.se](http://www.tractionfonder.se)<<http://www.tractionfonder.se>><[60](http://www.tractionfon</a></p></div><div data-bbox=)

> [der.se/](#) 2012.7.19 VB.pdf

>  
>-----  
>-----  
>  
>RISK:  
>Det finns inga garantier för att en investering i någon av fonderna  
>inte kan leda till förlust, trots positiv utveckling på de finansiella  
>marknaderna. Historisk avkastning är ingen garanti för framtida  
>avkastning och en investering i någon av våra fonder bör betraktas som  
>en långsiktig investering.

>  
>-----  
>-----  
>-----  
>CONFIDENTIALITY NOTICE:  
>This e-mail is confidential and may contain legally privileged  
>information.  
>If you have received it by mistake, please inform us by reply e-mail  
>and take actions to delete it (including any attachments) from your  
>system; you should not copy it or in any other way disclose its  
>content to anyone.  
>WARNING: E-mail is susceptible to data corruption, interception,  
>unauthorised amendment, tampering and virus. If you suspect any such  
>do return any such message to us for authentication. We do not accept  
>liability for any such actions or the consequences without such  
>verification.

---

Emil Amir Ingmanson <eai@solidequity.se>  
To: "<bishop1329@gmail.com>" <bishop1329@gmail.com>

Thu, Jul 19, 2012 at 2:39 PM

Ok,  
  
I knew that you are on top of your game,  
  
Just checking...

Best Regards,  
  
Emil Amir Ingmanson

Sent from my iPhone

19 jul 2012 kl. 14:38 skrev "bishop1329@gmail.com" <bishop1329@gmail.com>:

> Ulf must buy through Auriga or some other broker/dealer,, so this is the language that FSA and Ulf want to see.

>  
> Sent via BlackBerry by AT&T

[Quoted text hidden]



---

## Re: Lets build a large Swedish PPM fund. Rock and roll...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>  
To: mark bishop <bishop1329@gmail.com>

Thu, Aug 23, 2012 at 11:39 AM

Very interesting,

How did it go with the transfers?

Can we buy more bonds right away now when everything is working?

Best Regards,

Emil Amir Ingmanson  
Solid Equity AB  
Hammarby Kaj 16  
120 30 Stockholm, Sweden  
Office phone: +4685-172 07 00  
Mobile phone: +4670-397 81 00  
[eai@solidequity.se](mailto:eai@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)

This communication, including any attachments, is confidential and must not be read by or disclosed to any person other than the above-named addressee(s). Any unauthorised party intercepting this communication is required to forward it to its intended destination &/or inform the sender as soon as possible. The Partnership - i.e. Solid Equity including any and all associated businesses - disclaims any liability for any action taken in reliance on the content of this communication. The statements or opinions expressed in this communication are not necessarily those of the partnership and should not be represented as such. Whilst all reasonable precautions have been taken to ensure that no harmful content is transmitted to any third party the partnership accepts no responsibility for any inconvenience, loss or damage resulting directly or indirectly from the use of this communication.

The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Den 2012-08-23 09:34 skrev mark bishop <[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>:

>Reading DN today...

>

>

>They say the "fund giant" Fidelity is stepping in to the PPM system

>with 13 new funds.

>Several international fund companies - like Black Rock, Morgan Stanley

>and JP Morgan - are already in the PPM system.

>

>Fidelity - that has been in Sweden since 1996 - has so far not been in

>the system. Now they are changing their minds.

> 2012.8.23 Lets build a large Swedish PPM fund. Rock and roll....pdf  
> There are several reasons. We have grown here and have seen a demand  
> from our clients to also be able to save in the ppm-system. The system  
> has also "matured" and developed to an excellent possibility for a  
> longterm saving, says Stefan Blomé. He also says that the assets on  
> peoples accounts has grown and that the huge temporary flows between  
> different funds - that was caused by so called mass-changes - has  
> stopped.  
> At the same time there is an uncertainty what the ppm system will look  
> like in the future. A team is looking in to several aspects, deciding  
> on what it will look like in the future, among other things if there  
> are too many funds in the system.  
>  
> The uncertainty is not frightening Fidelity. It's hard to predict what  
> result they will reach, but we hope they will keep many funds. Both  
> broad and niched alternatives are needed, says Blomé. The 13 funds  
> that the company brings in to the system are mostly equity funds but  
> also some interest funds with different strategies. Among the  
> alternatives are several global funds but also individual markets like  
> Latin America, Asia and USA. Nine of the funds has been ranked by the  
> analytic company Morningstar. Two of them have the highest score 5 and  
> the others 3 and 4.  
>  
> In PPM there are today 804 funds and 55 % of these are administered by  
> foreign companies. The savers still choose Swedish alternatives as  
> their first choice. Around 84 % of the savers money are in Swedish  
> funds according to PPM.

**Re: arb...**

1 message

**Mark** <mailto:bishop@gmail.com>

Sun, Dec 9, 2012 at 9:07 PM

To: Emil Amir Ingmanson &lt;eai@solidequity.se&gt;

Ok. We'll talk when you're out. See you later. M

=====

Mark Bishop

[+1 949 678 9574](tel:+19496789574)[+46 709 933 538](tel:+46709933538)

On 9 dec 2012, at 20:59, Emil Amir Ingmanson &lt;eai@solidequity.se&gt; wrote:

Tomorrow morning. I think I need to leave at 9 or so.

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

9 dec 2012 kl. 20:58 skrev "Mark" &lt;mailto:bishop@gmail.com&gt;:

What time do you leave on Monday?

=====

Mark Bishop

[+1 949 678 9574](tel:+19496789574)[+46 709 933 538](tel:+46709933538)

On 9 dec 2012, at 20:44, Emil Amir Ingmanson &lt;eai@solidequity.se&gt; wrote:

That's great. I'll talk to Ulf tomorrow. He's going with me and Afram to London Monday and Tuesday so we have plenty of time.

See you on Wednesday.

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

9 dec 2012 kl. 18:40 skrev "Mark Bishop" &lt;mailto:bishop@gmail.com&gt;:

Emil -

I can wire USD 251,343.28 on Wednesday to the Solid Venture Capital Ltd, if we get Ulf to approve the two bonds that I bought for Traction. I am having all the analytics run on Monday and will be able to present the bonds to Ulf on Tuesday.

We'll figure it out on Monday, but I still think that we can buy another USD 1 million for the month of December,, so I should be able to move another USD 200,000.00 in arb before the end of the month.

Best,

Mark

--

**Mark Bishop**

[+1 949 678 9574](tel:+19496789574)

+46 709 933 538



---

## Re: Arb for CWALT and INDX...

1 message

---

**Mark** <mailto:bishop@gmail.com>  
To: Emil Amir Ingmanson <eai@solidequity.se>

Tue, Dec 11, 2012 at 11:24 PM

You put a smile on my face. Thanks. Best. M

=====  
Mark Bishop  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

On 11 dec 2012, at 23:18, Emil Amir Ingmanson <eai@solidequity.se> wrote:

Super! Super!

We Received 42 million today from the pension authority!!!!

Double what we expected!

You can prepare another MBS of the same size right away. We are going to break the half billion line before Christmas!

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

11 dec 2012 kl. 22:15 skrev "Mark Bishop" <mailto:bishop@gmail.com>:

Emil -

I set a wire in the amount of USD 251.343,28, to Solid Venture Capital Ltd (Barclays Bank account), on Wednesday, December 12th.

Regards,

Mark

--  
**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)



---

**Re: Arb for CWALT and INDX...**

1 message

---

**Mark Bishop** <mailto:bishop@gmail.com>

Fri, Dec 14, 2012 at 2:40 PM

To: Emil Amir Ingmanson <eai@solidequity.se>

EMIL - ATTACHED ARE THE TRADE TICKETS FOR THE SALE OF THE INDX AND CWALT BONDS TO AURIGA. REGARDS. M

=====

On Fri, Dec 14, 2012 at 8:27 AM, Emil Amir Ingmanson <eai@solidequity.se> wrote:

Hi Mark -

Can you send me the trade ticket for this transaction.

Did you get the sales budget in the arbitrage as agreed?

It doesn't look like that to me, but I haven't seen the exact numbers.

Best Regards,

Emil Amir Ingmanson  
Solid Equity AB  
Hammarby Kaj 16  
120 30 Stockholm, Sweden  
Office phone: +4685-172 07 00  
Mobile phone: +4673-293 99 99  
[eai@solidequity.se](mailto:eai@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)

This communication, including any attachments, is confidential and must not be read by or disclosed to any person other than the above-named addressee(s). Any unauthorised party intercepting this communication is required to forward it to its intended destination &/or inform the sender as soon as possible. The Partnership - i.e. Solid Equity including any and all associated businesses - disclaims any liability for any action taken in reliance on the content of this communication. The statements or opinions expressed in this communication are not necessarily those of the partnership and should not be represented as such. Whilst all reasonable precautions have been taken to ensure that no harmful content is transmitted to any third party the partnership accepts no responsibility for any inconvenience, loss or damage resulting directly or indirectly from the use of this communication.

The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

---

**Från:** Mark Bishop <[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)>

**Datum:** tisdag 11 december 2012 22:15

**Till:** Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)>

**Ämne:** Arb for CWALT and INDX...

Emil -

I set a wire in the amount of USD 251.343,28, to Solid Venture Capital Ltd (Barclays Bank account), on Wednesday, December 12th.

Regards,

2012.12.14 - Re: Arb for CWALT and INDX....pdf

Mark

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538

---

**2 attachments**



**TRADE TICKET INDX - ABSIG to Auriga.pdf**

147K



**TRADE TICKET CWALT - ABSIG to Auriga.pdf**

147K

**Re: Trade...**

1 message

**Mark** <mailto:bishop@gmail.com>

Mon, Feb 18, 2013 at 5:30 PM

To: Emil Amir Ingmanson &lt;eai@solidequity.se&gt;

Ok. Lets use "[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)" between us then. That seems to work.

=====

Mark Bishop

[+1 949 678 9574](tel:+19496789574)[+46 709 933 538](tel:+46709933538)

On 18 feb 2013, at 17:12, Emil Amir Ingmanson &lt;eai@solidequity.se&gt; wrote:

No, I haven't received anything.

Best Regards,  
E-A Ingmanson

&lt;21256E5B-5599-47E1-B36B-02B91354B64D[16].png&gt;

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

[+467-329 399 99](tel:+46732939999)[+356-999 380 99](tel:+35699938099)[www.solidequity.se](http://www.solidequity.se)[eai@solidequity.se](mailto:eai@solidequity.se)

---

**From:** Mark Bishop <[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)>**Date:** Mon, 18 Feb 2013 16:53:07 +0100**To:** Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)>**Subject:** Fwd: Trade...

Emil -

I just wanted to make sure that you received this email from my "[mbishop@absig.com](mailto:mbishop@absig.com)" email.

Let me know.

Mark

=====

----- Forwarded message -----

From: **Mark Bishop** <[mbishop@absig.com](mailto:mbishop@absig.com)>

Date: Mon, Feb 18, 2013 at 4:37 PM

Subject: Re: Trade...

To: [eai@solidequity.se](mailto:eai@solidequity.se)Cc: [mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)

Emil -

BOAMS 2004-L B1  
Cusip: 05949AZH6  
ISIN: US05949AZH66

Best regards,

M

=====  
Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
[+1 949 678 9574](tel:+19496789574)

On Mon, 02/18/2013 04:12 PM, Emil Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)> wrote:

Hi Mark,

I just got a call from CBSB and he said that they need the ICIN code for the bond in order to transfer it.

Can you get that for me?

Best Regards,

E-A Ingmanson

Sent from my iPhone

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)



Mark Bishop <mailto:bishop@gmail.com>

---

## Prospectus for BOAMS 2004-L bond...

1 message

---

**Mark Bishop** <mailto:bishop@gmail.com>  
To: Emil Amir Ingmanson <eai@solidequity.se>

Wed, Feb 20, 2013 at 2:53 PM

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

---

 **Prospectus - BOAMS 2004-L.pdf**  
1426K



---

## Passport copy...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Mon, Apr 8, 2013 at 8:26 AM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Here it is.

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

 **20121024100948630.pdf**  
4999K



---

## Email...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Mon, Apr 15, 2013 at 5:29 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Mark -

Please ask Charlotte at Lindahl to send an email to Niklas, asking for the agreement with their fund company. He will send it to her right away.

[niclas@positivpension.se](mailto:niclas@positivpension.se)

Sent from my iPhone



---

## Agreement...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Fri, Apr 19, 2013 at 5:26 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

Here is the agreement...

Best Regards,  
E-A Ingmanson

**SOLID EQUITY**

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)

[eai@solidequity.se](mailto:eai@solidequity.se)



**Consultancy Agreement Zeptunus to Solid Venture Capital.doc**

55K



---

## Hayden - "No conflict of interest..."

---

**Mark Bishop** <mailto:bishop@gmail.com>

Fri, Apr 19, 2013 at 8:37 AM

To: epperjt@gmail.com

Tom -

Can you please send the attached letter, on your Hayden letterhead (modify if you think necessary), scan a pdf and email (over your Hayden email) it to Ulf at [ulf.deckmark@optimusfonder.se](mailto:ulf.deckmark@optimusfonder.se).

It is for an annual audit from FI.

Thank you.

M

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538

---

 **no conflict of interest - 4-19-2013.docx**  
81K



---

## Re: Sales budget...

1 message

---

**Mark** <mailto:bishop@gmail.com>

Fri, Apr 26, 2013 at 11:44 AM

To: Emil Amir Ingmanson <eai@solidequity.se>

Checked online. Wire set on 25th, but bank scheduled transfer on 26th.

=====  
Mark Bishop  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

On 26 apr 2013, at 11:34, Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)> wrote:

The transfer haven't arrived yet.

Please check if there was a problem.

We should have paid the salaries yesterday.

Best Regards,  
E-A Ingmanson

<21256E5B-5599-47E1-B36B-02B91354B64D[46].png>

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)



---

## Re: Trade Ticket - ABS to SVC.pdf

1 message

---

**Mark Bishop** <mailto:bishop@gmail.com>

Mon, May 6, 2013 at 3:18 PM

To: Emil Ingmanson <eai@solidequity.se>

Emil -

The ISIN is **US52525FAH64**.

Best,

M

On Mon, May 6, 2013 at 11:05 AM, Emil Ingmanson <eai@solidequity.se> wrote:

Please send the ISIN to me...

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

**Från:** Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>

**Datum:** 6 maj 2013 10:55:01 CEST

**Till:** 'Emil Ingmanson' <eai@solidequity.se>

**Ämne:** RE: Trade Ticket - ABS to SVC.pdf

Hi Emil,

may you have the ISIN from the Bond ?

So i can arrange everything for booking as soon as the bond is transferred.

Thanks

Carsten

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538



---

## Fwd: SV: letter of intent..

1 message

---

**Mailtobishop** <mailto:bishop@gmail.com>  
To: Ingmanson Emil <eai@solidequity.se>

Tue, May 7, 2013 at 12:56 PM

FYI -

=====  
Mark Bishop  
[+1 949 678 9574](tel:+19496789574)

Begin forwarded message:

**From:** "Niclas Tapper" <[niclas@positivpension.se](mailto:niclas@positivpension.se)>  
**Date:** 7 maj 2013 03:13:27 GMT-07:00  
**To:** "Mailtobishop" <[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)>  
**Subject:** RE: SV: letter of intent..

Here you go!

**Med vänliga hälsningar / Best regards**

Niclas Tapper  
Affärsutvecklare

E-post: [niclas@positivpension.se](mailto:niclas@positivpension.se)  
Hemsida: [www.positivpension.se](http://www.positivpension.se)

Telefon: 0708-98 10 74

Adress: Vädursgatan 5, 412 50 Göteborg

---

---

**2 attachments**



 **LOI Positiv Pension v6 (MAQS 2013-05-06).pdf**  
2185K



---

## Fwd: New Bond...

1 message

---

**Emil Ingmanson** <eai@solidequity.se>

Fri, May 10, 2013 at 12:23 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

No bond yet...

Best Regards,

E-A Ingmanson

Sent from my iPhone

Vidarebefordrat brev:

**Från:** Carsten Pfersdorf <Carsten.Pfersdorf@cbseydler.com>

**Datum:** 10 maj 2013 12:20:24 CEST

**Till:** 'Emil Ingmanson' <eai@solidequity.se>

**Ämne:** RE: New Bond...

Hi Emil,

no bond yet, lets hope for the US session....



---

## FW: Trade Confirmation 220676

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Wed, May 15, 2013 at 11:52 AM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <bishop1329@gmail.com>

Best Regards,  
E-A Ingmanson

Hammarby Kaj 16  
120 30 Stockholm, Sweden

<

+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se) <Best Regards,  
E-A Ingmanson

Hammarby Kaj 16  
120 30 Stockholm, Sweden

<

+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)>  
[eai@solidequity.se](mailto:eai@solidequity.se)

On 2013-05-15 11:46, "Close Brothers Seydler Bank AG" <[top@cbseydler.com](mailto:top@cbseydler.com)>  
wrote:

>

---

 **SOLI01-O-20130515-114623-220676.pdf**  
45K



---

## SP Board...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Wed, May 29, 2013 at 2:36 PM

To: Mark Bishop <mailto:bishop@gmail.com>, Mark Bishop <mbishop@absig.com>

Mark -

Here is the new board of directors in Strategi Placering AB.

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

### 4 attachments

 **5568605082\_Årsredovisning\_2012-12-31.pdf**  
654K

 **5568605082\_Bolagsordning\_2013-05-07.pdf**  
30K

 **5568605082\_Registreringsbevis\_2013-05-29.pdf**  
121K

 **5568605082\_Registreringsbevisengelska\_2013-05-29.pdf**  
116K



---

## Pin code verification process

1 message

---

**Jonas Johansson** <jonas@solidequity.se>

Thu, Jun 13, 2013 at 3:02 PM

To: Mark Bishop <mailto:bishop@gmail.com>, Emil Amir Ingmanson <eai@solidequity.se>

--  
Best Regards,

Jonas Johansson  
Solid Equity AB  
Hammarby Kaj 16  
120 30 Stockholm, Sweden  
Mobile phone: +4672-063 79 74  
[jonas@solidequity.se](mailto:jonas@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)

This communication, including any attachments, is confidential and must not be read by or disclosed to any person other than the above-named addressee(s). Any unauthorised party intercepting this communication is required to forward it to its intended destination&/or inform the sender as soon as possible. The Partnership - i.e. Solid Equity including any and all associated businesses - disclaims any liability for any action taken in reliance on the content of this communication. The statements or opinions expressed in this communication are not necessarily those of the partnership and should not be represented as such. Whilst all reasonable precautions have been taken to ensure that no harmful content is transmitted to any third party the partnership accepts no responsibility for any inconvenience, loss or damage resulting directly or indirectly from the use of this communication.

The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

-----  
No virus found in this message.

Checked by AVG - [www.avg.com](http://www.avg.com)

Version: 2013.0.2904 / Virus Database: 3199/6406 - Release Date: 06/12/13



**Pincode verification process.docx**

15K



---

## Re: The buyer...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 22, 2013 at 9:01 PM

To: Mark <mailto:bishop@gmail.com>

The settlement went fine and the cash was transferred to you yesterday.

Sent from my iPhone

On 22 Jun 2013, at 20:38, "Mark" <mailto:bishop@gmail.com> wrote:

Thanks...

=====

Mark Bishop

[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)

+1 949 678 9574

+46 709 933 538

On Jun 22, 2013, at 11:30, Emil Amir Ingmanson <eai@solidequity.se> wrote:

Hi Mark,

Here is the information about the buyer...

Best Regards,  
E-A Ingmanson

<21256E5B-5599-47E1-B36B-02B91354B64D[5].png>

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

<Jelena\_Saalismaa.pdf>



---

## The buyer...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 22, 2013 at 8:30 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

Here is the information about the buyer...

Best Regards,  
E-A Ingmanson

## **SOLID EQUITY**

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)

[eai@solidequity.se](mailto:eai@solidequity.se)



**Jelena\_Saalismaa.pdf**

73K



---

## Another one...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 29, 2013 at 6:05 PM

To: Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

I want Optimus to buy € 5 million of Steilmann and 10 million of PNE Wind.

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)

[eai@solidequity.se](mailto:eai@solidequity.se)

---

### 2 attachments



**TS Steilmann Boecker\_Bond Tap\_E0032[1].PDF**

90K



**TermSheet\_PNE WIND\_E0232[1].PDF**

61K



---

## Last one...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 29, 2013 at 6:27 PM

To: Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

Now I have sent 8 bonds in which Optimus can invest. This is the last and smallest one, which should make them open to an equity deal...

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)



19062013\_Unternehmenspraesentation\_e\_Roadshow\_E0032[1].PDF  
839K



---

## New issue bonds from CBSB...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 29, 2013 at 5:59 PM

To: Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

I want Optimus to buy € 6 million of Homann (Optimus already have € 750, 000 in the portfolio) and 10 million of Rickmers (Optimus already have 4 million in the portfolio)

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

### 2 attachments

 **20130625\_TermSheet\_HOMANN\_E0400[1].pdf**  
203K

 **Termsheet\_Rickmers\_E0032[1].PDF**  
64K



---

## New issued bonds from Baader Bank...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>  
To: Mark Bishop <mailto:bishop@gmail.com>

Sat, Jun 29, 2013 at 5:54 PM

Hi Mark,

I want Optimus to buy € 8 million of Wallenborn and € 10 million of DIC.

Best Regards,  
E-A Ingmanson

**SOLID EQUITY**

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—

+467-329 399 99

+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

### 2 attachments

 **Wallenborn Wind Bond Teaser ENG 2013 05 21[1].pdf**  
430K

 **DIC Asset Term Sheet 20130628\_en[2].pdf**  
225K



---

## Warimpex...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sat, Jun 29, 2013 at 6:25 PM

To: Mark Bishop <mailto:bishop@gmail.com>

Hi Mark,

These are from Warimpex, who are willing to give us equity in their real-estate projects.

This is the one Ulf responded to after 3 weeks...

- We found an investor who is ready to sell parts of his bonds for 101% over the stock exchange, he has PLN 12,750,000 (approx. 20% of the total issue of PLN 63 M). The bond is 3y, in PLN, 6M WIBOR (currently 3%) + 7% margin
- Please find the bond documentation [http://www.warimpex.com/investors/disclaimer-bonds.aspx?sc\\_lang=en](http://www.warimpex.com/investors/disclaimer-bonds.aspx?sc_lang=en)
- We calculated our idea to sell our fully let office block in St. Petersburg: it will bring 8% unleveraged, asset backed.
- 

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

 **Warimpex 2013 - Investment summary (Floating)[2].pdf**  
391K



---

## Ny ledamot...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Sun, Jun 30, 2013 at 10:35 PM

To: Mark Bishop <mailto:bishop@gmail.com>, Mark Bishop <mbishop@absig.com>

Cc: Kristoffer Sparring <kristoffer.sparring@fylgia.se>

Dear Mark and Kristoffer,

Here is the swedish board member in Positiv Pension.

Send me the documents and I will make sure it is signed and returned ASAP.

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

 **Jelena\_Saalismaa[1].pdf**  
73K



---

## The file...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>

Thu, Jul 11, 2013 at 1:56 PM

To: Mark Bishop <mbishop@absig.com>, Mark Bishop <mailto:bishop@gmail.com>

Best Regards,  
E-A Ingmanson

## SOLID EQUITY

Hammarby Kaj 16  
120 30 Stockholm, Sweden

—  
+467-329 399 99  
+356-999 380 99

[www.solidequity.se](http://www.solidequity.se)  
[eai@solidequity.se](mailto:eai@solidequity.se)

---

 **Ulf's Arbitrage.xlsx**  
42K



---

## Call me ASAP...

1 message

---

**Emil Amir Ingmanson** <eai@solidequity.se>  
To: Mark Bishop <mailto:bishop@gmail.com>

Thu, Sep 26, 2013 at 11:10 AM

Hi,

Don't send the bond to my account in CBSB. Itv is on hold.

We have to do it through Barclays.

Best Regards,

Emil Amir Ingmanson  
+467-329 399 99  
+356-999 380 99



---

## Re: The Agreement...

1 message

---

**Mark** <mailto:bishop@gmail.com>

Wed, Nov 6, 2013 at 4:21 PM

To: Emil Amir Ingmanson <eai@solidequity.se>

Ulf is sending to Stelum today. Had to have legal, compliance, and FI input in order to have valid agreement, in light of FI oversight.

=====

Mark Bishop  
[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)  
+1 949 678 9574  
+46 709 933 538

On Nov 6, 2013, at 9:53 AM, Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)> wrote:

Mark -

Please call me so we can sort this out.

2 weeks to send us an agreement that is impossible to sign.

Come on Mark, whats going on?

Best Regards,

Emil Amir Ingmanson  
+467-329 399 99  
+356-999 380 99



---

## Re: SV: Platforms...

---

Mark <mailto:bishop@gmail.com>

Fri, Dec 13, 2013 at 2:24 PM

To: Jan Eriksson <jan.eriksson@optimusfonder.se>

Cc: Ulf Deckmark <ulf.deckmark@optimusfonder.se>

Ok. Let's do this immediately, as it will greatly enhance our ability to attract significant UCITS-4 funds, and this is consistent with the Board's business plan and our representations to FI.

Regards,

Mark

=====

Mark Bishop

[mailto:bishop@gmail.com](mailto:mailto:bishop@gmail.com)

+1 949 678 9574

+46 709 933 538

On Dec 13, 2013, at 5:14 AM, Jan Eriksson <[jan.eriksson@optimusfonder.se](mailto:jan.eriksson@optimusfonder.se)> wrote:

Hi Mark,

I enclose a Excel file for Small Cap with almost the same info as for High Yield. We have to apply for a EUR class with Finansinspektionen and that

will take 3 months to be approved by FI.

Best

Jan

**Från:** Mark Bishop [<mailto:mailto:bishop@gmail.com>]

**Skickat:** den 13 december 2013 13:57

**Till:** Eriksson Jan

**Kopia:** [ulf.deckmark@optimusfonder.se](mailto:ulf.deckmark@optimusfonder.se)

**Ämne:** Fwd: Platforms...

Thank you, Jan.

I also want to see the same information for the "Small Cap" fund.

Finally, we will need a EUR currency class for each fund, "High Yield" and "Small Cap". What is involved in notification and timing in order to get a EUR class?

Let me know.

Thank you,

Mark

----- Forwarded message -----

From: **Jan Eriksson** <[jan.eriksson@optimusfonder.se](mailto:jan.eriksson@optimusfonder.se)>

Date: Fri, Dec 13, 2013 at 3:34 AM

Subject: SV: Platforms...

To: Mark Bishop <[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)>

Hi Mark,

I resend the mail that you got earlier. In the Excel file you will find the information that the platform provider Thomson Reuters wanted.

On top of every column you find headlines which is the basic information as most every supplier need:

Data date, ISIN code of fund, Fund name, Currency, Holdings market value of holdings, Holdings ISIN code.

Regards

**Från:** Mark Bishop [mailto:[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)]

**Skickat:** den 13 december 2013 12:21

**Till:** Eriksson Jan

**Kopia:** [ulf.deckmark@optimusfonder.se](mailto:ulf.deckmark@optimusfonder.se)

**Ämne:** Fwd: Platforms...

Please forward me the requested information before the weekend.

Thank you.

Best,

M

2013-12-13 Forwarded message  
Original filename: 2013-10-31Smallcapmornigstar.xlsx

From: **Mark** <[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)>  
Date: Wed, Dec 11, 2013 at 12:50 PM  
Subject: Platforms...  
To: Eriksson Jan <[jan.eriksson@optimusfonder.se](mailto:jan.eriksson@optimusfonder.se)>

Jan - Please send me the information that we provide the international Platforms when we do the "set-up". Thank you. Best. M

=====  
Mark Bishop  
[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

<2013-10-31Smallcapmornigstar.xlsx>



---

## Riskfunktion

---

**Helena Erlandsson** <erlandssonhelena@hotmail.com>

Tue, Mar 18, 2014 at 4:39 PM

To: "ulf.deckmark@optimusfonder.se" <ulf.deckmark@optimusfonder.se>, Mark Bishop <mailto:bishop@gmail.com>

Hej Ulf och Mark!

Hoppas att allt är bra med er.

Jag har börjat med att gå igenom FFFS 2013:9 för att se vilka krav som ställs på en riskfunktion.

Detta har jag sammanställt i ett ovanstående dokument som är ett första utkast.

Jag inser dessvärre Mark att det ställs stora krav på riskfunktionen och dess kompetens, finns det någon internt som kan ta

detta ansvar?

Kan behövas en konsult som tar detta uppdrag.

Min rekommendation att bolaget organiseras utifrån den bästa och mest professionella lösning, eftersom bolaget måste säljas.

Allt för att den potentiella köparen kommer att vilja granska allt inom bolaget, en fördel om vi följer "best practise".

Fundera, så kan vi diskutera om det nästa vecka.

Om ni tycker som jag, bör vi ta denna instruktion på nästa styrelsemöte.

Ulf, kan vi ses på tisdag nästa vecka? Jag har mailat Jan en hel del frågor som han inte kan svara på.

Må så gott,

Helena



**Riskfunktionen.doc**

125K



## Fwd: Management Agreement between Optimus Fonder and Calamatta Cuschieri...

Mark Bishop <mailto:bishop@gmail.com>

Thu, Apr 10, 2014 at 9:28 AM

To: Ulf Deckmark <ulf.deckmark@optimusfonder.se>

DRAFT MANAGEMENT AGREEMENT FOR OUR REVIEW...

----- Forwarded message -----

From: **Michael Galea** <michaelgalea@cc.com.mt>

Date: Mon, Apr 7, 2014 at 10:17 AM

Subject: RE: Management Agreement between Optimus Fonder and Calamatta Cuschieri...

To: Mark Bishop <mailto:bishop@gmail.com>

Cc: Ola Lidström <ola.lidstrom@torngrenmagnell.com>, "jfarrugia@ganadoadvocates.com" <jfarrugia@ganadoadvocates.com>

Good Morning Mark/Ola,

I trust this email finds you well.

As agreed, please find attached our draft Management Agreement for your consideration. As you could expect, some sections require completion at your end. Let us have your feedback in due course.

In the meantime, and as discussed on our call last week, we would appreciate if you could provide us with the following documentation as part of our client on-boarding process:

### **Re: Optimus Fonder AB**

- Certified true copy of original of M&A
- Certified true copy of original of Certificate of Incorporation/Registration
- Certified true copy of original of licence issued by Swedish Financial Supervisory Authority
- ORIGINAL certificate of Good Standing (or equivalent) not older than 1 month
- Last 3 years' audited financial statements
- UCITS IV Fund Regulations adopted by Optimus Fonder AB as approved by the SFSA

### **Re: RHM Invest**

- Certified true copy of original of M&A

- Full due diligence pack on UBOs holding 25% or more (let us know if you require a non-exhaustive list of what would be required here)
- ORIGINAL certificate of Good Standing (or equivalent) not older than 1 month
- Last 3 years' audited financial statements (if available)

We would appreciate, for obvious reasons, if the above-mentioned documents are provided in English.

At a later stage, we would require contact details of entities such as the Custodian, Fund Administrator & Compliance/Risk Officers and it might also make sense to organise a conference call between all parties to introduce ourselves - though for this, in my opinion, we can wait a little while longer.

Looking forward to your feedback in due course.

Kind regards,

Michael

Michael Galea

**Head - Investment Management & Fund Services**

**Calamatta Cuschieri**

5th Floor, Valletta Buildings,

South Street, Valletta MALTA

Phone: (+356) 25 688 688

Fax: (+356) 25 688 256

Mobile: (+356) 99 444 098

Direct: (+356) 25 688 161

Web: [www.cc.com.mt](http://www.cc.com.mt)



Disclaimer

This E-mail is confidential. The information contained in this message and in any attachment is confidential and may be legally privileged. It is intended for the recipient or recipients to whom it is addressed. If you are not an intended recipient and receive this message in error please delete it and any attachment and all copies of it and of any such attachment from your system immediately, destroy any paper copies and notify the sender. If you are not an intended recipient, you are not authorised to use, distribute, print or copy any part of this message or of any attachment, whether directly or indirectly. This message has been scanned for computer viruses, however we do not accept any liability whatsoever in respect of any potential damage caused by any virus which is not detected. Internet communications cannot be guaranteed to be timely secure, error or virus-free. The sender does not accept liability for any errors or omissions.

Please consider your environmental responsibility before printing this e-mail.

---

**From:** Mark Bishop [mailto:[mailtobishop@gmail.com](mailto:mailtobishop@gmail.com)]  
**Sent:** 02 April 2014 14:48  
**To:** Michael Galea  
**Cc:** Ola Lidström  
**Subject:** Management Agreement between Optimus Fonder and Calamatta Cuschieri...

Michael -

Per our telephone conversation yesterday, please send a copy of your "draft management agreement" to both Ola and me.

We understand that we have several things to do, including but not limited to checking on the qualifications of Calamatta as it relates to Optimus, updating our funds to reflect a new investment manager, submitting documentation to our regulatory bodies to evidence a change, etc. It is our current belief that the process is a "notification" process with both FI and PPM.

However, at this stage, we feel that it is important to review and approve the commercial characteristics of your agreement first.

Talk to you soon. Thank you.

Regards,

Mark

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538

--

**Mark Bishop**

+1 949 678 9574

+46 709 933 538



**CC - Sub-IMA.DOCX**

87K



---

## VB: Stelum faktura... [CORRECTION]

---

**Carl-Magnus Kindal** <cmk@bankirfirman.se>

Mon, Jun 30, 2014 at 2:10 PM

To: "ulf.deckmark@optimusfonder.se" <ulf.deckmark@optimusfonder.se>

Cc: "mailto:bishop@gmail.com" <mailto:bishop@gmail.com>, "Helena Erlandsson (erlandssonhelena@hotmail.com)" <erlandssonhelena@hotmail.com>

Hi,

You also hereby get my approval to the payment.

B R

Carl-Magnus

---

**Från:** Helena E [mailto:[erlandssonhelena@hotmail.com](mailto:erlandssonhelena@hotmail.com)]

**Skickat:** den 30 juni 2014 13:36

**Till:** Mark Bishop

**Kopia:** [ulf.deckmark@optimusfonder.se](mailto:ulf.deckmark@optimusfonder.se); Carl-Magnus Kindal

**Ämne:** Re: Stelum faktura... [CORRECTION]

Dear Ulf,

I hereby approve the payment of 1 250 000 kr to Stelum Kapitalförvaltning.

Best regards,

Helena

Skickat från min iPhone

30 jun 2014 kl. 13:12 skrev Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>:

Ulf -

My previous email was in error, and thank you for correcting me.

I approve the two invoices from Stelum totaling SEK 1.250.000 (Stelum's monthly client fee for June 2014 for SEK 381.566, and Stelum's extra payment for April-June 2014 for SEK 868.434).

Regards,

Mark

=====

Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)

On Mon, 06/30/2014 11:59 AM, Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)> wrote:

Ulf -

This email will put into writing my approval to pay approximately SEK 1.520.000 today, to Stelum Kapitalförvaltning AB. The payment represents invoices received from Stelum for their monthly client fees for the months June, July, August and September 2014.

Regards,

Mark

=====

Mark Bishop  
[mbishop@absig.com](mailto:mbishop@absig.com)  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)



---

## Re: fee structure letter...

---

**Mark Bishop** <mailto:bishop@gmail.com>  
To: Ulf Deckmark <ulf.deckmark@optimusfonder.se>

Tue, Jul 29, 2014 at 11:15 PM

Ulf -

Email documents, before 9:00 tomorrow, to both:

Christine Schiedermaier  
[christine.schiedermaier@baaderbank.de](mailto:christine.schiedermaier@baaderbank.de)

Holger Schmid  
[holger.schmid@baaderbank.de](mailto:holger.schmid@baaderbank.de)

Thank you,

Mark

On Tue, Jul 29, 2014 at 8:55 PM, Mark Bishop <mailto:bishop@gmail.com> wrote:

Ulf -

This letter is OK to send to Baader, when you are ready. Also attach the FI document that shows that you are an authorized signatory.

Then you can stay on the island tomorrow.

Best regards,

Mark

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

--

**Mark Bishop**  
[+1 949 678 9574](tel:+19496789574)  
[+46 709 933 538](tel:+46709933538)

From: **Emil Amir Ingmanson** eai@solidequity.se  
Subject: Re: VB:  
Date: 19 juli 2012 14:39  
To: Gmail bishop1329@gmail.com

---

Ok,

I knew that you are on top of your game,

Just checking...

Best Regards,

Emil Amir Ingmanson

Sent from my iPhone

19 jul 2012 kl. 14:38 skrev "[bishop1329@gmail.com](mailto:bishop1329@gmail.com)"  
<[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>:

Ulf must buy through Auriga or some other broker/dealer,,, so this is the language that FSA and Ulf want to see.

Sent via BlackBerry by AT&T

-----Original Message-----

From: Emil Amir Ingmanson <[eai@solidequity.se](mailto:eai@solidequity.se)>

Date: Thu, 19 Jul 2012 08:08:19

To: mark bishop<[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>

Subject: Re: VB:

Hi,

Isn't 10.3 a problem for us?

Aren't we supposed to by bonds from ABSIG?

Best Regards,

Emil Amir Ingmanson  
Solid Equity AB  
Hammarby Kaj 16  
120 30 Stockholm, Sweden  
Office phone: +4685-172 07 00  
Mobile phone: +4670-397 81 00  
[eai@solidequity.se](mailto:eai@solidequity.se)  
[www.solidequity.se](http://www.solidequity.se)

This communication, including any attachments, is confidential and must not be read by or disclosed to any person other than the above-named addressee(s). Any unauthorised party intercepting this communication is required to forward it to its intended destination &/or inform the sender as soon as possible. The Partnership - i.e. Solid Equity including any and all associated businesses - disclaims any liability for any action taken in reliance on the content of this communication. The statements or opinions expressed in this communication are not necessarily those of the partnership and should not be represented as such. Whilst all reasonable precautions have been taken to ensure that no harmful content is transmitted to any third party the partnership accepts no responsibility for any inconvenience, loss or damage resulting directly or indirectly from the use of this communication.

The Swedish National Defence Radio Establishment (FRA) may monitor e-mail traffic data and also the content of e-mail for the purposes of domestic surveillance.

Den 2012-07-19 08:42 skrev mark bishop <[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>:

| FYI -

----- Forwarded message -----

From: mark bishop <[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>

Date: Wed, 18 Jul 2012 23:41:41 -0700

Subject: Fwd: VB:

To: "erik.lind" <[erik.lind@lindahl.se](mailto:erik.lind@lindahl.se)>, "charlotta.olsson"

<[charlotta.olsson@lindahl.se](mailto:charlotta.olsson@lindahl.se)>

Cc: [ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)

Erik -

Please find attached revisions to Sections 10.3 and 10.4. All other modifications made by Ulf are approved.

Finally, the last remaining document, certification by my accountant that the funds will originate from ABSIG for the acquisition, will be delivered to me by 12:00 Thursday. I will forward it on to you immediately thereafter.

Regards,

Mark

=====

----- Forwarded message -----

From: Erik Lind <[Erik.Lind@lindahl.se](mailto:Erik.Lind@lindahl.se)>

Date: Wed, 18 Jul 2012 16:02:13 +0200

Subject: VB:

To: Mark Bishop <[mbishop@absig.com](mailto:mbishop@absig.com)>, "[bishop1329@gmail.com](mailto:bishop1329@gmail.com)"

<[bishop1329@gmail.com](mailto:bishop1329@gmail.com)>

Cc: Charlotta Olsson <[Charlotta.Olsson@lindahl.se](mailto:Charlotta.Olsson@lindahl.se)>,

"[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)" <[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)>

Hi Mark,

As you know Charlotta is on holiday at present and cannot assist today. However, as she already has advised you, she will compile the dox and file the application on Friday.

We have received from Ulf Deckmark the attached mark up of the business plan. Please advise Charlotta by tomorrow that you are fine with the changes. Moreover, in order to save time, it would be very helpful if you could provide input to Sections 10.3 and 10.4. As you see, Ulf has deleted text in those sections with a request that we draft a revised text to be inserted therein, but as noted I think we will save time if you could provide input here. Thanks!

Best regards,

Erik

Erik Lind

Partner

Advokatfirman Lindahl KB

P.O. Box 1065, 101 39 Stockholm, Sweden

Tel +46 8 527 70 800

Direct dial +46 8 527 70 908

Mobile +46 766 170 908

Fax +46 8 667 73 80

Visiting address Mäster Samuelsgatan 20

E-mail [erik.lind@lindahl.se](mailto:erik.lind@lindahl.se)

[cid:image001.gif@01CD64FC.52D0E350]

---

STOCKHOLM GÖTEBORG MALMÖ HELSINGBORG UPPSALA ÖREBRO  
[www.lindahl.se](http://www.lindahl.se)

All services rendered by Lindahl are subject to the General Terms and Conditions available at [www.lindahl.se](http://www.lindahl.se) <<http://www.lindahl.se/>>.

This communication is confidential and is only intended for the use of the individual or entity to which it is directed. It may contain information that is privileged and exempt from disclosure under applicable law. If you are not the intended recipient please notify us immediately. You should not copy it or disclose its contents to any other person.

Från: Charlotta Olsson

Skickat: den 18 juli 2012 15:13

Till: Erik Lind

Ämne: Fwd:

Charlotta Olsson

Senior Associate

Advokatfirman Lindahl KB

0766-170 957

Vidarebefordrat brev:

Från: "Ulf Deckmark"

<[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)<<mailto:ulf.deckmark@tractionfonder.se>>>

Till: "Charlotta Olsson"

<[Charlotta.Olsson@lindahl.se](mailto:Charlotta.Olsson@lindahl.se)<<mailto:Charlotta.Olsson@lindahl.se>>>

Hej Charlotta,

Bifogar ändringar som är markerade och i pratbubblorna ser du ändringar som Du bör göra

Har även ändrat i excel arket i verksamhetsplanen. Excel arket

Traction proforma behöver inte bifogas som separat dokument eftersom det finns i verksamhetsplanen.

Har pratat med Mark och han ville att jag skall maila dig mina

ändringar och att du gör de slutgiltiga ändringarna och sedan meddelar honom de ändringar som du och jag gjort så han bara kan ok dessa.

Mvh

Ulf

---

Ulf Deckmark, VD

Traction Fonder AB

Biblioteksgatan 3, 2tr

111 46 Stockholm

Tel: + 46 8 502 556 03

Fax: + 46 8 502 556 04

[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)<<mailto:ulf.deckmark@tractionfonder.se>>

<mail

to:[ulf.deckmark@tractionfonder.se](mailto:ulf.deckmark@tractionfonder.se)>

[www.tractionfonder.se](http://www.tractionfonder.se)<<http://www.tractionfonder.se>><<http://www.tractionfonder.se/>>

---

-----  
**RISK:**

Det finns inga garantier för att en investering i någon av fonderna inte kan leda till förlust, trots positiv utveckling på de finansiella marknaderna. Historisk avkastning är ingen garanti för framtida avkastning och en investering i någon av våra fonder bör betraktas som en långsiktig investering.

-----  
-----  
-----

**CONFIDENTIALITY NOTICE:**

This e-mail is confidential and may contain legally privileged information.

If you have received it by mistake, please inform us by reply e-mail and take actions to delete it (including any attachments) from your system; you should not copy it or in any other way disclose its content to anyone.

**WARNING:** E-mail is susceptible to data corruption, interception, unauthorised amendment, tampering and virus. If you suspect any such do return any such message to us for authentication. We do not accept liability for any such actions or the consequences without such verification.

-----  
-----  
-----

<b>Boardwalk Co Ltd, Bank of Valletta 2013-2014</b>				
<b>Motpart Avser</b>	<b>Summa Insatt</b>	<b>Summa Uttag</b>	<b>Summa Insatt</b>	<b>Summa Uttag</b>
	<b>EUR</b>	<b>EUR</b>	<b>USD</b>	<b>USD</b>
Solid venture Capital, UK, Close Brothers			1 610 000	
Solid Venture Capital, Malta, lån	300 000			1 010 673
Emil Bank of Valletta, lån	15 016		22 847	5 000
RHM Invest, lån		50 040		123 566
Solid Equity AB, lån		80 056		30 033
AMEX		93 796		
Adrian Chircop - share transfer		60 000		
Emil Danske Bank Sverige, lån		19 529		30 082
Emil Bank of Valletta, The Trend		40 000		9 001
Amex Afram		47 969		
IKANO				47 381
Stellum AB		45 032		
Barbaros Ökten		25 041		
Valletta Fund Services		23 977		
Solid Equity AB, Faktura		17 008		
Boardwalk Ltd, Isle of Man, RBS				10 028
FALCON FUNDS SICAV P DIRECTORS? FEES		9 000		
Boardwalk Ltd, Isle of Man				4 117
Emil Caixa Bank Spanien, lån		4 008		
Metropolitan LLP				2 381
övrigt	1 807	30 947	631	38 824
<b>Totalsumma</b>	<b>316 823</b>	<b>546 404</b>	<b>1 633 478</b>	<b>1 311 085</b>

## Styrelse



Afram Gergeo

130422-160514  
Director

130422-160922  
Secretary



Pierre Mifsud  
(Malta)

150610-160514

Director



Adrian Chircop  
(Malta)

150610-160514

Director



Nils Holmström

160514-  
Director

160922-  
Secretary



**Boardwalk co Ltd  
(Malta)  
Reg nr: C 60120  
Reg dat: 2013-04-22**

130422-131219  
1 165 st aktier



Afram Gergeo

## Aktieägare

131219-160519  
1 165 st aktier



Boardwalk Ltd  
009108V  
Isle of Man

160519-  
1 165 st aktier



Boardwalk Holding Ltd  
C74723  
Malta

<b>Solid Venture Capital Ltd, Malta,</b>				
<b>Banif Bank 2013-2014</b>				
<b>Motpart Avser</b>	<b>Summa Insatt</b>	<b>Summa uttag</b>	<b>Summa Insatt</b>	<b>Summa Uttag</b>
	<b>EUR</b>	<b>EUR</b>	<b>USD</b>	<b>USD</b>
Solid Venture Capital, UK, Close Brothers			3 059 894	-25 000
Boardwalk Co Ltd	249 597	-300 000	679 345	
Konsument EL/WEREL	134 634	-12 300	2 352	-275 235
Solid Venture Capital Ltd, UK, Barclays	133 579			
Homes of Quality Ltd			373 952	-745 724
Alltele LDA	137 782			
Astartes			117 604	-180 478
Solid Equity	6 723	-23 091	59 919	-633 514
Mamma (Kerstin Ingmanson)		-1 370	21 461	-79 505
RHM Invest			16 042	-16 042
Nils Holmström			2 347	-31 743
Stellum				-159 830
Lön Emil Amir Ingmanson Banif bank		-107 312		
Emil Danske Bank		-26 000		-71 267
Emil Banif Bank Malta		-21 500		-23 200
EAI/Amir Serwin?				-41 360
Emil Amir Ingmanson - The Trend		-45 000		
Emil Caixa Bank, Spanien		-1 150		
Livförsäkring Emil		-2 852		
POS - kortbetalning	242	-135 147		
<b>Amex</b>	<b>46 814</b>	<b>-51 814</b>		<b>-63 441</b>
Utagsautomat		-40 838		
Alex		-5 794	25 576	-61 505
Atlantis The Palm			25 225	-50 450
Faktura betalning - ej utrett		-79 830	13 663	-480 190
Box For Me HB			11 093	-22 187
Gabriella			8 587	-17 453
ej utrett	4 000	-180 087	14 304	-96 771
David Zieto			3 956	-35 831
Kraftsam AB			4 704	-27 480
Richard Ryan			3 092	
Hamilton Travel	2 365			-9 995
ränta	169	0	490	
PROPS		-51 845		-248 591
Thoren and Ericsson		-11 202		-73 726
Gather AB				-59 563
3 point				-35 712
Frank Salt Real Estate				-27 814
Hyra		-20 136		
Ali				-18 794
Britt Furn				-17 985
AXEL (Ingmanson?)				-16 998
FSG Outsourcing AB				-15 533
ETI (?)		-15 000		
Provision		-15 000		
Barbaros Ökten		-12 500		
Adminor AB				-11 579
RHM Invest Lån 1				-11 012
Roxy Tiger Ltd, RBS Isle of Man				-10 000
Ikano				-8 379
CC Consulting				-7 005
Lars Bengtsson				-5 993
Barnen		-5 150		
Boxframe				-4 711
TV		-4 700		
Jerry Jahn				-4 028
David				-3 956
Richard Ryan				-3 092
EA Associates		-2 899		
Card repayment		-1 510		
Mattor och tapeter		-1 375		
Bilen		-1 350		
övrigt	2 536	-81 350	30 042	-3 971
<b>Totalsumma</b>	<b>718 441</b>	<b>-1 258 103</b>	<b>4 473 649</b>	<b>-3 736 645</b>

**Styrelse**

  
Emil A Ingmanson

121211  
Memorandum  
och  
x-151231  
Companies Act  
Director  
Company Secretary  
Legal and Judicial representative  
of the company

  
Nils Holmström

151231-xx  
Companies Act  
Director  
Company Secretary  
Legal and Judicial representative  
of the company

  
**Solid Venture Capital  
Limited (Malta)  
No C 58566**

121211  
1 165 st aktier  
Memorandum  
131219  
1 165 st aktier  
Companies Act

  
Emil A Ingmanson

131219-170508  
1 165 st aktier

  
Roxy Tiger Ltd

170508-xx  
1 165 st aktier

  
Boardwalk Holding Ltd

**Aktieägare**



## PM avseende transaktioner i Boardwalk Co. Ltd, C60120

### 1. Inledning och syfte.

Syftet med detta PM är att redogöra för transaktioner som har samband med inbetalningar från Solid venture Capital Ltd, UK under 2013 och 2014.

### 2. Allmänt om bolaget.

Bolaget registrerades 2013-04-22 i bolagsregistret på Malta. Samma datum registrerades Afram Gergeo som styrelseledamot, sekreterare och aktieägare.

Styrelse mm:

Datum	Namn	Uppdrag
2013-04-22--2016-05-14	Afram Gergeo	Ledamot
2013-04-22--2016-09-22	Afram Gergeo	Sekreterare
2015-07-15--2016-05-14	Pierre Mifsud	Ledamot
2015-07-15--2016-05-14	Adrian Chircop	Ledamot
2016-05-22--	Nils Georg Holmström	Sekreterare
2016-05-14--	Nils Georg Holmström	Ledamot

Aktieägare:

Daum	Aktieägare
2013-04-22--2013-12-19	Afram Gergeo
2013-12-19--2016-05-19	Boardwalk Ltd, 009108V, Isle of Man
2016-05-19--	Boardwalk Holding Ltd, C74723, Malta

Boardwalk Ltd – regnr 009108V - registrerades 2012-12-19 i bolagsregistret på Isle of Man. Equiom Ltd, Isle of Man är innehavare av Nomiee Shares enligt avtal 2014-01-15. Aktieägare och UBO, Ulitimate beneficial Owner, är Afram Gergeo. Enligt aktieöverlåtelseavtal förvärvar Boardwalk Ltd aktierna i Boardwalk för 233 EUR. Boardwalk Ltd försätts i likvidation och likvidator utses 2017-11-02.

Boardwalk Holding Ltd registreras 2016-04-19. Bolagets första styrelse består av Afram Gergeo, Pierre Mifsud och Adrian Chircop. Sekreterare är Afram Gergeo. Samtliga styrelseledamöter avgår 2016-05-19 och ersätts av Nils Georg Holmström. Afram Gergeo avgår som sekreterare 2016-09-22 och ersätts av Nils Georg Holmström. Under perioden 2016-04-19—2016-05-19 äger Boardwalk Ltd samtliga aktier i Boardwalk Holding Ltd. Nils Georg Holmström förvärvar aktierna 2016-05-19.

### 3. Genomgång av bolagets konto hos Bank of Valletta

#### 3.1 Transaktioner under 2013.

Bolaget öppnade konton hos bank of Valletta i juli 2013. Ansökan om bankkonton har undertecknats av Afram Gergeo. Från Bank of Valletta har kontoutdrag erhållits för följande konton:

40021736533, USD, bilaga 1

40021737558, EUR, bilaga 2

40021736520, GBP – enda transaktionerna under 2013 och 2014 uppgår till insatt 294 GBP och uttag 6 GBP.

Nedan följer en redogörelse av transaktioner.

I augusti 2013 sker de första transaktionerna. De enda större inbetalningarna som sker är följande:

Insatt

Datum	valuta	Insatt	Inbetalare
2013-07-08	EUR	300 000	Solid Venture Capital Ltd, Malta
2013-07-19	USD	250 000	Solid Venture Capital Ltd, UK, Close Brothers
2013-07-29	USD	750 000	Solid Venture Capital Ltd, UK, Close Brothers
2013-08-01	USD	225 000	Solid Venture Capital Ltd, UK, Close Brothers
2013-08-27	USD	385 000	Solid Venture Capital Ltd, UK, Close Brothers

Inbetalningar från Solid Venture Capital Ltd, UK, konto Close Brothers uppgår till sammanlagt 1 610 000 USD.

Samtliga in- och utbetalningar sammanställt i PIVOT-tabell:

2013 Motpart/Avser juli-december	Summa Insatt EUR	Summa Uttag EUR	Summa Insatt USD	Summa Uttag USD
Solid Venture Capital, UK, Close Brothers			1 610 000	
Solid Venture Capital, Malta, lån	300 000			
Adrian Chircop - share transfer		60 000		
Stellum AB		45 032		
Boardwalk Ltd, Isle of Man, RBS				10 028
AMEX		3 457		
Amex Afram		563		
övrigt	1 386	212	83	75
<b>Totalsumma</b>	<b>301 386</b>	<b>109 264</b>	<b>1 610 083</b>	<b>10 103</b>

Saldon på bolagets konto uppgår 2013-12-31 till 192 122 EUR och 1 599 980 USD.

#### 3.2 Transaktioner under 2014.

Under 2014 sker endast ett fåtal transaktioner avseende inbetalningar på bolagets konton i Bank of Valletta. Inbetalningarna avser, utöver ränta, återbetalning av lån från Emil Amir Ingmanson.

Sammanställning av in-och utbetalningar har sammanställts i PIVOT-tabell, överföringar mellan de olika valutorna är ej medtagna:

2014 Motpart/Avser	Summa Insatt EUR	Summa Uttag EUR	Summa Insatt USD	Summa Uttag USD
Emil Bank of Valletta, lån	15 016		22 847	5 000
SVC Malta lån				1 010 673
RHM Invest, lån		50 040		123 566
Solid Equity AB, lån		80 056		30 033
Amex		90 339		
Emil Danske Bank Sverige, lån		19 529		30 082
Emil Bank of Valletta, The Trend		40 000		9 001
Amex Afram		47 406		
IKANO				47 381
Barbaros Ökten		25 041		
Valletta Fund Services		23 977		
Solid Equity AB, Faktura		17 008		
FALCON FUNDS SICAV P DIRECTORS? FEES		9 000		
Boardwalk Ltd, Isle of Man				4 117
Emil Caixa Bank Spanien, lån		4 008		
Metropolitan LLP				2 381
Övrigt	421	30 735	547	38 749
<b>Totalsumma</b>	<b>15 437</b>	<b>437 140</b>	<b>23 394</b>	<b>1 300 982</b>

Sammanfattning av överföringar till- och från Emil Amir Ingmanson och bolag som kontrolleras av honom:

Mottagare	Insatt EUR	Uttag EUR	Insatt USD	Uttag USD
Emil Bank of Valletta, lån	15 016		22 847	-5 000
SVC Malta lån				-1 010 673
Emil Danske Bank Sverige, lån		-19 529		-30 082
Emil Bank of Valletta, The Trend		-40 000		-9 001
Emil Caixa Bank Spanien, lån		-4 008		
<b>Totalsumma</b>	<b>15 016</b>	<b>-63 537</b>	<b>22 847</b>	<b>-1 054 756</b>
<b>Nettouttag</b>		<b>-48 521</b>		<b>-1 031 909</b>

Saldon på bolagets konto uppgår 2014-12-31 till 1 031 EUR och 101 USD.

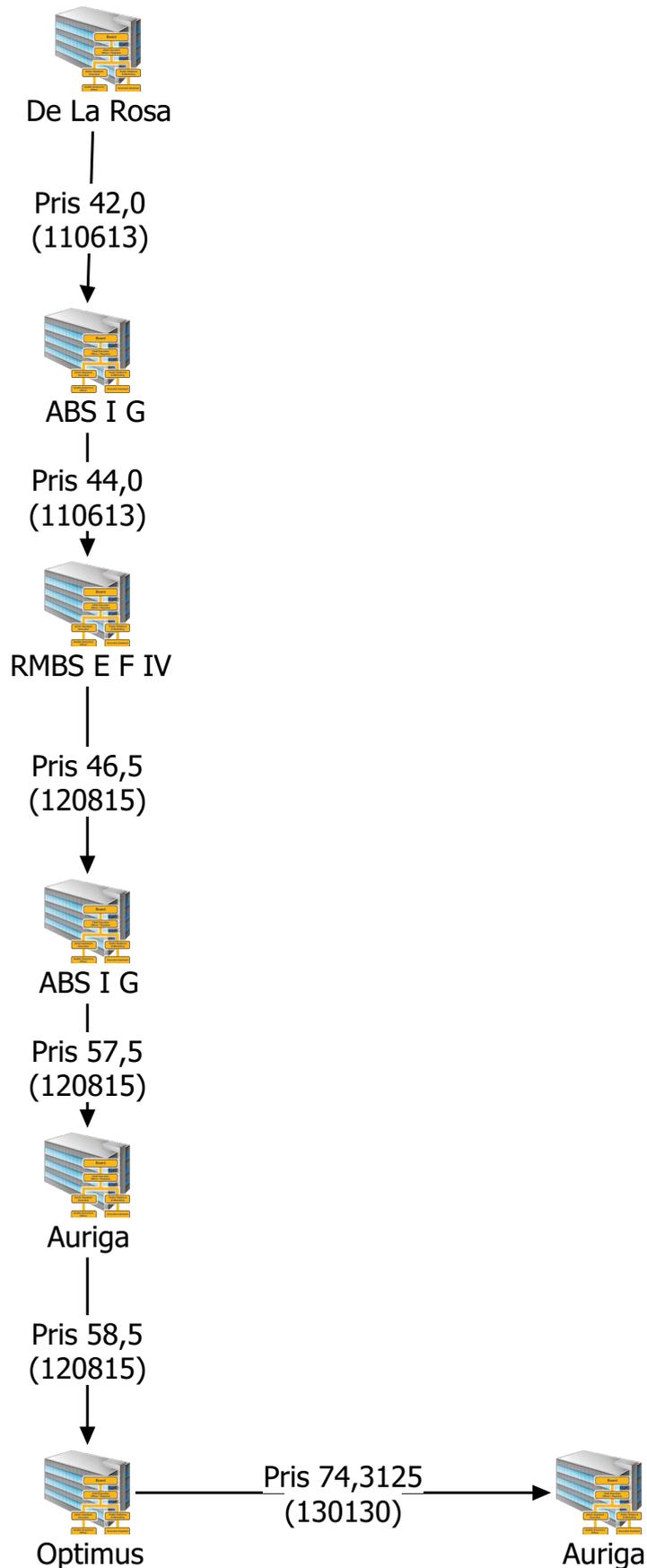
Gunilla Stare

Konto	Date	Transaction Description	Valuta	Deposits	Withdrawals	Balance	PIVOT (G Stare)	Kommentar (G Stare)
36533	2013-07-19	SWIFT SOLID VENTURE CAPITA PO I: 2013002173652 FULL AMT :USD250000.00 RCVD AMT :USD250000.00 1.OOOOSOLID VENTURE CAP ITAL LTD. INTERNAL CORE-NO. 728	USD	250 000,00		250 000,00	Solid venture Capital, UK	Solid Venture Capital Ltd, Close Brothers
36533	2013-07-19	SWIFT CHARGES 2013002173652	USD		13,13	249 986,87	övrigt	
36533	2013-07-26	TRANSFER INTER-BRANCH CLAIMS ADC CHARGE ACC 40021736520	USD		9,43	249 977,44	övrigt	
36533	2013-07-29	SWIFT SOLID VENTURE CAPITA PO I: 2013002186415 FULL AMT :USD750000.00 RCVD AMT :USD750000.00 1.OOOOSOLID VENTURE CAPITAL LTD. INTERNAL CORE-NO. 728	USD	750 000,00		999 977,44	Solid venture Capital, UK	Solid Venture Capital Ltd, Close Brothers
36533	2013-07-29	SWIFT CHARGES 2013002186415	USD		13,28	999 964,16	övrigt	
36533	2013-08-01	SWIFT SOLID VENTURE CAPITA POI: 2013002194843 FULL AMT :USD225000.00 RCVD AMT :USD225000.00 1.OOOOSOLID VENTURE CAPITAL LTD. INTERNAL CORE-NO. 728	USD	225 000,00		1 224 964,16	Solid venture Capital, UK	Solid Venture Capital Ltd, Close Brothers
36533	2013-08-01	SWIFT CHARGES 2013002194843	USD		13,24	1 224 950,92	övrigt	
36533	2013-08-12	24X7 TR PO ISSUE 2191076 BOARDWALK LIMITED USD10000.00 TRANSFER	USD		10 028,08	1 214 922,84	Boardwalk Ltd, Isle of Man, RBS	Boardwalk Ltd
36533	2013-08-27	SWIFT SOLID VENTURE CAPITA PO I: 2013002232429 FULL AMT :USD385000.00 RCVD AMT :USD385000.00 1.000SOLID VENTURE CAP 1TAL LTD. INTERNAL CORE-NO. 728	USD	385 000,00		1 599 922,84	Solid venture Capital, UK	Solid Venture Capital Ltd, Close Brothers
36533	2013-08-27	SWIFT CHARGES 2013002232429	USD		13,36	1 599 909,48	övrigt	
36533	2013-09-30	INTEREST VALUE DATE - 01/10/2013	USD	32,35		1 599 941,83	övrigt	
36533	2013-09-30	FINAL WITHHOLDING TAX VALUE DATE - 01/10/2013	USD		4,85	1 599 936,98	övrigt	
36533	2013-12-31	INTEREST VALUE DATE - 01/01/2014	USD	51,10		1 599 988,08	övrigt	
36533	2013-12-31	FINAL WITHHOLDING TAX VALUE DATE - 01/01/2014	USD		7,66	1 599 980,42	övrigt	
36533	2014-01-14	24X7 TR PO ISSUE 2393214 SOL ID VENTURE CAP ITAL LTD USD30000.00 LOAN 1	USD		30 016,40	1 569 964,02	SVC Malta lån	svc lån 1
36533	2014-01-15	24X7 TR PO ISSUE 2395727 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 2	USD		30 032,81	1 539 931,21	SVC Malta lån	svc lån 2
36533	2014-01-16	24X7 TR PO ISSUE 2398026 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 3	USD		30 016,33	1 509 914,88	SVC Malta lån	svc lån 3
36533	2014-01-20	24X7 TR PO ISSUE 2400870 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 4	USD		30 032,62	1 479 882,26	SVC Malta lån	svc lån 4
36533	2014-01-21	24X7 TR PO ISSUE 2404528 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 5	USD		30 016,26	1 449 866,00	SVC Malta lån	svc lån 5
36533	2014-01-22	24X7 TR PO ISSUE 2405524 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 6	USD		30 032,45	1 419 833,55	SVC Malta lån	svc lån 6
36533	2014-01-27	24X7 TR PO ISSUE 2410606 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 7	USD		30 032,92	1 389 800,63	SVC Malta lån	svc lån 7
36533	2014-02-06	24X7 TR PO ISSUE 2427842 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 8	USD		30 032,44	1 359 768,19	SVC Malta lån	svc lån 8
36533	2014-02-11	24X7 TR PO ISSUE 2431635 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 9	USD		30 016,28	1 329 751,91	SVC Malta lån	svc lån 9
36533	2014-02-11	24X7 TR PO ISSUE 2432723 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 10	USD		30 032,56	1 299 719,35	SVC Malta lån	svc lån 10
36533	2014-02-12	24X7 TR PO ISSUE 2436217 SOLID VENTURE CAPITAL LTD USD30000.00 LOAN 11	USD		30 016,40	1 269 702,95	SVC Malta lån	svc lån 11
36533	2014-02-13	24X7 TR PO ISSUE 2437498 IKANO SEK100000.00 INVOICE 700091	USD		15 838,15	1 253 864,80	IKANO	IKANO
36533	2014-02-13	24X7 TR PO ISSUE 2437515 IKANO SEK100000.00 INVOICE 700091	USD		15 838,15	1 238 026,65	IKANO	IKANO
36533	2014-02-13	24X7 TR PO ISSUE 2438171 RHM INVEST USD50000.00 LOAN 1	USD		50 000,00	1 188 026,65	RHM Invest, lån	RHM 1
36533	2014-02-14	24X7 TR PO ISSUE 2439059 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 13	USD		50 032,78	1 137 993,87	SVC Malta lån	svc lån 13
36533	2014-02-17	24X7 TR PO ISSUE 2442035 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 12	USD		50 016,46	1 087 977,41	SVC Malta lån	svc lån 12
36533	2014-02-17	CHARGE OUR PO EUR 20 POO 2437498 CHARGE OUR	USD		27,43	1 087 949,98	Övrigt	
36533	2014-02-17	CHARGE OUR PO EUR 20 POO 2437515 CHARGE OUR	USD		27,43	1 087 922,55	Övrigt	
36533	2014-02-19	24X7 TR PO ISSUE 2444615 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 14	USD		50 016,47	1 037 906,08	SVC Malta lån	svc lån 14
36533	2014-02-20	24X7 TR PO ISSUE 2448094 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 15	USD		50 016,49	987 889,59	SVC Malta lån	svc lån 15
36533	2014-02-24	24X7 TR PO ISSUE 2450877 RHM INVEST AB USD50000.00 LOAN 2	USD		50 032,91	937 856,68	RHM Invest, lån	RHM lån2
36533	2014-02-26	24X7 TR PO ISSUE 2456003 RHM INVEST AB USD23500.00 LOAN 3	USD		23 533,01	914 323,67	RHM Invest, lån	RHM lån 3
36533	2014-02-27	24X7 TR PO ISSUE 2458598 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 16	USD		50 032,94	864 290,73	SVC Malta lån	svc lån 16
36533	2014-03-12	24X7 TR PO ISSUE 2477324 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 17	USD		50 033,25	814 257,48	SVC Malta lån	svc lån 17
36533	2014-03-17	24X7 TR PO ISSUE 2484726 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 18	USD		50 016,65	764 240,83	SVC Malta lån	svc lån 18
36533	2014-03-21	24X7 TR PO ISSUE 2490953 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 19	USD		50 033,05	714 207,78	SVC Malta lån	svc lån 19
36533	2014-03-24	24X7 TR PO ISSUE 2494095 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 20	USD		50 033,11	664 174,67	SVC Malta lån	svc lån 20
36533	2014-03-28	24X7 TR PO ISSUE 2502493 1KANO SEK100000.00 INVOICE 700095	USD		15 704,28	648 470,39	IKANO	IKANO
36533	2014-03-29	INTEREST VALUE DATE - 01/04/2014	USD	35,95		648 506,34	Övrigt	
36533	2014-03-29	FINAL WITHHOLDING TAX VALUE DATE - 0.1/04/2014	USD		5,39	648 500,95	Övrigt	
36533	2014-04-01	24X7 TR PO ISSUE 2505996 DAMIX INC USD1200.00 SOLID MUSIC	USD		1 228,80	647 272,15	Övrigt	
36533	2014-04-01	24X7 TR PO ISSUE 2507170 AMIR INGMANSON SEK70000.00 LOAN	USD		11 033,66	636 238,49	Emil Danske Bank Sverige, lån	Emil lån
36533	2014-04-02	CHARGE OUR PO EUR 20 POO 2502493 CHARGE OUR	USD		27,58	636 210,91	Övrigt	
36533	2014-04-03	CHARGE OUR PO EUR 20 POO 2507170 CHARGE OUR	USD		27,58	636 183,33	Övrigt	
36533	2014-04-07	24X7 TR PO ISSUE 2516987 SOLID VENTURE CAPITAL LTD USD100000.00 LOAN 21	USD		100 016,45	536 166,88	SVC Malta lån	SVC lån 21
36533	2014-04-07	24X7 TR PO ISSUE 2517000 BILLY WES USD500.00 TIMA	USD		519,16	535 647,72	Övrigt	
36533	2014-04-23	24X7 TR PO ISSUE 2540971 SOLID VENTURE CAPITAL LTD USD50000.00 LOAN 22	USD		50 016,58	485 631,14	SVC Malta lån	svc lån 22
36533	2014-04-24	24X7 TR 40021737558	USD		16 867,20	468 763,94		Eget konto
36533	2014-04-24	24X7 TR 40021737558	USD		16 867,20	451 896,74		Eget konto

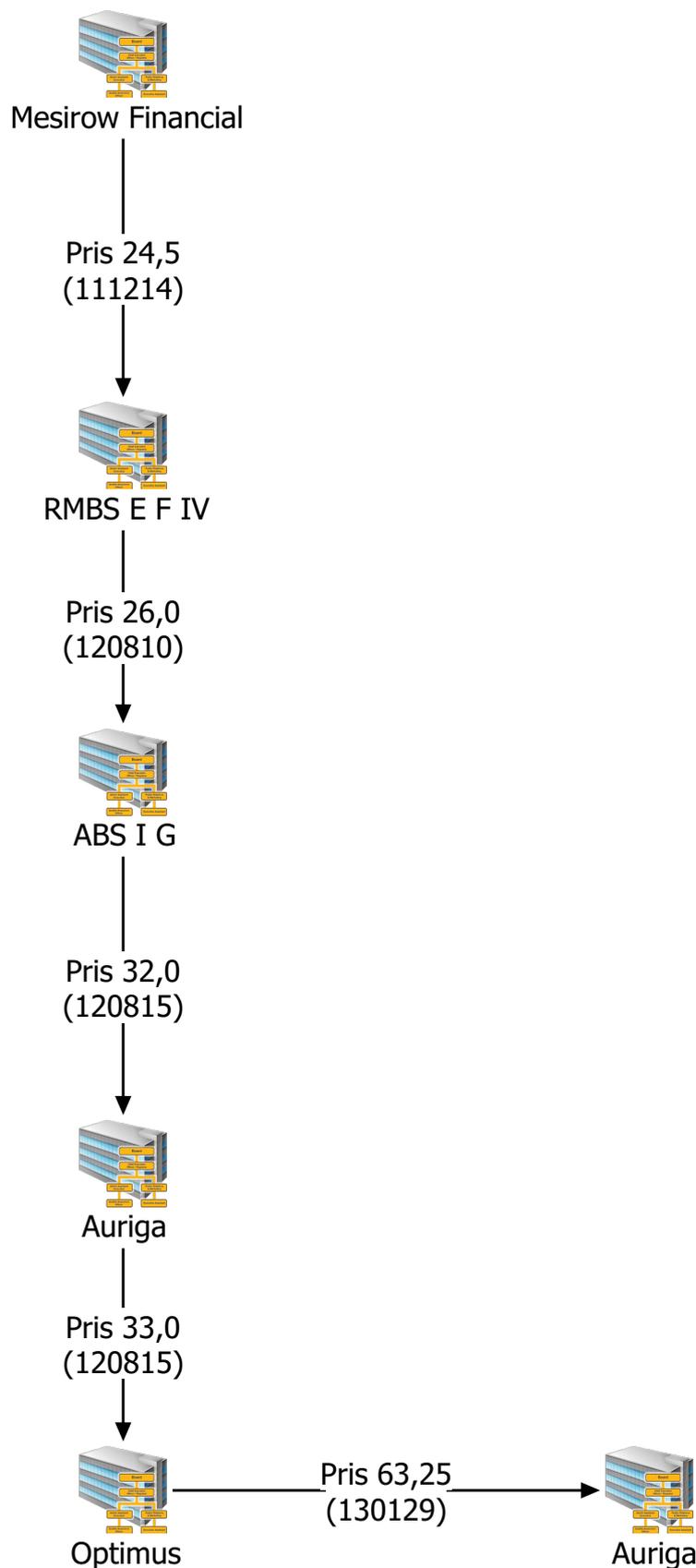
36533	2014-04-29	24X7 TR 40021737558	USD		16 920,00	434 976,74	Eget konto
36533	2014-04-29	24X7 TR 40021737558	USD		16 920,00	418 056,74	Eget konto
36533	2014-05-05	24X7 TR PO ISSUE 2558297 SOLID VENTURE CAPITAL LTD USD25000.00 LOAN 23	USD		25 033,27	376 075,87	SVC Malta lån
36533	2014-05-05	24X7 TR 40021737558	USD		16 947,60	401 109,14	Eget konto
36533	2014-05-21	24X7 TR PO ISSUE 2587496 SOLID VENTURE CAPITAL LTD USD25000.00 LOAN 25	USD		25 032,88	317 574,99	SVC Malta lån
36533	2014-05-21	24X7 TR 40021737558	USD		16 734,00	359 341,87	Eget konto
36533	2014-05-21	24X7 TR 40021737558	USD		16 734,00	342 607,87	Eget konto
36533	2014-05-22	24X7 TR 40021737558	USD		16 688,40	300 886,59	Eget konto
36533	2014-05-22	24X7 TR 40021737558	USD		16 688,40	284 198,19	Eget konto
36533	2014-05-26	24X7 TR 40021737558	USD		16 632,00	267 566,19	Eget konto
36533	2014-05-26	24X7 TR 40021737558	USD		16 632,00	250 934,19	Eget konto
36533	2014-05-27	24X7 TR 40021737558	USD		16 668,00	234 266,19	Eget konto
36533	2014-05-29	24X7 TR 40021737558	USD		16 610,40	217 655,79	Eget konto
36533	2014-05-29	24X7 TR 40021737558	USD		16 610,40	201 045,39	Eget konto
36533	2014-06-04	24X7 TR PO ISSUE 2614694 METROPOLITAN VENTURE CAPITAL LLP GBP1380.00 FEE NO. 1401603	USD		2 381,11	198 664,28	Metropolitan LLP
36533	2014-06-04	24X7 TR PO ISSUE 2614696 BOARDWALK LIMITED GBP2400.00 FEE NO. 1401598	USD		4 116,51	194 547,77	Boardwalk Ltd, Isle of Man
36533	2014-06-04	24X7 TR PO ISSUE 2614709 SOLID VENTURE CAPITAL LTD USD15000.00 LOAN 26	USD		15 032,71	179 515,06	SVC Malta lån
36533	2014-06-06	24X7 TR PO ISSUE 2620136 SOLID VENTURE CAPITAL LTD USD15000.00 LOAN 27	USD		15 032,65	164 482,41	SVC Malta lån
36533	2014-06-12	24X7 TR PO ISSUE 2630753 CONCHA FILMS AB SEK43750.00 FAKTURA 157	USD		6 667,11	157 815,30	Övrigt
36533	2014-06-25	24X7 TR PO ISSUE 2653169 PI NEVILLE HOLDINGS CORPORATION GBP9000.00 INTRODUCER AGREEMENT WITH SOLID VENTURE CAPITAL LTD	USD		15 557,82	142 257,48	Övrigt
36533	2014-06-27	24X7 TR PO ISSUE 2656984 SOLID EQUITY AB USD30000.00 LOAN 28	USD		30 032,67	112 224,81	Solid Equity AB, lån
36533	2014-06-30	INTEREST VALUE DATE - 01/07/2014	USD	10,82		112 235,63	Övrigt
36533	2014-06-30	FINAL WITHHOLDING TAX VALUE DATE - 01/07/2014	USD		1,62	112 234,01	Övrigt
36533	2014-07-01	24X7 TR 40021737558	USD		14 019,00	98 215,01	Eget konto
36533	2014-07-01	24X7 TR 40021737558	USD		14 019,00	84 196,01	Eget konto
36533	2014-07-01	24X7 TR 40021737558	USD		14 019,00	70 177,01	Eget konto
36533	2014-07-01	24X7 TR 40021737558	USD		14 019,00	56 158,01	Eget konto
36533	2014-07-02	24X7 TR PO ISSUE 2672913 AMIR INGMANSON USD10000.00 LOAN	USD		10 032,86	46 125,15	Emil Danske Bank Sverige, lån
36533	2014-07-07	WITHDRAWAL ST2653169 - INVESTIGATION+REIS	USD		47,52	46 077,63	Övrigt
36533	2014-07-09	24X7 TR PO ISSUE 2686245 WILLIAM CALVIN WESSON USD500.00 SOLID MUSIC	USD		519,01	45 558,62	Övrigt
36533	2014-07-14	24X7 TR PO ISSUE 2692164 INVEST 1C BANK LTD, PI NEVILLE HOLDINGS CO GBP8000.00 SOLID VENTURE CAPITAL LTD,	USD		13 977,91	31 580,71	Övrigt
36533	2014-07-14	24X7 TR MR. EMIL AMIR INGMAN THE TREND	USD		9 001,20	22 579,51	Emil Bank of Valletta, The Trend
36533	2014-07-15	DEPOSIT ST2686245 - RET OF FUNDS	USD	500,00		23 079,51	Övrigt
36533	2014-07-21	WITHDRAWAL POO 2653169 FGN BNK CHGS	USD		34,12	198,39	Övrigt
36533	2014-07-21	24X7 TR 40021737558	USD		13 847,00	9 232,51	Eget konto
36533	2014-07-21	24X7 TR 40021737558	USD		9 000,00	232,51	Eget konto
36533	2014-07-29	WITHDRAWAL ST2692164 - FGN BANK CHARGE	USD		33,36	165,03	Övrigt
36533	2014-07-29	WITHDRAWAL ST2692164 - INVESTIGATION+REIS	USD		47,02	118,01	Övrigt
36533	2014-08-13	24X7 TR MR. EMIL AMIR INGMAN REPAYMENT LOAN 21/07/14	USD	9 000,00		9 118,01	Emil Bank of Valletta, lån
36533	2014-08-16	24X7 TR 40021737558	USD		6 849,00	1 769,01	Eget konto
36533	2014-08-21	24X7 TR 40021737558	USD		1 500,00	269,01	Eget konto
36533	2014-08-25	24X7 TR MR. EMIL AMIR INGMAN REPAYMENT OF LOAN 21/7/14	USD	13 847,00		14 116,01	Emil Bank of Valletta, lån
36533	2014-09-29	24X7 TR MR. EMIL AMIR INGMAN LOAN 290914	USD		5 000,00	9 116,01	Emil Bank of Valletta, lån
36533	2014-09-30	INTEREST VALUE DATE - 01/10/2014	USD	0,40		9 116,41	Övrigt
36533	2014-09-30	FINAL WITHHOLDING TAX VALUE DATE - 01/10/2014	USD		0,06	9 116,35	Övrigt
36533	2014-10-06	24X7 TR PO ISSUE 2843239 EMIL AMIR INGMANSON USD9000.00 LOAN 20141006	USD		9 015,15	101,20	Emil Danske Bank Sverige, lån
36533	2014-12-31	INTEREST VALUE DATE - 01/01/2015	USD	0,01		101,21	Övrigt

Konto	Date	Transaction Description	Valuta	Deposits	Withdrawals	Balance	PIVOT (G Stare)	Kommentar (G Stare)
37558	2013-07-08	SWIFT SOLID VENTURE LIMITE POI: 2013002152192 FULL AMT:EUR300000.00 RCDV AMT:EUR300000.00 I.OOOMONEY OWED TO BOARDWALK	EUR	300 000,00		300 000,00	Solid Venture Capital, Malta, län	SVC
37558	2013-07-08	SWIFT CHARGES 2013002152192	EUR		4,00	299 996,00	övrigt	
37558	2013-10-24	DIRECT PAYMENT BOV-AMEX CARDS	EUR		550,00	299 446,00	AMEX	AMEX
37558	2013-10-28	24X7 TR PO ISSUE 2288143 AMERICAN EXPRESS EUR550.00 374383150381000, AFRAM GERGEO	EUR		563,00	298 883,00	Amex Afram	AG AMEX
37558	2013-11-25	DIRECT PAYMENT BOV-AMEX CARDS	EUR		2 906,99	295 976,01	AMEX	AMEX
37558	2013-12-09	24X7 TR ADRIAN CHIRCOP - EUR SHARE TRANSFER	EUR		25 000,00	270 976,01	Adrian Chircop - share transfer	Adrian Chircop
37558	2013-12-11	24X7 TR ADRIAN CHIRCOP - EUR SHARE TRANSFER	EUR		25 000,00	245 976,01	Adrian Chircop - share transfer	Adrian Chircop
37558	2013-12-12	24X7 TR ADRIAN CHIRCOP - EUR SHARE TRANSFER	EUR		10 000,00	235 976,01	Adrian Chircop - share transfer	Adrian Chircop
37558	2013-12-18	24X7 TR PO ISSUE 2362627 STELLUM AB EUR25000.00 PAYMENT 1/2	EUR		25 016,00	210 960,01	Stellum AB	Stellum
37558	2013-12-19	24X7 TR PO ISSUE 2363043 STELLUM AB EUR20000.00 PAYMENT 2/2	EUR		20 016,00	190 944,01	Stellum AB	Stellum
37558	2013-12-21	INTEREST VALUE DATE - 23/12/2013	EUR	1 385,91		192 329,92	övrigt	
37558	2013-12-21	FINAL WITHHOLDING TAX VALUE DATE - 23/12/2013	EUR		207,88	192 122,04	övrigt	
37558	2014-01-24	DIRECT PAYMENT BOV-AMEX CARDS	EUR		20 756,77	171 365,27	Amex	AMEX
37558	2014-02-07	24X7 TR PO ISSUE 2429096 BARBAROS OKTAN EUR25000.00 TRANSFER 1	EUR		25 040,77	146 324,50	Barbaros Ökten	Barbaros Ökten
37558	2014-02-12	CHARGE OUR PO EUR 20 POO 2429096 CHARGE OUR	EUR		20,00	146 304,50	Övrigt	
37558	2014-02-13	24X7 TR GANADO & ASSOCIATES INVOICE G00051282	EUR		5 900,00	140 404,50	Övrigt	Genado - advokater
37558	2014-02-20	24X7 TR PO ISSUE 2448105 SWEDEN TOP TEAM SEK87376.00 LOAN	EUR		10 012,28	130 392,22	Övrigt	Sweden Top Team LÄN
37558	2014-02-24	DIRECT PAYMENT BOV-AMEX CARDS	EUR		54 934,91	75 457,31	Amex	AMEX
37558	2014-02-24	CHARGE OUR PO EUR 20 POO 2448105 RGE OUR	EUR		20,00	75 437,31	Övrigt	
37558	2014-03-17	24X7 TR VALLETTA FUND SERVIC INVOICE 001706	EUR		23 977,00	51 460,31	Valletta Fund Services	Valletta Fund Services
37558	2014-03-24	DIRECT PAYMENT BOV-AMEX CARDS	EUR		776,96	50 683,35	Amex	AMEX
37558	2014-04-24	24X7 TR PO ISSUE 2541942 RHM INVEST AB EUR35000.00 LOAN 4	EUR		35 020,00	27 663,35	RHM Invest, län	RHM Invest
37558	2014-04-24	DIRECT PAYMENT 177349 - 226 BULK PAYMENT 177349	EUR		3 003,34	36 660,01	Övrigt	
37558	2014-04-24	24X7 TR 40021736533	EUR	12 000,00		62 683,35		Eget konto
37558	2014-04-24	24X7 TR 40021736533	EUR	12 000,00		39 683,35		Eget konto
37558	2014-04-29	24X7 TR PO ISSUE 2551129 SOLID EQUITY AB EUR35000.00 LOAN	EUR		35 016,00	1 644,01	Solid Equity AB, län	Solid Equity
37558	2014-04-29	24X7 TR 40021736533	EUR	12 000,00		13 644,01		Eget konto
37558	2014-04-29	24X7 TR 40021736533	EUR	12 000,00		25 644,01		Eget konto
37558	2014-05-05	24X7 TR PO ISSUE 2558276 AMIR INGMANSON EUR9000.00 LOAN	EUR		9 013,00	16 631,01	Emil Danske Bank Sverige, län	Emil LÄN
37558	2014-05-05	24X7 TR 40021736533	EUR	12 000,00		28 631,01		Eget konto
37558	2014-05-15	24X7 TR PO ISSUE 2579550 AEON MANAHAN GBP2000.00 STEP	EUR		2 524,89	26 106,12	Övrigt	AEON Manahan
37558	2014-05-15	24X7 TR FALCON FUNDS SICAV P DIRECTORS? FEES	EUR		9 000,00	17 106,12	FALCON FUNDS SICAV P DIRECTORS? FEES	Falcon Funds FEES?
37558	2014-05-19	CHARGE OUR PO EUR 10 POO 2579550 CHARGE OUR	EUR		10,00	17 096,12	Övrigt	
37558	2014-05-21	24X7 TR PO ISSUE 2587464 SOLID EQUITY AB EUR22000.00 LOAN 24	EUR		22 020,00	7 076,12	Solid Equity AB, län	Solid Equity
37558	2014-05-21	24X7 TR 40021736533	EUR	12 000,00		29 096,12		Eget konto
37558	2014-05-21	24X7 TR 40021736533	EUR	12 000,00		19 076,12		Eget konto
37558	2014-05-22	24X7 TR PO ISSUE 2590180 SOLID EQUITY AB EUR23000.00 LOAN 26	EUR		23 020,00	8 056,12	Solid Equity AB, län	Solid Equity
37558	2014-05-22	24X7 TR 40021736533	EUR	12 000,00		31 076,12		Eget konto
37558	2014-05-22	24X7 TR 40021736533	EUR	12 000,00		20 056,12		Eget konto
37558	2014-05-23	CHARGE UNPROCESSED DIRECT P AMEX UNPROCESSED DIR DR	EUR		11,65	20 044,47	Övrigt	AMEX
37558	2014-05-26	24X7 TR GANADO & ASSOCIATES INVOICE G00052313	EUR		6 643,40	37 401,07	Övrigt	Genado - advokater
37558	2014-05-26	24X7 TR 40021736533	EUR	12 000,00		32 044,47		Eget konto
37558	2014-05-26	24X7 TR 40021736533	EUR	12 000,00		44 044,47		Eget konto
37558	2014-05-27	24X7 TR 40021736533	EUR	12 000,00		49 401,07		Eget konto
37558	2014-05-29	24X7 TR PO ISSUE 2603539 RHM INVEST AB EUR15000.00 LOAN	EUR		15 020,00	58 381,07	RHM Invest, län	RHM Invest
37558	2014-05-29	24X7 TR 40021736533	EUR	12 000,00		61 401,07		Eget konto
37558	2014-05-29	24X7 TR 40021736533	EUR	12 000,00		73 401,07		Eget konto
37558	2014-05-30	PO ISSUE 2607155 AMERICAN EXPRESS INTERNATIONAL EUR43000.85 AMEX CARD NO. 3743-8315038-1000 IN THE NAME OF MR GERGEO AFRAM	EUR		43 030,85	15 350,22	Amex Afram	AG AMEX
37558	2014-06-04	24X7 TR PO ISSUE 2614618 SWEDEN TOP TEAM EUR2500.00 SPONSORSHIP	EUR		2 508,00	12 842,22	Övrigt	Sweden Top Team Sponsor
37558	2014-06-04	24X7 TR PO ISSUE 2614627 AMIR INGMANSON EUR7500.00 LOAN	EUR		7 508,00	5 334,22	Emil Danske Bank Sverige, län	Emil LÄN
37558	2014-06-24	DIRECT PAYMENT 182459 - 203 BOV-AMEX CARDS DEPOSIT ACCOUNT	EUR		1 041,43	4 292,79	Amex	AMEX
37558	2014-07-01	24X7 TR MR. EMIL AMIR INGMAN THE TREND	EUR		40 000,00	4 292,79	Emil Bank of Valletta, The Trend	Emil, Bank of Valletta
37558	2014-07-01	24X7 TR 40021736533	EUR	10 000,00		14 292,79		Eget konto
37558	2014-07-01	24X7 TR 40021736533	EUR	10 000,00		24 292,79		Eget konto
37558	2014-07-01	24X7 TR 40021736533	EUR	10 000,00		34 292,79		Eget konto
37558	2014-07-01	24X7 TR 40021736533	EUR	10 000,00		44 292,79		Eget konto
37558	2014-07-21	24X7 TR 40021736533	EUR	10 000,00		14 292,79		Eget konto
37558	2014-07-21	24X7 TR 40021736533	EUR	6 499,60		20 792,39		Eget konto
37558	2014-07-22	24X7 TR PO ISSUE 2706368 SOLID EQUITY AB EUR17000.00 INVOICE	EUR		17 008,00	3 784,39	Solid Equity AB, Faktura	Solid Equity
37558	2014-07-31	24X7 TR PO ISSUE 2724645 AMIR INGMANSON EUR3000.00 LOAN	EUR		3 008,00	776,39	Emil Danske Bank Sverige, län	Emil LÄN
37558	2014-08-16	24X7 TR 40021736533	EUR	5 000,00		4 488,82		Eget konto
37558	2014-08-18	PO ISSUE 2752552 AMERICAN EXPRESS INTERNATIONAL EUR4458.82 AMEX PAYMENT DUE ON THE 24TH JULY 2014	EUR		4 488,82	1 287,57	Amex	AMEX
37558	2014-08-20	24X7 TR MR. EMIL AMIR INGMAN REPAYMENT LOAN 31/07/14	EUR		3 008,00	4 295,57	Emil Bank of Valletta, län	Emil LÄN återbet
37558	2014-08-21	24X7 TR MR. EMIL AMIR INGMAN REPAYMENT LOAN 04/06/14	EUR		7 508,00	12 915,92	Emil Bank of Valletta, län	Emil LÄN återbet
37558	2014-08-22	DIRECT PAYMENT 187671 - 242 BOV-AMEX CARDS DEPOSIT ACCOUNT	EUR		4 743,00	8 172,92	Amex	AMEX
37558	2014-09-24	DIRECT PAYMENT 190221 - 233 BOV-AMEX CARDS DEPOSIT ACCOUNT	EUR		3 597,51	4 575,41	Amex	AMEX
37558	2014-09-26	24X7 TR PO ISSUE 2822257 EMIL AMIR INGMANSON EUR4000.00 LOAN 260914	EUR		4 008,00	567,41	Emil Caixa Bank Spanien, län	Emil LÄN
37558	2014-10-24	CHARGE UNPROCESSED DIRECT P AMEX 24.10.2014	EUR		11,65	555,76	Övrigt	
37558	2014-10-27	24X7 TR MR. EMIL AMIR INGMAN REPAYMENT	EUR	4 500,00		5 055,76	Emil Bank of Valletta, län	Emil LÄN återbet
37558	2014-10-31	PO ISSUE 2894325 AMERICAN EXPRESS INTERNATIONAL EUR4335.50 AMEN PAYMENT DUE 24.10.2014- AFRAM GERGEO-374383150381000	EUR		4 375,50	680,26	Amex Afram	AG AMEX
37558	2014-12-22	INTEREST VALUE DATE - 23/12/2014	EUR	421,47		1 101,73	Övrigt	
37558	2014-12-22	FINAL WITHHOLDING TAX VALUE DATE - 23/12/2014	EUR		63,22	1 038,51	Övrigt	
37558	2014-12-31	WITHDRAWAL STATEMENT CHARGES	EUR		2,32	1 036,19	Övrigt	
37558	2014-12-31	WITHDRAWAL STATEMENT CHARGES	EUR		3,48	1 032,71	Övrigt	
37558	2014-12-31	WITHDRAWAL STATEMENT CHARGES	EUR		1,16	1 031,55	Övrigt	

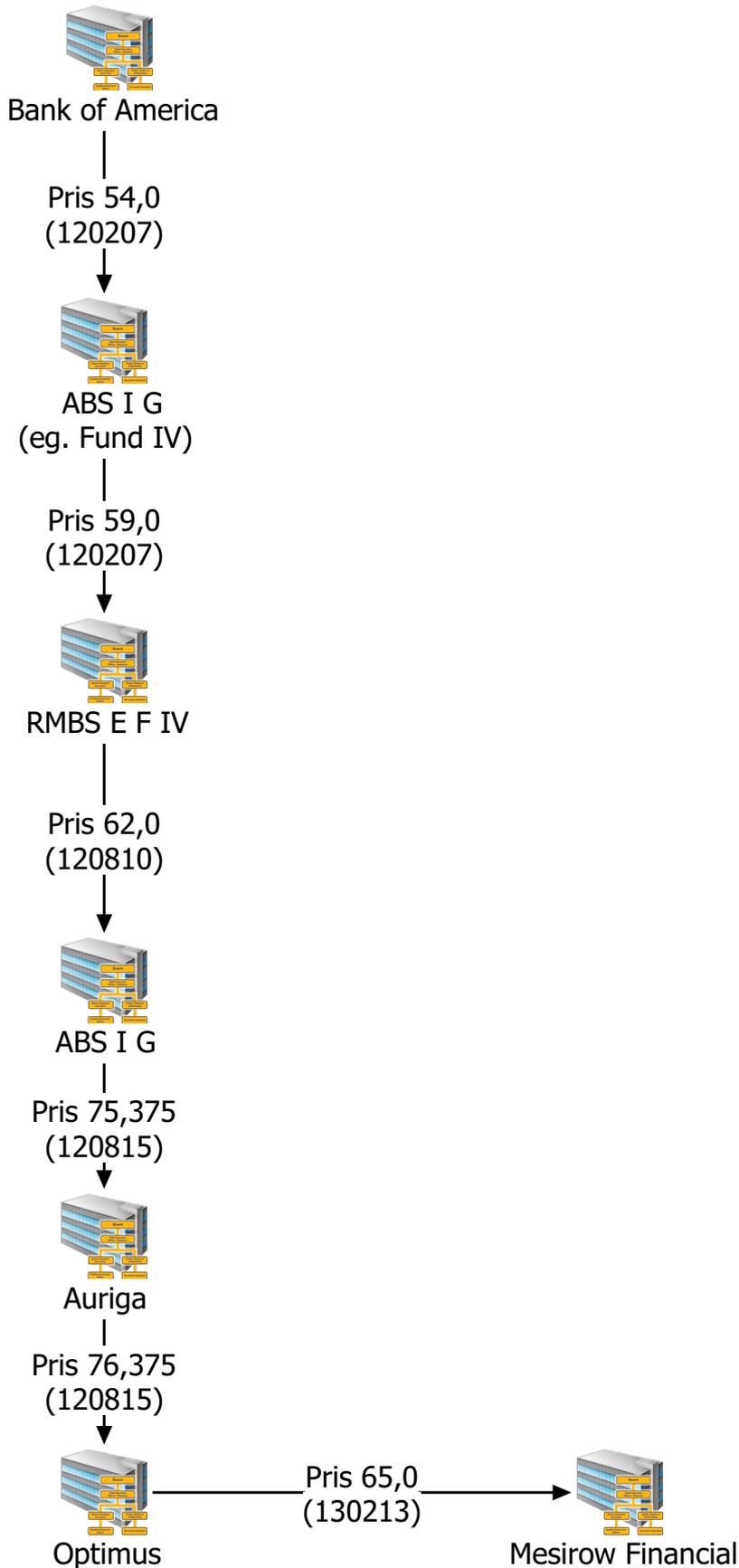
# Försäljning av MBS "CMLTI" med deal price



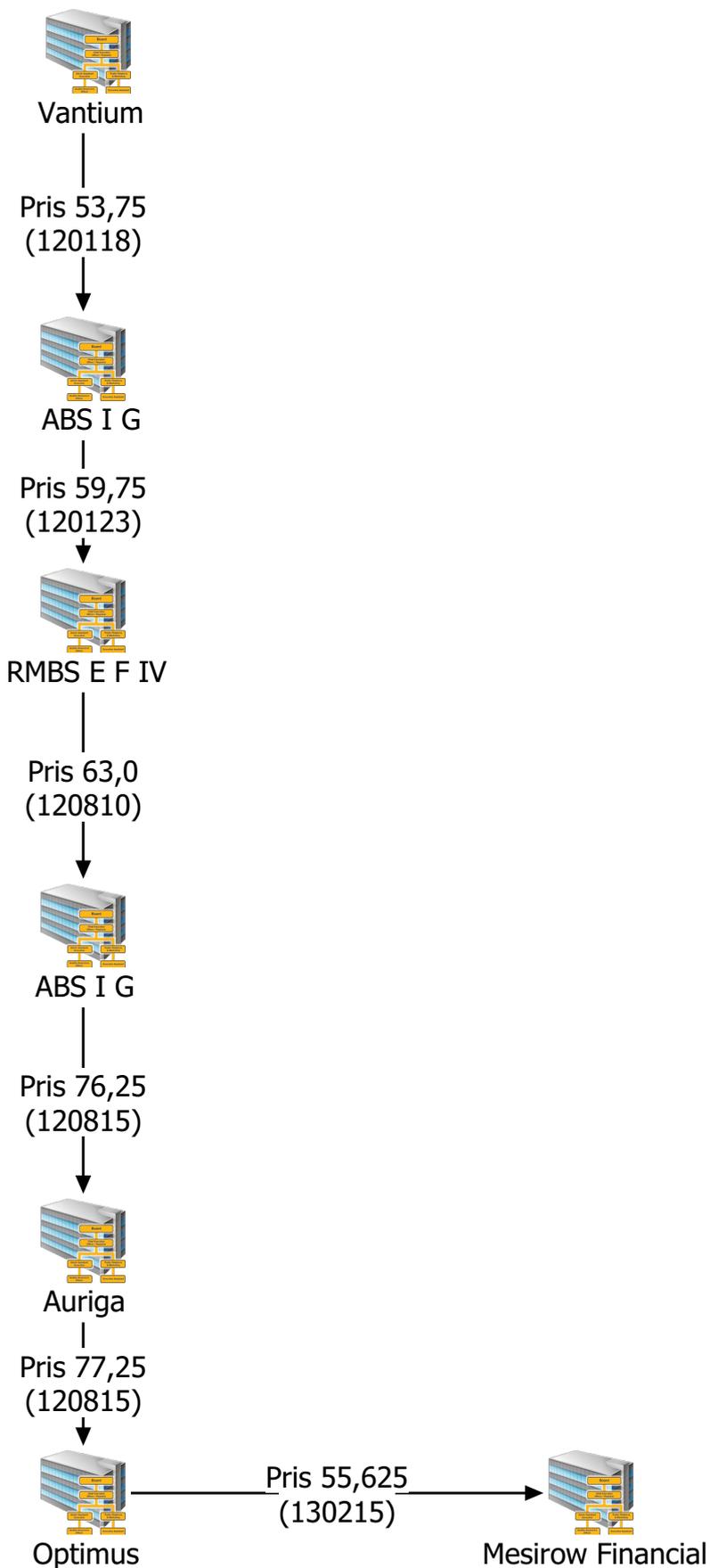
# Försäljning av MBS "CWL" med deal price



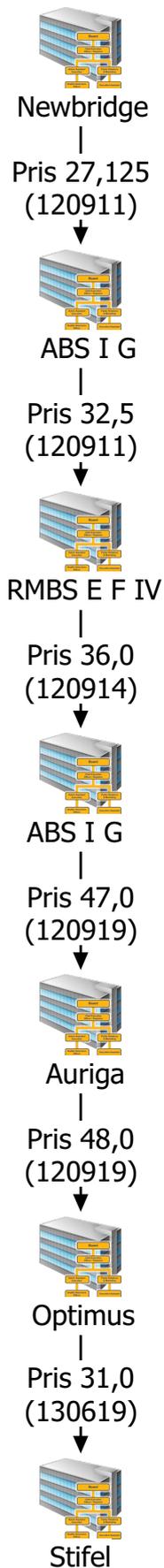
# Försäljning av MBS "GSAMP" med deal price



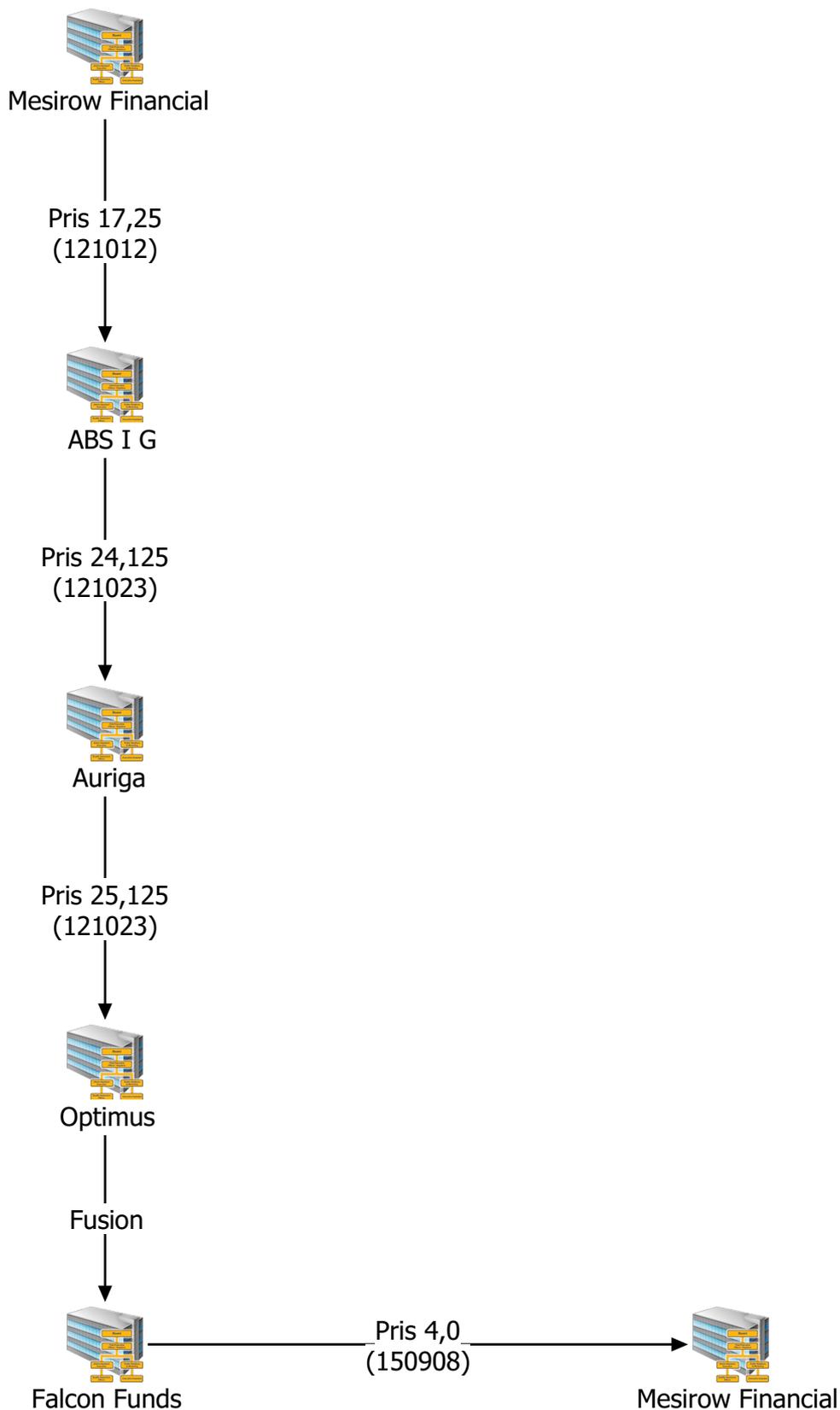
# Försäljning av MBS "PCHLT" med deal price



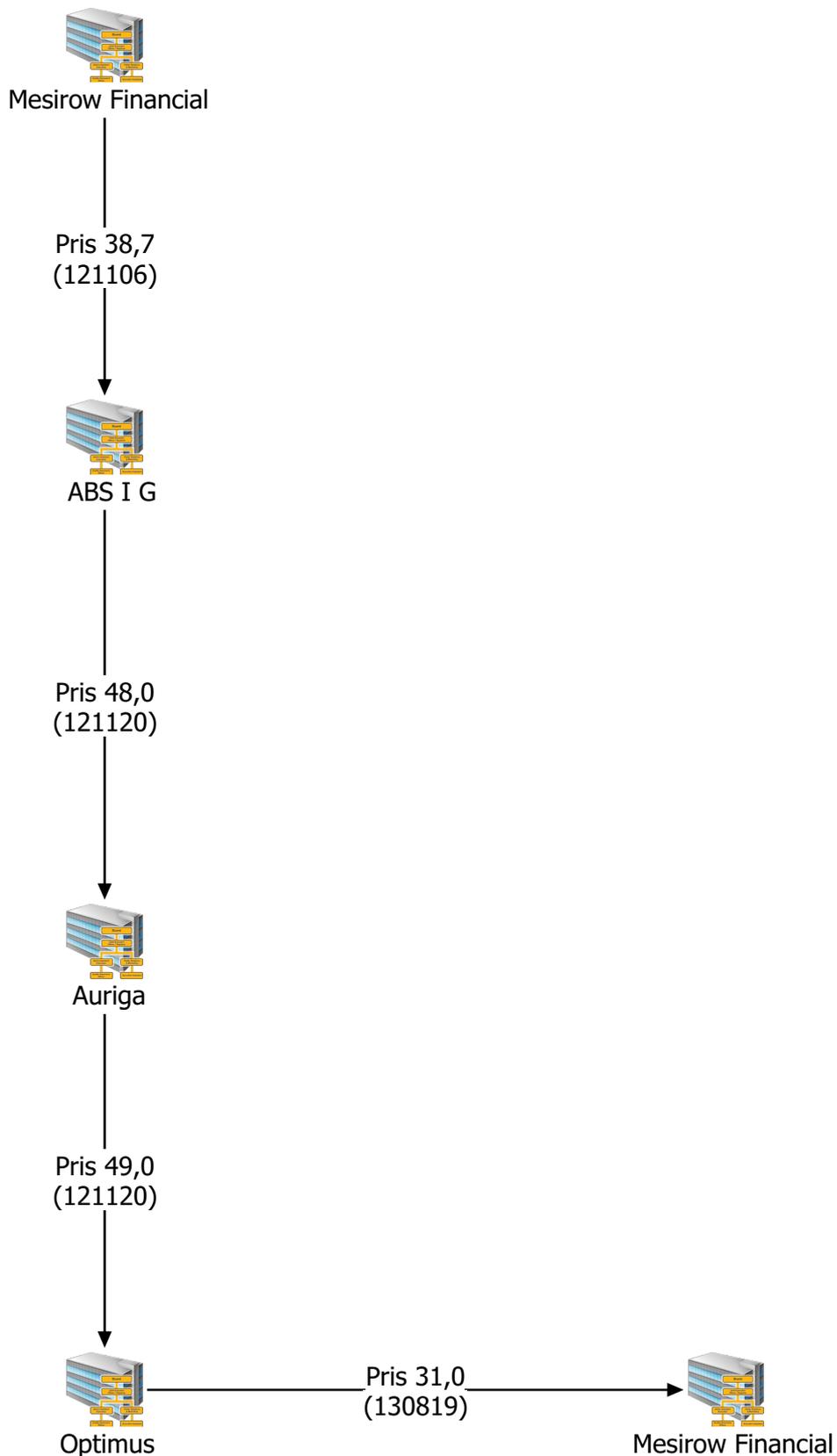
## Försäljning av MBS "LMT 2005" med deal price



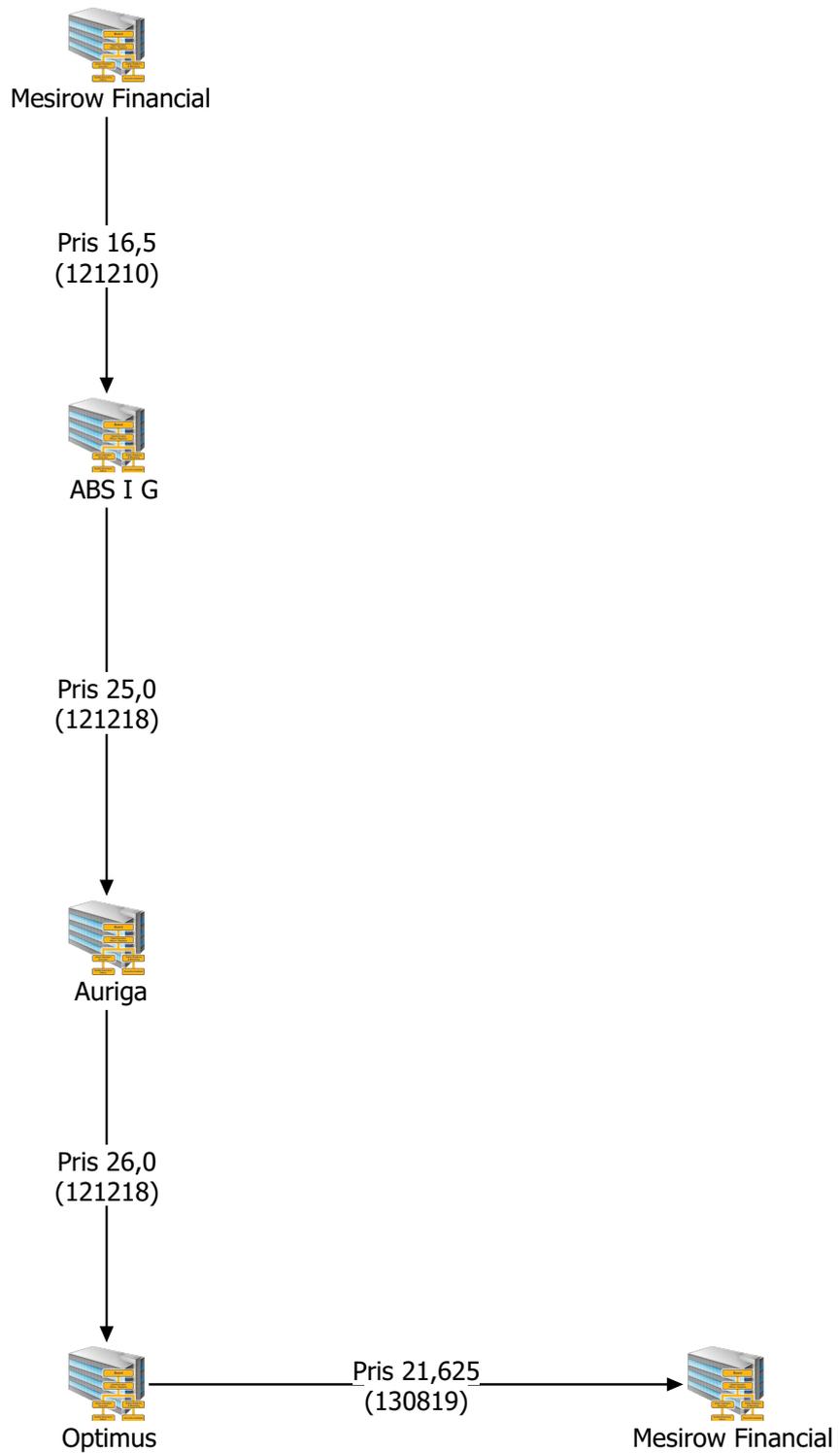
# Försäljning av MBS "MARM" med deal price



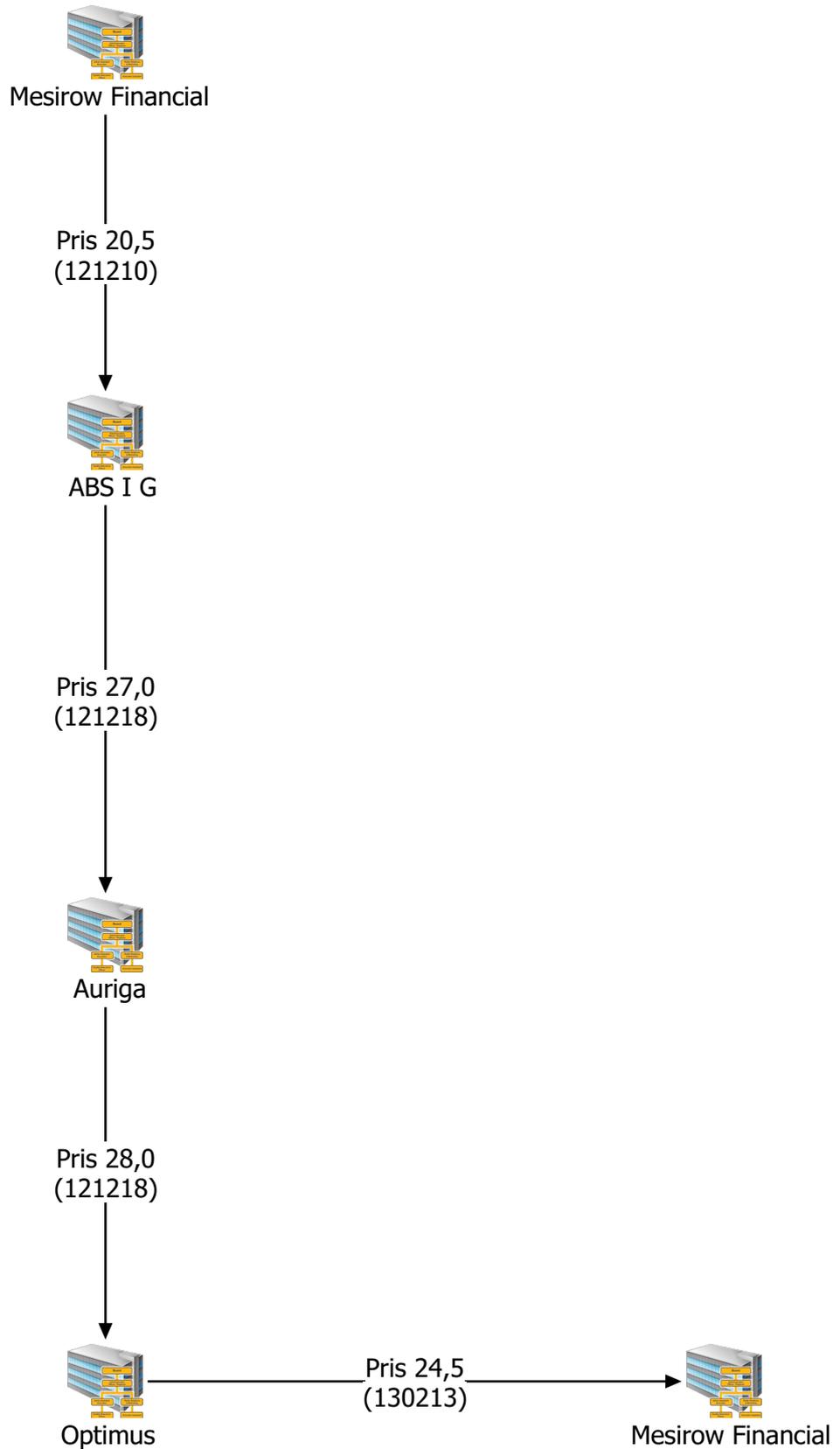
# Försäljning av MBS "CFAB" med deal price



# Försäljning av MBS "CWALT" med deal price



# Försäljning av MBS "INDX" med deal price



# Försäljning av MBS "BOAMS" med deal price



Pris 18,625  
(130214)



Pris 19,5  
(130214)



Pris 30,0  
(130226)



Pris 37,5  
(130226)



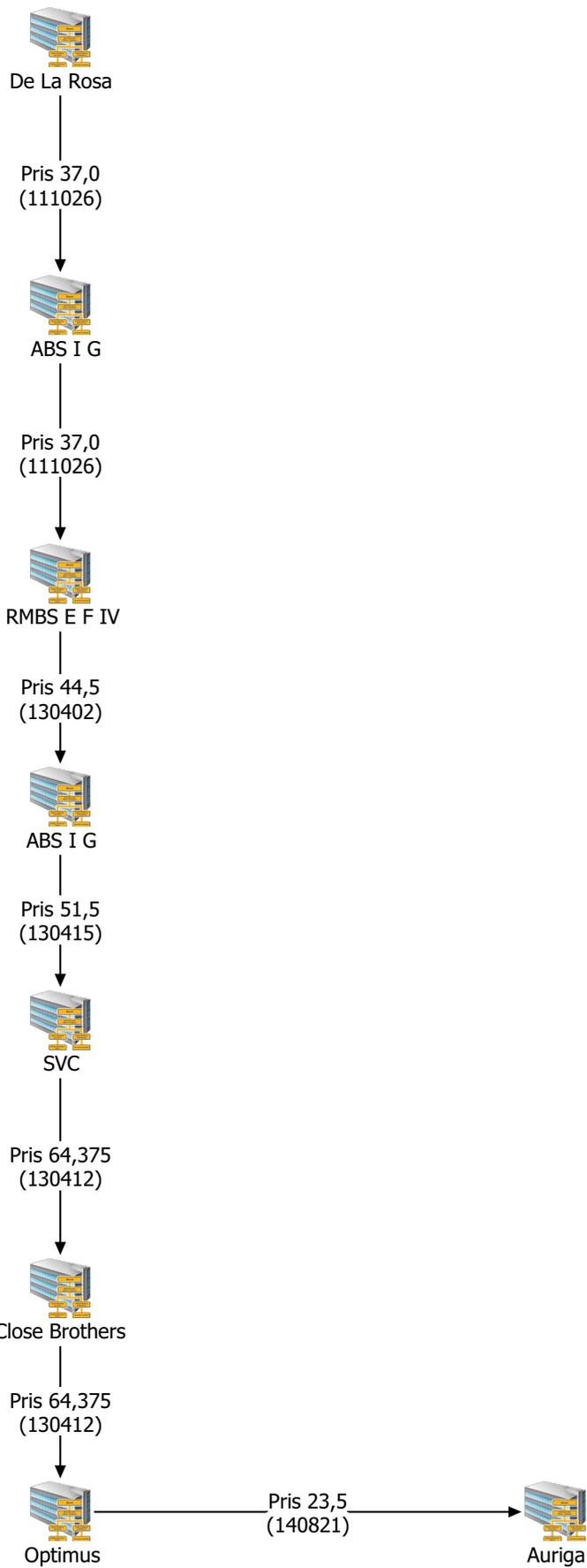
Pris 37,5  
(130226)



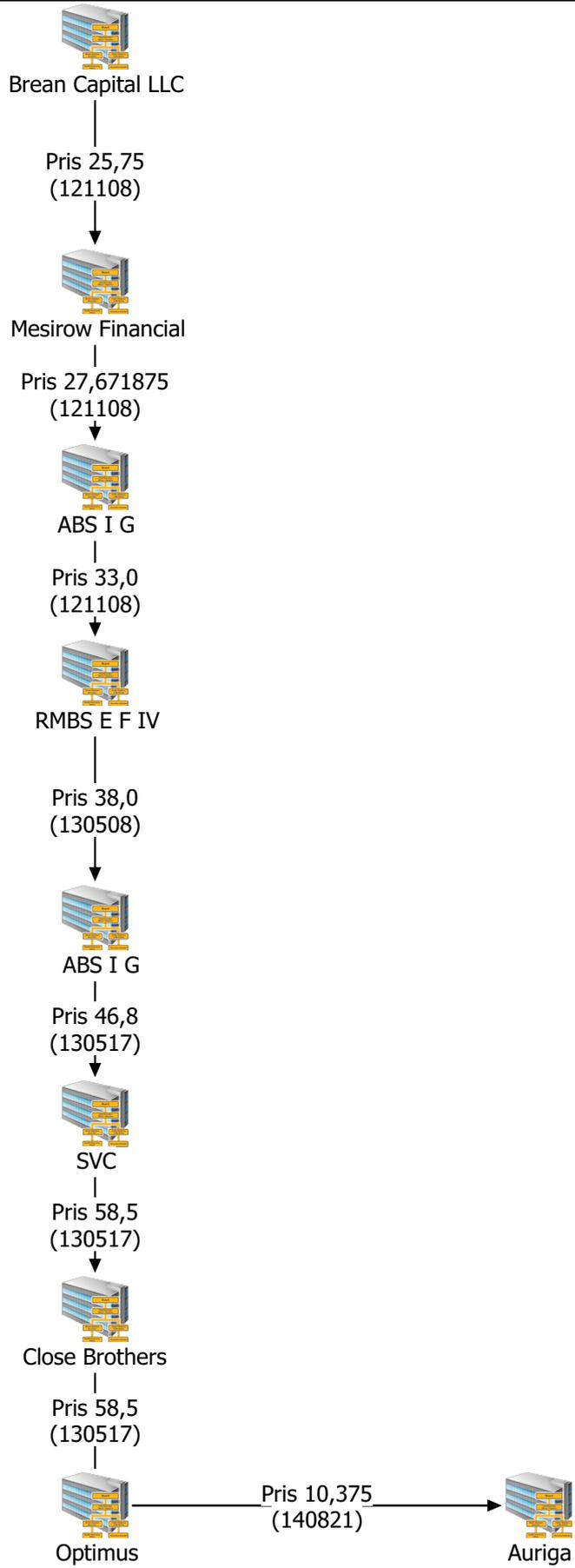
Pris 20,5  
(131206)



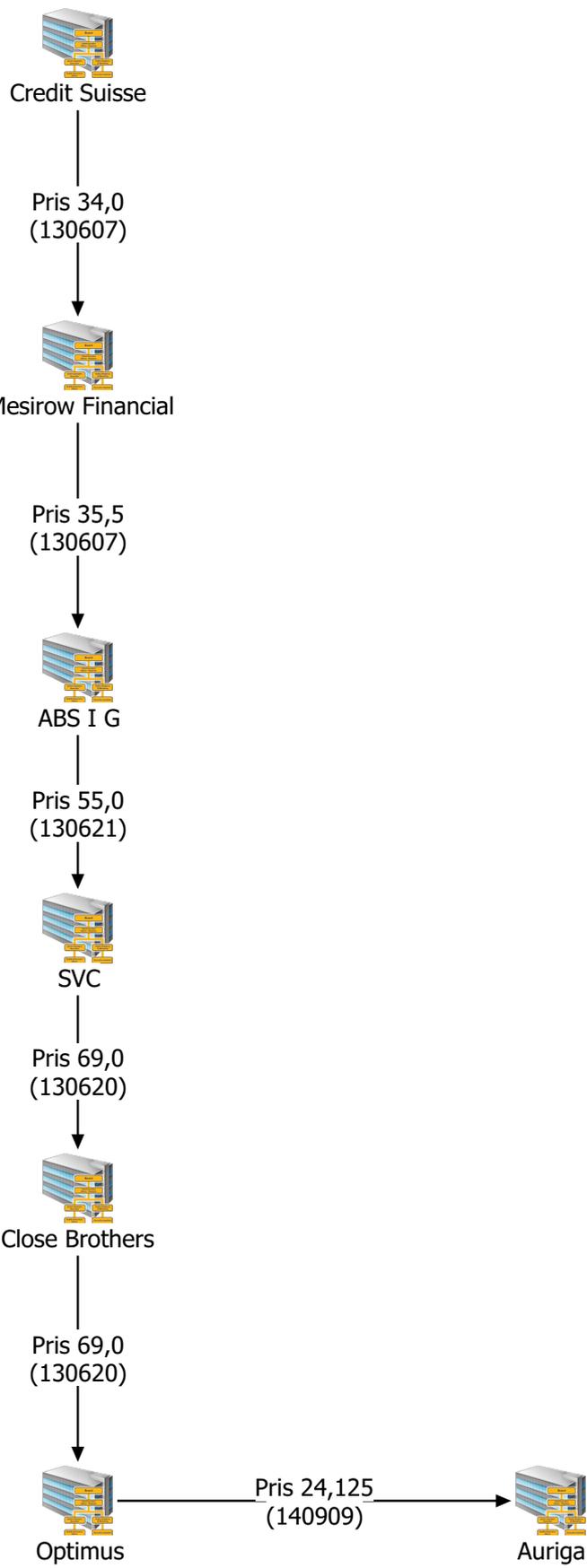
# Försäljning av MBS "MSAC" med deal price



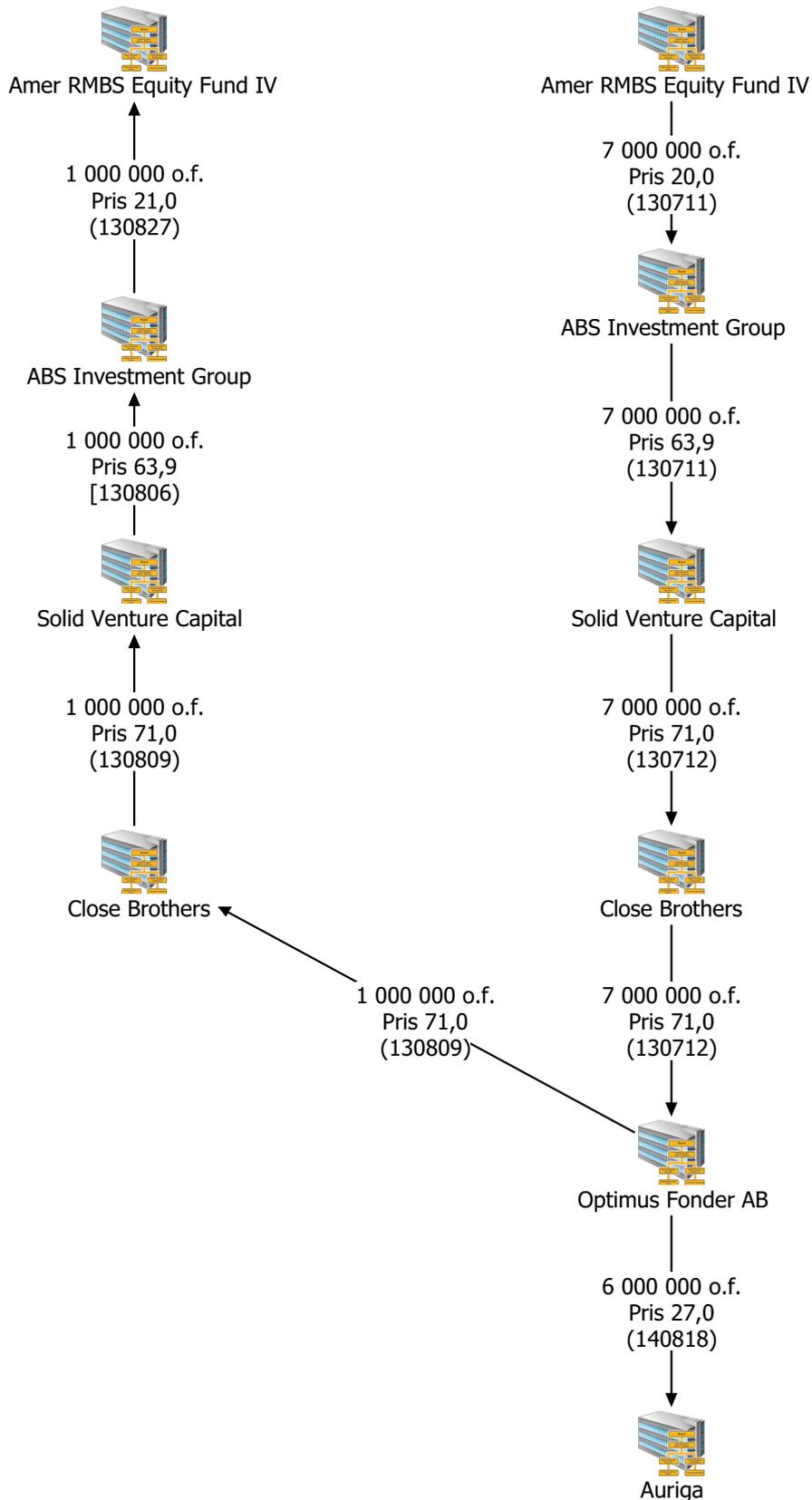
# Försäljning av MBS " LMT 2008" med deal price



# Försäljning av MBS " BAFC" med deal price



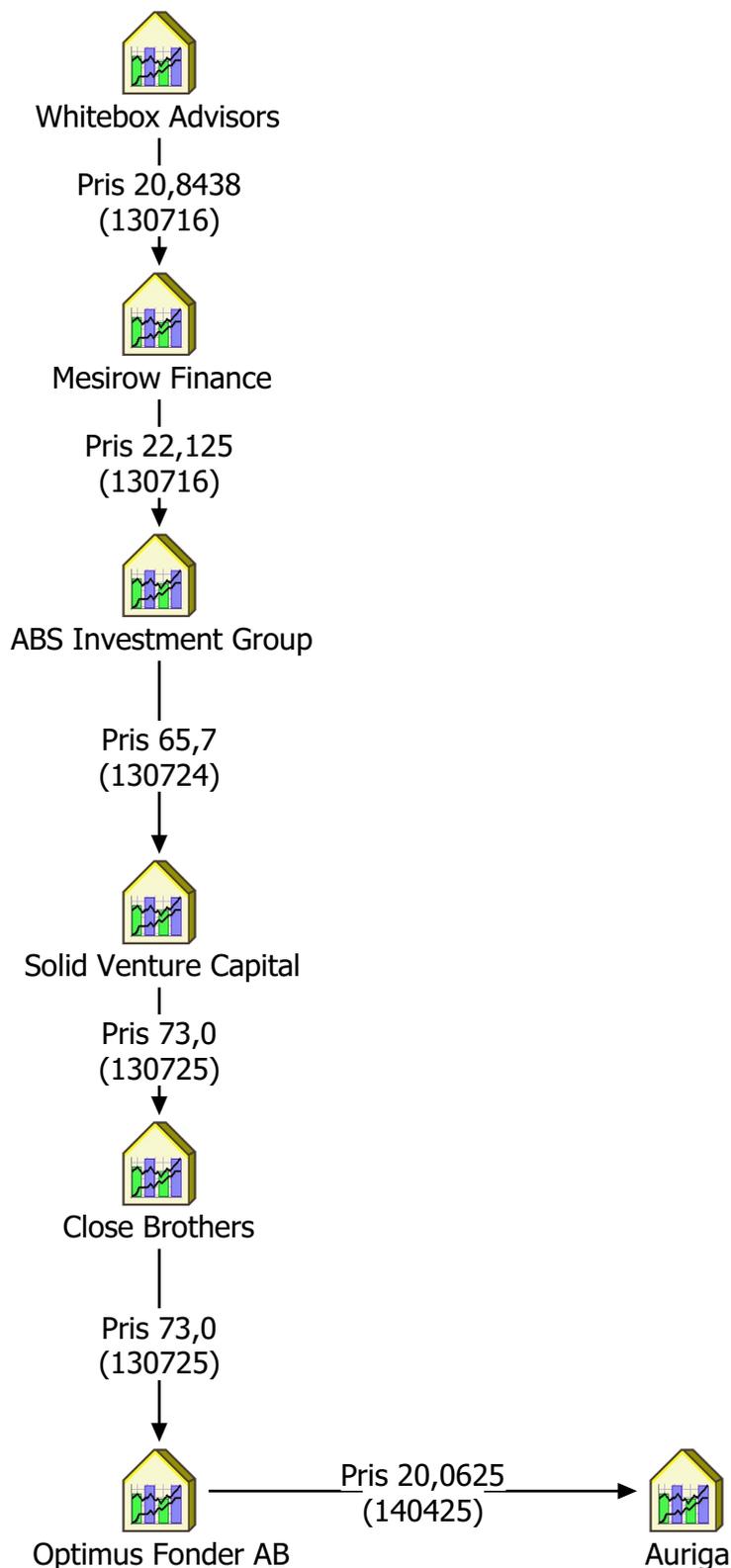
# Försäljning av MBS " FNLC" med deal price



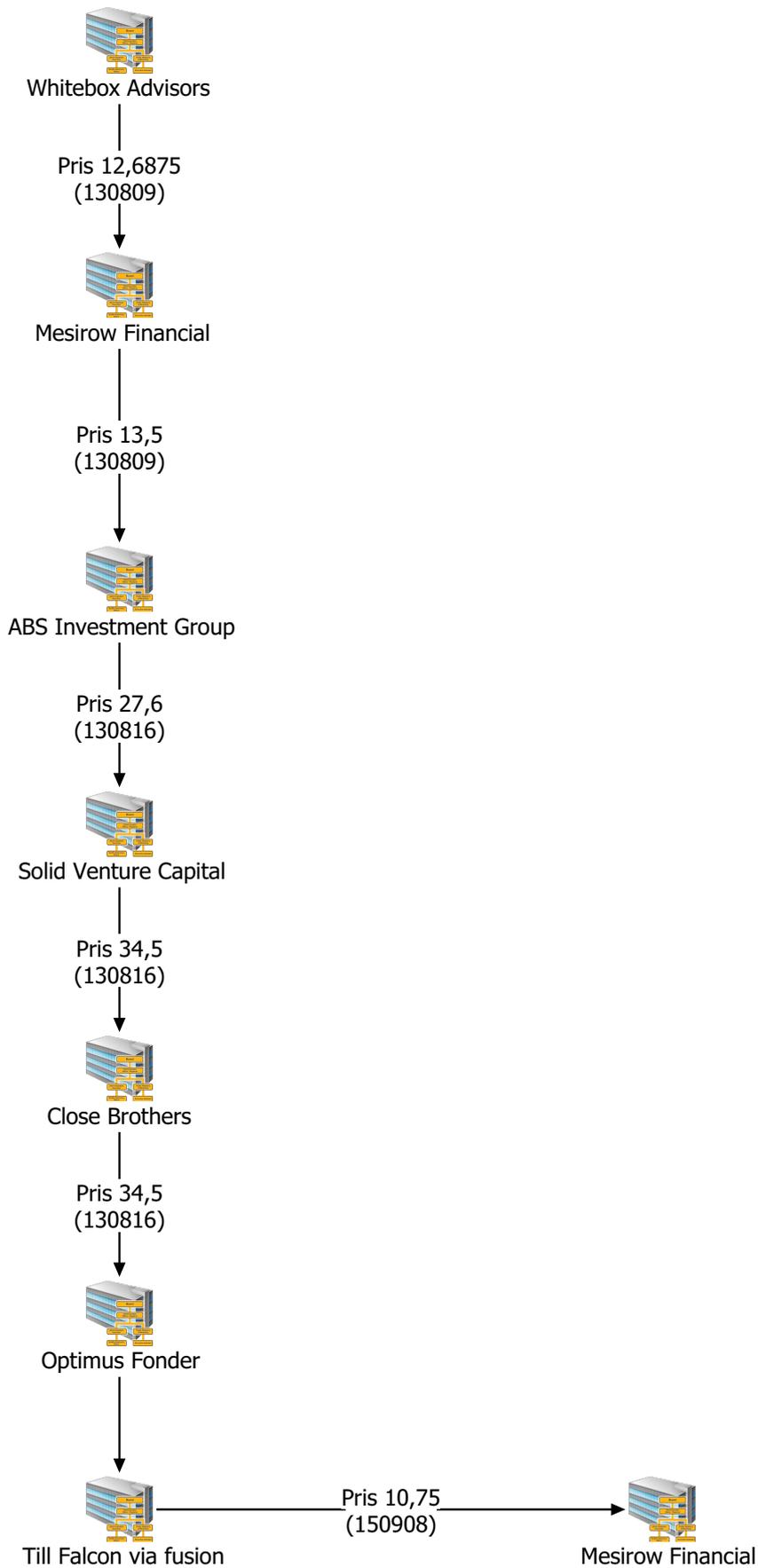
# Försäljning av MBS " GSAA" med deal price



# Försäljning av MBS " SAIL" med deal price



# Försäljning av MBS " MANA" med deal price





Myndighet  
Ekobrottsmyndigheten

Enhet  
Stockholm ÅK3

## Förhör

Signerat av

Signerat datum

Diariernr  
9000-K552-17

Hörd person			Personnummer
Lundgren, Håkan			
Den hörde är	ID Styrkt	Sätt	Ställning till misstänkt - målsägande - vittne
Vittne	Ja	Relationsfrågor	
Tolk		Språk	

Brottsmisstanke / Anledning till förhöret

### Förhör gällande sin roll som kund hos Positiv Pension och Strategi Placering

Underrättad om misstanke

Underrättad om rätt till försvarare (best i FUK 12§ iakttagna)

Försvarare/ombud önskas

Försvarare/ombud närvarande

Godtar den försvarare som rätten förordnar

Förhørsledare	Förhørsdatum	Förhör påbörjat	Förhör avslutat
Daniel Andersson	2019-10-10	15:12	16:12
Förhørsplats	Typ av förhör	Förhörssätt	
Telefon	RB 23:6	Telefonförhör	
Förhörsvittne	Utskrivet av	Konceptförhör	
	DA		

Berättelse

### Positiv Pension

*Vad känner du till om Positiv Pension?*

Alltså, det jag minns var att jag blev uppringd. Sen vet jag vet att ett bolag skulle köpas upp av något annat bolag och jag vill minnas att jag drog mig ur när det skedde. Det var ingen fysisk kontakt, utan det var bara telefonkontakt.

*Vad var anledningen till att du ville avsluta din placering hos PP?*

Om jag minns rätt, så var det någon turbulens i media och jag borde ha avslutat i samband med det, så tänker jag. Hade jag fått samma information idag, som jag fick av medierna då, hade jag avslutat avtalet på en gång. Jag vill minnas att det stod under en kort tid i media.

Det måste ha varit media uppgifterna, som skrev att kunderna skulle flyttas till Strategi någonting och tidningarna skrev något om företaget. Jag kände då, att jag inte ville vara med längre.

*På vilket vis (mejl, telefon?) sades avtalet med PP upp?*

Som jag minns det, sade jag upp avtalet, men jag minns inte hur.

*När sades avtalet upp?*

Jag hade ingen kontakt efter maj 2013, så det borde ha varit då.

*Fick du någon bekräftelse på att avtalet sagts upp?*

Det minns jag inte att jag fick. Jag ser min utkorg i mailen, att jag skickade min pin-kod till Positiv Pension för inloggning på PPM i september 2012.

*Gick du själv in vid något tillfälle och kontrollerade ditt innehav efter att du sagt upp avtalet med Positiv Pension/fonden?*

Nä, jag fick brev från PPM i 2013-07-16 där det stod att mina pengar var flyttade AMF. Jag hade 156936 kronor i Inside Active Global vid datumet 2012-12-31.

*När märkte du att du var placerad i en fond som du inte valt/kände till?*

Ärligt talat har jag haft dålig koll på pensionen genom åren, så jag vet inte det.

### **Strategi Placering**

*Hur gick det till när du blev kund hos Strategi Placering?*

Känner inte till att jag var kund hos dem.

*Förhørsledaren berättar att de ringde från Strategi Placering 2013-07-08. Något som låter bekant.*

*Vad känner du till om företaget Strategi Placering?*

Nä, jag känner inte till företaget.

*Har du varit i kontakt med företaget Strategi Placering? Vilken information har du fått från företaget gällande deras verksamhet och placeringar? Ingick ni avtal? (Förhørsledaren berättar att Strategi Placering hade callcenterverksamhet och ringde för kunderna skulle välja dem som premiepensionsförvaltare och pengarna skulle placeras i Optimus High Yield.)*

Jag känner inte igen namnet Optimus High Yield.

*Håkan loggar in på PPM:s hemsida och ser att en transaktion utförs 2013-07-04 från Inside Active Global till Optimus High Yield. Den 11:e juli 2013 säljer jag Optimus High Yield och flyttar över till AMF.*

*Accepterade du avtalet med Strategi placering och lät dem förvalta ditt pensionskapital? På vilket sätt ingick du i avtal med Strategi placering. Muntligt eller skriftligt. Kopia på avtalet?*

Jag har inget skriftligt avtal och har vi haft kontakt, så var det via telefon. Jag är lite svävande och kan inte riktigt minnas alla detaljer, eftersom det var länge sen.

*Vad fick du för informationen om fonden, vilken fond, risknivå, avkastning, förvaltningskostnad och pensionsprognos?*

Nä, jag kommer inte ihåg om jag fick någon sådan information. Det måste varit något som lät trovärdigt, typ någon om bra pension. Det måste ha varit något som stod i media som gjorde att jag valde en pensionsförvaltare. Jag var kund hos Positiv Pension.

Jag valde inte att bli flyttad till Strategi Placering och därför flyttade jag mina pengar därifrån.

*Ställde du några övriga frågor gällande fonden och dess förvaltning?*

Inga fler frågor, än jag ställde från det du läste upp ifrån samtalet.

*Lämnade du ifrån dig din pinkod till Strategi Placering? Varför? Säljarna som ringde, förklarade de varför de ville ha pinkoden? Vad var syftet med att Strategi Placering skulle ha pin-koden?*

Nä, det kommer jag inte ihåg ifall jag lämnade ifrån mig pin-koden. Mitt kapital flyttades utan min tillåtelse till Optimus och det fick jag veta i efterhand. Sen gick det ca en vecka och jag hade flyttat min pension därifrån.

*Försökte du byta fond? Vad hände då?*

Ja, jag bytte och mina pengar hamnade i AMF och där har pengarna varit kvar sen dess.

*Försökte du säga upp avtalet? Varför?*

Jag vet inte ens om jag hade ett avtal med Strategi Placering.

*Gick du in och kontrollerade värdet på ditt pensionskapital? Kommer du ihåg vad som hänt med värdet på kapitalet, ökat eller minskat?*

Jag har inte följt upp värdet på mitt kapital. Jag flyttade snabbt från Optimus till AMF, så jag tror inte att jag drabbats av några förluster.

*Vad känner du till om de olovliga flyttarna av pensionskapitalet?*

Det innebar att jag flyttade mina pengar därifrån så fort som möjligt. Jag läste om det i media och valde att göra något åt saken.

*Vad har den olovliga fondflytten/bytet inneburit för dig?*

Det vet jag inte, jag kan inte överblicka det.

*Tillägg till ovan som kan ha missats eller glömts bort?*

Inget att tillägga, förhørsledarens anmärkning.

**Uppläst och godkänt från sammanfattad text av förhöret.**



Myndighet  
Ekobrottsmyndigheten

Enhet  
Stockholm ÅK3

## Förhör

Signerat av

Signerat datum

Diariernr  
9000-K552-17

Hörd person			Personnummer
Ohlsson, Leif			
Den hörde är	ID Styrkt	Sätt	Ställning till misstänkt - målsägande - vittne
Vittne	Ja	Relationsfrågor	
Tolk			Språk

Brottsmisstanke / Anledning till förhöret

### Förhör gällande sin roll som kund hos Positiv Pension och Strategi Placering

Underrättad om misstanke

Underrättad om rätt till försvarare (best i FUK 12§ iakttagna)

Försvarare/ombud önskas

Försvarare/ombud närvarande

Godtar den försvarare som rätten förordnar

Förhørsledare	Förhørsdatum	Förhör påbörjat	Förhör avslutat
Daniel Andersson	2019-10-10	13:14	13:52
Förhørsplats	Typ av förhör	Förhörsätt	
Telefon	RB 23:6	Telefonförhör	
Förhörsvittne	Utskrivet av	Konceptförhör	
	DA		

Berättelse

*Förhørsledaren frågar om Leif känner till företaget Positiv Pension. Leif känner inte till företaget.*

#### *Strategi Placering*

*Hur gick det till när du blev kund hos Strategi Placering?  
Minns inte riktigt, hur det gick till när jag blev kund hos dem.*

*Vad känner du till om företaget Strategi Placering?  
De förvaltade mitt premiepensionskapital.*

*Har du varit i kontakt med företaget Strategi Placering? Vilken information har du fått från företaget gällande deras verksamhet och placeringar?*

Nä, det är inget jag kommer inte ihåg. Det jag minns är de bytte namn minst en gång och det hände saker, som gjorde att jag inte upplevde företaget som seriöst. Det hände saker som jag inte hade kommit överens om i avtalet med företaget. Jag hade för mig att de hette Solidar från början, sen bytte de namn och jag misstänkte aldrig det skulle vara något brottsligt med företaget.

*Accepterade du avtalet med Strategi placering och lät dem förvalta ditt pensionskapital? På vilket sätt ingick du i avtal med Strategi placering. Muntligt eller skriftligt. Kopia på avtalet?*  
Jag hatar muntliga avtal och jag föredrar att ha skriftliga avtal, som jag kunde ha kontroll på. Jag vet inte om jag har kvar kontrakten.

*Vad fick du för informationen om fonden, vilken fond, risknivå, avkastning, förvaltningskostnad och pensionsprognos?*

Jag är ganska säker på det framgick i alla fall inledningsvis och vad som hände sen, när jag överläts till andra bolag, så vet jag inte vad som hände därefter.

*Ställde du några övriga frågor gällande fonden och dess förvaltning?*

Jag gjorde ett val. Jag tämligen säker på att jag gjorde ett val och hur mitt kapital skulle förvaltas. Jag valde inte själv fonder, utan jag det skulle kunna ha varit så att jag valde risknivå på mitt sparande. Jag ville inte själv följa och ha kontroll på marknadens svängningar, utan ville ha någon som kunde förvalta pensionspengarna.

*Lämnade du ifrån dig din pinkod till Strategi Placering? Varför? Säljarna som ringde, förklarade de varför de ville ha pinkoden? Vad var syftet med att Strategi Placering skulle ha pin-koden?*

Det vet i fan om jag gjorde. Jag är tveksam till att jag skulle ha lämnat ifrån mig koder till dem.

*Försökte du byta fond? Vad hände då?*

Inte vad jag minns. Jag kommer inte ihåg att jag bytte fond vid något tillfälle.

*Försökte du säga upp avtalet? Varför?*

På något sätt sade jag upp avtalet. Det jag minns är, att jag blev ganska missnöjd. Jag hade förlorat ganska mycket pengar under ett år och jag valde avsluta tjänsten. Jag tror de var ca 7000-8000 kr på ett år. Jag tror jag såg det i orangea kuvertet som kom från Pensionsmyndigheten.

Jag gick till Swedbank som jag var kund hos och bad om rådgivning, att göra bättre investeringar. Jag valde räntefonder, som jag fick rekommenderat av banken. Jag ville inte förlora några pengar och ville ha säker tillväxt på kapitalet.

*Gick du in och kontrollerade värdet på ditt pensionskapital? Kommer du ihåg vad som hänt med värdet på kapitalet, ökat eller minskat?*

Jag såg det i mitt pensionsbesked att det minskat med ganska många tusenlappar.

*Vad känner du till om de olovliga flyttarna av pensionskapitalet?*

Det är möjligt att de har flyttat kapital. Jag misstänkte inget och hade jag vetat om flytten hade jag dragit i nödbromsen. Jag hade förlorat mycket pengar tyckte jag och jag tyckte varningsklockorna ringde, när de hoppade mellan olika bolagsnamn. Jag vände mig till min bank på min hemort och tog deras hjälp istället med pensionsrådgivningen.

*Vad har den olovliga fondflytten/bytet inneburit för dig?*

Jag visste inte att det var olovliga flyttar, men jag vet att jag förlorat pengar på det. Jag blev missnöjd för att jag förlorade pengar och jag blev misstänksam, när de bytte namn flera gånger. Då ville jag inte längre vara kvar som kund hos dem. Jag misstänkte inte brott, utan jag var väl naiv som trodde att skötte en bra förvaltning.

Uppläst och godkänt av Leif i sammanfattad form.

***Kompletterande förhör för att förtydliga frågor kring försäljningssamtalet från Strategi Placering, kompletteringen genomfördes 2019-10-11 kl:16:03 – 16:08.***

*Kände du att fick tillräcklig information angående den nya förvaltningen av premiepensionskapitalet?*

Jag kände mig avigt inställd till dem som tog kontakt med mig. Jag upplevde inte att jag fick information om de skulle flytta mina pengar, men det är så länge sen så jag kommer inte riktigt ihåg.

*Vad känner du till om fonden Optimus High Yield?*

Jag känner igen namnet, men som sagt var det länge sedan.

*Visste du att dina pengar var flyttade innan de från Strategi Placering ringde dig?*

Det hade jag ingen aning om. Det borde vara olagligt.

Uppläst och godkänt i sammanfattad form från förhöret.

## Komplettering av information om kassaflöden under innehavsperiod.

## CMLT1 2005-WF1 M1 - US17307GPG72

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
12/26/2012	0,906571852	5,33		0	0	3 724,69	838 578,96	3 724,69	0	0	0	0	0	0
11/26/2012	0,906571852	5,33		0	0	3 724,69	838 578,96	3 724,69	0	0	0	0	0	0
10/25/2012	0,906571852	5,33		0	0	3 724,69	838 578,96	3 724,69	0	0	0	0	0	0
09/25/2012	0,906571852	5,33		0	0	3 724,69	838 578,96	3 724,69	0	0	0	0	0	0
08/27/2012	0,906571852	5,33		0	0	3 724,69	838 578,96	3 724,69	0	0	0	0	0	0

## CWL 2005-16 MV1 - US126670PH55

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
12/26/2012	1	0,6675		0	0	1390,63	2500000	1390,63	0	0	0	0	0	0
11/26/2012	1	0,6707		0	0	1490,44	2500000	1490,44	0	0	0	0	0	0
10/25/2012	1	0,6765		0	0	1409,38	2500000	1409,38	0	0	0	0	0	0
09/25/2012	1	0,6955		0	0	1400,66	2500000	1400,66	0	0	0	0	0	0
08/27/2012	1	0,7062		0	0	1618,38	2500000	1618,38	0	0	0	0	0	0

## GSAMP 2003-HE1 M2 - US36228FSV93

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
01/22/2013	0,112011033	3,0607		1 090,58	0,00	380,18	134 413,24	1 470,75	1,11	-1,11	396,01	0,00	0,00	0,00
12/20/2012	0,112919849	3,0575		299,61	0,00	346,02	135 503,82	645,63	1,00	-1,00	394,90	0,00	0,00	0,00
11/20/2012	0,113169524	3,0607		1 498,03	0,00	338,53	135 803,43	1 836,56			393,89	0,00	0,00	0,00
10/22/2012	0,114417883	3,0685		784,34	0,00	376,64	137 301,46	1 160,97			0,00	0,00	0,00	0,00
09/20/2012	0,115071497	3,087		845,16	0,00	369,31	138 085,80	1 214,47			0,00	0,00	0,00	0,00
08/20/2012	0,115775794	3,09675		0,00	0,00	370,48	138 930,95	370,48			0,00	0,00	0,00	0,00

## PCHLT 2005-4 1A2 - US71085PDD24

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
01/25/2013	0,226044375	0,4697		6 853,93	0,00	416,32	1 056 757,45	7 270,25	0,00	0,00	310,68	0,00	0,00	0,00
12/26/2012	0,227510456	0,4675		0,00	0,00	414,37	1 063 611,38	414,37	0,00	0,00	310,68	0,00	0,00	0,00
11/26/2012	0,22751046	0,4707		6 656,10	0,00	447,80	1 063 611,40	7 103,90			0,00	0,00	0,00	0,00
10/25/2012	0,22893422	0,4765		180,71	0,00	425,06	1 070 267,48	605,76			0,00	0,00	0,00	0,00
09/25/2012	0,22897287	0,4955		3 785,95	0,00	428,78	1 070 448,17	4 214,74			0,00	0,00	0,00	0,00
08/27/2012	0,2297827	0,5062		0,00	0,00	498,46	1 074 234,12	498,46			0,00	0,00	0,00	0,00

## LMT 2005-2 1A4 - US52520MBR34

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
05/28/2013	0,600319988	5,75		23 962,11	67 467,25	12 879,11	2 596 383,95	36 841,22	5,75	0,00	0,00	0,00	0,00	0,00
04/25/2013	0,621459725	5,75		38 564,96	25 069,01	13 184,02	2 687 813,31	51 748,98	0,00	0,00	0,00	0,00	0,00	0,00
03/25/2013	0,636172783	5,75		33 892,93	58 431,21	13 626,40	2 751 447,28	47 519,33	0,00	0,00	0,00	0,00	0,00	0,00
02/25/2013	0,657519403	5,75		36 905,06	108 826,11	14 324,70	2 843 771,42	51 229,76	0,00	0,00	0,00	0,00	0,00	0,00
01/25/2013	0,69121447	5,75		24 323,52	66 176,02	14 758,34	2 989 502,58	39 081,86			0,00	0,00	0,00	0,00
12/26/2012	0,71213922	5,75		9 174,12	39 930,32	14 993,64	3 080 002,13	24 167,76			0,00	0,00	0,00	0,00
11/26/2012	0,723492848	5,75		23 736,17	27 307,16	15 238,22	3 129 106,57	38 974,39			0,00	0,00	0,00	0,00
10/25/2012	0,735294775	5,75		11 872,60	41 464,53	15 493,79	3 180 149,90	27 366,39			0,00	0,00	0,00	0,00
09/25/2012	0,747627059	5,75		33 714,30	51 793,86	15 903,52	3 233 487,03	49 617,81			0,00	0,00	0,00	0,00

## MARM 2005-06 4A2 - US576433A632

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Risk	Shortfall	Deferred Interest
08/25/2015	0,140439912	2,71342		922,55	-9,72	1 014,13	447 582,00	1 936,68	0,00	0,00	0,00	0,00	0,00	0,00
07/27/2015	0,140726335	2,67289		3 053,11	0,00	1 005,78	448 494,83	4 058,89	0,00	0,00	0,00	0,00	0,00	0,00
06/25/2015	0,141684324	2,65059		893,83	29 713,79	1 065,00	451 547,94	1 958,83	0,00	0,00	0,00	0,00	0,00	0,00
05/26/2015	0,151288221	2,59205		4 155,10	69 468,77	1 200,51	482 155,56	5 355,61	0,00	0,00	0,00	0,00	0,00	0,00
04/27/2015	0,174389529	2,54634		4 493,85	-134,00	1 188,59	555 779,43	5 682,44	0,00	0,00	0,00	0,00	0,00	0,00
03/25/2015	0,17575754	2,54612		582,60	134,00	1 190,01	560 139,28	1 772,61	0,00	0,00	0,00	0,00	0,00	0,00
02/25/2015	0,175982388	2,60014		2 600,14	943,09	1 217,30	560 855,87	2 160,39	0,00	0,00	0,00	0,00	0,00	0,00
01/26/2015	0,176278306	2,60565		2 605,65	10 796,08	1 246,02	561 798,96	12 042,10	0,00	0,00	0,00	0,00	0,00	0,00
12/26/2014	0,180055554	2,60528		2 605,28	1 038,91	1 248,09	573 837,05	2 287,00	0,00	0,00	0,00	0,00	0,00	0,00
11/25/2014	0,180381537	2,60491		965,33	2 687,77	1 255,85	574 875,96	2 221,18	0,00	0,00	0,00	0,00	0,00	0,00
10/27/2014	0,181527785	2,57147		11 465,54	51 181,15	1 373,97	578 529,05	12 839,51	0,00	0,00	0,00	0,00	0,00	0,00
09/25/2014	0,201184732	2,57112		1 125,84	0,00	1 376,19	641 175,74	2 502,03	0,00	0,00	0,00	0,00	0,00	0,00
08/25/2014	0,201537992	2,60332		7 302,60	18 281,06	1 448,93	642 301,58	8 751,53	0,00	0,00	0,00	0,00	0,00	0,00
07/25/2014	0,209565497	2,60477		1 121,03	0,00	1 452,17	667 885,24	2 573,20	0,00	0,00	0,00	0,00	0,00	0,00
06/25/2014	0,209917251	2,60324		8 039,13	28 499,30	1 530,58	669 006,28	9 569,71	0,00	0,00	0,00	0,00	0,00	0,00
05/27/2014	0,221382087	2,65681		1 214,32	0,00	1 564,77	705 544,71	2 779,09	0,00	0,00	0,00	0,00	0,00	0,00
04/25/2014	0,22176311	2,58363		2 583,63	7 927,93	1 785,33	706 759,03	9 713,26	0,00	0,00	0,00	0,00	0,00	0,00
03/25/2014	0,260188437	2,42311		2 423,11	1 004,74	1 676,44	829 220,55	2 681,18	0,00	0,00	0,00	0,00	0,00	0,00
02/25/2014	0,260503699	2,40711		2 407,11	1 256,50	1 112,13	830 225,29	3 368,63	-443,36	0,00	0,00	0,00	0,00	0,00
01/27/2014	0,261036062	2,42257		2 422,57	4 127,00	1 510,69	831 921,93	5 637,69	443,36	0,00	443,36	0,00	0,00	0,00
12/26/2013	0,303709423	2,45517		13 081,78	261 177,67	2 541,47	967 921,93	15 623,25	0,00	0,00	0,00	0,00	0,00	0,00
11/25/2013	0,389765102	2,4666		6 915,52	89 513,64	2 751,52	1 242 181,38	9 667,04	0,00	0,00	0,00	0,00	0,00	0,00
10/25/2013	0,420022134	2,47298		2 372,60	3 000,00	2 769,71	1 338 610,54	5 142,31	0,00	0,00	0,00	0,00	0,00	0,00
09/25/2013	0,421707917	2,48193		2 481,93	7 015,97	3 731,77	2 801,95	1 343 983,13	9 817,92	0,00	0,00	0,00	0,00	0,00
08/26/2013	0,425080286	2,49045		7 583,60	0,00	2 827,31	1 354 730,87	10 410,91	0,00	0,00	0,00	0,00	0,00	0,00
07/25/2013	0,427459831	2,49091		11 329,05	0,00	2 851,35	1 362 314,48	14 180,40	0,00	0,00	0,00	0,00	0,00	0,00
06/25/2013	0,4310146	2,5023		2 502,3	18 045,66	2 902,02	1 373 643,53	20 947,68	0,00	0,00	0,00	0,00	0,00	0,00
05/28/2013	0,436676872	2,53787		3 072,46	0,00	2 949,77	1 391 689,19	6 022,23	0,00	0,00	0,00	0,00	0,00	0,00
04/25/2013	0,437640932	2,55759		2 557,59	15 119,90	3 004,90	1 394 761,65	18 116,80	0,00	0,00	0,00	0,00	0,00	0,00
03/25/2013	0,442382664	2,56275		2 562,75	2 906,75	3 017,17	1 409 873,55	5						

**CFAB 2003-5 1B - US161546GP55**

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Basis Risk Shortfall	Deferred Interest
07/25/2013	0,419388119	5,85	5,43254	0	0	5 695,57	1 258 164,36	5 695,57	0,00	0,00	0,00	0,00	0,00
06/25/2013	0,419388119	5,85	5,43783	0	0	5 701,12	1 258 164,36	5 701,12	0,00	0,00	0,00	0,00	0,00
05/28/2013	0,419388119	5,85	5,4424	0	0	5 705,91	1 258 164,36	5 705,91	0,00	0,00	0,00	0,00	0,00
04/25/2013	0,419388119	5,85	5,45645	0	0	5 712,46	1 258 164,36	5 712,46	0,00	0,00	0,00	0,00	0,00
03/25/2013	0,419388119	5,85	5,47658	16876,66	0	5 818,78	1 258 164,36	22 695,44	0,00	0,00	0,00	0,00	0,00
02/25/2013	0,425013672	5,85	5,4893	0	0	5 831,75	1 275 041,02	5 831,75	0,00	0,00	0,00	0,00	0,00
01/25/2013	0,425013672	5,85		185584,4	0	6 699,70	1 275 041,02	192 284,11	0,00	420,85	0,00	0,00	0,00
12/26/2012	0,486875141	5,85		0	0	6 702,40	1 460 625,42	6 702,40	0,00	418,15	0,00	0,00	0,00
11/26/2012	0,486875141	5,85		0	0	6 764,85	1 460 625,42	6 764,85	0,00	355,70	0,00	0,00	0,00

**CWALT 2005-28CB 1A11 - US12667GE829**

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Basis Risk Shortfall	Deferred Interest
07/25/2013	0,509252917	5,5		55 031,58	454 417,10	12 457,39	2 238 370,27	67 488,97	0,00	136,78	0,00	0,00	0,00
06/25/2013	0,625157881	5,5		55 718,88	185 294,49	13 853,70	2 747 818,95	69 572,58	0,00	-154,88	0,00	0,00	0,00
05/28/2013	0,679990972	5,5		44 965,31	104 771,17	14 462,31	2 988 832,32	59 427,62	0,00	-77,20	0,00	0,00	0,00
04/25/2013	0,714057606	5,5		52 281,35	537 863,55	17 173,74	3 138 568,80	69 455,09	0,00	-83,80	0,00	0,00	0,00
03/25/2013	0,848321814	5,5		58 530,66	94 620,47	17 736,06	3 728 713,70	76 266,72	0,00	55,82	0,00	0,00	0,00
02/25/2013	0,883165316	5,5		21 549,47	0,00	17 967,66	3 881 864,83	39 517,13	0,00	-77,01	0,00	0,00	0,00
01/25/2013	0,888068048	5,5		30 699,46	0,00	18 112,44	3 903 414,30	48 811,90	0,00	-81,09	0,00	0,00	0,00
12/26/2012	0,8950525	5,5		21 870,46	0,00	18 201,57	3 934 113,76	40 072,03	0,00	-69,98	0,00	0,00	0,00

**INDX 2006-AR4 A1B - US45661EAW49**

Date	Factor	Coupon	Pass-through Rate	Principal	Losses	Interest	Balance	Cashflow	Supported Shortfall	Unsupported Shortfall	Interest Carryforward	Basis Risk Shortfall	Deferred Interest
01/25/2013	0,240223473	0,5097	0,5097	15 215,37	29 066,99	564,70	1 285 195,58	15 780,07	0,00	0,00	0,00	0,00	0,00
12/26/2012	0,248500551	0,5075	0,5075	9 123,65	0,00	566,12	1 329 477,95	9 689,76	0,00	0,00	0,00	0,00	0,00

Andreas Larsson  
2019-10-17

Wassum/Söderberg&Partners Institutional Advisors